

Equivariant motivic Hall algebras



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Motivation

Let L|K be a finite, totally ramified extension of complete discretely valued fields of characteristic (0, p) with perfect residue field k. A smooth projective variety X over L comes with the following linear algebraic data.

- The crystalline cohomology groups of the special fibre X_k become K-vector spaces after inverting p.
- These carry a natural Frobenius action, compatibly with a fixed lift σ of the Frobenius of k to K.
- By comparison with the de Rham cohomology of X, they inherit a (Hodge) filtration over L.

Definition 1: Let $isoc_K$ denote the category of F-isocrystals over K, that is, the category of pairs (V, φ) , where $V \in vect_K$ is a finite dimensional vector space over K, and $\varphi : V \otimes_{K,\sigma} K \xrightarrow{\sim} V$ a σ -semilinear automorphism of V. The category of *filtered* F-*isocrystals* over L|K is defined to be the fibre product

$$\operatorname{Fil}_{L|K}^{\mathbb{Z}} \times_{\operatorname{vect}_{K}} \operatorname{isoc}_{K} = \operatorname{Fil}_{L}^{\mathbb{Z}} \times_{\operatorname{vect}_{L}} \operatorname{isoc}_{K}, \tag{1}$$

where $\operatorname{Fil}_{L|K}^{\mathbb{Z}}$ is the category of finite dimensional K-vector spaces together with a \mathbb{Z} -filtration over L.

In fact, the *p*-adic analogue of a *period domain* over \mathbb{C} , parametrizing Hodge structures, is a moduli space for *semistable* filtered *F*-isocrystals over *K*, cf. Definition 2. They form an abelian subcategory of (1), which Colmez and Fontaine describe in terms of certain representations of the absolute Galois group,

$$\operatorname{rep}_K^{\operatorname{cris}}(G_L) \xrightarrow{\sim} (\operatorname{Fil}_L^{\mathbb{Z}} \times_{\operatorname{vect}_L} \operatorname{isoc}_K)_0^{\operatorname{ss}}$$

The cohomology of *p*-adic period domains is studied in [2]. A similar strategy is pursued in various settings.

- Originally, by Harder and Narasimhan, in the context of moduli spaces of vector bundles on curves.
- Reineke [5] counts \mathbb{F}_q -points of quiver moduli spaces in order to infer their Betti numbers (over \mathbb{C}).
- Joyce [4] refines this point count to motivic measures of more general moduli spaces, over any field K.

Our goal is to generalize this approach to accommodate for the equivariant setting of [2]. Consider three quasi-abelian K-linear categories \mathcal{E} , \mathcal{B} , and \mathcal{D} , and assume that \mathcal{B} and \mathcal{D} are semisimple. Let

$$\mathcal{E} \xrightarrow{\omega} \mathcal{B} \xleftarrow{\nu} \mathcal{D}$$

be two K-linear *exact isofibrations*. Then for a field extension L|K, we replace (1) by the fibre product

$$(\mathcal{E}_L \times_{\mathcal{B}_L} \mathcal{B}) \times_{\mathcal{B}} \mathcal{D} = \mathcal{E}_L \times_{\mathcal{B}_L} \mathcal{D}, \text{ where } \mathcal{E}_L := \mathcal{E} \otimes_K L.$$
(2)

Example 1: (a) For a quiver Q, the fibre functor $\operatorname{rep}_{\mathcal{B}}(Q) \to \mathcal{B}$, $M \mapsto \bigoplus_{i \in Q_0} M_i$, is an exact isofibration.

(b) Similarly, this applies to the forgetful functor on the category of representations in \mathcal{B} of a group G. By arguing pointwise, this extends to (pro-)group schemes over K. In fact, it follows that

$$\operatorname{Fil}_{K}^{\mathbb{Z}} \xrightarrow{\omega} \operatorname{vect}_{K} \xleftarrow{\nu} \operatorname{isoc}_{K},$$

Slope filtrations and Hall algebras

It is explained in [1] how to express the aforementioned notions of semistability in our categorical setting. **Definition 2:** Let \mathcal{E}^{\sim} denote the maximal subgroupoid. Suppose \mathcal{E} is equipped with two maps as follows.

- The *rank function* $\operatorname{rk}: \pi_0(\mathcal{E}^{\simeq}) \to \mathbb{N}$, additive on exact sequences, such that $\operatorname{rk}(E) = 0 \Leftrightarrow E = 0$.
- A degree function deg: $K_0(\mathcal{E}) \to \Lambda$, with deg $(E) \leq deg(E')$ for all $E \to E'$ with (co-)kernel = 0.

Then E is *semistable* if $\mu(N) \leq \mu(E)$ for all $0 \neq N \leq E$, where $\mu(E) = \frac{\deg E}{\operatorname{rk} E} \in \Lambda_{\mathbb{Q}}$ is the *slope* of E.

The full subcategory $\mathcal{E}_{\lambda}^{ss}$ of semistable objects of \mathcal{E} of slope $\in \{\lambda, \infty\}$ is inherently abelian.

Example 2: (a) For the category \mathcal{E} of vector bundles on a (connected) smooth projective curve X over the field K, we have the usual notions of rank and degree. Note that $\mathcal{O}_X \to \mathcal{O}_X(1)$ has (co-)kernel = 0.

(b) Let Q be a (connected) quiver, and $\mathcal{E} = \operatorname{rep}_K(Q)$. Then $\operatorname{rk}(M) := \sum_{i \in Q_0} \dim_K(M_i)$ for $M \in \mathcal{E}$, and any choice of $\theta \in \Lambda^{Q_0}$ defines a degree function via $\deg_{\theta} \colon K_0(\mathcal{E}) \xrightarrow{\dim} \mathbb{Z}^{\oplus Q_0} \xrightarrow{\theta} \Lambda$.

(c) Let $\mathcal{E} = \operatorname{Fil}_{L|K}^{\Lambda}$ with rank function $\operatorname{rk}(V, F^{\bullet}) = \dim_{K}(V)$. The degree is weighted by the jumps of F^{\bullet} ,

$$\deg_{\bullet}(V, F^{\bullet}) = \sum_{\lambda \in \Lambda} \lambda \cdot \dim_L(F^{\lambda} V / F^{\lambda - 1} V) \in \Lambda.$$

On isoc_K, define $\deg_{\sigma}(V, \varphi) := -\operatorname{val}_p(\det \varphi)$. The fibre product in (1) is endowed with $\deg := \deg_{\bullet} + \deg_{\sigma}$

Several further examples appear in the survey article [1], where the following is proved in this generality.

Proposition 1: There is a unique filtration $F^{\bullet}: \Lambda_{\mathbb{Q}}^{\mathrm{op}} \times \mathcal{E} \to \mathcal{E}$, such that $0 \subsetneq F^{\lambda_1} E \subsetneq \ldots \subsetneq F^{\lambda_n} E = E$, for $E \in \mathcal{E}$, is uniquely determined by $F^{\lambda_i} E / F^{\lambda_{i-1}} E$ being semistable of decreasing slopes $\lambda_1 > \ldots > \lambda_n$.

If $K = \mathbb{F}_q$ and \mathcal{E} is finitary, we can express Proposition 1 as an equation in the **Hall algebra** of \mathcal{E} . This is the convolution algebra $H(\mathcal{E}) = \mathbb{Q}[\pi_0(\mathcal{E}^{\simeq})]$ of finitely supported \mathbb{Q} -valued functions on $\pi_0(\mathcal{E}^{\simeq})$, that is,

$$(f * g)(E) = \sum_{N \le E} f(N)g(E/N), \text{ for } f, g \in \mathbb{Q}[\pi_0(\mathcal{E}^{\simeq})].$$

More precisely, if we complete $H(\mathcal{E})$ with respect to its $K_0(\mathcal{E})$ -grading, where $\mathbb{1}_E$ lies in degree [E], then

$$\mathbb{1}_{\pi_0(\mathcal{E}^{\simeq})} = \sum_{\lambda_1 > \dots > \lambda_n} \mathbb{1}_{\pi_0(\mathcal{E}_{\lambda_1}^{\mathrm{ss}\simeq})} * \dots * \mathbb{1}_{\pi_0(\mathcal{E}_{\lambda_n}^{\mathrm{ss}\simeq})} \in \widehat{\mathrm{H}}(\mathcal{E}).$$
(3)

(4)

If \mathcal{E} is **hereditary**, the Euler form $\chi(M, N) = \dim \operatorname{Hom}(M, N) - \dim \operatorname{Ext}^1(M, N)$ defines a twisted group ring $\mathbb{Q}^{\langle \chi \rangle}[K_0(\mathcal{E})]$, with $[M][N] = q^{-\chi(N,M)}[N \oplus M]$. By [5], Lemma 6.1, there is an **integration morphism**



and indeed, the fibre functor of a $quasi-Tannakian \ category$ over K is an exact K-linear isofibration.

(c) In the same vein, this works for the functor $\omega \colon \operatorname{Fil}_{\mathcal{B}}^{\Lambda} \to \mathcal{B}$, where Λ is a totally ordered abelian group.

$$\int_{\mathcal{E}} : \widehat{\mathrm{H}}(\mathcal{E}) \longrightarrow \mathbb{Q}^{\langle \chi \rangle}[[K_0(\mathcal{E})]], \ \mathbb{1}_E \longmapsto \frac{1}{\# \operatorname{Aut}(E)}[E].$$

Integrating (3) yields a formula (5), counting points of the *moduli stack of objects* \mathcal{M}_{α} of class $\alpha \in K_0(\mathcal{E})$.

Equivariant motivic Hall algebras

Over an arbitrary field K, the idea is to replace the number of points $q = \#\mathbb{A}^1(\mathbb{F}_q)$ by the affine line itself. To this end, we understand it as an element $\mathbb{L} = [\mathbb{A}^1_K] \in K_0(\text{Var}/K)$ of the Grothendieck ring of varieties.

Definition 3: Let \mathcal{Z} be a stack in groupoids on the big fppf-site $\operatorname{Aff}_{K}^{\operatorname{fppf}}$ of affine schemes over K. Then the (relative) *Grothendieck ring of stacks* $K_0(\operatorname{Sta}/\mathcal{Z})$ is the free \mathbb{Z} -module on geometric equivalence classes of algebraic stacks over \mathcal{Z} , of finite type and with affine stabilizers over K, modulo the relations

 $[\mathfrak{X} \amalg \mathfrak{X}'] = [\mathfrak{X}] + [\mathfrak{X}'],$ $[\mathfrak{X}_1] = [\mathfrak{X}_2]$ for all locally trivial Zariski fibrations $\mathfrak{X}_i \to \mathfrak{X}_0$ with equivalent fibres.

This ensures that $K_0(\operatorname{Var}/K)[\mathbb{L}^{-1}, (\mathbb{L}^n - 1)^{-1} \mid n \in \mathbb{N}] \xrightarrow{\sim} K_0(\operatorname{Sta}/K)$. As above, there is a HN-recursion

$$[\mathcal{M}_{\alpha}] = \sum_{\substack{\alpha_1 > \dots > \alpha_n \\ \alpha_1 + \dots + \alpha_n = \alpha}} \mathbb{L}^{-\sum_{i < j} \chi(\alpha_j, \alpha_i)} [\mathcal{M}_{\alpha_1}^{\mathrm{ss}}] \cdots [\mathcal{M}_{\alpha_n}^{\mathrm{ss}}] \in K_0(\mathrm{Sta}/K).$$
(5)

This makes sense, assuming $\dim_K \operatorname{Hom}_{\mathcal{E}}(-,-) < \infty$, by the following finiteness result.

Theorem 1: Let $-\widehat{\otimes}_K$ - denote the K-linear Cauchy completion of the tensor product. The functor

$$\mathcal{M}: \operatorname{Aff}_{K}^{\operatorname{fppf}} \longrightarrow \operatorname{Grpd}, \operatorname{Spec}(A) \longmapsto (\mathcal{E} \widehat{\otimes}_{K} A)^{\simeq}$$

defines an algebraic stack, locally of finite type over K, called the moduli stack of objects of \mathcal{E} .

The motivic Hall algebra $\mathcal{H}(\mathcal{E}) := K_0(\operatorname{Sta}/\mathcal{M})$ of \mathcal{E} is the convolution algebra along the correspondence

$$\mathcal{M} \times_K \mathcal{M} \xleftarrow{(\partial_2, \partial_0)}{\mathcal{S}_2(\mathcal{E})} \xrightarrow{\partial_1} \mathcal{M}, \tag{6}$$

where $S_2(\mathcal{E})$ is the moduli stack of short exact sequences in \mathcal{E} , which are mapped in (6) to their outer terms and their middle term, respectively. That is, multiplication in $\mathcal{H}(\mathcal{E})$ is defined as the composition

$$K_0(\operatorname{Sta}/\mathcal{M}) \otimes K_0(\operatorname{Sta}/\mathcal{M}) \xrightarrow{-\times_K -} K_0(\operatorname{Sta}/\mathcal{M} \times_K \mathcal{M}) \xrightarrow{(\partial_2, \partial_0)^*} K_0(\operatorname{Sta}/\mathcal{S}_2(\mathcal{E})) \xrightarrow{(\partial_1)_*} K_0(\operatorname{Sta}/\mathcal{M})$$

Let \mathcal{N} be the moduli stack of \mathcal{B} . By replacing $K_0(\text{Sta}/-)$ by its \mathcal{D} -equivariant variant $K_0^{\mathcal{D}}(\text{Sta}/-)$, we get

$$[\mathcal{M} \times_{\mathcal{N}} \underline{\mathcal{D}}^{\simeq}] \in \widehat{\mathcal{H}}^{\mathcal{D}}(\mathcal{E}), \text{ where } \mathcal{H}^{\mathcal{D}}(\mathcal{E}) = K_0^{\mathcal{D}}(\mathrm{Sta}/\mathcal{M}) \cong \bigoplus_{D \in \pi_0(\mathcal{D}^{\simeq})} K_0^{\mathrm{Aut}(D)}(\mathrm{Sta}/\mathcal{M})$$

the *equivariant motivic Hall algebra* of \mathcal{E} , with parabolic induction product between the summands.

Theorem 2: There is a natural map of simplicial stacks $\mathcal{S}(\mathcal{E}) \to K_0(S_{\bullet}(\mathcal{E}))$, whose pushforward

$$\int_{\mathcal{E}}^{\mathcal{D}} : \widehat{\mathcal{H}}^{\mathcal{D}}(\mathcal{E}) \longrightarrow K_0^{\mathcal{D}}(\mathrm{Sta}/K)^{\langle \chi \rangle}[[K_0(\mathcal{E})]]$$

is an algebra morphism if \mathcal{E} is hereditary. For $\mathcal{D} = 0$, this recovers the motivic version of (4) in [4].

Further directions

If \mathcal{E} carries a duality structure, there is a module over the Hall algebra of \mathcal{E} on isometry classes of selfdual objects, due to M. Young. We have an analogue of Theorem 2 for the *equivariant motivic Hall module*. In general, we replace $K_0^{\mathcal{P}}(\operatorname{Sta}/-)$ with a ring of analytic stacks (on affinoid spaces) over a *non-Archimedean* field. This again yields a Hall algebra, since Waldhausen's S-construction defines a *2-Segal stack* (cf. [3]).

Definition 4: Let $k \ge 0$. The *n*-cells $S_n^{\langle k \rangle}(\mathcal{E})$ of the **higher Waldhausen S-construction** are defined as the full subcategory of the category of diagrams $E: \operatorname{Fun}([k], [n]) \longrightarrow \mathcal{E}, (\beta: [k] \rightarrow [n]) \longmapsto E_{\beta}$, with

- (degeneracies) for every functor $\alpha \colon [k-1] \to [n]$, we have $E_{s_{k-1}^*\alpha} = \ldots = E_{s_0^*\alpha} = 0$, and
- (faces) for every $\gamma: [k+1] \to [n]$, the sequence $E_{d_{k+1}^*\gamma} \longrightarrow E_{d_k^*\gamma} \longrightarrow \ldots \longrightarrow E_{d_1^*\gamma} \longrightarrow E_{d_0^*\gamma}$ is exact.

Hesselholt and Madsen introduced $S_{\bullet}^{\langle 2 \rangle}(\mathcal{E})$ in the context of real algebraic K-theory. We illustrate an element of its 4-skeleton, with image under the upper 3-Segal map $u: S_4^{\langle 2 \rangle}(\mathcal{E}) \longrightarrow S_3^{\langle 2 \rangle}(\mathcal{E}) \times_{S_3^{\langle 2 \rangle}(\mathcal{E})} S_3^{\langle 2 \rangle}(\mathcal{E})$ in red.



If \mathcal{E} is abelian, u is an equivalence, but this case is an outlier; the general result is as follows.

Theorem 3: The simplicial category $S_{\bullet}^{\langle k \rangle}(\mathcal{E})$ is a 2k-Segal object.

References

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