

Rom, Oct. 09

Talk: Arithmetic cycles on moduli spaces of abelian varieties of  
Picard type

Joint with S. Kudla.

(1) Moduli space.

$k = \text{imag.-quadr. field}$ ,  $\mathcal{O}_k$ .

Fix  $n \geq 1$  and  $r$  with  $0 \leq r \leq n$ .

$M(n-r, r)$  - moduli space over  $\mathcal{O}_k$ , parametrizing triples  $(A, \lambda, \iota)$

where  $(A, \lambda) = \text{princ. polar. abelian var. of dim } n$

$\iota : \mathcal{O}_k \rightarrow \text{End}(A)$  action of  $\mathcal{O}_k$ .

s.t.

$$(i) \quad \text{Rosak}_{\lambda} | \iota(\mathcal{O}_k) = \text{conj. on } \mathcal{O}_k$$

$$(ii) \quad \text{char}(\lambda(\alpha)) | \text{Lie } A = (\pi - \alpha)^{n-r} \cdot (\pi - \alpha^{\circ})^r, \alpha \in \mathcal{O}_k.$$

Represented by scheme / stack of relative dimension  $(n-r)r$

~~over  $\text{Spec } \mathcal{O}_K$~~  smooth over  $\text{Spec } \mathcal{O}_k[(2\Delta)^{-1}]$ .

Example:  $n=1, r=0$  ms

$M_0 = \{ \text{elliptic curves w. CM by } \mathcal{O}_k \}$

Then  $|M_0| = \text{Spec } \mathcal{O}_H$ .

We are especially interested in  $M(n-1, 1)$ .

Complex uniformization of  $M(n-1, 1)$ : Fix  $\tau: k \rightarrow \mathbb{C}$

Let  $\mathcal{L}_{(n-1, 1)} =$  set of iso-classes of self-dual lattices  
 $\mathbb{O}_k$ -lattices of signature  $(n-1, 1)$ .

Then

$$M(n-1, 1)(\mathbb{C}) \simeq \coprod_{L \in \mathcal{L}_{(n-1, 1)}} [\Gamma(L) \backslash D(L)],$$

where

$D(L) =$  space of negative lines in  $L \otimes_{\mathbb{O}_k} \mathbb{C}$

$\simeq$  ex. unit ball of dimension  $n-1$ .

Inside  $[\Gamma(L) \backslash D(L)]$  have special divisors defined

by  $x \in L$  with  $(x, x) > 0$ , via

$$\Gamma(L)_x \backslash D(L)_x$$

where  $D(L)_x = \{ l \in D(L) \mid l \perp x \}$ .

roughly like  $D(L)$  but for signature  $(n-2, 1)$ .

Need algebraic definition of special cycles: they will only exist after base to the Hilbert class field,

more precisely on  $M = M_{(n-1, 1)} \times_{\mathbb{O}_k} M_0$ .

We are especially interested in  $M(n-1, 1)$ :

~~write  $M$ , when  $n$  is understood.~~

~~Note:  $M_C^n$  is alg. variety uniformized by  $B_{n-1}$ .~~

~~Simplest tautological cycle: locus where universal abelian~~

~~variety splits off elliptic curve with CM by  $\mathcal{O}_k$ .~~

This is a divisor  $Z(1)_C$  on  $M_C^{g, n, m}$ .

~~Example:  $k = \mathbb{Q}(\sqrt{-3})$ ,  $n = 5$ . Then~~

~~$M_C \setminus Z(1)_C =$  moduli spaces of non-sig. cubic surfaces~~

(Allcock, Carlson, Toledo).

Precise definition of special cycles: Let  $(A, \lambda, \iota), (E, \lambda_0, \iota_0) \in M(S)$ ,

Consider free  $\mathcal{O}_k$ -module

$$\mathrm{Hom}_{\mathcal{O}_k}(E, A)$$

with positive-def. hermitian form

$S$  connected

$$h(x, y) = \bar{\lambda}_0 \circ \hat{y} \circ \lambda \circ x \in \mathrm{End}_{\mathcal{O}_k}(E) = \mathcal{O}_k.$$

For  $t \in \mathbb{Z}_{>0}$ , let  $Z(t) \subset M_{\mathbb{Z}_{>0}}$  be defined by

$$Z(t) = \{ (A, \lambda_1), (E, \lambda_2), x: E \rightarrow A,$$

$$h(x, x) = t \quad \}.$$

Then  $Z(t)$  is a relative divisor in  $M_{\mathbb{Z}_{>0}}$ .

More generally, for  $T \in \text{Horn}_m(\mathcal{O}_k)$ , let

$$Z(T) = \{ \dots, x: E^m \rightarrow A, h(x, x) = T \}.$$

Good recursive properties:

$$Z(t_1) \cap \dots \cap Z(t_m) = \coprod_{T \in \text{Horn}_m(\mathcal{O}_k)} Z(T).$$

$$\text{diag}(T) = (t_1, \dots, t_m)$$

$$\text{Let } m \leq n.$$

Prop: Let  $T \in \text{Horn}_n(\mathcal{O}_k)_{>0}$ . Then  $Z(T)$  is purely  
of codimension  $m$  in  $(M_{\mathbb{Z}_{>0}})_C$ .

Now  $M_{\mathbb{Z}_{>0}}$  has arith. dimension  $n$ : would expect

$Z(T)$  to have finite support when  $T \in \text{Horn}_n(\mathcal{O}_k)_{>0}$ .

This turns out to be totally wrong.

(3.) To formulate the result, define for  $T \in \text{Horn}_n(\mathcal{O}_k)_{>0}$ ,

$$\text{Diff}_0(T) = \{ p \mid p \text{ point in } k, \text{ord}_p(\det T) \text{ odd} \}$$

finite set

Theorem : (i) Let  $T \in \text{Hom}_n(\mathcal{O}_k)_{>0}$ .

a) If  $|\text{Diff}_0(T)| > 1$ , then  $Z(T) = \emptyset$ .

b) If  $\text{Diff}_0(T) = \{p\}$ , then Superingular locus

$$Z(T) \subset (\mathcal{M}_{\dots})_p^{\text{ss}} \xrightarrow{\quad} \begin{matrix} \text{picture of s.s.} \\ \text{locus.} \end{matrix}$$

c) If  $\text{Diff}_0(T) = \emptyset$ , then

$$Z(T) \subset \bigcup_{\text{p ram.}} (\mathcal{M}_{\dots})_p^{\text{ss}}$$

(ii) Assume we are in case b) and  $p > 2$ . Then, if (Jordan dec.)

$T$  is  $\text{GL}_n(\mathcal{O}_p)$ -equiv. to  $1_{n_0} + p \cdot 1_{n_1} + \dots + p^k \cdot 1_{n_k}$ ,

then  $Z(T)$  is equidimensional of dimension  $[(n-n_0)/2]$ .

(the superingular locus has dimension  $[(n-1)/2]$ .)

Furthermore,  $Z(T)$  is zero-dimensional ( $T$  non-degenerate)

iff

$$T \sim \text{diag}(1_{n-2}, p^a, p^b) \quad 0 \leq a < b, a+b \text{ odd.}$$

(iii) Let  $T$  be non-degenerate, then  $Z(T)$  decomposes into

a disjoint sum of local Artin schemes

$$Z(T) = \coprod_{\mathfrak{p} \in Z(T)(\mathbb{F}_p)} Z(T)_\mathfrak{p}.$$

$$\text{Each } \lg(Z(T)_\mathfrak{p}) = \frac{1}{2} \cdot \sum_{i=0}^a p^i (a+b-2i+1).$$

(5a)

Singular locus in  $\mathcal{M}_p \otimes \bar{\mathbb{F}}_p$ :

$$(\mathcal{M}_p \otimes \bar{\mathbb{F}}_p)^{\text{ss}} = \tilde{\mathcal{M}}_p^{\text{ss}} / \Gamma \quad \Gamma = \text{discrete } p\text{-adic}$$

$\text{gp. acts properly disc.}$   
 $\text{for } \mathbb{Z}\text{-topology.}$

$n = 3 :$    $|C(\mathbb{F}_{p^2})| = p + 1$

$$\tilde{\mathcal{M}}_p^{\text{ss}} = C: X_0^{p+1} + X_1^{p+1} + X_2^{p+1} = 0$$

(4) Form generating series:

$T \in \text{Herm}_n(\mathbb{O}_k)_{>0}$  with  $\text{diag}(T) = (t_1, \dots, t_n)$ ,

let

$$\widehat{\deg} Z(T) = \chi(Z(T), \mathbb{O}_{\mathbb{Z}(t_1)} \otimes \cdots \otimes \mathbb{O}_{\mathbb{Z}(t_n)}) \cdot \log p.$$

This occurs then in the  $q$ -expansion of a modular form,  
as follows:

Form Eisenstein series for quasi-split unitary groups rel. to  $k/\mathbb{Q}$

$$\mathcal{U}(n, n) = \mathcal{U}(W, \langle, \rangle), \quad \langle, \rangle = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}.$$

Then Siegel func has unipotent radical  $\text{Herm}_n(k)$ .

$$\text{Form } \epsilon \subset \mathbb{C} \quad C(s) \frac{\psi(z)}{\psi(z)}^{\frac{1}{2}} \quad \psi(z) = J_m(z)$$

$$E(z, s, \Phi) = \sum_{\substack{c, d \in \mathbb{Z}^2 \\ \det(cz+d) \neq 0}} \det(cz+d)^{-s} |\det(cz+d)|^{-\frac{1}{2}} \cdot \Phi(\gamma, s),$$

$\epsilon$  tube domain,  $z = u(z) + i \cdot v(z)$

where  $\Phi$ : carefully chosen. Siegel-Weil section of induced rep'n

corresp. to  $s \in \mathbb{C}$ , depends on choice of  $T$

(there are  $2^{d-1}$  of them.)

center of symmetry for full eqn.

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Theorem: (i)  $E(z, 0, \Phi) = 0$ .

(ii) Consider the Fourier expansion of  $E'(z, 0, \Phi)$ ,

$$E'(z, 0, \Phi) = \sum_{T \in \text{Herm}_n(\Phi)_k} a(T, v(z)) \cdot q^T,$$

where

$$q^T = \deg(2\pi i \operatorname{Tr}(Tz))$$

$v(z) = "J_m(z)"$ , as before

If  $T \in \text{Herm}_n(\Phi)_k > 0$  is such that  $\deg(T) = k$ , then,

then  $a(T, v(z)) = a(T)$  is indep't of  $z$ . If

furthermore  $\deg(T) = \lambda_1^p$ , with  $p > 2$ , and  $T$  is non-deg.  
with  $\operatorname{diag}(T) = (t_1, \dots, t_n)$ ,

then

$$a(T) = C \cdot \widehat{\deg} Z(T),$$

for some const  $C$  indep't of  $T, p$ , etc.

Hope: Last relation holds for any  $T \in \text{Herm}_n(\Phi)_k > 0$ .

The other coeff. are a mystery ( $\rightarrow$  KRY).