On the Moy–Prasad filtration and stable vectors

A dissertation presented

by

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 $\operatorname{to}$ 

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#### Abstract

Let K be a maximal unramified extension of a nonarchimedean local field of residual characteristic p > 0. Let G be a reductive group over K which splits over a tamely ramified extension of K. To a point x in the Bruhat–Tits building of G over K, Moy and Prasad have attached a filtration of G(K) by bounded subgroups.

In this thesis, we give necessary and sufficient conditions for the existence of stable vectors in the dual of the first Moy–Prasad filtration quotient  $\mathbf{V}_x$  under the action of the reductive quotient  $\mathbf{G}_x$ . This extends earlier results by Reeder and Yu for large residue-field characteristic and yields new supercuspidal representations for small primes p.

Moreover, we show that the Moy-Prasad filtration quotients for different residue-field characteristics agree as representations of the reductive quotient in the following sense: For some N coprime to p, there exists a representation of a reductive group scheme over  $\operatorname{Spec}(\overline{\mathbb{Z}}[1/N])$  all of whose special fibers are Moy-Prasad filtration representations. In particular, the special fiber above p corresponds to  $\mathbf{G}_x$  acting on  $\mathbf{V}_x$ .

In addition, we provide a new description of the representation of  $\mathbf{G}_x$  on  $\mathbf{V}_x$  as a representation occurring in a generalized Vinberg–Levy theory. This generalizes an earlier result by Reeder and Yu for large primes p. Moreover, we describe these representations in terms of Weyl modules.

In this thesis, we also treat reductive groups G that are more general than those that split over a tamely ramified field extension of K.

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## 1 Introduction

Let k be a nonarchimedean local field with residual characteristic p > 0. Let K be a maximal unramified extension of k and identify its residue field with  $\overline{\mathbb{F}}_p$ . Let G be a reductive group over K. In [2, 3], Bruhat and Tits defined a building  $\mathscr{B}(G, K)$  associated to G. For each point x in  $\mathscr{B}(G, K)$ , they constructed a compact subgroup  $G_x$  of G(K), called parahoric subgroup. In [11, 12], Moy and Prasad defined a filtration of these parahoric subgroups by smaller subgroups

$$G_x = G_{x,0} \triangleright G_{x,r_1} \triangleright G_{x,r_2} \triangleright \dots,$$

where  $0 < r_1 < r_2 < \ldots$  are real numbers depending on x. For simplicity, we assume that  $r_1, r_2, \ldots$  are rational numbers. The quotient  $G_{x,0}/G_{x,r_1}$  can be identified with the  $\overline{\mathbb{F}}_p$ -points of a reductive group  $\mathbf{G}_x$ , and  $G_{x,r_i}/G_{x,r_{i+1}}$  (i > 0) can be identified with an  $\overline{\mathbb{F}}_p$ -vector space  $\mathbf{V}_{x,r_i}$  on which  $\mathbf{G}_x$  acts.

If G is defined over k, this filtration was used to associate a depth to complex representations of G(k), which can be viewed as a first step towards a classification of these representations. In 1998, Adler ([1]) used the Moy–Prasad filtration to construct supercuspidal representations of G(k), and Yu ([21]) generalized his construction three years later. Kim ([9]) showed that, for large primes p, Yu's construction yields all supercuspidal representations. However, the construction does not give rise to all supercuspidal representations for small primes.

In 2014, Reeder and Yu ([15]) gave a new construction of supercuspidal representations of smallest positive depth, which they called epipelagic representations. A vector in the dual  $\check{\mathbf{V}}_{x,r_1} = (G_{x,r_1}/G_{x,r_2})^{\vee}$  of the first Moy–Prasad filtration quotient is called stable in the sense of geometric invariant theory if its orbit under  $\mathbf{G}_x$  is closed and its stabilizer in  $\mathbf{G}_x$  is finite. The only input for the new construction of supercuspidal representations in [15] is such a stable vector. Assuming that G is a semisimple group that splits over a tamely ramified field extension, Reeder and Yu gave a necessary and sufficient criterion for the existence of stable vectors for sufficiently large primes p. One application of this thesis is a criterion for the existence of stable vectors for all primes p, which yields new supercuspidal representations. Moreover, we do not only treat semisimple groups that split over a tamely ramified field extension, but we work with a larger class of groups that also includes arbitrary simply connected or adjoint groups.

Our method of proof assumes the result for large primes and semisimple groups that split over a tamely ramified extension, and transfers it to arbitrary residue-field characteristics and a larger class of groups G. This is done via a comparison of the Moy–Prasad filtrations for different primes p.

More precisely, we show for a large class of reductive groups over finite extensions of  $\mathbb{Q}_p^{ur}$  (or  $\mathbb{F}_p((t))^{ur}$ ), which we call good groups (see Definition 3.1), that the Moy–Prasad filtration is in a certain sense (made precise below) independent of the residue-field characteristic p. The class of good groups contains reductive groups that split over a tamely ramified field extension, as well as simply connected and adjoint semisimple groups, and products and restriction of scalars along finite separable (not necessarily tamely ramified) field extensions of any of these. The restriction to this (large) subclass of reductive groups is necessary as the main result (Theorem 3.7) fails in general. Given a good reductive group G over K, a rational point x of the Bruhat–Tits building  $\mathscr{B}(G, K)$  and an arbitrary prime q coprime to a certain integer N that depends on the splitting field of G (for details see Definition 3.1), we construct a finite extension  $K_q$  of  $\mathbb{Q}_q^{ur}$ , a reductive group  $G_q$  over  $K_q$  and a point

 $x_q$  in  $\mathscr{B}(G_q, K_q)$ . To these data, one can attach a Moy-Prasad filtration as above. The corresponding reductive quotient  $\mathbf{G}_{x_q}$  is a reductive group over  $\overline{\mathbb{F}}_q$  that acts on the quotients  $\mathbf{V}_{x_q,r_i}$ , which are identified with  $\overline{\mathbb{F}}_q$ -vector spaces. For a given positive integer *i*, we show in Theorem 3.7 that then there exists a split reductive group scheme  $\mathscr{H}$  over  $\overline{\mathbb{Z}}[1/N]$  acting on a free  $\overline{\mathbb{Z}}[1/N]$ -module  $\mathscr{V}$  such that the special fibers of this representation are the above constructed Moy-Prasad filtration representations of  $\mathbf{G}_{x_q}$  on  $\mathbf{V}_{x_q,r_i}$ . This allows to compare the Moy-Prasad filtration representations for different primes.

We also give a new description of the Moy-Prasad filtration representations for reductive groups that split over a tamely ramified field extension of K. Let m be the order of x. We define an action of the group scheme  $\boldsymbol{\mu}_m$  of m-th roots of unity on a reductive group  $\mathscr{G}_{\overline{\mathbb{F}}_p}$  over  $\overline{\mathbb{F}}_p$ , and denote by  $\mathscr{G}_{\overline{\mathbb{F}}_p}^{\boldsymbol{\mu}_m,0}$  the identity component of the fixed-point group scheme. In addition, we define a related action of  $\boldsymbol{\mu}_m$  on the Lie algebra Lie $(\mathscr{G}_{\overline{\mathbb{F}}_p})$ , which yields a decomposition Lie $(\mathscr{G}_{\overline{\mathbb{F}}_p}(\overline{\mathbb{F}}_p)) = \bigoplus_{i=1}^m \operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{F}}_p})_i(\overline{\mathbb{F}}_p)$ . Then we prove that the action of  $\mathbf{G}_x$ on  $\mathbf{V}_{x,r_i}$  corresponds to the action of  $\mathscr{G}_{\overline{\mathbb{F}}_p}^{\boldsymbol{\mu}_m,0}$  on one of the graded pieces  $\operatorname{Lie}(\mathscr{G})_j(\overline{\mathbb{F}}_p)$  of the Lie algebra of  $\mathscr{G}_{\overline{\mathbb{F}}_p}$ . This was previously known by [15] for sufficiently large primes p, and representations of the latter kind have been studied by Vinberg [19] in characteristic zero and generalized to positive characteristic coprime to m by Levy [10]. To be precise, in this thesis we even prove a global version of the above mentioned result. See Theorem 4.1 for details. We also show that the same statement holds true for all good reductive groups after base change of  $\mathscr{H}$  and  $\mathscr{V}$  to  $\overline{\mathbb{Q}}$ , see Corollary 4.4.

This allows us to classify in Corollary 5.5 the points of the building  $\mathscr{B}(G, K)$  whose first Moy–Prasad filtration quotient contains stable vectors, which then yield supercuspidal representations. In addition, we prove in Theorem 5.1 that, similarly, the existence of semistable vectors is independent of the residue-field characteristic. Moreover, the global version of the Moy–Prasad filtration representations given by Theorem 3.7 allows us to describe the representations occurring in the Moy–Prasad filtration of reductive groups that split over a tamely ramified field extension of K in terms of Weyl modules, see Section 6.

Structure of the thesis. In Section, 2 we first recall the Moy-Prasad filtration of G, and then in Section 2.4 we introduce a Chevalley system for the reductive quotient that will be used for the construction of the reductive group scheme  $\mathscr{H}$  that appears in Theorem 3.7. In Section 2.5, we construct an inclusion of the Moy-Prasad filtration representation of Ginto that of  $G_F$  for a sufficiently large field extension F of K that will allow us to define the action of  $\mathscr{H}$  on  $\mathscr{V}$  in Theorem 3.7. Afterwards, in Section 3, we move from a previously fixed residue-field characteristic p to other residue-field characteristics q. More precisely, we first introduce the notion of a good group and define  $K_q/\mathbb{Q}_q^{ur}$ ,  $G_q$  over  $K_q$ , and  $x_q \in \mathscr{B}(G_q, K_q)$ . In Section 3.3, we prove our first main theorem, Theorem 3.7. Section 4 is devoted to giving a different description of the Moy-Prasad filtration representations and their global version as generalized Vinberg-Levy representations (Theorem 4.1). In Section 5, we use the results of the previous sections to show that the existence of (semi)stable vectors is independent of the residue characteristic. This leads to new supercuspidal representations. We conclude the thesis by giving a description of the Moy-Prasad filtration representations in term of Weyl modules in Section 6.

**Conventions and notation.** If M is a free module over some ring A, and if there is no danger of confusion, then we denote the associated scheme whose functor of points is  $B \mapsto M \otimes_A B$  for any A-algebra B also by M. In addition, if G and T are schemes over a scheme S, then we may abbreviate the base change  $G \times_S T$  by  $G_T$ ; and, if T = Spec A for some ring A, then we may also write  $G_A$  instead of  $G_T$ . When we talk about the identity component of a smooth group scheme G of finite presentation, we mean the unique open subgroup scheme whose fibers are the connected components of the respective fibers of the original scheme that contains the identity. The identity component of G will be denoted by  $G^0$ .

Throughout the thesis, we require reductive groups to be connected.

For each prime number q, we fix an algebraic closure  $\overline{\mathbb{Q}}_q$  of  $\mathbb{Q}_q$  and an algebraic closure  $\overline{\mathbb{F}_q((t))}$  of  $\mathbb{F}_q((t))$ . All field extensions of  $\mathbb{Q}_q$  and  $\mathbb{F}_q((t))$  are assumed to be contained in  $\overline{\mathbb{Q}}_q$  and  $\overline{\mathbb{F}_q((t))}$ , respectively. We then denote by  $\mathbb{Q}_q^{ur}$  the maximal unramified extension of  $\mathbb{Q}_q$  (inside  $\overline{\mathbb{Q}}_q$ ), and by  $\mathbb{F}_q((t))^{ur}$  the maximal unramified extension of  $\mathbb{F}_q((t))$ . For any field extension F of  $\mathbb{Q}_q$  (or of  $\mathbb{F}_q((t))$ ), we denote by  $F^{\text{tame}}$  its maximal tamely ramified field extension. Similarly, we fix an algebraic closure  $\overline{\mathbb{Q}}$  of  $\mathbb{Q}$ , and we denote by  $\overline{\mathbb{Z}}$  the integral closure of  $\mathbb{Z}$  in  $\overline{\mathbb{Q}}$  and by  $\overline{\mathbb{Z}}_q$  the integral closure of  $\mathbb{Z}_q$  in  $\overline{\mathbb{Q}}_q$ .

In addition, we will use the following notation throughout the thesis: p denotes a fixed prime number, k is a nonarchimedean local field (of arbitrary characteristic) with residual characteristic p, and K is the maximal unramified extension of k. We write  $\mathcal{O}$  for the ring of integers of K,  $v: K \to \mathbb{Z} \cup \{\infty\}$  for a valuation on K with image  $\mathbb{Z} \cap \{\infty\}$ , and  $\varpi$  for a uniformizer. G is a reductive group over K, and E denotes a splitting field of G, i.e., E is a minimal field extension of K such that  $G_E$  is split. Note that all reductive groups over K are quasi-split and hence E is unique up to conjugation. Let e be the degree of E over K,  $\mathcal{O}_E$  the ring of integers of E, and  $\varpi_E$  a uniformizer of E. Without loss of generality, we assume that  $\varpi$  is chosen to equal  $\varpi_E^e$  modulo  $\varpi_E^{e+1}\mathcal{O}_E$ . We denote the (absolute) root datum of G by R(G), and its root system by  $\Phi = \Phi(G)$ . We fix a point x in the Bruhat–Tits building  $\mathscr{B}(G, K)$  of G, denote by S a maximal split torus of G such that x is contained in the apartment  $\mathscr{A}(S, K)$  associated to S, and let T be the centralizer of S, which is a maximal torus of G. Moreover, we fix a Borel subgroup B of G containing T, which yields a choice of simple roots  $\Delta$  in  $\Phi$ . In addition, we denote by  $\Phi_K = \Phi_K(G)$  the restricted root system of G, i.e., the restrictions of the roots in  $\Phi$  from T to S. Restriction yields a surjection from  $\Phi$  to  $\Phi_K$ , and for  $a \in \Phi_K$ , we denote its preimage in  $\Phi$  by  $\Phi_a$ .

Moreover, to help the reader, we will adhere to the convention of labeling roots in  $\Phi$  by Greek letters:  $\alpha, \beta, \ldots$ , and roots in  $\Phi_K$  by Latin letters:  $a, b, \ldots$ 

# 2 Parahoric subgroups and Moy–Prasad filtration

In order to talk about the Moy–Prasad filtration, we will first recall the structure of the root groups following [3, Section 4]. For more details and proofs we refer to *loc. cit.* 

For  $\alpha \in \Phi$ , we denote by  $U_{\alpha}^{E}$  the root subgroup of  $G_{E}$  corresponding to  $\alpha$ . Note that  $\Gamma = \operatorname{Gal}(E/K)$  acts on  $\Phi$ . We denote by  $E_{\alpha}$  the fixed subfield of E of the stabilizer  $\operatorname{Stab}_{\Gamma}(\alpha)$  of  $\alpha$  in  $\Gamma$ . In order to parameterize the root groups of G over K, we fix a *Chevalley-Steinberg system*  $\{x_{\alpha}^{E} : \mathbb{G}_{a} \to U_{\alpha}^{E}\}_{\alpha \in \Phi}$  of G with respect to T, i.e. a Chevalley system  $\{x_{\alpha}^{E} : \mathbb{G}_{a} \to U_{\alpha}^{E}\}_{\alpha \in \Phi}$  of  $G_{E}$  (see Remark 2.1) satisfying the following additional properties for all roots  $\alpha \in \Phi$ :

- (i) The isomorphism  $x_{\alpha}^{E} : \mathbb{G}_{a} \to U_{\alpha}^{E}$  is defined over  $E_{\alpha}$ .
- (ii) If the restriction  $a \in \Phi_K$  of  $\alpha$  to S is not divisible, i.e.  $\frac{a}{2} \notin \Phi_K$ , then  $x_{\gamma(\alpha)}^E = \gamma \circ x_{\alpha}^E \circ \gamma^{-1}$ for all  $\gamma \in \text{Gal}(E/K)$ .
- (iii) If the restriction  $a \in \Phi_K$  of  $\alpha$  to S is divisible, then there exist  $\beta, \beta' \in \Phi$  restricting to  $\frac{a}{2}, E_{\beta} = E_{\beta'}$  is a quadratic extension of  $E_{\alpha}$ , and  $x_{\gamma(\alpha)}^E = \gamma \circ x_{\alpha}^E \circ \gamma^{-1} \circ \epsilon$ , where  $\epsilon \in \{\pm 1\}$  is 1 if and only if  $\gamma$  induces the identity on  $E_{\beta}$ .

According to [3, 4.1.3] such a Chevalley-Steinberg system does exist. It is a generalization of a Chevalley system for non-split groups and it will allow us to define a valuation of root groups in Section 2.1 even if the group G is non-split.

**Remark 2.1.** We follow the conventions resulting from [17, XXIII Définition 6.1], so we do not add the requirement of Bruhat and Tits that for each root  $\alpha$ ,  $x_{\alpha}^{E}$  and  $x_{-\alpha}^{E}$  are associated, i.e.  $x_{\alpha}^{E}(1)x_{-\alpha}^{E}(1)x_{\alpha}^{E}(1)$  is contained in the normalizer of T. However, there exists  $\epsilon_{\alpha,\alpha} \in \{1, -1\}$  such that

$$m_{\alpha} := x_{\alpha}^{E}(1) x_{-\alpha}^{E}(\epsilon_{\alpha,\alpha}) x_{\alpha}^{E}(1)$$

is contained in the normalizer of T. Moreover,  $\operatorname{Ad}(m_{\alpha})(\operatorname{Lie}(x_{\alpha}^{E})(1)) = \epsilon_{\alpha,\alpha}\operatorname{Lie}(x_{-\alpha}^{E})(1)$ .

**Definition 2.2.** For  $\alpha, \beta \in \Phi$ , we define  $\epsilon_{\alpha,\beta} \in \{\pm 1\}$  by

$$\operatorname{Ad}(m_{\alpha})(\operatorname{Lie}(x_{\beta})(1)) = \epsilon_{\alpha,\beta} \operatorname{Lie}(x_{s_{\alpha}(\beta)})(1).$$

The integers  $\epsilon_{\alpha,\beta}$  for  $\alpha$  and  $\beta$  in  $\Phi$  are called the *signs* of the Chevalley-Steinberg system  $\{x_{\alpha}^E\}_{\alpha\in\Phi}$ .

### 2.1 Parametrization and valuation of root groups

In this section, we associate a parametrization and a valuation to each root group of G. Let  $a \in \Phi_K = \Phi_K(G)$ , and let  $U_a$  be the corresponding root subgroup of G, i.e., the connected unipotent (closed) subgroup of G normalized by S whose Lie algebra is the sum of the root spaces corresponding to the roots that are a positive integral multiple of a. Let  $G_a$  be the subgroup of G generated by  $U_a$  and  $U_{-a}$ , and let  $\pi : G^a \to G_a$  be a simply connected cover. Note that  $\pi$  induces an isomorphism between a root group  $U_+$  of  $G^a$  and  $U_a$ . We call  $U_+$  the positive root group of  $G^a$ . In order to describe the root group  $U_a$ , we distinguish two cases.

**Case 1:** The root  $a \in \Phi_K$  is neither divisible nor multipliable, i.e.  $\frac{a}{2}$  and 2a are both not in  $\Phi_K$ .

Let  $\alpha \in \Phi_a$  be a root that equals a when restricted to S. Then  $G^a$  is isomorphic to the Weil restriction  $\operatorname{Res}_{E_{\alpha}/K}\operatorname{SL}_2$  of  $\operatorname{SL}_2$  over  $E_{\alpha}$  to K, and  $U_a \simeq \operatorname{Res}_{E_{\alpha}/K} U_{\alpha}^E$ , where  $U_{\alpha}^E$  is the root group of  $G_E$  corresponding to  $\alpha$  as above. Note that  $(U_a)_E$  is the product  $\prod_{\beta \in \Phi_a} U_{\beta}^E$ . Using the  $E_{\alpha}$ -isomorphism  $x_{\alpha}^E : \mathbb{G}_a \to U_{\alpha}^E$ , we obtain a K-isomorphism

$$x_a := \operatorname{Res}_{E_a/K} x_\alpha^E : \operatorname{Res}_{E_\alpha/K} \mathbb{G}_a \to \operatorname{Res}_{E_\alpha/K} U_\alpha^E \xrightarrow{\simeq} U_a,$$

which we call a *parametrization* of  $U_a$ . Note that for  $u \in \operatorname{Res}_{E_\alpha/K} \mathbb{G}_a(K) = E_\alpha$ , we have

$$x_a(u) = \prod_{\beta \in \Phi_a} x_{\beta}^E(u_{\beta})$$
, with  $u_{\gamma(\alpha)} = \gamma(u)$  for  $\gamma \in \operatorname{Gal}(E/K)$ .

This allows us to define the valuation  $\varphi_a: U_a(K) \to \frac{1}{[E_\alpha:K]} \mathbb{Z} \cap \{\infty\}$  of  $U_a(K)$  by

$$\varphi_a(x_a(u)) = \mathbf{v}(u).$$

**Case 2:** The root  $a \in \Phi_K$  is divisible or multipliable, i.e.  $\frac{a}{2}$  or  $2a \in \Phi_K$ .

We assume that a is multipliable and describe  $U_a$  and  $U_{2a}$ . Let  $\alpha, \widetilde{\alpha} \in \Phi_a$  be such that  $\alpha + \widetilde{\alpha}$  is a root in  $\Phi$ . Then  $G^a$  is isomorphic to  $\operatorname{Res}_{E_{\alpha+\widetilde{\alpha}}/K} \operatorname{SU}_3$ , where  $\operatorname{SU}_3$  is the special unitary group over  $E_{\alpha+\widetilde{\alpha}}$  defined by the hermitian form  $(x, y, z) \mapsto \sigma(x)z + \sigma(y)y + \sigma(z)x$ 

on  $E_{\alpha}^3$  with  $\sigma$  the nontrivial element in  $\operatorname{Gal}(E_{\alpha}/E_{\alpha+\tilde{\alpha}})$ . Hence, in order to parametrize  $U_a$ , we first parametrize the positive root group  $U_+$  of  $SU_3$ . To simplify notation, write  $L = E_{\alpha} = E_{\tilde{\alpha}}$  and  $L_2 = E_{\alpha+\tilde{\alpha}}$ . Following [3], we define the subset  $H_0(L, L_2)$  of  $L \times L$  by

$$H_0(L, L_2) = \{ (u, v) \in L \times L \, | \, v + \sigma(v) = \sigma(u)u \}.$$

Viewing  $L \times L$  as a four dimensional vector space over  $L_2$ , and considering the corresponding scheme over  $L_2$  (as described in "Conventions and notation" in Section 1), we can view  $H_0(L, L_2)$  as a closed subscheme of  $L \times L$  over  $L_2$ , which we will again denote by  $H_0(L, L_2)$ . Then there exists an  $L_2$ -isomorphism  $\mu : H_0(L, L_2) \to U_+$  given by

$$(u,v) \mapsto \begin{pmatrix} 1 & -\sigma(u) & -v \\ 0 & 1 & u \\ 0 & 0 & 1 \end{pmatrix},$$

where  $\sigma$  is induced by the nontrivial element in  $\operatorname{Gal}(L/L_2)$ . Using this isomorphism, we can transfer the group structure of  $U_+$  to  $H_0(L, L_2)$  and thereby turn the latter into a group scheme over  $L_2$ . Let us denote the restriction of scalars  $\operatorname{Res}_{L_2/K} H_0(L, L_2)$  of  $H_0(L, L_2)$ from  $E_{\alpha+\tilde{\alpha}} = L_2$  to K by  $H(L, L_2)$ . Then, by identifying  $G^a$  with  $\operatorname{Res}_{E_{\alpha+\tilde{\alpha}/K}} \operatorname{SU}_3$ , we obtain an isomorphism

$$x_a := \pi \circ \operatorname{Res}_{E_{\alpha + \widetilde{\alpha}}/K} \mu : H(L, L_2) \xrightarrow{\simeq} U_a,$$

which we call the *parametrization* of  $U_a$ . We can describe the isomorphism  $x_a$  on Kpoints as follows. Let  $[\Phi_a]$  be a set of representatives in  $\Phi_a$  of the orbits of the action of  $\operatorname{Gal}(E_{\alpha}/E_{\alpha+\tilde{\alpha}}) = \langle \sigma \rangle$  on  $\Phi_a$ . We will choose the sets of representatives for  $\Phi_a$  and  $\Phi_{-a}$  such that  $[\Phi_a]$  and  $-[\Phi_{-a}]$  are disjoint. For  $\beta \in [\Phi_a]$ , choose  $\gamma \in \text{Gal}(E/K)$  such that  $\beta = \gamma(\alpha)$ and set  $\tilde{\beta} = \gamma(\tilde{\alpha})$  and  $u_{\beta} = \gamma(u)$  for every  $u \in L$ . By replacing some  $x_{\beta+\tilde{\beta}}^E$  by  $x_{\beta+\tilde{\beta}}^E \circ (-1)$  if necessary, we ensure that  $x_{\beta+\tilde{\beta}}^E = \text{Inn}(m_{\tilde{\beta}}^{-1}) \circ x_{\beta}^E$  (where  $m_{\tilde{\beta}}$  is defined as in Remark 2.1)<sup>1</sup>. Moreover, we choose the identification of  $G^a$  with  $\text{Res}_{E_{\alpha+\tilde{\alpha}}/K} SU_3$  so that its restriction to the positive root group arises from the restriction of scalars of the identification that satisfies

$$\pi \left( \begin{pmatrix} 1 & -w & v \\ 0 & 1 & u \\ 0 & 0 & 1 \end{pmatrix} \right) = x_{\alpha}^{E}(u) x_{\alpha+\widetilde{\alpha}}^{E}(v) x_{\widetilde{\alpha}}^{E}(w).$$

Then we have for  $(u, v) \in H_0(L, L_2) = H(L, L_2)(K) \subset L \times L$  that

$$x_a(u,v) = \prod_{\beta \in [\Phi_a]} x_{\beta}^E(u_{\beta}) x_{\beta+\widetilde{\beta}}^E(-v_{\beta}) x_{\widetilde{\beta}}^E(\sigma(u)_{\beta}).$$
(2.1)

The root group  $U_{2a}$  corresponding to 2a is the subgroup of  $U_a$  given by the image of  $x_a(0, v)$ . Hence  $U_{2a}(K)$  is identified with the group of elements in  $E_{\alpha}$  of trace zero with respect to the quadratic extension  $E_{\alpha}/E_{\alpha+\tilde{\alpha}}$ , which we denote by  $E_{\alpha}^0$ .

Using the parametrization  $x_a$ , we define the valuation  $\varphi_a$  of  $U_a(K)$  and  $\varphi_{2a}$  of  $U_{2a}(K)$  by

$$\varphi_a(x_a(u,v)) = \frac{1}{2}\mathbf{v}(v)$$
  
$$\varphi_{2a}(x_a(0,v)) = \mathbf{v}(v).$$

**Remark 2.3.** (i) Note that  $v + \sigma(v) = \sigma(u)u$  implies that  $\frac{1}{2}v(v) \le v(u)$ .

<sup>&</sup>lt;sup>1</sup>Note that our choice of  $x_{\beta}^{E}$  or  $x_{\beta+\tilde{\beta}}^{E}$  for negative roots  $\beta, \tilde{\beta}$  deviates from Bruhat and Tits. It allows us a more uniform construction of the root group parameterizations that does not require us to distinguish between positive and negative roots, but that coincides with the ones defined by Bruhat and Tits in [3].

(ii) The valuation of the root groups  $U_a$  can alternatively be defined for all roots  $a \in \Phi_K$ as follows. Let  $u \in U_a(K)$ , and write  $u = \prod_{\alpha \in \Phi_a \cup \Phi_{2a}} u_\alpha$  with  $u_\alpha \in U_\alpha(E)$ . Then

$$\varphi_a(u) = \inf\left(\inf_{\alpha \in \Phi_a} \varphi_{\alpha}^E(u_{\alpha}), \inf_{\alpha \in \Phi_{2a}} \frac{1}{2} \varphi_{\alpha}^E(u_{\alpha})\right),$$

where  $\varphi_{\alpha}^{E}(x_{\alpha}(v)) = v(v)$ . The equivalence of the definitions is an easy exercise, see also [3, 4.2.2].

#### 2.2 Affine roots

Recall that the apartment  $\mathscr{A} = \mathscr{A}(S, K)$  corresponding to the maximal split torus S of G is an affine space under the  $\mathbb{R}$ -subspace of  $X_*(S) \otimes_{\mathbb{Z}} \mathbb{R}$  spanned by the coroots of G, where  $X_*(S) = \operatorname{Hom}_K(\mathbb{G}_m, S)$ . The apartment  $\mathscr{A}$  can be defined as corresponding to all valuations of  $(T(K), (U_a(K))_{a \in \Phi_K})$  in the sense of [2, Section 6.2] that are equipolent to the one constructed in Section 2.1, i.e., families of maps  $(\widetilde{\varphi}_a : U_a(K) \to \mathbb{R} \cup \{\infty\})_{a \in \Phi_K}$ such that there exists  $v \in X_*(S) \otimes_{\mathbb{Z}} \mathbb{R}$  satisfying  $\widetilde{\varphi}_a(u) = \varphi_a(u) + a(v)$  for all  $u \in U_a(K)$ , for all  $a \in \Phi_K$ . In particular, the valuation defined in Section 2.1 corresponds to a point in  $\mathscr{A}$  that we denote by  $x_0$ . Then the set of affine roots  $\Psi_K$  on  $\mathscr{A}$  consists of the affine functions on  $\mathscr{A}$  given by

$$\Psi_K = \Psi_K(\mathscr{A}) = \{ y \mapsto a(y - x_0) + \gamma \, | \, a \in \Phi_K, \gamma \in \Gamma'_a \} \,,$$

where

$$\Gamma'_a = \{\varphi_a(u) \mid u \in U_a - \{1\}, \varphi_a(u) = \sup \varphi_a(uU_{2a})\}.$$

It will turn out to be handy to introduce a more explicit description of  $\Gamma'_a$ . In order to do so, consider a multipliable root a and  $\alpha \in \Phi_a$ , and define

$$(E_{\alpha})^{0} = \{ u \in E_{\alpha} \mid \operatorname{Tr}_{E_{\alpha}/E_{\alpha+\tilde{\alpha}}}(u) = 0 \},\$$

$$(E_{\alpha})^{1} = \{ u \in E_{\alpha} \mid \operatorname{Tr}_{E_{\alpha}/E_{\alpha+\tilde{\alpha}}}(u) = 1 \},\$$

$$(E_{\alpha})^{1}_{\max} = \{ u \in (E_{\alpha})^{1} \mid v(u) = \sup\{v(v) \mid v \in (E_{\alpha})^{1} \} \}.$$

Then, by [3, 4.2.20, 4.2.21], the set  $(E_{\alpha})^{1}_{\max}$  is nonempty, and, with  $\lambda$  any element of  $(E_{\alpha})^{1}_{\max}$ and *a* still being multipliable, we have

$$\Gamma'_a = \frac{1}{2}\mathbf{v}(\lambda) + \mathbf{v}(E_\alpha - \{0\})$$
(2.2)

$$\Gamma'_{2a} = \mathbf{v}((E_{\alpha})^{0} - \{0\}) = \mathbf{v}(E_{\alpha} - \{0\}) - 2 \cdot \Gamma'_{a}.$$
(2.3)

For a being neither multipliable nor divisible and  $\alpha \in \Phi_a$ , we have

$$\Gamma'_{a} = v(E_{\alpha} - \{0\}). \tag{2.4}$$

**Remark 2.4.** Note that if the residue-field characteristic p is not 2, then  $\frac{1}{2} \in (E_{\alpha})^{1}_{\max}$  for a a multipliable root and  $\alpha \in \Phi_{a}$ , and hence  $\Gamma'_{a} = v(E_{\alpha} - \{0\})$ . If the residue-field characteristic is p = 2, then  $v(\lambda) < 0$  for  $\lambda \in (E_{\alpha})^{1}_{\max}$ .

#### 2.3 Moy–Prasad filtration

Bruhat and Tits ([2, 3]) associated to each point x in the Bruhat–Tits building  $\mathscr{B}(G, K)$  a parahoric group scheme over  $\mathcal{O}$ , which we denote by  $\mathbb{P}_x$ , whose generic fiber is isomorphic to G. We will quickly recall the filtration of  $G_x := \mathbb{P}_x(\mathcal{O})$  introduced by Moy and Prasad in [11, 12] and thereby specify our convention for the involved parameter.

Define  $T_0 = T(K) \cap \mathbb{P}_x(\mathcal{O})$ . Then  $T_0$  is a subgroup of finite index in the maximal bounded subgroup  $\{t \in T(K) | v(\chi(t)) = 0 \forall \chi \in X^*(T) = \operatorname{Hom}_{\overline{K}}(T, \mathbb{G}_m)\}$  of T(K). Note that this index equals one if G is split.

For every positive real number r, we define

$$T_r = \{t \in T_0 \mid v(\chi(t) - 1) \ge r \text{ for all } \chi \in X^*(T) = \operatorname{Hom}_{\overline{K}}(T, \mathbb{G}_m)\}.$$

For every affine root  $\psi \in \Psi_K$ , we denote by  $\dot{\psi}$  its gradient and define the subgroup  $U_{\psi}$  of  $U_{\dot{\psi}}(K)$  by

$$U_{\psi} = \{ u \in U_{\psi}(K) \mid u = 1 \text{ or } \varphi_{\psi}(u) \ge \psi(x_0) \}.$$

Then the Moy–Prasad filtration subgroups of  $G_x$  are given by

$$G_{x,r} = \langle T_r, U_{\psi} | \psi \in \Psi_K, \psi(x) \ge r \rangle \text{ for } r \ge 0,$$

and we set

$$G_{x,r+} = \bigcup_{s>r} G_{x,s}.$$

The quotient  $G_x/G_{x,0+}$  can be identified with the  $\overline{\mathbb{F}}_p$ -points of the reductive quotient of the special fiber  $\mathbb{P}_x \times_{\mathcal{O}} \overline{\mathbb{F}}_p$  of the parahoric group scheme  $\mathbb{P}_x$ , which we denote by  $\mathbf{G}_x$ . From [3, Corollaire 4.6.12] we deduce the following lemma.

**Lemma 2.5** ([3]). Let  $R_K(G) = (X_K = \operatorname{Hom}_K(S, \mathbb{G}_m), \Phi_K, \check{X}_K = X_*(S), \check{\Phi}_K)$  be the restricted root datum of G. Then the root datum  $R(\mathbf{G}_x)$  of  $\mathbf{G}_x$  is canonically identified with  $(X_K, \Phi', \check{X}_K, \check{\Phi}')$  where

$$\Phi' = \{ a \in \Phi \mid a(x - x_0) \in \Gamma'_a \}.$$

We can define a filtration of the Lie algebra  $\mathfrak{g} = \operatorname{Lie}(G)(K)$  similar to the filtration of  $G_x$ . In order to do so, we denote the  $\mathcal{O}$ -lattice  $\operatorname{Lie}(\mathbb{P}_x)$  of  $\mathfrak{g}$  by  $\mathfrak{p}$ . Define  $\mathfrak{p}_a = \mathfrak{p} \cap \mathfrak{g}_a$  for  $a \in \Phi_K$ and  $\mathfrak{t} = \operatorname{Lie}(T)(K)$ .

We define the Moy–Prasad filtration of the Lie algebra  $\mathfrak{t}$  for  $r \in \mathbb{R}$  to be

$$\mathfrak{t}_r = \{ X \in \mathfrak{t} \,|\, \mathrm{v}(\mathrm{Lie}(\chi)(X)) \ge r \text{ for all } \chi \in X^*(T) \}$$

$$(2.5)$$

For every root  $a \in \Phi_K$ , we define the Moy-Prasad filtration of  $\mathfrak{g}_a$  as follows. Let  $\psi_a$  be the smallest affine root with gradient a such that  $\psi_a(x) \ge 0$ . For every  $\psi \in \Psi_K$  with gradient a, we let  $n_{\psi} = e_{\alpha}(\psi - \psi_a)$ , where  $e_{\alpha} = [E_{\alpha} : K]$  for some root  $\alpha \in \Phi_a$  that restricts to a. Note that  $n_{\psi}$  is an integer. Choosing a uniformizer  $\varpi_{\alpha} \in E_{\alpha}$  and viewing  $\mathfrak{p}_a$  inside  $\operatorname{Lie}(G)(E_{\alpha})$  we set<sup>2</sup>

$$\mathfrak{u}_\psi=arpi_lpha^{n_\psi}(\mathcal{O}_{E_lpha}\mathfrak{p}_a)\cap\mathfrak{g}.$$

Then the Moy–Prasad filtration of the Lie algebra  $\mathfrak{g}$  is given by

$$\mathfrak{g}_{x,r} = \langle \mathfrak{t}_r, \mathfrak{u}_\psi \, | \, \psi(x) \ge r \rangle \text{ for } r \in \mathbb{R}.$$

In general, the quotient  $G_{x,r}/G_{x,r+}$  is not isomorphic to  $\mathfrak{g}_{x,r}/\mathfrak{g}_{x,r+}$  for r > 0. However, it turns out that we can identify them (as  $\overline{\mathbb{F}}_p$ -vector spaces) under the following assumption.

**Assumption 2.6.** The maximal split torus T of G becomes an induced torus after a tamely ramified extension.

Recall that the torus T is called *induced* if it is a product of separable Weil restrictions

<sup>&</sup>lt;sup>2</sup>Note that  $\mathfrak{u}_{\psi}$  does not depend on the choice of x inside  $\mathscr{A}$ .

of  $\mathbb{G}_m$ , i.e.  $T = \prod_{i=1}^N \operatorname{Res}_{K_i/K} \mathbb{G}_m$  for some integer N and finite separable field extensions  $K_i/K$ ,  $1 \le i \le N$ .

For the rest of Section 2, we impose Assumption 2.6.

**Remark 2.7.** Assumption 2.6 holds, for example, if G is either adjoint or simply connected semisimple, or if G splits over a tamely ramified extension.

For  $r \in \mathbb{R}$ , we denote the quotient  $\mathfrak{g}_{x,r}/\mathfrak{g}_{x,r+}$  ( $\simeq G_{x,r}/G_{x,r+}$  for r > 0) by  $\mathbf{V}_{x,r}$ . The adjoint action of  $G_{x,0}$  on  $\mathfrak{g}_{x,r}$  (or, equivalently, the conjugation action of  $G_{x,0}$  on  $G_{x,r}$  for r > 0) induces an action of the algebraic group  $\mathbf{G}_x$  on the quotients  $\mathbf{V}_{x,r}$ .

#### 2.4 Chevalley system for the reductive quotient

In this section we construct a Chevalley system for the reductive quotient  $\mathbf{G}_x$  by reduction of the root group parameterizations given in Section 2.1. Let  $\mathbf{U}_a$  denote the root group of  $\mathbf{G}_x$  corresponding to the root  $a \in \Phi(\mathbf{G}_x) \subset \Phi_K(G)$ . We denote by  $\mathcal{O}_{\mathbb{Q}_p^{ur}}$  the ring of integers in  $\mathbb{Q}_p^{ur}$ . If K is an extension of  $\mathbb{Q}_p^{ur}$ , we let  $\chi : \overline{\mathbb{F}}_p \to \mathcal{O}_{\mathbb{Q}_p^{ur}}$  be the Teichmüller lift, i.e. the unique multiplicative section of the surjection  $\mathcal{O}_{\mathbb{Q}_p^{ur}} \twoheadrightarrow \overline{\mathbb{F}}_p$ . If K is an extension of  $\mathbb{F}_p((t))^{ur} = \varinjlim_{n \in \mathbb{N}} \mathbb{F}_{p^n}((t))$ , we let  $\chi : \overline{\mathbb{F}}_p = \varinjlim_{n \in \mathbb{N}} \mathbb{F}_{p^n} \to \varinjlim_{n \in \mathbb{N}} \mathbb{F}_{p^n}[[t]]$  be the usual inclusion.

**Lemma 2.8.** Let  $\lambda = \lambda_a \in (E_\alpha)^1_{max}$  for some  $\alpha \in \Phi_a$ , and write  $\lambda = \lambda_0 \cdot \varpi_E^{\mathbf{v}(\lambda)e}$ ; e.g., take

 $\lambda_0 = \lambda = \frac{1}{2}$  if  $p \neq 2$ . Consider the map

$$\begin{split} \overline{\mathbb{F}}_p &\to G_{x,0} \\ u &\mapsto \begin{cases} x_a \left( \sqrt{\frac{1}{\lambda_0}} \chi(u) \varpi_E^s \epsilon_1, \, \chi(u) \varpi_E^s \epsilon_1 \sigma(\chi(u) \varpi_E^s \epsilon_1) \cdot \varpi_E^{\mathbf{v}(\lambda)e} \right) & \text{if a is multipliable} \\ x_a(0, \chi(u) \cdot \varpi_E^{-2a(x-x_0) \cdot e} \epsilon_2) & \text{if a is divisible} \\ x_a(\chi(u) \cdot \varpi_E^{-a(x-x_0) \cdot e} \epsilon_3) & \text{otherwise} \,, \end{cases} \end{split}$$

where  $s = -(a(x - x_0) + v(\lambda)/2) \cdot e$ , and  $\epsilon_1, \epsilon_2, \epsilon_3 \in 1 + \varpi_E \mathcal{O}_E$  such that  $\sqrt{\frac{1}{\lambda_0}} \chi(u) \varpi_E^s \epsilon_1$ ,  $\chi(u) \varpi_E^{-2a(x - x_0) \cdot e} \epsilon_2$  and  $\chi(u) \varpi_E^{-a(x - x_0) \cdot e} \epsilon_3$  are contained in  $E_{\alpha}$ .

Then the composition of this map with the quotient map  $G_{x,0} \twoheadrightarrow G_{x,0}/G_{x,0+}$  yields a root group parametrization  $\overline{x}_a : \mathbb{G}_a \to \mathbf{U}_a \subset \mathbf{G}_x$ .

Moreover, the root group parameterizations  $\{\overline{x}_a\}_{a \in \Phi(\mathbf{G}_x)}$  form a Chevalley system for  $\mathbf{G}_x$ .

**Proof.** Note first that since  $a \in \Phi(\mathbf{G}_x)$ , we have  $a(x - x_0) \in \Gamma'_a$  by Lemma 2.5. Suppose a is multipliable. Then  $\mathbf{U}_a(\overline{\mathbb{F}}_p)$  is the image of

Im := 
$$\left\{ x_a(U, V) \mid (U, V) \in H_0(E_\alpha, E_{\alpha + \tilde{\alpha}}), \frac{1}{2} v(V) = -a(x - x_0) \right\}.$$

in  $G_{x,0}/G_{x,0+}$ . Set

$$U(u) = \sqrt{\frac{1}{\lambda_0}} \chi(u) \cdot \varpi_E^{-(a(x-x_0) + \mathbf{v}(\lambda)/2) \cdot e} \epsilon_1$$

and

$$V(u) = \chi(u) \varpi_E^s \epsilon_1 \sigma(\chi(u) \varpi_E^s \epsilon_1) \cdot \varpi_E^{\mathsf{v}(\lambda)e}.$$

Then  $V(u) + \sigma(V(u)) = U(u)\sigma(U(u))$ , i.e. (U(u), V(u)) is in  $H_0(E_\alpha, E_{\alpha+\tilde{\alpha}})$ , and  $v(V(u)) = -2a(x - x_0)$ . Moreover, every element in Im is of the form  $(U(u), V(u) + v_0)$  for  $u \in \overline{\mathbb{F}}_p$ and some element  $v_0 \in (E_\alpha)^0$  with  $v(v_0) > -2a(x - x_0)$ , because  $2a(x - x) \notin v((E_\alpha)^0)$  (by Equation (2.3), page 12). Note that the images of  $x_a(U(u), V(u) + v_0)$  and  $x_a(U(u), V(u))$ in  $G_{x,0}/G_{x,0+}$  agree. Thus, by the definition of  $x_a$ , we obtain an isomorphism of group schemes  $\overline{x}_a : \mathbb{G}_a \to \mathbf{U}_a$ . Similarly, one can check that  $\overline{x}_a$  yields an isomorphism  $\mathbb{G}_a \to \mathbf{U}_a$ for *a* not multipliable.

In order to show that  $\{\overline{x}_a\}_{a \in \Phi(\mathbf{G}_x)}$  is a Chevalley system, suppose for the moment that aand b in  $\Phi(\mathbf{G}_x)$  are neither multipliable nor divisible, and  $\Phi_a = \{\alpha\}$  and  $\Phi_b = \{\beta\}$  each contain only one root. Let  $\alpha^{\vee}$  be the coroot of the root  $\alpha$ , and denote by  $s_{\alpha}$  the reflection in the Weyl group W of G corresponding to  $\alpha$ . Then, using [4, Cor. 5.1.9.2], we obtain

$$\operatorname{Ad} \left( x_{\alpha}^{E} (\varpi_{E}^{-\alpha(x-x_{0})e}) x_{-\alpha}^{E} (\epsilon_{\alpha,\alpha} \varpi_{E}^{-(-\alpha)(x-x_{0})e}) x_{\alpha}^{E} (\varpi_{E}^{-\alpha(x-x_{0})e}) \right) \left( \operatorname{Lie}(x_{\beta}^{E}) (\varpi_{E}^{-\beta(x-x_{0})e}) \right) \\ = \operatorname{Ad} \left( \alpha^{\vee} (\varpi_{E}^{-\alpha(x-x_{0})e}) \right) \operatorname{Ad} \left( x_{\alpha}^{E} (1) x_{-\alpha}^{E} (\epsilon_{\alpha,\alpha}) x_{\alpha}^{E} (1) \right) \left( \varpi_{E}^{-\beta(x-x_{0})e} \operatorname{Lie}(x_{\beta}^{E}) (1) \right) \\ = \operatorname{Ad} \left( \alpha^{\vee} (\varpi_{E}^{-\alpha(x-x_{0})e}) \right) \left( \epsilon_{\alpha,\beta} \varpi_{E}^{-\beta(x-x_{0})e} \operatorname{Lie}(x_{s_{\alpha}(\beta)}^{E}) (1) \right) \\ = (s_{\alpha}(\beta)) (\alpha^{\vee} (\varpi_{E}^{-\alpha(x-x_{0})e})) \epsilon_{\alpha,\beta} \varpi_{E}^{-\beta(x-x_{0})e} \operatorname{Lie}(x_{s_{\alpha}(\beta)}^{E}) (1) \\ = \varpi_{E}^{\langle \alpha^{\vee}, s_{\alpha}(\beta) \rangle (-\alpha(x-x_{0}))e} \epsilon_{\alpha,\beta} \varpi_{E}^{-\beta(x-x_{0})e} \operatorname{Lie}(x_{s_{\alpha}(\beta)}^{E}) (1) \\ = \varpi_{E}^{\langle \alpha^{\vee}, \beta \rangle \alpha(x-x_{0})e - \beta(x-x_{0})e} \epsilon_{\alpha,\beta} \operatorname{Lie}(x_{s_{\alpha}(\beta)}^{E}) (1) \\ = \epsilon_{\alpha,\beta} \operatorname{Lie}(x_{s_{\alpha}(\beta)}^{E}) (\varpi_{E}^{-(s_{\alpha}(\beta))(x-x_{0})e}). \end{aligned}$$

This implies (assuming  $\epsilon_3 = 1$ , otherwise it's an easy exercise to add in the required constants) that for  $\overline{m}_a := \overline{x}_a(1)\overline{x}_{-a}(\epsilon_{a,a})\overline{x}_a(1)$  with  $\epsilon_{a,a} = \epsilon_{\alpha,\alpha}$  we have

$$\operatorname{Ad}(\overline{m}_a)(\operatorname{Lie}(\overline{x}_b)(1)) = \operatorname{Ad}(\overline{x}_a(1)\overline{x}_{-a}(\epsilon_{a,a})\overline{x}_a(1))(\operatorname{Lie}(\overline{x}_b)(1)) = \epsilon_{\alpha,\beta}\operatorname{Lie}(\overline{x}_{s_a(b)})(1)$$

We obtain a similar result even if  $\Phi_a$  and  $\Phi_b$  are not singletons by the requirement that  $\{x_{\alpha}^E\}_{\alpha\in\Phi}$  is a Chevalley-Steinberg system, i.e. compatible with the Galois action as de-

scribed in Section 2. Similarly, we can extend the result that  $\operatorname{Ad}(\overline{m}_a)(\operatorname{Lie}(\overline{x}_b)(1)) = \pm \operatorname{Lie}(\overline{x}_{s_a(b)})(1)$  to all non-multipliable roots  $a, b \in \Phi(\mathbf{G}_x) \subset \Phi_K$ .

Suppose now that  $a \in \Phi(\mathbf{G}_x) \subset \Phi_K$  is multipliable, and let  $\alpha \in \Phi_a$  and  $\tilde{\alpha} = \sigma(\alpha) \in \Phi_a$  as above. Following [3, 4.1.11], we define for  $(u, v) \in H_0(E_\alpha, E_{\alpha + \tilde{\alpha}})$ 

$$m_a(U,V) = x_a(UV^{-1}, \sigma(V^{-1})) x_{-a}(\epsilon_{\alpha,\alpha}U, \epsilon_{\alpha,\alpha}V) x_a(U\sigma(V^{-1}), \sigma(V^{-1})).$$

Then Bruhat and Tits Loc. cit. show that  $m_a(U, V)$  is in the normalizer of the maximal torus T and

$$m_a(U,V) = m_{a,1}\widetilde{a}(V) \quad \text{and} \quad x_{-a}(\epsilon_{\alpha,\alpha}U,\epsilon_{\alpha,\alpha}V) = m_{a,1}x_a(U,V)m_{a,1}^{-1}, \tag{2.6}$$

where

$$m_{a,1} = \pi \circ \operatorname{Res}_{E_{\alpha + \tilde{\alpha}/K}} \begin{pmatrix} 0 & 0 & -1 \\ 0 & -1 & 0 \\ -1 & 0 & 0 \end{pmatrix}$$
(2.7)  
and  $\tilde{a}(V) = \pi \circ \operatorname{Res}_{E_{\alpha + \tilde{\alpha}/K}} \begin{pmatrix} V & 0 & 0 \\ 0 & V^{-1}\sigma(V) & 0 \\ 0 & 0 & \sigma(V^{-1}) \end{pmatrix}.$ (2.8)

Note that we have

$$m_a(\sqrt{\frac{1}{\lambda_0}}(-\varpi_E)^{(a(x-x_0)-\mathbf{v}(\lambda)/2)e}\epsilon_1, \varpi_E^{(a(x-x_0)-\mathbf{v}(\lambda)/2)e}\epsilon_1\sigma(\varpi_E^{(a(x-x_0)-\mathbf{v}(\lambda)/2)e}\epsilon_1)\varpi_E^{\mathbf{v}(\lambda)e}) \in G_{x,0},$$

and denote its image in  $G_{x,0}/G_{x,0+}$  by  $\overline{m}_a$ . Using that  $v(\lambda) = 0$  if  $p \neq 2$ , and  $\sigma(\varpi_E) \equiv$ 

 $\pm \varpi_E \equiv \varpi_E \mod \varpi_E^2$  if p = 2 as well as the compatibility with Galois action properties of a Chevalley-Steinberg system, we obtain

$$\overline{m}_a = \overline{x}_a(1)\overline{x}_{-a}(\epsilon_{a,a})\overline{x}_a(1) \quad \text{with} \quad \epsilon_{a,a} = \epsilon_{\alpha,\alpha}(-1)^{(a(x-x_0)-v(\lambda)/2)e}$$

Moreover, using Equation (2.6), (2.7) and (2.8), an easy calculation shows that

$$\overline{x}_{-a}(\epsilon_{a,a}u) = \overline{m}_a x_a(u) \overline{m}_a^{-1}$$

for all  $u \in \overline{\mathbb{F}}_p$ . In other words,

$$\operatorname{Ad}(\overline{m}_a)(\operatorname{Lie}(\overline{x}_a)(1)) = \epsilon_{a,a}\operatorname{Lie}(\overline{x}_{-a})(1),$$

as desired. We obtain analogous results for  $\overline{m}_{-a}$  being defined as above by substituting "a" by "-a". Moreover,  $\overline{m}_a = \overline{m}_{-a}$ , and hence  $\operatorname{Ad}(\overline{m}_{-a})(\operatorname{Lie}(\overline{x}_a)(1)) = \epsilon_{a,a}\operatorname{Lie}(\overline{x}_{-a})(1)$ .

In order to show that  $\{\overline{x}_a\}_{a\in\Phi(\mathbf{G}_x)}$  forms a Chevalley system, it is left to check that

$$\operatorname{Ad}(\overline{m}_a)(\operatorname{Lie}(\overline{x}_b)(1)) = \pm \operatorname{Lie}(\overline{x}_{s_a(b)})(1)$$
(2.9)

holds for  $a, b \in \Phi(\mathbf{G}_x)$  with  $a \neq \pm b$  and either a or b multipliable. Note that if  $x_a$  and  $x_{-a}$  commute with  $x_b$ , then the statement is trivial. Note also that if b is multipliable and  $\beta \in \Phi_b$ , then  $\beta$  lies in the span of the roots of a connected component of the Dynkin digram Dyn(G) of  $\Phi(G)$  of type  $A_{2n}$  for some positive integer n. Hence, for some  $\alpha \in \Phi_a$ ,  $\alpha$  and  $\beta$  lie in the span of the roots of such a connected component. Moreover, by the compatibility of the Chevalley-Steinberg system  $\{x_{\alpha}^E\}_{\alpha \in \Phi}$  with the Galois action, it suffices to restrict to the case where Dyn(G) is of type  $A_{2n}$  with simple roots labeled by

 $\alpha_n, \alpha_{n-1}, \ldots, \beta_1, \alpha_1, \beta_1, \beta_2, \ldots, \beta_n$  as in Figure 1, and the K-structure of G arises from the

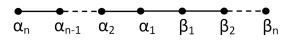


Figure 1: Dynkin diagram of type  $A_{2n}$ 

unique outer automorphism of  $A_{2n}$  of order two that sends  $\alpha_i$  to  $\beta_i$ . If a root in  $\Phi_K(G)$  is multipliable, then it is the image of  $\pm(\alpha_1 + \ldots + \alpha_s)$  in  $\Phi_K$  for some  $1 \leq s \leq n$ . In particular, the positive multipliable roots are orthogonal to each other, by which we mean that  $\langle a^{\vee}, b \rangle = 0$  for two distinct positive multipliable roots a and b. Equation (2.9) can now be verified by simple matrix calculations in  $SL_{2n+1}$ .

#### 2.5 Moy–Prasad filtration and field extensions

Let F be a field extension of K of degree d = [F : K], and denote by  $v : F \to \frac{1}{d}\mathbb{Z} \cup \{\infty\}$  the extension of the valuation  $v : K \to \mathbb{Z} \cup \{\infty\}$  on K. Then there exists a G(K)-equivariant injection of the Bruhat–Tits building  $\mathscr{B}(G, K)$  of G over K into the Bruhat–Tits building  $\mathscr{B}(G_F, F)$  of  $G_F = G \times_K F$  over F. We denote the image of the point  $x \in \mathscr{B}(G, K)$  in  $\mathscr{B}(G_F, F)$  by x as well. Using the definitions introduced in Section 2.3, but for notational convenience still with the valuation v (instead of replacing it by the normalized valuation  $d \cdot v$ ), we can define a Moy–Prasad filtration of G(F) and  $\mathfrak{g}_F$  at x, which we denote by  $G_{x,r}^F(r \ge 0)$  and  $\mathfrak{g}_{x,r}^F(r \in \mathbb{R})$ , as well as its quotients  $\mathbf{V}_{x,r}^F(r \in \mathbb{R})$  and the reductive quotient  $\mathbf{G}_x^F$ .

Suppose now that  $G_F$  is split, and that  $\Gamma'_a \subset v(F)$  for all restricted roots  $a \in \Phi_K(G)$ . This holds, for example, if F is an even-degree extension of the splitting field E. Then, using Remark 2.3(i) and the definition of the Moy–Prasad filtration, the inclusion  $G(K) \hookrightarrow G(F)$  maps  $G_{x,r}$  into  $G_{x,r}^F$ . Furthermore, recalling that for split tori T the subgroup  $T_0$  is the maximal bounded subgroup of the (rational points of) T and using the assumption that  $\Gamma'_a \subset v(F)$  for all restricted roots  $a \in \Phi_K(G)$ , we observe that this map induces an injection

$$\iota_{K,F}: G_{x,0}/G_{x,0+} \hookrightarrow G_{x,0}^F/G_{x,0+}^F$$
, (2.10)

which yields a map of algebraic groups  $\mathbf{G}_x \to \mathbf{G}_x^F$ , also denoted by  $\iota_{K,F}$ . If  $p \neq 2$  or d is odd, then  $\iota_{K,F}$  is a closed immersion.

**Lemma 2.9.** For every  $r \in \mathbb{R}$ , there exists an injection

$$\iota_{K,F,r}: \mathbf{V}_{x,r} = \mathfrak{g}_{x,r}/\mathfrak{g}_{x,r+} \hookrightarrow \mathfrak{g}_{x,r}^F/\mathfrak{g}_{x,r+}^F = \mathbf{V}_{x,r}^F$$

such that we obtain a commutative diagram for the action described in Section 2.3

$$\mathbf{G}_{x} \times \mathbf{V}_{x,r} \longrightarrow \mathbf{V}_{x,r} \qquad (2.11)$$

$$\downarrow_{\iota_{K,F} \times \iota_{K,F,r}} \qquad \downarrow_{\iota_{K,F,r}}$$

$$\mathbf{G}_{x}^{F} \times \mathbf{V}_{x,r}^{F} \longrightarrow \mathbf{V}_{x,r}^{F} .$$

**Proof.** For  $p \neq 2$ , let  $\iota_{K,F,r}$  be induced by the inclusion  $\mathfrak{g} \hookrightarrow \mathfrak{g}_F = \mathfrak{g} \otimes_K F$ . This map is well defined, and it is easy to see that it is injective on  $(\mathfrak{t} \cap \mathfrak{g}_{x,r})/\mathfrak{g}_{x,r+}$  and on  $(\mathfrak{g}_a \cap \mathfrak{g}_{x,r})/\mathfrak{g}_{x,r+}$  for  $a \in \Phi_K$  non-multipliable. Suppose a is multipliable. If  $r - a(x - x_0) \in \Gamma'_a$ , i.e. there exists an affine root  $\psi : y \mapsto a(y - x_0) + \gamma$  with  $\psi(x) = r$ , and  $\varphi_a(x_a(u, v)) = \psi(x_0) = r - a(x - x_0) \in \Gamma'_a$ , then  $v(u) = \frac{1}{2}v(v) = r - a(x - x_0)$ . This follows from the trace of  $\frac{1}{2}$  being one, hence  $v - \frac{1}{2}\sigma(u)u$  is traceless and therefore has valuation outside  $2\Gamma'_a$ , while  $v(v) \in 2\Gamma'_a$ . Hence the image of  $\mathfrak{g}_a \cap \mathfrak{g}_{x,r}$  in  $\mathbf{V}^F_{x,r}$  is non-vanishing if it is non-trivial in  $\mathbf{V}_{x,r}$ , i.e. if  $r - a(x - x_0) \in \Gamma'_a$ . Moreover, Diagram (2.11) commutes.

In case p = 2, if  $a \in \Phi_K$  is multipliable and  $r - a(x - x_0) \in \Gamma'_a$  and  $\varphi_a(x_a(u, v)) = r - a(x - x_0)$ , then  $v(u) = r - a(x - x_0) - \frac{1}{2}v(\lambda_\alpha)$  for  $\lambda_\alpha \in (E_\alpha)^1_{\max}$  by reasoning analogous to that above. However, recall from Remark 2.4 that  $v(\lambda_\alpha) < 0$  for p = 2. This allows us to define  $\iota_{K,F,r}$  as follows. We define the linear morphism  $i_{K,F,r} : \mathfrak{g} \hookrightarrow \mathfrak{g}_F$  to be the usual inclusion  $\mathfrak{g} \hookrightarrow \mathfrak{g}_F = \mathfrak{g} \otimes_K F$  on  $\mathfrak{t} \oplus \bigoplus_{a \in \Phi_K^{\mathrm{nm}}} \mathfrak{g}_a$ , where  $\Phi_K^{\mathrm{nm}}$  are the non-multipliable roots in  $\Phi_K$ , and to be the linear map from  $\bigoplus_{a \in \Phi_K^{\mathrm{nm}}} \mathfrak{g}_a$  onto  $\mathfrak{g} \cap \varpi_\alpha^{e_\alpha v(\lambda_\alpha)/2} \left( \bigoplus_{a \in \Phi_K^{\mathrm{nm}}} \mathfrak{g}_a \otimes_{\mathcal{O}_K} \mathcal{O}_{E_\alpha} \right) \subset \mathfrak{g}_F$  on  $\bigoplus_{a \in \Phi_K^{\mathrm{nm}}} \mathfrak{g}_a$  such that

$$i_{K,F,r}\left(\operatorname{Lie}(x_a)(\varpi_{\alpha}^{(r-a(x-x_0)-v(\lambda_{\alpha})/2)e_{\alpha}},0)\right) = \operatorname{Lie}(x_a)(\varpi_{\alpha}^{(r-a(x-x_0))e_{\alpha}},0).$$

where  $\Phi_K^{\text{mul}}$  denotes the set of multipliable roots in  $\Phi_K$ ,  $a \in \Phi_K^{\text{mul}}$  and  $\alpha \in \Phi_a$ . By restricting  $i_{K,F,r}$  to  $\mathfrak{g}_{x,r}$  and passing to the quotient, we obtain an injection  $\iota_{K,F,r}$  of  $\mathbf{V}_{x,r}$  into  $\mathbf{V}_{x,r}^F$ . In order to show that  $\iota$  is compatible with the action of  $\mathbf{G}_x$  for p = 2 as in Diagram (2.11), it suffices to show that  $\iota_{K,F}(\mathbf{G}_x)$  stabilizes the subspace

$$V' = \iota_{K,F,r} \Big( \mathfrak{g}_{x,r} \cap \bigoplus_{a \in \Phi_K^{\mathrm{mul}}} \mathfrak{g}_a \Big),$$

where the overline denotes the image in  $\mathbf{V}_{x,r}$ . First suppose that the Dynkin diagram Dyn(G) of  $\Phi(G)$  is of type  $A_{2n}$  with simple roots labeled by  $\alpha_n, \alpha_{n-1}, \ldots, \alpha_2, \alpha_1, \beta_1, \beta_2, \ldots, \beta_n$ as in Figure 1 on page 1, and that the K-structure of G arises from the unique outer automorphism of  $A_{2n}$  of order two that sends  $\alpha_i$  to  $\beta_i$ . If  $a \in \Phi_K(G)$  is multipliable, then a is the image of  $\pm(\alpha_1 + \ldots + \alpha_s)$  for some  $1 \leq s \leq n$ . Suppose, without loss of generality, that a is the image of  $\alpha_1 + \ldots + \alpha_s$ . Consider the action of the image of  $\overline{x}_b$  in  $\mathbf{G}_x^F$  for b the image of  $-(\alpha_1 + \ldots + \alpha_t)$  for some  $1 \leq t \leq n$ . Note that  $\iota_{K,F}\left(\overline{x_b(H_0(E_{-(\alpha_1+\ldots+\alpha_t)},K))\cap G_{x,0}}\right) \text{ is the image of } x^E_{-(\alpha_1+\ldots+\alpha_t+\beta_1+\ldots+\beta_t)}(E)\cap G^E_{x,0} \text{ in } G^E_{x,0}/G^E_{x,0+}.$  Hence the orbit of  $\iota_{K,F}\left(\overline{x_b(H_0(E_{-(\alpha_1+\ldots+\alpha_t)},K))\cap G_{x,0}}\right) \text{ on } \iota_{K,F,r}\left(\overline{\mathfrak{g}_{x,r}\cap\mathfrak{g}_a}\right)$  is contained in

$$\mathfrak{g} \cap \mathfrak{g}_{x,r}^F \cap \left(\mathfrak{g}_{\alpha_1+\ldots+\alpha_s}^F \oplus \mathfrak{g}_{\beta_1+\ldots+\beta_s}^F \oplus \mathfrak{g}_{-(\beta_1+\ldots+\beta_t)}^F \oplus \mathfrak{g}_{-(\alpha_1+\ldots+\alpha_t)}^F\right) \subset V'.$$

(Note that the last two summands can be deleted unless s = t.) Thus V' is preserved under the action of the image of  $\overline{x}_b$  in  $\mathbf{G}_x^F$ . Similarly (but more easily) one can check that the action of the image of  $\overline{x}_b$  in  $\mathbf{G}_x^F$  for all other  $b \in \Phi_K$  preserves V', and the same is true for the image of  $T \cap G_{x,0}$  in  $\mathbf{G}_x^F$ . Hence  $\iota_{K,F}(\mathbf{G}_x)$  stabilizes V'.

The case of a general group G follows using the observation that, if  $a \in \Phi_K$  is multipliable, then each  $\alpha \in \Phi_a$  is spanned by the roots of a connected component of the Dynkin diagram Dyn(G) of  $\Phi(G)$  that is of type  $A_{2n}$ , together with the observation that the above explanation also works for Dyn(G) being a union of Dynkin diagrams of  $A_{2n}$  that are permuted transitively by the action of the absolute Galois group of K. Thus V' is preserved under the action of  $\iota_{K,F}(\mathbf{G}_x)$ , and hence the Diagram (2.11) commutes.

In the sequel we might abuse notation and identify  $\mathbf{V}_{x,r}$  with its image in  $\mathbf{V}_{x,r}^F$  under  $\iota_{K,F}$ .

# 3 Moy–Prasad filtration for different residual characteristics

In this section we compare the Moy–Prasad filtration quotients for groups over nonarchimedean local fields of different residue-field characteristics. In order to do so, we first introduce in Definition 3.1 the class of reductive groups that we are going to work with. We then show in Proposition 3.4 that this class contains reductive groups that split over a tamely ramified extension, i.e. those groups considered in [15], but also general simply connected and adjoint semisimple groups, among others. The restriction to this (large) class of reductive groups is necessary as the main result (Theorem 3.7) about the comparison of Moy–Prasad filtrations for different residue-field characteristics does not hold true for some reductive groups that are not good groups.

**Definition 3.1.** We say that a reductive group G over K, split over E, is *good* if there exist

- · an action of a finite cyclic group  $\Gamma' = \langle \gamma' \rangle$  on the root datum  $R(G) = (X, \Phi, \check{X}, \check{\Phi})$ preserving the simple roots  $\Delta$ ,
- an element u generating the cyclic group  $\operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)$  and whose order  $|\operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)|$  is divisible by the prime-to-p part of the order of  $\Gamma'$

such that the following two conditions are satisfied.

(i) The orbits of  $\operatorname{Gal}(E/K)$  and  $\Gamma'$  on  $\Phi$  coincide, and, for every root  $\alpha \in \Phi$ , there exists  $u_{1,\alpha} \in \operatorname{Gal}(E/K)$  such that

$$\gamma'(\alpha) = u_{1,\alpha}(\alpha)$$
 and  $u_{1,\alpha} \equiv u \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}}).$ 

(ii) There exists a basis  $\mathcal{B}$  of X stabilized by  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$  and  $\langle \gamma'^N \rangle$  on which the  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -orbits and  $\langle \gamma'^N \rangle$ -orbits agree, and such that for any  $B \in \mathcal{B}$ , there exists an element  $v_{1,B} \in \operatorname{Gal}(E/K)$  satisfying

$$\gamma'(B) = v_{1,B}(B)$$
 and  $v_{1,B} \equiv u \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}}).$ 

In the sequel, we will write  $|\Gamma'| = p^s \cdot N$  for some integers s and N with (N, p) = 1.

**Remark 3.2.** Note that condition (i) of Definition 3.1 is equivalent to the condition

(i') The orbits of  $\operatorname{Gal}(E/K)$  on  $\Phi$  coincide with the orbits of  $\Gamma'$  on  $\Phi$ , and there exist representatives  $C_1, \ldots, C_n$  of the orbits of  $\Gamma'$  on the connected components of the Dynkin diagram of  $\Phi(G)$  satisfying the following. Denote by  $\Phi_i$  the roots in  $\Phi$  that are a linear combination of roots corresponding to  $C_i$   $(1 \le i \le n)$ . Then for every root  $\alpha \in \Phi_1 \cup \ldots \cup \Phi_n$  and  $1 \le t_1 \le p^s N$ , there exists  $u_{t_1,\alpha} \in \operatorname{Gal}(E/K)$  such that

$$(\gamma')^{t_1}(\alpha) = u_{t_1,\alpha}\alpha$$
 and  $u_{t_1,\alpha} \equiv u^{t_1} \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}}).$ 

Condition (ii) of Definition 3.1 is equivalent to the condition

(ii') There exists a basis  $\mathcal{B}$  of X stabilized by  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$  and by  $\langle \gamma'^N \rangle$  on which the  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -orbits and  $\langle \gamma'^N \rangle$ -orbits agree, and such that there exist representatives  $\{B_1, \ldots, B_{n'}\}$  for these orbits on  $\mathcal{B}$ , and elements  $v_{t_1,i} \in \operatorname{Gal}(E/K)$  for all  $1 \leq t_1 \leq p^s N$  and  $1 \leq i \leq n'$  satisfying

$$(\gamma')^{t_1}(B_i) = v_{t_1,i}(B_i)$$
 and  $v_{t_1,i} \equiv u^{t_1} \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}}).$ 

Before showing in Proposition 3.4 that a large class of reductive groups is good, we prove a lemma that shows some more properties of good groups.

**Lemma 3.3.** We assume that G is a good group, use the notation introduced in Definition 3.1 and Remark 3.2, and denote by  $E_t$  the tamely ramified Galois extension of K of degree N contained in E. Then the following statements hold.

- (a) The basis  $\mathcal{B}$  of X given in Property (ii) is stabilized by  $\operatorname{Gal}(E/E_t)$  and the  $\operatorname{Gal}(E/E_t)$ orbits and  $\langle \gamma'^N \rangle$ -orbits on  $\mathcal{B}$  agree.
- (b) G satisfies Assumption 2.6; more precisely,  $T \times_K E_t$  is induced.
- (c) We have  $X^{\gamma'^N} = X^{\operatorname{Gal}(E/E_t)}$ . Moreover, the action of u on  $X^{\operatorname{Gal}(E/E_t)}$  agrees with the action of  $\gamma'$  on  $X^{\gamma'^N} = X^{\operatorname{Gal}(E/E_t)}$ , so  $X^{\operatorname{Gal}(E/K)} = X^{\Gamma'}$ .

**Proof.** To show part (a), consider a representative  $B_i$  for a  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -orbit on  $\mathcal{B}$ as in Remark 3.2. By Property (ii') there exists  $v_{p^sN,i} \in \operatorname{Gal}(E/K)$  such that  $v_{p^sN}(B_i) = (\gamma')^{p^sN}(B_i) = B_i$  and  $v_{p^sN,i} \equiv u^{p^sN} \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ . Choose  $u_0 \in \operatorname{Gal}(E/K)$ such that  $u_0 \equiv u \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ . Then we can write  $v_{p^sN,i} = v \cdot u_0^{p^sN}$  for some  $v \in \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$  and  $u_0^{p^sN}(B_i) = v^{-1}(B_i)$  is contained in the  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -orbit of  $B_i$ . Note that the elements  $u_0^{p^sNt_2}$  for  $1 \leq t_2 \leq [(E \cap K^{\operatorname{tame}}) : E_t]$  are in  $\operatorname{Gal}(E/E_t)$  and form a set of representatives for  $\operatorname{Gal}(E/E_t)/\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ , and hence  $\operatorname{Gal}(E/E_t)(B_i) =$  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})(B_i)$ . Thus  $\mathcal{B}$  is stabilized by  $\operatorname{Gal}(E/E_t)$  and the  $\operatorname{Gal}(E/E_t)$ -orbits on  $\mathcal{B}$  coincide with the  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -orbits, which coincide with the  $\langle \gamma'^N \rangle$ -orbits. This proves part (a).

Part (b) follows from part (a) by the definition of an induced torus.

In order to show part (c), note that  $X^{\operatorname{Gal}(E/E_t)}$  is spanned (over  $\mathbb{Z}$ ) by

$$\left\{\sum_{B\in\operatorname{Gal}(E/E_t)(B_i)}B\right\}_{1\leq i\leq n'} = \left\{\sum_{B\in\left\langle\gamma'^N\right\rangle(B_i)}B\right\}_{1\leq i\leq n'}$$

The Z-span of the latter equals  $X^{\gamma'^N}$ , which implies  $X^{\gamma'^N} = X^{\operatorname{Gal}(E/E_t)}$ . Using Definition 3.1(ii) and the observation that  $u \mod \operatorname{Gal}(E \cap K^{\operatorname{tame}}/E_t)$  is a generator of  $\operatorname{Gal}(E_t/K)$ , we conclude that the action of u on  $X^{\operatorname{Gal}(E/E_t)}$  agrees with the action of  $\gamma'$  on  $X^{\gamma'^N} = X^{\operatorname{Gal}(E/E_t)}$ 

and that

$$X^{\operatorname{Gal}(E/K)} = \left(X^{\operatorname{Gal}(E/E_t)}\right)^{\operatorname{Gal}(E_t/K)} = \left(X^{\gamma'^N}\right)^{\gamma'} = X^{\Gamma'}.$$

**Proposition 3.4.** Examples of good groups include

- (a) reductive groups that split over a tamely ramified field extension of K,
- (b) simply connected or adjoint (semisimple) groups,
- (c) products of good groups,
- (d) groups that are the restriction of scalars of good groups along finite separable field extensions.

**Proof.** (a) Part (a) follows by taking  $\Gamma' = \operatorname{Gal}(E/K)$  and  $u = \gamma'$ .

(b) Part (b) can be deduced from (c) and (d) (whose proofs do not depend on (b)) as follows. If G is a simply connected or adjoint group then G is the direct product of restrictions of scalars of simply connected or adjoint absolutely simple groups. Hence by (c) and (d) it suffices to show that, if G is a simply connected or adjoint absolutely simple group, then G is good. Recall that these groups are classified by choosing the attribute "simply connected" or "adjoint" and giving a connected finite Dynkin diagram together with an action of the absolute Galois group  $\operatorname{Gal}(\overline{\mathbb{Q}}_p/K)$  on it. We distinguish the two possible cases.

Case 1: G splits over a cyclic field extension E of K. Then take  $\Gamma' = \text{Gal}(E/K)$  and  $u = \gamma'$ or u = 1 according as the field extension is tamely ramified or wildly ramified, and choose  $\mathcal{B}$  to be the set of simple roots of G, if G is adjoint, and the set of fundamental weights dual to the simple co-roots of G (i.e. those weights pairing with one simple co-root to 1, and with all others to 0), if G is simply connected.

Case 2: G does not split over a cyclic field extension. Then G has to be of type  $D_4$  and split over a field extension E of K of degree six with  $\operatorname{Gal}(E/K) \simeq S_3$ , where  $S_3$  is the symmetric group on three letters. In this case we observe (using that G is simply connected or adjoint) that the orbits of the action of  $\operatorname{Gal}(E/K)$  on X are the same as the orbits of a subgroup  $\mathbb{Z}/3\mathbb{Z} \subset \operatorname{Gal}(E/K) \simeq S_3$ . Moreover, as  $S_3$  does not contain a normal subgroup of order two, i.e. there does not exist a tamely ramified Galois extension of K of degree three, this case can only occur if p = 3, and we can choose  $\Gamma' = \mathbb{Z}/3\mathbb{Z}$ , u the nontrivial element in  $\operatorname{Gal}(E \cap K^{\operatorname{tame}}/K) \simeq \mathbb{Z}/2\mathbb{Z}$ , and  $\mathcal{B}$  as in Case 1 to see that G is good.

(c) In order to show part (c), suppose that  $G_1, \ldots, G_k$  are good groups with splitting fields  $E_1, \ldots, E_k$  and corresponding cyclic groups  $\Gamma'_1 = \langle \gamma'_1 \rangle, \ldots, \Gamma'_k = \langle \gamma'_k \rangle$  and generators  $u_i \in \operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K), 1 \leq i \leq k$ . Let  $G = G_1 \times \ldots \times G_k$ . Then G splits over the composition field E of  $E_1, \ldots, E_k$ , and  $|\operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)|$  is the smallest common multiple of  $|\operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K)|, 1 \leq i \leq k$ . Choose a generator u of  $\operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)$ . For  $i \in [1, k]$ , the image of u in  $\operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K)$  equals  $u_i^{r_i}$  for some integer  $r_i$  coprime to  $|\operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K)|$ , which we assume to be coprime to p by adding  $|\operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K)|$ if necessary. Hence  $(\gamma'_i)^{r_i}$  is a generator of  $\Gamma'_i$ , and we define  $\gamma' = (\gamma'_1)^{r_1} \times \ldots \times (\gamma'_k)^{r_k}$ and  $\Gamma' = \langle \gamma' \rangle$ . Note that the order  $|\Gamma'| = p^s N$  of  $\Gamma'$  is the smallest common multiple of  $|\Gamma'_i|, 1 \leq i \leq k$ , and hence N divides  $|\operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)|$ . By 3.1(i) if  $\alpha \in \Phi(G_i)$ , then there exists  $\overline{u}_{1,\alpha} \in \operatorname{Gal}(E_i/K)$  such that

$$\gamma'(\alpha) = (\gamma'_i)^{r_i}(\alpha) = \overline{u}_{1,\alpha}\alpha \text{ with } \overline{u}_{1,\alpha} \equiv u_i^{r_i} \equiv u \text{ in } \operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K).$$

Let  $u_{1,\alpha}$  be a preimage of  $\overline{u}_{1,\alpha}$  in  $\operatorname{Gal}(E/K)$ . Using that

$$\begin{aligned} \left| \operatorname{Gal}(E/E \cap E_i^{\operatorname{tame}}) \right| \left| \operatorname{Gal}(E \cap E_i^{\operatorname{tame}}/E_i) \right| \left| \operatorname{Gal}(E_i/K) \right| \\ &= \left| \operatorname{Gal}(E/K) \right| = \left| \operatorname{Gal}(E/E \cap K^{\operatorname{tame}}) \right| \left| \operatorname{Gal}(E \cap K^{\operatorname{tame}}/E_i \cap K^{\operatorname{tame}}) \right| \left| \operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K) \right|, \end{aligned}$$

we obtain by considering the factors prime to p that  $|\operatorname{Gal}(E \cap E_i^{\operatorname{tame}}/E_i)| = |\operatorname{Gal}(E \cap K^{\operatorname{tame}}/E_i \cap K^{\operatorname{tame}})|$ . Moreover, the kernel of  $\operatorname{Gal}(E \cap E_i^{\operatorname{tame}}/E_i) \to \operatorname{Gal}(E \cap K^{\operatorname{tame}}/E_i \cap K^{\operatorname{tame}})$ , where the map arises from reduction mod  $\operatorname{Gal}(E \cap E_i^{\operatorname{tame}}/E \cap K^{\operatorname{tame}})$ , has oder a power of p, hence is trivial; so we deduce that the map is an isomorphism. Thus we can choose an element  $u_0 \in \operatorname{Gal}(E/E_i) \subset \operatorname{Gal}(E/K)$  such that  $u_0 \equiv u^{|\operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K)|}$ mod  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ , because  $u^{|\operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K)|} \in \operatorname{Gal}(E \cap K^{\operatorname{tame}}/E_i \cap K)$ . Since  $u_{1,\alpha} \equiv u$ mod  $\operatorname{Gal}(E/E_i \cap K^{\operatorname{tame}})$  and  $u^{|\operatorname{Gal}(E_i \cap K^{\operatorname{tame}}/K)|}$  is a generator of  $\operatorname{Gal}(E \cap K^{\operatorname{tame}}/E_i \cap K^{\operatorname{tame}})$ , by multiplying  $u_{1,\alpha}$  with powers of  $u_0 \in \operatorname{Gal}(E/E_i)$  if necessary we can ensure that  $u_{1,\alpha} \equiv u$ mod  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ . As  $\operatorname{Gal}(E/E_i)$  fixes  $\alpha$ , we also have  $\gamma'(\alpha) = u_{1,\alpha}(\alpha)$ , and we conclude that G satisfies Property (i) of Definition 3.1 for all  $\alpha \in \Phi(G) = \coprod_{i=1}^k \Phi(G_i)$ .

Choosing  $\mathcal{B}$  to be the union of the bases  $\mathcal{B}_i$  corresponding to the good groups  $G_i$  (by viewing  $X_i$  embedded into  $X := X_1 \times \ldots \times X_k$ ), we conclude similarly that G satisfies Property (ii). This proves that G is a good group and finishes part (c).

(d) Let  $G = \operatorname{Res}_{F/K} \widetilde{G}$  for  $\widetilde{G}$  a good group over  $F, K \subset F \subset E$ . Then there exists a corresponding  $\Gamma = \operatorname{Gal}(E/K)$ -stable decomposition  $X = \bigoplus_{i=1}^{d} X_i$ , where d = [F : K], together with a decomposition of  $\Phi$  as a disjoint union  $\prod_{1 \leq i \leq f} \widetilde{\Phi}_i$  such that  $\Gamma = \operatorname{Gal}(E/K)$  acts transitively on the set of subspaces  $X_i$  with  $\operatorname{Stab}_{\Gamma}(X_i) \simeq \operatorname{Gal}(E/F)$ , and  $(X_i, \widetilde{\Phi}_i, \check{X}_i, \check{\Phi}_i)$  is isomorphic to the root datum  $R(\widetilde{G})$  of  $\widetilde{G}$  for  $1 \leq i \leq f$ . We suppose without loss of generality that the fixed field of  $\operatorname{Stab}_{\Gamma}(X_1)$  is F, i.e.  $\operatorname{Stab}_{\Gamma}(X_1) = \operatorname{Gal}(E/F)$ , and we write

 $d = d_p \cdot d_{p'}$ , where  $d_p$  is a power of p and  $d_{p'}$  is coprime to p. As  $\widetilde{G}$  is good, there exist a cyclic group  $\widetilde{\Gamma} = \langle \widetilde{\gamma} \rangle$  acting on  $(X_1, \widetilde{\Phi}_1, \Delta_1)$  and a generator  $\widetilde{u}$  of  $\operatorname{Gal}(E \cap F^{\operatorname{tame}}/F)$  satisfying the conditions in Definition 3.1. Fix a splitting  $\operatorname{Gal}(E \cap F^{\operatorname{tame}}/F) \hookrightarrow \operatorname{Gal}(E/F)$ , and let  $\widetilde{u}_0$ be the image of  $\widetilde{u}$  under the composition  $\operatorname{Gal}(E \cap F^{\operatorname{tame}}/F) \hookrightarrow \operatorname{Gal}(E/F) \hookrightarrow \operatorname{Gal}(E/K)$ . Note that we have a commutative diagram (where  $N' = |\operatorname{Gal}(E \cap F^{\operatorname{tame}}/F)|)$ 

$$\begin{array}{cccc} \operatorname{Gal}(E \cap F^{\operatorname{tame}}/F) & \longrightarrow \operatorname{Gal}(E/F) & \longrightarrow \operatorname{Gal}(E/K) \\ \simeq & & & \simeq & & & \simeq \\ \mathbb{Z}/N'\mathbb{Z} & & & \mathbb{Z}/N'\mathbb{Z} \ltimes \operatorname{Gal}(E/E \cap F^{\operatorname{tame}}) & \longrightarrow \mathbb{Z}/(N'd_{p'})\mathbb{Z} \ltimes \operatorname{Gal}(E/E \cap K^{\operatorname{tame}}) \end{array}$$

Hence we can choose  $u_0 \in \operatorname{Gal}(E/K)$  such that

$$u_0^d \equiv \widetilde{u}_0 \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}}),$$

and  $u := u_0 \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$  is a generator of  $\operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)$  (because  $d = d_p d_{p'}$  with  $d_p$  invertible in  $\mathbb{Z}/(N'd_{p'})\mathbb{Z}$ ). After renumbering the subspaces  $X_i$  for i > 1, if necessary, we can choose elements  $\gamma_{t_2d_{p'}} \in \operatorname{Gal}(E/K)$  with

$$\gamma_{t_2 d_{\pi'}} \equiv u_0 = u \mod \operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)$$

for  $1 \leq t_2 \leq d_p$  such that if we set  $\gamma_{t_1+t_2d_{p'}} = u_0$  for  $1 \leq t_1 < d_{p'}, 0 \leq t_2 < d_p$  then  $\gamma_i(X_i) = X_{i+1}, 1 \leq i < d$  and  $\gamma_d(X_d) = X_1$ . By multiplying  $\gamma_d$  by an element in  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$  if necessary, we can assume that  $\gamma_d \circ \gamma_{d-1} \circ \ldots \circ \gamma_1 = \widetilde{u}_0$ . Define  $\gamma' \in \operatorname{Aut}(R(G), \Delta)$  by

$$X = \bigoplus_{i=1}^{d} X_i \ni (x_1, \dots, x_d) \mapsto (\widetilde{\gamma} \circ \widetilde{u}_0^{-1} \circ \gamma_d x_d, \gamma_1 x_1, \gamma_2 x_2, \dots, \gamma_{d-1} x_{d-1}).$$

Then the cyclic group  $\Gamma' = \langle \gamma' \rangle$  preserves  $\Delta$ , and we claim that  $\Gamma'$  and u satisfy the conditions for G in Definition 3.1.

Property (i) of Definition 3.1 is satisfied by the construction of  $\gamma'$ .

In order to check Property (ii), let  $\widetilde{\mathcal{B}}$  be a basis of  $X_1 \subset X$  stabilized by  $\operatorname{Gal}(E/E \cap F^{\operatorname{tame}})$ with a set of representatives  $\{\widetilde{B}_1, \ldots, \widetilde{B}_{\widetilde{n}'}\}$  and  $\widetilde{v}_{t_1,i} \in \operatorname{Gal}(E/F)$  with  $(\widetilde{\gamma})^{t_1}(B_i) = \widetilde{v}_{t_1,i}(B_i)$  $(1 \leq t_1 \leq p^s N/d)$  satisfying all conditions of Property (ii') of Remark 3.2 for  $\widetilde{G}$ . For  $1 \leq i \leq \widetilde{n}'$  and  $1 \leq j \leq d_{p'}$ , define

$$B_{(i-1)d_{p'}+j} = u_0^{j-1}(\widetilde{B}_i) = \gamma_{j-1} \circ \cdots \circ \gamma_1(\widetilde{B}_i).$$

Note that  $\langle \gamma'^N \rangle(X_1) = \coprod_{0 \le i < d_p} X_{1+id_{p'}}$ , and hence, setting  $n' = \widetilde{n}' \cdot d_{p'}$ , the set

$$\mathcal{B} = \bigcup_{1 \le i \le n'} \left\langle \gamma'^N \right\rangle (\{B_i\})$$

forms a basis of X (because  $\gamma'^N$  has order  $d_p$ ). We will show that  $\mathcal{B}$  satisfies Property (ii') of Remark 3.2 with set of orbit representatives  $\{B_i\}_{1 \leq i \leq n'}$  (and hence satisfies Property (ii) of Definition 3.1).

For  $1 \leq t \leq p^s N, 1 \leq i \leq \tilde{n}', 1 \leq j \leq d_{p'}$ , we define  $v_{t,(i-1)d_{p'}+j} \in \operatorname{Gal}(E/K)$  by

$$v_{t,(i-1)d_{p'}+j} = \begin{cases} \gamma_{j-1+t} \circ \cdots \circ \gamma_j & \text{if } j+t \le d \\ \gamma_{t_2} \circ \cdots \gamma_1 \circ \widetilde{v}_{t_1,i} \circ \gamma_1^{-1} \circ \cdots \gamma_{j-1}^{-1} & \text{if } j+t > d, t = dt_1 + t_2 - j + 1 \end{cases}$$

Then using  $(\gamma')^d|_{X_1} = \widetilde{\gamma}$  and  $\widetilde{\gamma}^{t_1}(\widetilde{B}_i) = \widetilde{v}_{t_1,i}(\widetilde{B}_i) \in X_1$ , we obtain

 $(\gamma')^t(B_i) = v_{t,i}(B_i)$  for all  $1 \le t \le p^s N$  and  $1 \le i \le n'$ .

Moreover, since

 $\widetilde{v}_{t_1,i} \equiv \widetilde{u}^{t_1} \mod \operatorname{Gal}(E/E \cap F^{\operatorname{tame}}) \Rightarrow \widetilde{v}_{t_1,i} \equiv \widetilde{u}_0^{t_1} \equiv u_0^{dt_1} \equiv u^{dt_1} \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ 

and  $\gamma_k \equiv u \mod \operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)$  for all  $1 \leq k < d$  by definition, we obtain

$$v_{t,i} \equiv u^t \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$$
 for all  $1 \le t \le p^s N$  and  $1 \le i \le n'$ . (3.1)

This shows that the action of  $(\gamma')^{t_1}$  on  $B_i$  for  $1 \le t_1 \le p^s N$  and  $1 \le i \le n'$  is as required by Condition (ii') of Remark 3.2. It remains to show that  $\mathcal{B}$  is  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -stable and that the  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -orbits coincide with the  $\langle \gamma'^N \rangle$ -orbits.

In order to do so, note that Equation (3.1) implies in particular that for  $1 \le t_2 \le d_p$ , we have  $v_{Nt_2,i} \equiv u^{Nt_2} \mod \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ , and hence  $v_{Nt_2,i} \in \operatorname{Gal}(E/E_t)$  and

$$\left\langle \gamma'^{N} \right\rangle (B_{i}) \subset \operatorname{Gal}(E/E_{t})(B_{i}),$$
(3.2)

where  $E_t$  is the tamely ramified degree N field extension of K inside E. Let us denote by  $\widetilde{E}_t$  the tamely ramified Galois extension of F of degree  $N/d_{p'}$  contained in E. Note that  $E_t$  is the maximal tamely ramified subextension of  $\widetilde{E}_t$  over K, and  $[\widetilde{E}_t : E_t] = d_p$ . As  $\widetilde{G}$  is good, we obtain from Property (ii) of Definition 3.1 and Lemma 3.3 (a) that

$$\left\langle \gamma'^{Nd_p} \right\rangle (B_i) = \left\langle \widetilde{\gamma}^{N/d'_p} \right\rangle (B_i) = \operatorname{Gal}(E/E \cap F^{\operatorname{tame}})(B_i) = \operatorname{Gal}(E/\widetilde{E}_t)(B_i).$$

Using  $\langle \gamma'^N \rangle(X_1) = \prod_{0 \le i < d_p} X_{1+id_{p'}}$  and the inclusion (3.2), we deduce that

 $|\operatorname{Gal}(E/E_t)(B_i)| \ge \left| \langle \gamma'^N \rangle(B_i) \right| = d_p \cdot \left| \left\langle \gamma'^{Nd_p} \right\rangle(B_i) \right| = d_p \cdot \left| \operatorname{Gal}(E/\widetilde{E}_t)(B_i) \right| \le |\operatorname{Gal}(E/E_t)(B_i)|,$ 

which implies that  $\langle \gamma'^N \rangle(B_i) = \operatorname{Gal}(E/E_t)(B_i) \supset \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})(B_i)$ . In order to show that  $\langle \gamma'^N \rangle(B_i) = \operatorname{Gal}(E/E \cap K^{\operatorname{tame}})(B_i)$ , we observe that  $\operatorname{Gal}(E/E \cap F^{\operatorname{tame}})$  is a subgroup of  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$  of index  $d_p$  coprime to the index  $N/d_{p'}$  of  $\operatorname{Gal}(E/E \cap F^{\operatorname{tame}})$ inside  $\operatorname{Gal}(E/F)$ . Therefore  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}}) \cap \operatorname{Gal}(E/F) = \operatorname{Gal}(E/E \cap F^{\operatorname{tame}})$  inside  $\operatorname{Gal}(E/K)$ . As  $\operatorname{Gal}(E/F)$  is the stabilizer of  $X_1$  in  $\operatorname{Gal}(E/K)$ , we deduce that there exist  $d_p$  representatives in  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$  of the  $d_p$  classes in  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})/\operatorname{Gal}(E/E \cap F^{\operatorname{tame}})$  $F^{\operatorname{tame}}$  mapping  $X_1$  to  $d_p$  distinct components  $X_i$  of X. In particular, we obtain that

$$\left|\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})(B_i)\right| \ge d_p \left|\operatorname{Gal}(E/E \cap F^{\operatorname{tame}})(B_i)\right| = d_p \left|\left\langle \gamma'^{Nd_p} \right\rangle(B_i)\right| = \left|\left\langle \gamma'^{N} \right\rangle(B_i)\right|,$$

and hence the  $\operatorname{Gal}(E/E \cap K^{\operatorname{tame}})$ -orbits on  $\mathcal{B}$  agree with the  $\langle \gamma'^N \rangle$ -orbits on  $\mathcal{B}$ . This finishes the proof that Property (ii') of Remark 3.2 and hence Property (ii) of Definition 3.1 is satisfied for our choice of  $\Gamma'$  and u, and hence G is good.

From now on we assume that our group G is good.

## **3.1** Construction of $G_q$

In this section we define reductive groups  $G_q$  over nonarchimedean local fields with arbitrary positive residue-field characteristic q whose Moy–Prasad filtration quotients are in a certain way (made precise in Theorem 3.7) the "same" as those of the given good group G over K. For the rest of the thesis, assume  $x \in \mathscr{B}(G, K)$  is a rational point of order m. Here rational means that  $\psi(x)$  is in  $\mathbb{Q}$  for all affine roots  $\psi \in \Psi_K$ , and the order m of x is defined to be the smallest positive integer such that  $\psi(x) \in \frac{1}{m}\mathbb{Z}$  for all affine roots  $\psi \in \Psi_K$ .

Fix a prime number q, and let  $\Gamma'$  be the finite cyclic group acting on R(G) as in Definition 3.1. Let F be a Galois extension of K containing the splitting field of  $(x^2 - 2)$  over E, such that

- M := [F:K] is divisible by the order  $p^s N$  of the group  $\Gamma'$ ,
- M is divisible by the order m of the point  $x \in \mathscr{B}(G, K)$ .

This implies that the image of x in  $\mathscr{B}(G_F, F)$  is hyperspecial, and by the last condition the set of valuations  $\Gamma'_a$  (defined in Section 2.2) is contained in v(F) for all  $a \in \Phi_K$ . In particular, F satisfies all assumptions made in Section 2.5 in order to define  $\iota_{K,F}$  and  $\iota_{K,F,r}$ . For later use, denote by  $\varpi_F$  a uniformizer of F such that  $\varpi_F^{[F:E]} \equiv \varpi_E \mod \varpi_F^{[F:E]+1}$ , and let  $\mathcal{O}_F$  be the ring of integers of F.

Let  $K_q$  be the splitting field of  $x^M - 1$  over  $\mathbb{Q}_q^{ur}$ , with ring of integers  $\mathcal{O}_q$  and uniformizer  $\varpi_q$ . Let  $F_q = K_q[x]/(x^M - \varpi_q)$  with uniformizer  $\varpi_{F_q}$  satisfying  $\varpi_{F_q}^M = \varpi_q$  and ring of integers  $\mathcal{O}_{F_q}$ . Recall that every reductive group over  $K_q$  is quasi-split, and that there is a one to one correspondence between (quasi-split) reductive groups over  $K_q$  with root datum R(G) and elements of  $\operatorname{Hom}(\operatorname{Gal}(\overline{\mathbb{Q}}_q/K_q), \operatorname{Aut}(R(G), \Delta))/\operatorname{Conjugation}$  by  $\operatorname{Aut}(R(G), \Delta)$ , where  $\operatorname{Aut}(R(G), \Delta)$  denotes the group of automorphisms of the root datum R(G) that fix  $\Delta$ . Thus we can define a reductive group  $G_q$  over  $K_q$  by requiring that  $G_q$  has root datum R(G) and that the action of  $\operatorname{Gal}(\overline{\mathbb{Q}}_q/K_q)$  on R(G) defining the  $K_q$ -structure factors through  $\operatorname{Gal}(F_q/K_q)$  and is given by

$$\operatorname{Gal}(F_q/K_q) \simeq \mathbb{Z}/M\mathbb{Z} \xrightarrow{1 \mapsto \gamma'} \Gamma' \to \operatorname{Aut}(R(G), \Delta),$$

where the last map is the action of  $\Gamma'$  on R(G) as in Definition 3.1. This means that  $G_q$  is already split over  $E_q := K_q[x]/(x^{p^sN} - \varpi_q)$ . Note that by construction, Definition 3.1 and Lemma 3.3, the restricted root data of  $G_q$  and G agree:

$$R_{K_q}(G_q) = R_K(G),$$

and we have for all  $\alpha \in \Phi = \Phi(G) = \Phi(G_q)$ 

$$|\operatorname{Gal}(E/K) \cdot \alpha| = |\operatorname{Gal}(F_q/K_q) \cdot \alpha|.$$
(3.3)

All objects introduced in Section 2 can also be constructed for  $G_q$ , and we will denote them by the same letter(s), but with a  $G_q$  in parentheses to specify the group; e.g., we write  $\Gamma'_a(G_q)$ .

## **3.2** Construction of $x_q$

In order to compare the Moy–Prasad filtration quotients of  $G_q$  with those of G at x, we need to specify a point  $x_q$  in the Bruhat–Tits building  $\mathscr{B}(G_q, K_q)$  of  $G_q$ . To do so, choose a maximal split torus  $S_q$  in  $G_q$  with centralizer denoted by  $T_q$ , and fix a Chevalley-Steinberg system  $\{x_{\alpha}^{F_q}\}_{\alpha \in \Phi}$  for  $G_q$  with respect to  $T_q$ . For later use, we choose the Chevalley-Steinberg system to have signs  $\epsilon_{\alpha,\beta}$  as in Definition 2.2, i.e.

$$m_{\alpha}^{F_q} := x_{\alpha}^{F_q}(1) x_{-\alpha}^{F_q}(\epsilon_{\alpha,\alpha}) x_{\alpha}^{F_q}(1) \in N_{G_q}(T_q)(F_q),$$

where  $N_{G_q}(T_q)$  denotes the normalizer of  $T_q$  in  $G_q$ , and

$$\operatorname{Ad}(m_{\alpha}^{F_q})(\operatorname{Lie}(x_{\beta}^{F_q})(1)) = \epsilon_{\alpha,\beta} \operatorname{Lie}(x_{s_{\alpha}(\beta)}^{F_q})(1),$$

Using the valuation constructed in Section 2.1 attached to this Chevalley-Steinberg system, we obtain a point  $x_{0,q}$  in the apartment  $\mathscr{A}_q$  of  $\mathscr{B}(G_q, K_q)$  corresponding to  $S_q$ . Fixing an isomorphism  $f_{S,q} : X_*(S) \to X_*(S_q)$  that identifies  $R_K(G)$  with  $R_{K_q}(G_q)$ , we define an isomorphism of affine spaces  $f_{\mathscr{A},q} : \mathscr{A} \to \mathscr{A}_q$  by

$$f_{\mathscr{A},q}(y) = x_{0,q} + f_{S,q}(y - x_0) - \frac{1}{4} \sum_{a \in \Phi_K^{+,\text{mul}}} \mathbf{v}(\lambda_a) \cdot \check{a}, \qquad (3.4)$$

where  $\Phi_K^{+,\text{mul}}$  are the positive multipliable roots in  $\Phi_K$ ,  $\lambda_a \in (E_\alpha)^1_{\max}(G)$  for some  $\alpha \in \Phi_a$ , and  $\check{a}$  is the coroot of a, so we have  $\check{a}(a) = 2$ . We define  $x_q := f_{\mathscr{A},q}(x)$ .

**Lemma 3.5.** The isomorphism  $f_{\mathscr{A},q} : \mathscr{A} \to \mathscr{A}_q$  induces a bijection of affine roots  $\Psi_{K_q}(\mathscr{A}_q) \to \Psi_K(\mathscr{A}), \psi \mapsto \psi \circ f_{\mathscr{A},q}.$ 

Moreover, we have for all  $a \in \Phi_K$  and  $r \in \mathbb{R}$  that  $r - a(x - x_0) \in \Gamma'_a(G)$  if and only if  $r - a(x_q - x_{0,q}) \in \Gamma'_a(G_q)$ .

**Proof.** As the set of affine roots for G on  $\mathscr{A}$  (and analogously for  $G_q$  on  $\mathscr{A}_q$ ) is

$$\Psi_K = \Psi_K(\mathscr{A}) = \left\{ y \mapsto a(y - x_0) + \gamma \, | \, a \in \Phi_K, \gamma \in \Gamma'_a \right\},\$$

we need to show that, for every  $a \in \Phi_K = \Phi_K(G) = \Phi_{K_q}(G_q)$ , we have

$$\Gamma'_{a}(G) = \Gamma'_{a}(G_{q}) - \frac{1}{4} \sum_{b \in \Phi_{K}^{+,\mathrm{mul}}} \mathrm{v}(\lambda_{b}) \cdot \check{b}(a).$$
(3.5)

Let us fix  $a \in \Phi_K$ , and  $\alpha \in \Phi_a \subset \Phi = \Phi(G) = \Phi(G_q)$ . Recall that  $E_{\alpha}(G)$  is the fixed subfield of E under the action of  $\operatorname{Stab}_{\operatorname{Gal}(E/K)}(\alpha)$ . Using Equation (3.3) on page 35, we obtain

$$\begin{bmatrix} E_{\alpha}(G):K \end{bmatrix} = \frac{|\operatorname{Gal}(E/K)|}{|\operatorname{Stab}_{\operatorname{Gal}(E/K)}(\alpha)|} = |\operatorname{Gal}(E/K) \cdot \alpha| = |\operatorname{Gal}(F_q/K_q) \cdot \alpha|$$
$$= \frac{|\operatorname{Gal}(F_q/K_q)|}{|\operatorname{Stab}_{\operatorname{Gal}(F_q/K_q)}(\alpha)|} = [E_{\alpha}(G_q):K_q],$$

and hence

$$\mathbf{v}(E_{\alpha}(G) - \{0\}) = [E_{\alpha}(G)/K]^{-1} \cdot \mathbb{Z} = [E_{\alpha}(G_q)/K_q]^{-1} \cdot \mathbb{Z} = \mathbf{v}(E_{\alpha}(G_q) - \{0\}).$$
(3.6)

Note that the Dynkin diagram Dyn(G) of  $\Phi(G)$  is a disjoint union of irreducible Dynkin diagrams, and if a is a multipliable root, then  $\alpha$  is contained in the span of the simple roots of a Dynkin diagram of type  $A_{2n}$ . Thus by Equation (3.6) and the description of  $\Gamma'_a$ as in Equation (2.4) on page 12, the Equality (3.5) holds for  $\alpha$  in the span of simple roots of an irreducible Dynkin diagram of any type other than  $A_{2n}$ ,  $n \in \mathbb{Z}_{>0}$ , or in the span of an irreducible Dynkin diagram of type  $A_{2n}$  whose 2n simple roots lie in 2n distinct Galois orbits. We are therefore left to prove the lemma in the case of Dyn(G) being a disjoint union of finitely many  $A_{2n}$  whose simple roots form n orbits under the action of Gal(E/K). An easy calculation (see the proof of Lemma 2.9 for details) shows that, in this case, the positive multipliable roots of  $\Phi_K$  form an orthogonal basis for the subspace of  $X^*(S) \otimes \mathbb{R}$ generated by  $\Phi_K$ , where by "orthogonal" we mean that  $\check{b}(a) = 0$  if a and b are distinct positive multipliable roots, and that, if  $b \in \Phi_K$  and  $b = \sum_{a \in \Phi_K^+, \text{mul}} \kappa_a a$  is not multipliable, then  $\sum_{a \in \Phi_K^+, \text{mul}} \kappa_a \in 2 \cdot \mathbb{Z}$ . Moreover, by the definition of  $K_q$  and  $F_q$ , it is easy to check that for  $\lambda_q \in (E_\alpha)_{\text{max}}^1(G_q)$ , we have  $v(\lambda_q) \in 2 \cdot v(E_\alpha - \{0\})$ . Thus using the description of  $\Gamma'_a$ as in Equation (2.2) on page 12 and Equation (2.3) on page 12, we see that the desired Equation (3.5) holds.

The second claim of the lemma follows from combining Equation (3.5) and the definition of  $x_q$  using the map in Equation (3.4) on page 36.

Note that Lemma 3.5 implies in particular that  $x_q$  is also a rational point of order m. Let us denote the reductive quotient of  $G_q$  at  $x_q$  by  $\mathbf{G}_{x_q}$ ; the corresponding Moy–Prasad filtration groups by  $G_{x_q,r}, r \geq 0$ ; the Lie algebra filtration by  $\mathfrak{g}_{x_q,r}, r \in \mathbb{R}$ ; and the filtration quotients of the Lie algebra by  $\mathbf{V}_{x_q,r}, r \in \mathbb{R}$ . Then using Lemma 2.5, we obtain the following corollary to Lemma 3.5.

**Corollary 3.6.** The root data  $R(\mathbf{G}_x)$  and  $R(\mathbf{G}_{x_q})$  are isomorphic.

#### 3.3 Global Moy–Prasad filtration representation

Since  $R(\mathbf{G}_x) = R(\mathbf{G}_{x_q})$  (Corollary 3.6), we can define a split reductive group scheme  $\mathscr{H}$ over  $\mathbb{Z}$  by requiring that  $R(\mathscr{H}) = R(\mathbf{G}_x)$ , and then  $\mathscr{H}_{\mathbb{F}_p} \simeq \mathbf{G}_x$  and  $\mathscr{H}_{\mathbb{F}_q} \simeq \mathbf{G}_{x_q}$ ; i.e., we can define the reductive quotient "globally". In this section we show that we can define not only the reductive quotient globally, but also the action of the reductive quotient on the Moy-Prasad filtration quotients. More precisely, we will prove the following theorem.

**Theorem 3.7.** Let r be a real number, and keep the notation from Section 3.1 and 3.2, so G is a good reductive group over K and x a rational point of  $\mathscr{B}(G, K)$ . Then there exists a split reductive group scheme  $\mathscr{H}$  over  $\overline{\mathbb{Z}}[1/N]$  acting on a free  $\overline{\mathbb{Z}}[1/N]$ -module  $\mathscr{V}$  satisfying the following. For every prime q coprime to N, there exist isomorphisms  $\mathscr{H}_{\mathbb{F}_q} \simeq \mathbf{G}_{x_q}$  and  $\mathscr{V}_{\mathbb{F}_q} \simeq \mathbf{V}_{x_q,r}$  such that the induced representation of  $\mathscr{H}_{\mathbb{F}_q}$  on  $\mathscr{V}_{\mathbb{F}_q}$  corresponds to the usual adjoint representation of  $\mathbf{G}_{x_q}$  on  $\mathbf{V}_{x_q,r}$ . Moreover, there are isomorphisms  $\mathscr{H}_{\mathbb{F}_p} \simeq \mathbf{G}_x$ and  $\mathscr{V}_{\mathbb{F}_p} \simeq \mathbf{V}_{x,r}$  such that the induced representation of  $\mathscr{H}_{\mathbb{F}_p}$  on  $\mathscr{V}_{\mathbb{F}_p}$  is the usual adjoint representation of  $\mathbf{G}_x$  on  $\mathbf{V}_{x,r}$ . In other words, we have commutative diagrams



Remark 3.8. The theorem fails for some reductive groups that are not good groups.

We prove the theorem in two steps. In Section 3.3.1 we construct a morphism from  $\mathscr{H}$  to an auxiliary split reductive group scheme  $\mathscr{G}$ , and in Section 3.3.2 we construct  $\mathscr{V}$  inside the Lie algebra of  $\mathscr{G}$  and use the adjoint action of  $\mathscr{G}$  on its Lie algebra to define the action of  $\mathscr{H}$  on  $\mathscr{V}$ .

#### 3.3.1 Global reductive quotient

Let  $\mathscr{G}$  be a split reductive group scheme over  $\mathbb{Z}$  whose root datum is the root datum of G. In this section we construct a morphism  $\iota : \mathscr{H} \to \mathscr{G}$  that lifts all the morphisms  $\iota_{K,F} : G_{x,0}/G_{x,0+} \hookrightarrow G_{x,0}^F/G_{x,0+}^F$  and  $\iota_{K,F_q} : G_{x_q,0}/G_{x_q,0+} \hookrightarrow G_{x_q,0}^{F_q}/G_{x_q,0+}^{F_q}$  defined in Section 2.5. In order to do so, let us first describe the image of  $\iota_{K,F}$  more explicitly. In analogy to the root group parametrization  $x_a$  defined in Section 2.1, and using the notation from that section, we define for  $a \in \Phi_K(G)$  multipliable the more general map  $X_a : F \times F \to G(F)$  by

$$X_a(u,v) = \prod_{\beta \in [\Phi_a]} x_{\beta}^E(u_{\beta}) x_{\beta+\widetilde{\beta}}^E(-v_{\beta}) x_{\widetilde{\beta}}^E(\sigma(u)_{\beta}).$$

Note that  $X_a|_{H_0(E_\alpha, E_{\alpha+\tilde{\alpha}})}$  ( $\alpha \in \Phi_a$ ) agrees with  $x_a$ . We then have the following lemma.

**Lemma 3.9.** Let  $\chi : \overline{\mathbb{F}}_p \to \mathcal{O}_{\mathbb{Q}_p^{ur}}$  be the Teichmüller lift, and  $\mathbf{U}_a$  the root group of  $\mathbf{G}_x$  corresponding to the root  $a \in \Phi(\mathbf{G}_x) \subset \Phi_K(G)$ . Define the map  $y_a : \overline{\mathbb{F}}_p \to G_{x,0}^F$  by

$$\begin{cases} X_a(\sqrt{2}\chi(u) \cdot \varpi_F^{-a(x-x_0) \cdot M}, \chi(u) \varpi_F^{-a(x-x_0) \cdot M} \sigma(\chi(u) \varpi_F^{-a(x-x_0) \cdot M})) & \text{if a is multipliable and } p \neq 2 \\ X_a(0, \chi(u) \sigma(\chi(u)) \varpi_F^{-2a(x-x_0) \cdot M}) & \text{if a is multipliable and } p = 2 \\ X_a(0, \chi(u) \cdot \varpi_F^{-2a(x-x_0) \cdot M}) & \text{if a is divisible} \\ x_a(\chi(u) \cdot \varpi_F^{-a(x-x_0) \cdot M}) & \text{otherwise.} \end{cases}$$

Then the composition  $\overline{y}_a$  of  $y_a$  with the quotient map  $G_{x,0}^F \to G_{x,0}^F/G_{x,0+}^F$  is isomorphic to  $\iota_{K,F} \circ \overline{x}_a : \overline{\mathbb{F}}_p \to \iota_{K,F}(\mathbf{U}_a(\overline{\mathbb{F}}_p)) \subset \mathbf{G}_x^F(\overline{\mathbb{F}}_p).$ 

**Proof.** If  $p \neq 2$  or if a is not multipliable, the lemma follows immediately from Lemma 2.8.

In the case p = 2, note that (using the notation from Lemma 2.8)

 $u \mapsto$ 

$$\operatorname{v}\left(\chi(u)\varpi_{F}^{s'}\sigma(\chi(u)\varpi_{F}^{s'})\cdot \varpi_{F}^{\operatorname{v}(\lambda)M}\right) < 2\operatorname{v}\left(\sqrt{1/\lambda_{0}}\chi(u)\varpi_{F}^{s'}\right),$$

where  $s' = -(a(x - x_0) + v(\lambda)/2)M$ , because  $v(\lambda) < 0$ . Moreover,  $\sigma(\varpi_F) \equiv \varpi_F \mod \varpi_F^2$ in  $\varpi_F \mathcal{O}_F / \varpi_F^2 \mathcal{O}_F$ , and hence  $\overline{y}_a(u) = \iota_{K,F}(\overline{x}_a(u))$  by Lemma 2.8.

**Remark 3.10.** An analogous statement holds for  $\mathbf{G}_{x_q}$ . In the sequel we denote the root group parameterizations constructed for  $\mathbf{G}_{x_q}$  analogously to Lemma 2.8 by  $\overline{x}_{q_a} : \mathbb{G}_a \to \mathbf{U}_{\mathbf{q}_a}, a \in \Phi(\mathbf{G}_{x_q})$ .

Recall that x is hyperspecial in  $\mathscr{B}(G_F, F)$ , and hence the reductive quotient  $\mathbf{G}_x^F$  of  $G_F$ at x is a split reductive group over  $\overline{\mathbb{F}}_p$  with root datum  $R(\mathbf{G}_x^F) = R(G)$ . The analogous statement holds for  $x_q$ . Thus  $\mathscr{G}_{\overline{\mathbb{F}}_p}$  is isomorphic to  $\mathbf{G}_x^F$ , and  $\mathscr{G}_{\overline{\mathbb{F}}_q}$  is isomorphic to  $\mathbf{G}_{x_q}^{F_q}$ . In order to construct explicit isomorphisms, let us fix a split maximal torus  $\mathscr{T}$  of  $\mathscr{G}$  and a Chevalley system  $\{\chi_{\alpha}: \mathbb{G}_a \xrightarrow{\simeq} \mathscr{U}_{\alpha} \subset \mathscr{G}\}_{\alpha \in \Phi(\mathscr{G}) = \Phi}$  for  $(\mathscr{G}, \mathscr{T})$  with signs equal to  $\epsilon_{\alpha,\beta}$  as in Definition 2.2; i.e., the Chevalley system  $\{\chi_{\alpha}\}_{\alpha\in\Phi}$  for  $(\mathscr{G},\mathscr{T})$  and the Chevalley-Steinberg system  $\{x_{\alpha}\}_{\alpha\in\Phi}$  for (G,T) have the same signs.

Moreover, the split maximal torus  $T_F \subset G_F$  and the Chevalley system  $\{x_{\alpha}^F\}_{\alpha \in \Phi}$  yield a split maximal torus  $\mathbf{T}_x^F$  of  $\mathbf{G}_x^F$  and a Chevalley system  $\{\overline{x^F}_{\alpha} : \mathbb{G}_a \xrightarrow{\simeq} \mathbf{U}_{\alpha}^F \subset \mathbf{G}_x^F\}_{\alpha \in \Phi}$ for  $(\mathbf{G}_x^F, \mathbf{T}_x^F)$  with signs  $\epsilon_{\alpha,\beta}$ . Similarly, we obtain a split maximal torus  $\mathbf{T}_{x_q}^{F_q}$  of  $\mathbf{G}_{x_q}^{F_q}$  and a Chevalley system  $\{\overline{x^{F_q}}_{\alpha} : \mathbb{G}_a \xrightarrow{\simeq} \mathbf{U}_{\alpha}^{F_q} \subset \mathbf{G}_{x_q}^{F_q}\}_{\alpha \in \Phi}$  for  $(\mathbf{G}_{x_q}^{F_q}, \mathbf{T}_{x_q}^{F_q})$  with signs  $\epsilon_{\alpha,\beta}$ . In addition, we denote by  $\mathbf{T}_x$  and  $\mathbf{T}_{x_q}$  the maximal split tori of  $\mathbf{G}_x$  and  $\mathbf{G}_{x_q}$  corresponding to S and  $S_q$ .

Moreover, we define constants  $c_{\alpha,q} \in \mathcal{O}_{F_q}$  and  $c_{\alpha} \in \mathcal{O}_F$  for  $\alpha \in \Phi$  as follows. We choose  $\gamma \in \operatorname{Gal}(F/K)$  such that

$$\gamma \mod \operatorname{Gal}(F/E \cap K^{\operatorname{tame}}) \equiv u \in \operatorname{Gal}(E \cap K^{\operatorname{tame}}/K)$$

and  $\zeta_G \in \mathcal{O}_K$  satisfying

$$\gamma(\varpi_F) \equiv \zeta_G \varpi_F \mod \varpi_F^2.$$

Similarly, let  $\gamma_q \in \text{Gal}(F_q/K_q) \simeq \mathbb{Z}/M\mathbb{Z}$  correspond to  $1 \in \mathbb{Z}/M\mathbb{Z}$ , i.e.

$$\gamma_q \mod \operatorname{Gal}(F_q/E_q) \equiv \gamma' \in \operatorname{Gal}(E_q/K)$$

and  $\zeta_{G_q} \in \mathcal{O}_{K_q}$  such that

$$\gamma_q(\varpi_{F_q}) = \zeta_{G_q} \varpi_{F_q}$$

Let  $C_1, \ldots, C_n$  be the representatives for the action of  $\Gamma' = \langle \gamma' \rangle$  on the connected components of Dyn(G) as given in Remark 3.2(i'), and recall that  $\Phi_i$  denotes the roots that are a linear combination of simple roots corresponding to  $C_i$ . For  $\alpha \in \Phi$  there exists a unique triple  $(i, \alpha_i, e_q(\alpha))$  with  $i \in [1, n]$ ,  $\alpha_i \in \Phi_i$  and  $e_q(\alpha)$  minimal in  $\mathbb{Z}_{\geq 0}$  such that  $\gamma_q^{e_q(\alpha)}(\alpha_i) = \alpha$ . Note that  $e_q(\alpha)$  is independent of the choice of prime number q. We also write  $e(\alpha) = e_q(\alpha)$ . We define

$$c_{\alpha,q} := \zeta_{G_q}^{e(\alpha) \cdot \alpha_i (x_q - x_{0,q}) \cdot M} = \zeta_{G_q}^{e(\alpha) \cdot \alpha (x_q - x_{0,q}) \cdot M} \quad \text{and} \quad c_\alpha := \zeta_G^{e(\alpha) \cdot \alpha_i (x - x_0) \cdot M} = \zeta_G^{e(\alpha) \cdot \alpha (x - x_0) \cdot M}.$$

Note that  $\alpha_i(x-x_0) \cdot M$  is an integer, as the order m of x divides M and  $\Gamma'_a \subset v(F) = \frac{1}{M}\mathbb{Z}$ , where a is the image of  $\alpha$  in  $\Phi_K$ .

Finally, we denote by  $\overline{\zeta_G}$  and  $\overline{\zeta_{G_q}}$  the images of  $\zeta_G$  and  $\zeta_{G_q}$  and by  $\overline{c}_{\alpha}$  and  $\overline{c}_{\alpha,q}$  the images of  $c_{\alpha}$  and  $c_{\alpha,q}$  under the surjections  $\mathcal{O}_F \twoheadrightarrow \overline{\mathbb{F}}_p$  and  $\mathcal{O}_{F_q} \twoheadrightarrow \overline{\mathbb{F}}_q$ , respectively.

**Remark 3.11.** The integers  $e(\alpha)$  depend only on the connected component of Dyn(G) in whose span  $\alpha$  lies.

The definitions of  $\zeta_G$ ,  $\zeta_{G_q}$  and  $e(\alpha)$  are chosen so that the following lemma holds.

**Lemma 3.12.** We keep the notation from above and let  $r \in \mathbb{R}$ .

(i) If 
$$\tilde{\gamma} \in \operatorname{Gal}(F_q/K_q)$$
 with  $\tilde{\gamma}(\alpha_i) = \alpha$  and  $r' := r - \alpha(x_q - x_{0,q}) \in \Gamma'_a(G_q)$ , then

$$\widetilde{\gamma}(\varpi_{F_q}^{r'M}) \equiv \zeta_{G_q}^{e(\alpha) \cdot (r - \alpha(x_q - x_{0,q}))M} \varpi_{F_q}^{r'M} \mod \varpi_{F_q}^{r'M+1}.$$

(ii) If  $\tilde{\gamma} \in \operatorname{Gal}(F/K)$  with  $\tilde{\gamma}(\alpha_i) = \alpha$  and  $r' := r - \alpha(x - x_0) \in \Gamma'_a(G)$ , then

$$\widetilde{\gamma}(\varpi_F^{r'M}) \equiv \zeta_G^{e(\alpha) \cdot (r - \alpha(x - x_0))M} \varpi_F^{r'M} \mod \varpi_F^{r'M+1}.$$

**Proof.** If  $\tilde{\gamma} \in \operatorname{Gal}(F_q/K_q)$  with  $\tilde{\gamma}(\alpha_i) = \alpha$ , then  $\tilde{\gamma} = \gamma_q^{e(\alpha) + z|\langle \gamma \rangle(\alpha_i)|}$  for some integer z. As

$$r' \in \Gamma'_{a}(G_{q}) = \frac{1}{|\langle \gamma \rangle(\alpha_{i})|} \mathbb{Z}, \text{ we have } \overline{\zeta_{G_{q}}}^{|\langle \gamma \rangle(\alpha_{i})|r'M} = 1 \text{ and}$$
$$\widetilde{\gamma}(\varpi_{F_{q}}^{r'M}) \equiv \gamma_{q}^{e(\alpha)+z|\langle \gamma \rangle(\alpha_{i})|}(\varpi_{F_{q}}^{r'M}) \equiv \zeta_{G_{q}}^{e(\alpha)r'M} \varpi_{F_{q}}^{r'M} \equiv \zeta_{G_{q}}^{e(\alpha)\cdot(r-\alpha(x_{q}-x_{0,q}))M} \varpi_{F_{q}}^{r'M} \mod \varpi_{F_{q}}^{r'M+1},$$

which shows part (i).

In order to prove part (ii), let  $\tilde{\gamma} \in \operatorname{Gal}(F/K)$  with  $\tilde{\gamma}(\alpha_i) = \alpha$ , and write  $\tilde{\gamma} = \gamma^{\tilde{e}}\tilde{w}$  for some integer  $\tilde{e}$  and  $\tilde{w} \in \operatorname{Gal}(F/E \cap K^{\operatorname{tame}})$ . By Property (i) of Definition 3.1 and the definition of  $e(\alpha)$  there exists  $w \in \operatorname{Gal}(F/E \cap K^{\operatorname{tame}})$  such that  $\gamma^{e(\alpha)}w(\alpha_i) = \alpha$ , and hence  $\tilde{w}^{-1}\gamma^{e(\alpha)-\tilde{e}}w(\alpha_i) = \alpha_i$ , and therefore  $(\gamma')^{e(\alpha)-\tilde{e}}(\alpha_i) \in \operatorname{Gal}(F/E \cap K^{\operatorname{tame}})(\alpha_i)$ . On the other hand, as the  $\Gamma'$ -orbits on  $\Phi$  agree with the  $\operatorname{Gal}(F/K)$ -orbits on  $\Phi$  and  $X^{\gamma'^N} =$  $X^{\operatorname{Gal}(F/E \cap K^{\operatorname{tame}})}$  (by Property (ii) of Definition 3.1 and Lemma 3.3), the  $\operatorname{Gal}(F/E \cap K^{\operatorname{tame}})$ orbits on  $\operatorname{Gal}(F/K)(\alpha_i)$  coincide with the  $\langle \gamma'^N \rangle$ -orbits, which are the same as the  $\langle \gamma'^{N_i} \rangle$ orbits, where  $N_i$  is coprime to p such that  $|\operatorname{Gal}(F/K)(\alpha_i)| = p^{s_i}N_i$  for some integer  $s_i$ . Thus  $e(\alpha) - \tilde{e} \equiv 0 \mod N_i$ . Note that  $\overline{\zeta_G}^{N_i r'M} = 1$  in  $\overline{\mathbb{F}}_p$ , because  $r' \in \Gamma'_a(G) = \frac{1}{p^{s_i}N_i}\mathbb{Z}$  if  $p \neq 2$  and  $r' \in \Gamma'_a(G) \subset \frac{1}{2p^{s_i}N_i}\mathbb{Z}$  if p = 2. Moreover, for  $g \in \operatorname{Gal}(F/E \cap K^{\operatorname{tame}})$ ,  $g(\varpi_F) \equiv \varpi_F$ mod  $\varpi_F^2$  as all p-power roots of unity in  $\overline{\mathbb{F}}_p$  are trivial. Hence

$$\widetilde{\gamma}(\varpi_F^{r'M}) \equiv \gamma^{\widetilde{e}}(\varpi_F^{r'M}) \equiv \zeta_G^{\widetilde{e} \cdot r'M} \varpi_F^{r'M} \equiv \zeta_G^{e(\alpha) \cdot (r - \alpha(x_q - x_{0,q}))M} \varpi_F^{r'M} \mod \varpi_F^{r'M+1},$$

which proves part (ii).

Now let  $f_T : \mathbf{T}_x^F \to \mathscr{T}_{\overline{\mathbb{F}}_p}$  be an isomorphism that identifies the root data  $R(\mathbf{G}_x)$  and  $R(\mathscr{G})$ . Then we can extend  $f_T$  as follows.

**Lemma 3.13.** There exists an isomorphism  $f : \mathbf{G}_x^F \to \mathscr{G}_{\overline{\mathbb{F}}_p}$  extending  $f_T$  such that for

 $\alpha \in \Phi$  and  $u \in \mathbb{G}_a(\overline{\mathbb{F}}_p)$  we have

$$f(\overline{x^F}_{\alpha}(u)) = \chi_{\alpha}(\overline{c}_{\alpha} \cdot u).$$
(3.7)

**Proof.** Note that there exists a unique isomorphism  $f : \mathbf{G}_x^F \to \mathscr{G}_{\mathbb{F}_p}$  extending  $f_T$  and satisfying Equation (3.7) for all  $\alpha \in \Delta$ . So we need to show that this f satisfies Equation (3.7) for all  $\alpha \in \Phi$ . In order to do so, it suffices to show that the root group parameterizations  $\{\chi_{\alpha\overline{\mathbb{F}}_p} \circ \overline{c}_\alpha\}_{\alpha \in \Phi}$  form a Chevalley system of  $(\mathscr{G}_{\overline{\mathbb{F}}_p}, \mathscr{T}_{\overline{\mathbb{F}}_p})$  whose signs are  $\epsilon_{\alpha,\beta}$   $(\alpha, \beta \in \Phi)$ for  $\{\overline{x^F}_\alpha\}_{\alpha \in \Phi}$ . If  $\alpha$  and  $\beta$  are linear combinations of roots in different connected components of the Dynkin diagram of  $\Phi$ , then  $\epsilon'_{\alpha,\beta} = 1 = \epsilon_{\alpha,\beta}$ . Thus suppose  $\alpha, \beta \in \gamma(\Phi_1)$ , and hence also  $s_\alpha(\beta) \in \gamma(\Phi_1)$ , for some  $\gamma \in \operatorname{Gal}(F/K)$ . By Remark 3.11 this implies that  $\overline{\zeta}_\gamma := \overline{\zeta_G}^{e(\alpha)} = \overline{\zeta_G}^{e(\beta)} = \overline{\zeta_G}^{e(s_\alpha(\beta))}$ . We obtain (using [4, Cor. 5.1.9.2] for the second equality)

$$\begin{aligned} \operatorname{Ad}\left(\chi_{\alpha}(\overline{c}_{\alpha})\chi_{-\alpha}(\epsilon_{\alpha,\alpha}\overline{c}_{-\alpha})\chi_{\alpha}(\overline{c}_{\alpha})\right)\left(\operatorname{Lie}\left(\chi_{\beta\overline{\mathbb{F}}_{p}}\circ\overline{c}_{\beta}\right)(1)\right) \\ &= \operatorname{Ad}\left(\chi_{\alpha}(\overline{\zeta}_{\gamma}^{\alpha(x-x_{0})\cdot M})\chi_{-\alpha}(\epsilon_{\alpha,\alpha}\overline{\zeta}_{\gamma}^{-\alpha(x-x_{0})\cdot M})\chi_{\alpha}(\overline{\zeta}_{\gamma}^{\alpha(x-x_{0})\cdot M})\right)\left(\overline{\zeta}_{\gamma}^{\beta(x-x_{0})\cdot M}\operatorname{Lie}(\chi_{\beta\overline{\mathbb{F}}_{p}})(1)\right) \\ &= \operatorname{Ad}\left(\alpha^{\vee}(\overline{\zeta}_{\gamma}^{\alpha(x-x_{0})\cdot M})\right)\operatorname{Ad}\left(\chi_{\alpha}(1)\chi_{-\alpha}(\epsilon_{\alpha,\alpha})\chi_{\alpha}(1)\right)\left(\overline{\zeta}_{\gamma}^{\beta(x-x_{0})\cdot M}\operatorname{Lie}(\chi_{\beta\overline{\mathbb{F}}_{p}})(1)\right) \\ &= \overline{\zeta}_{\gamma}^{\beta(x-x_{0})\cdot M}\cdot\operatorname{Ad}\left(\alpha^{\vee}(\overline{\zeta}_{\gamma}^{\alpha(x-x_{0})\cdot M})\right)\left(\epsilon_{\alpha,\beta}\operatorname{Lie}(\chi_{s_{\alpha}(\beta)\overline{\mathbb{F}}_{p}})(1)\right) \\ &= \overline{\zeta}_{\gamma}^{\beta(x-x_{0})\cdot M}\cdot\left(s_{\alpha}(\beta)\right)\left(\alpha^{\vee}(\overline{\zeta}_{\gamma}^{\alpha(x-x_{0})\cdot M})\right)\cdot\epsilon_{\alpha,\beta}\operatorname{Lie}(\chi_{s_{\alpha}(\beta)\overline{\mathbb{F}}_{p}})(1) \\ &= \overline{\zeta}_{\gamma}^{\beta(x-x_{0})\cdot M}\cdot\overline{\zeta}_{\gamma}^{\langle\alpha^{\vee},s_{\alpha}(\beta)\rangle\cdot\alpha(x-x_{0})\cdot M}\cdot\epsilon_{\alpha,\beta}\operatorname{Lie}(\chi_{s_{\alpha}(\beta)\overline{\mathbb{F}}_{p}})(1) \\ &= \overline{\zeta}_{\gamma}^{(s_{\alpha}(\beta))(x-x_{0})\cdot M}\epsilon_{\alpha,\beta}\operatorname{Lie}(\chi_{s_{\alpha}(\beta)\overline{\mathbb{F}}_{p}})(1) \\ &= \overline{\zeta}_{\gamma}^{(s_{\alpha}(\beta))(x-x_{0})\cdot M}\epsilon_{\alpha,\beta}\operatorname{Lie}(\chi_{s_{\alpha}(\beta)\overline{\mathbb{F}}_{p}})(1) \\ &= \epsilon_{\alpha,\beta}\left(\operatorname{Lie}(\chi_{s_{\alpha}(\beta)\overline{\mathbb{F}}_{p}}\circ\overline{c}_{s_{\alpha}(\beta)})(1)\right). \end{aligned}$$

Thus the signs of the Chevalley system  $\{\chi_{\alpha\overline{\mathbb{F}}_p} \circ \overline{c}_{\alpha}\}_{\alpha \in \Phi}$  are  $\epsilon_{\alpha,\beta}$  as desired.  $\Box$ Similarly, for each prime q, let  $f_{T,q} : \mathbf{T}_{x_q}^{F_q} \to \mathscr{T}_{\overline{\mathbb{F}}_q}$  be an isomorphism that identifies the root data  $R(\mathbf{G}_{x_q})$  and  $R(\mathscr{G})$ . Then we have the analogous statement.

**Lemma 3.14.** There exists an isomorphism  $f_q : \mathbf{G}_{x_q}^F \to \mathscr{G}_{\overline{\mathbb{F}}_q}$  extending  $f_{T,q}$  such that for  $\alpha \in \Phi$  and  $u \in \mathbb{G}_a(\overline{\mathbb{F}}_q)$  we have

$$f_q(\overline{x^{F_q}}_{\alpha}(u)) = \chi_{\alpha}(\overline{c}_{\alpha,q} \cdot u).$$
(3.8)

This allows us to define a map  $\iota$  from  $\mathscr{H}$  to  $\mathscr{G}$  as follows.

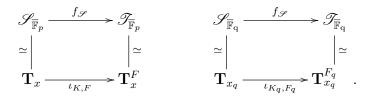
Let  $\mathscr S$  be a split maximal torus of  $\mathscr H.$  Then we have

$$X_*(\mathscr{S}) = X_*(\mathbf{T}_x) = X_*(S) = X_*(T)^{\operatorname{Gal}(F/K)} \hookrightarrow X_*(T) = X_*(\mathscr{T}),$$

where the first identification arises from  $R(\mathscr{H}) = R(\mathbf{G}_x)$ , the second from Lemma 2.5 and the fourth from  $R(\mathscr{G}) = R(G)$ . This yields a closed immersion  $f_{\mathscr{S}} : \mathscr{S} \to \mathscr{T}$ . Note that  $f_{\mathscr{S}}$  also corresponds to the injection

$$X_*(\mathscr{S}) = X_*(\mathbf{T}_{x_q}) = X_*(S_q) = X_*(T_q)^{\operatorname{Gal}(F_q/K_q)} \hookrightarrow X_*(T_q) = X_*(\mathscr{T}),$$

and we have commutative diagrams



To define  $\iota$  on root groups, let  $\{\chi_{H_a}\}_{a \in \Phi(\mathscr{H}) = \Phi_K = \Phi(\mathbf{G}_x)}$  be a Chevalley system for  $(\mathscr{H}, \mathscr{S})$ such that there exists an isomorphism  $f_{H,q} : \mathscr{H}_{\mathbb{F}_q} \to \mathbf{G}_{x_q}$  mapping  $\mathscr{S}_{\mathbb{F}_q}$  to  $\mathbf{T}_{x_q}$  and identifying  $(\chi_{H_a})_{\mathbb{F}_q}$  with  $\overline{x}_{q_a}$ , or equivalently having the same signs as the Chevalley system  $\{\overline{x}_{q_a}\}_{a\in\Phi_K}$ , for some  $q\neq 2$ .

Moreover, note that for  $a \in \Phi_K = \Phi(\mathscr{H})$ , there exists a unique integer in [1, n], denoted by n(a), such that  $\Phi_a \cap \Phi_{n(a)} \neq \emptyset$  (see Remark 3.2 for the definition of  $\Phi_i, i \in [1, n]$ ). We label the elements in  $\Phi_a \cap \Phi_{n(a)}$  by  $\{\alpha_i\}_{1 \le i \le |\Phi_a \cap \Phi_{n(a)}|}$  so that they satisfy the following two properties:

- If a is a multipliable root, we assume that  $\alpha_1 \in [\Phi_a]$ , where  $[\Phi_a]$  is as defined in Section 2.1. (Note that a priori we have either  $\alpha_1$  or  $\alpha_2$  in  $[\Phi_a]$ .)
- Let  $\gamma'$  be the generator of  $\Gamma'$  as in Definition 3.1, then for all  $a \in \Phi_K$  with  $|\Phi_a \cap \Phi_{n(a)}| =$ 3, there exists a minimal integer e'(a) such that  $\gamma'^{e'(a)}$  acts non trivially on  $\Phi_a \cap \Phi_{n(a)}$ , and we require that  $\gamma'^{e'(a)}(\alpha_1) = \alpha_2$ . (Note that this implies  $\gamma'^{e'(a)}(\alpha_2) = \alpha_3$ .)

We may (and do) assume that  $[\Phi_a]$  is chosen to be  $\{\gamma'^i(\alpha_1) \mid 0 \le i \le |\Phi_a| - 1\}.$ 

**Definition** / **Proposition 3.15.** There exists a unique group scheme homomorphism  $\iota : \mathscr{H}_{\overline{\mathbb{Z}}} \to \mathscr{G}_{\overline{\mathbb{Z}}}$  extending  $f_{\mathscr{S}}$  such that for all  $\overline{\mathbb{Z}}$ -algebras  $A, a \in \Phi(\mathscr{H}) = \Phi_K$  and  $u \in \mathbb{G}_a(A)$ we have

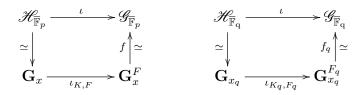
$$\begin{aligned} u(\chi_{H_a}(u)) &= \\ & \left| \Gamma'/\Gamma'_{n(a)} \right| \\ & \prod_{i=1}^{\left| \Gamma'/\Gamma'_{n(a)} \right|} \chi_{\gamma'^{(i-1)}(\alpha_1)}(\sqrt{2}u) \chi_{\gamma'^{(i-1)}(\alpha_1+\alpha_2)}(-(-1)^{-a(x-x_0)M}u^2) \chi_{\gamma'^{(i-1)}(\alpha_2)}((-1)^{-a(x-x_0)M}\sqrt{2}u) \end{aligned}$$

if a is multipliable,

$$\iota(\boldsymbol{\chi}_{H_a}(u)) = \prod_{i=1}^{\left|\Gamma'/\Gamma'_{n(a)}\right|} \boldsymbol{\chi}_{\gamma'^{(i-1)}(\alpha_1)}(-u) \qquad \qquad if \ a \ is \ divisible, \ and \qquad (3.10)$$

$$\iota(\chi_{H_a}(u)) = \prod_{i=1}^{|1^{-\gamma} \Gamma_{n(a)}|} \prod_{j=1}^{|\Phi_a \cap \Phi_{n(a)}|} \chi_{\gamma'^{(i-1)}(\alpha_j)}(\zeta_{|\Phi_a \cap \Phi_{n(a)}|}^{-a(x-x_0)M(j-1)}u) \quad otherwise,$$
(3.11)

where  $\zeta_i$  is a primitive *i*-th root of unity, i = 1, 2 or 3, and  $\Gamma'_{n(a)} = \operatorname{Stab}_{\Gamma'}(\Phi_{n(a)})$ . Moreover, we have commutative diagrams



for all primes q.

**Proof.** Combining Lemma 3.9 and Remark 3.10 with Lemma 3.13 and Lemma 3.14, we observe in view of Property (i') of Remark 3.2 and Lemma 3.12 that  $f \circ \iota_{K,F} \circ \overline{x}_a$ and  $f_q \circ \iota_{K_q,F_q} \circ \overline{x}_{q_a}$  are described by the (reduction of the) right hand side of the three equations in the definition / proposition for all primes q. As  $\iota_{K_q,F_q} \circ \overline{x}_{q_a}$  (and  $\iota_{K,F} \circ \overline{x}_a$ ) are isomorphisms from  $\mathbb{G}_a$  to  $\iota_{K_q,F_q}(\mathbf{U}_{\mathbf{q}_a})$  (and  $\iota_{K,F}(\mathbf{U}_a)$ ) for  $q \neq 2$  (and for  $p \neq 2$ ), the signs of the Chevalley systems  $\{\overline{x}_{q_a}\}_{a \in \Phi_K}$  coincide with those of  $\{\overline{x}_a\}$  and of  $\{\mathbf{x}_{H_a}\}$  for all q. (Note that 1 = -1 in characteristic two, i.e. the previous statement is trivial in this case.) This implies for every prime q the existence of an isomorphism  $\mathbf{G}_{x_q} \simeq \mathscr{H}_{\mathbb{F}_q}$  that identifies  $\mathbf{T}_{x_q}$ with  $\mathscr{H}_{\mathbb{F}_q}$  and  $\overline{x}_{q_a}$  with  $(\mathbf{x}_{H_a})_{\mathbb{F}_q}$  for all  $a \in \Phi_K$ , and similarly for  $\mathbf{G}_x$ .

Note that the Equations (3.9), (3.10) and (3.11) in the definition / proposition define group scheme homomorphisms  $f_a : \mathbb{G}_a \to \mathscr{G}_{\overline{\mathbb{Z}}}$  over  $\overline{\mathbb{Z}}$  for  $a \in \Phi(\mathscr{H})$ . The maps  $\{f_a\}_{a \in \Delta(\mathscr{H})}$  and  $f_{\mathscr{S}}$  together with the requirement that  $\chi_{H_a}(1)\chi_{H_{-a}}(\epsilon_{a,a})\chi_{H_a}(1) \mapsto f_a(1)f_{-a}(\epsilon_{a,a})f_a(1)$  for  $a \in \Delta(\mathscr{H})$  define by [17, XXIII, Theorem 3.5.1] a unique group scheme homomorphism  $\iota : \mathscr{H}_{\overline{\mathbb{Z}}} \to \mathscr{G}_{\overline{\mathbb{Z}}}$ . (The required relations asked for in [17, XXIII, Theorem 3.5.1] can be checked to be satisfied using that they hold in  $\overline{\mathbb{F}}_q$  for all primes q by the existence of  $\iota_{K_q,F_q}$ (similar to the subsequent argument).) We are left to check that the Equations (3.9), (3.10) and (3.11) hold for  $a \in \Phi - \Delta(\mathscr{H})$ . For this note that  $\iota(\chi_{H_{s_b(a)}}(\epsilon_{b,a}u)) = (f_b(1)f_{-b}(\epsilon_{b,b})f_b(1))\iota(\chi_{H_a}(u))(f_b(1)f_{-b}(\epsilon_{b,b})f_b(1))^{-1}$ for  $a \in \Phi, b \in \Delta(\mathscr{H})$ , where  $\{\epsilon_{a,b}\}_{a,b\in\Phi_K}$  are the signs of the Chevalley system  $\{\chi_{H_a}\}_{a\in\Phi_K}$ . For  $a, b \in \Delta(\mathscr{H})$ , the trueness of the equations in the proposition for  $s_b(a)$  for all  $u \in \mathbb{G}_a(A)$ is therefore equivalent to the vanishing of a finite number of polynomials with coefficients in  $\overline{\mathbb{Z}}$ . As the latter vanish mod q for all primes q, these polynomials vanish also over  $\overline{\mathbb{Z}}$ , and the equations are satisfied for  $s_b(a)$   $(b, a \in \Delta(\mathscr{H}))$ , and hence by repeating the argument for all roots  $a \in \Phi$ .

**Remark 3.16.** The morphism  $\iota$  can be defined over  $\mathbb{Z}[x]/(x^3 - 1) = \mathbb{Z}[\zeta_3]$  or even over  $\mathbb{Z}$  if none of the connected components of Dyn(G) is of type  $D_4$  with vertices contained in only two orbits.

In order to provide a different construction of  $\mathscr{H}$  in Section 4, we use the following Lemma.

**Lemma 3.17.** Let  $\iota$  be as in Definition / Proposition 3.15. Then  $\iota_{\overline{\mathbb{Q}}} : \mathscr{H}_{\overline{\mathbb{Q}}} \to \mathscr{G}_{\overline{\mathbb{Q}}}$  is a closed immersion.

#### Proof.

In order to show that  $\iota_{\overline{\mathbb{Q}}}$  is a closed immersion, it suffices to show that its kernel is trivial ([4, Proposition 1.1.1]). As  $\overline{\mathbb{Q}}$  is of characteristic zero, the kernel of  $\iota_{\overline{\mathbb{Q}}}$  (a group scheme of finite type) is smooth. Hence we only need to show that  $\iota_{\overline{\mathbb{Q}}}$  is injective on  $\overline{\mathbb{Q}}$ -points. Let  $g \in \mathscr{H}(\overline{\mathbb{Q}})$ . Let  $\dot{W}$  be a set of representatives of the Weyl group of  $\mathscr{H}$  in the normalizer of  $\mathscr{S}$ . Without loss of generality, we assume that the elements of  $\dot{W}$  are products of  $\iota_{H_a}(1)\iota_{H_{-a}}(\epsilon_{a,a})\iota_{H_a}(1)$ . Let U be the unipotent radical of the Borel subgroup corresponding to  $\Delta(\mathscr{H}), U^-$  the one of the opposite Borel, and  $U_w = U(\overline{\mathbb{Q}}) \cap wU^-(\overline{\mathbb{Q}})w^{-1}$ . By the Bruhat decomposition, we can write g uniquely as  $u_1wtu_2$  with  $w \in \dot{W}, t \in \mathscr{S}(\overline{\mathbb{Q}}), u_1 \in U_w$  and

 $u_2 \in U(\overline{\mathbb{Q}})$ . By the uniqueness  $1 = \iota(g) = \iota(u_1)\iota(w)\iota(t)\iota(w_2)$  if and only if  $1 = \iota(u_1) = \iota(w) = \iota(t) = \iota(u_2)$ . Note that  $\iota(w) = 1$  implies w = 1 by our choice of  $\dot{W}$ , and  $\iota(t) =$ implies t = 1. Choosing an order of  $\Phi_K^+$ , there is a unique way to write  $u_2 = \prod_{a \in \Phi_K^+} \chi_{H_a}(u_a)$  with  $u_a \in \overline{\mathbb{Q}}$  for all  $a \in \Phi_K^+$ . By choosing a compatible ordering of the roots in  $\Phi^+$  and the uniqueness of writing  $\iota(u_2) = \prod_{\alpha \in \Phi^+} \chi_{\alpha}(u'_{\alpha})$  with  $u'_{\alpha} \in \overline{\mathbb{Q}}$  together with the explicit description of  $\iota$  on root groups given in Definition / Proposition 3.15, we conclude that  $u_a = 0$  for all  $a \in \Phi_K^+$ , and hence  $u_2 = 1$ . Similarly,  $u_1 = 1$ , which shows that the map  $\iota$  is injective as desired.

#### 3.3.2 Global Moy–Prasad filtration quotients

In this section we will also lift the injections  $\iota_{K,F,r} : \mathbf{V}_{x,r} \to \mathbf{V}_{x,r}^F$  and  $\iota_{K_q,F_q,r} : \mathbf{V}_{x_q,r} \to \mathbf{V}_{x_q,r}^{F_q}$ in such a way that we get a lift of the commutative Diagram (2.11). Using these injections we view  $\mathbf{V}_{x,r}$  as a subspace of  $\mathbf{V}_{x,r}^F$  and  $\mathbf{V}_{x_q,r}$  as a subspace of  $\mathbf{V}_{x_q,r}^{F_q}$ .

We begin with the construction of an integral model  $\mathscr{V}$  for  $\mathbf{V}_{x_q,r}$ . Fix  $r \in \mathbf{v}(F) = \mathbf{v}(F_q)$ (otherwise the Diagram (2.11) would be trivial) and let  $\zeta_M$  be a primitive M-th root of unity in  $\overline{\mathbb{Z}}$  compatible with  $\zeta_3$  in Proposition 3.15, i.e. if  $3 \mid M$ , then  $\zeta_M^{M/3} = \zeta_3$ . Let  $\vartheta$ denote the composition of the action of  $\gamma'$  on  $\operatorname{Lie}(\mathscr{T})(\overline{\mathbb{Z}}[1/N])$  induced from its action on  $R(\mathscr{G}) = R(G)$  (as given by Definition 3.1), and multiplication by  $\zeta_M^{rM}$ , and define  $\mathscr{V}_T$  to be the free  $\overline{\mathbb{Z}}[1/N]$ -submodule of  $\operatorname{Lie}(\mathscr{T})(\overline{\mathbb{Z}}[1/N])$  fixed by  $\vartheta$ .

Next consider  $a \in \Phi_K$ . We recall that  $\Gamma'_{n(a)}$  denotes the stabilizer of the component  $C_{n(a)}$ of the Dynkin diagram Dyn(G) inside  $\Gamma'$ , and set  $\mathcal{X}_{\alpha} = \text{Lie}(\mathfrak{X}_{\alpha})(1) \in \text{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$  for  $\alpha \in \Phi$ . We define

$$Y_{a} = \sum_{i=1}^{\left|\Phi_{a} \cap \Phi_{n(a)}\right|} \sum_{j=1}^{\left|\Gamma'/\Gamma'_{n(a)}\right|} \zeta_{M}^{e(\gamma'(\alpha_{1}))(j-1)rM} \zeta_{\left|\Phi_{a} \cap \Phi_{n(a)}\right|}^{(-a(x_{q}-x_{0,q})+r)\left|\Gamma'/\Gamma'_{n(a)}\right|\left|\Phi_{a} \cap \Phi_{n(a)}\right|^{(i-1)}} \mathcal{X}_{\gamma'^{(j-1)}(\alpha_{i})}$$

$$(3.12)$$

 $(\text{note that } \zeta_{|\Phi_a \cap \Phi_{n(a)}|}^{(-a(x_q - x_{0,q}) + r)|\Gamma'/\Gamma'_{n(a)}||\Phi_a \cap \Phi_{n(a)}|^{(i-1)}} \in \{1, -1, \zeta_3, \zeta_3^2\}) \text{ and let } \mathscr{V} \text{ be the free } \overline{\mathbb{Z}}[1/N] \text{-}$ submodule of  $\text{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$  generated by  $\mathscr{V}_T$  and  $Y_a$  for all  $a \in \Phi_K$  with  $r - a(x_q - x_{0,q}) \in \Gamma'_a(G_q)$ , or equivalently  $r - a(x - x_0) \in \Gamma'_a(G)$  by Lemma 3.5. Note that  $\mathscr{V}$  as a  $\overline{\mathbb{Z}}[1/N]$ module is a direct summand of the free  $\overline{\mathbb{Z}}[1/N]$ -module  $\text{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$ .

Also note that the  $\mathbf{G}_x^F$  representation  $\mathbf{V}_{x,r}^F$  is isomorphic to the adjoint representation of  $\mathbf{G}_x^F$  on  $\operatorname{Lie}(\mathbf{G}_x^F)$  and, similarly, the  $\mathbf{G}_{xq}^{F_q}$  representation  $\mathbf{V}_{xq,r}^{F_q}$  is isomorphic to the adjoint representation of  $\mathbf{G}_{xq}^{F_q}$  on  $\operatorname{Lie}(\mathbf{G}_{xq}^{F_q})$ . Hence the isomorphisms  $f: \mathbf{G}_x^F \xrightarrow{\simeq} \mathscr{G}_{\overline{\mathbb{F}}_p}$  and  $f_q: \mathbf{G}_{xq}^{F_q} \xrightarrow{\simeq} \mathscr{G}_{\overline{\mathbb{F}}_p}$  from Lemma 3.13 and 3.14 yield isomorphisms  $df := \operatorname{Lie}(f): \mathbf{V}_{x,r}^F \simeq \operatorname{Lie}(\mathbf{G}_x^F)(\overline{\mathbb{F}}_p) \xrightarrow{\simeq} \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{F}}_p)$  and  $df_q := \operatorname{Lie}(f_q): \mathbf{V}_{xq,r}^{F_q} \xrightarrow{\simeq} \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{F}}_q)$ .

**Proposition 3.18.** The adjoint action of  $\mathscr{G}_{\overline{\mathbb{Z}}[1/N]}$  on  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$  restricts to an action of  $\mathscr{H}_{\overline{\mathbb{Z}}[1/N]}$  on  $\mathscr{V}$ .

Moreover, for q coprime to N, we have  $df(\mathbf{V}_{x,r}) = \mathscr{V}_{\overline{\mathbb{F}}_p}$ ,  $df_q(\mathbf{V}_{x_q,r}) = \mathscr{V}_{\overline{\mathbb{F}}_q}$  and the following diagrams commute

$$\begin{array}{ccc} \mathscr{H}_{\overline{\mathbb{F}}_{p}} \times \mathscr{V}_{\overline{\mathbb{F}}_{p}} & \qquad \mathscr{H}_{\overline{\mathbb{F}}_{q}} \times \mathscr{V}_{\overline{\mathbb{F}}_{q}} & \qquad \mathscr{H}_{\overline{\mathbb{F}}_{q}} \times \mathscr{V}_{\overline{\mathbb{F}}_{q}} \\ f^{-1} \circ \iota \times df^{-1} \bigg| \simeq & \qquad df^{-1} \bigg| \simeq & \qquad f_{q}^{-1} \circ \iota_{q} \times df_{q}^{-1} \bigg| \simeq & \qquad df_{q}^{-1} \bigg| \simeq \\ \mathbf{G}_{x} \times \mathbf{V}_{x,r} & \qquad \mathbf{G}_{xq} \times \mathbf{V}_{xq,r} \longrightarrow \mathbf{V}_{xq,r} \end{array}$$

**Proof.** We first show that  $df_q(\mathbf{V}_{x_q,r}) = \mathscr{V}_{\mathbb{F}_q}$  for q coprime to N and  $df(\mathbf{V}_{x,r}) = \mathscr{V}_{\mathbb{F}_p}$  by considering the intersection of  $\mathscr{V}$  with the subspaces  $\bigoplus_{\alpha \in \Phi(G)} \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])_{\alpha}$  and  $\operatorname{Lie}(\mathscr{T})(\mathbb{Z}[1/N])$  of  $\operatorname{Lie}(\mathscr{G})(\mathbb{Z}[1/N])$  separately.

For  $\alpha \in \Phi$ , denote by  $\Gamma'_{\alpha}$  the stabilizer of  $\alpha$  in  $\Gamma'$ , and let  $\overline{X}_{\alpha} = \operatorname{Lie}(\overline{x^{F_q}}_{\alpha})(1)$ ,  $n_a = |\Phi_a \cap \Phi_{n(a)}| \in \{1, 2, 3\}$  and  $\zeta_{\gamma'} := \overline{\zeta_{G_q}}^{e(\gamma'(\alpha_1))} = \overline{\zeta_{G_q}}^{e(\gamma'(\alpha_i))}$ ,  $1 \leq i \leq n_a$ . The image of  $\left(\mathscr{V} \cap \bigoplus_{\alpha \in \Phi(G)} \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])_{\alpha}\right) \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_q$  under  $df_q^{-1}$  is then spanned by

$$\begin{split} \overline{Y}_{a} &= \sum_{i=1}^{n_{a}} \sum_{j=1}^{\left|\Gamma'/\Gamma'_{n(a)}\right|} \zeta_{\gamma'}^{(j-1)rM} \zeta_{n_{a}}^{(-a(x_{q}-x_{0,q})+r)\left|\Gamma'/\Gamma'_{n(a)}\right| n_{a}(i-1)} \overline{c}_{\gamma'^{(j-1)}(\alpha_{i}),q}^{-1} \overline{X}_{\gamma'^{(j-1)}(\alpha_{i})} \\ &= \sum_{i=1}^{n_{a}} \sum_{j=1}^{\left|\Gamma'/\Gamma'_{n(a)}\right|} \zeta_{\gamma'}^{(j-1)rM} \zeta_{n_{a}}^{(-a(x_{q}-x_{0,q})+r)\left|\Gamma'/\Gamma'_{n(a)}\right| n_{a}(i-1)} \zeta_{\gamma'}^{-\alpha(x_{q}-x_{0,q})M(j-1)} \overline{X}_{\gamma'^{(j-1)}(\alpha_{i})} \\ &= \sum_{j=1}^{\left|\Gamma'/\Gamma'_{\alpha}\right|} \zeta_{\gamma'}^{(j-1)(r-a(x_{q}-x_{0,q}))M} \overline{X}_{\gamma'^{(j-1)}(\alpha_{1})} \\ &= \sum_{j=1}^{\left|\Gamma'/\Gamma'_{\alpha}\right|} \gamma'^{(j-1)} \left(\overline{X}_{\alpha_{1}}\right) \end{split}$$

for  $a \in \Phi_K$  with  $r - a(x_q - x_{0,q}) \in \Gamma'_a(G_q)$  (where  $\zeta_M$  gets send to  $\overline{\zeta_{G_q}}$  under the surjection  $\overline{\mathbb{Z}}[1/N] \twoheadrightarrow \overline{\mathbb{F}}_q$ ). Here the action of  $\Gamma'$  on  $\mathbf{V}_{x_q,r}$  is the one induced from the action on  $\mathfrak{g}_{x_q,r}^{F_q}$ . Thus by definition of the Moy–Prasad filtration and the inclusion  $\iota_{F_q,K_q,r}$  constructed in the proof of Lemma 2.9 we obtain the equality

$$df_{q}^{-1}(\mathscr{V}_{\overline{\mathbb{F}}_{q}}) \cap \bigoplus_{\alpha \in \Phi} \operatorname{Lie}(\mathbf{G}_{x_{q}}^{F_{q}})(\overline{\mathbb{F}}_{q})_{\alpha} = df_{q}^{-1}((\mathscr{V} \cap \bigoplus_{\alpha \in \Phi} \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])_{\alpha}) \otimes \overline{\mathbb{F}}_{q})$$
$$= \mathbf{V}_{x_{q},r} \cap \bigoplus_{\alpha \in \Phi} \operatorname{Lie}(\mathbf{G}_{x_{q}}^{F_{q}})(\overline{\mathbb{F}}_{q})_{\alpha}$$
(3.13)

inside  $\mathbf{V}_{x_q,r}^{F_q} \simeq \operatorname{Lie}(\mathbf{G}_{x_q}^{F_q})(\overline{\mathbb{F}}_q).$ 

In order to show the analogous statement for  $V_{x,r}$ , we claim that  $\zeta_{n_a}^{(-a(x_q-x_{0,q})+r)|\Gamma'/\Gamma'_{n(a)}|n_a(i-1)} = \zeta_{n_a}^{(-a(x-x_0)+r)|\Gamma'/\Gamma'_{n(a)}|n_a(i-1)}$ . This is obviously true for  $p \neq 2$  as  $a(x-x_0) = a(x_q-x_{0,q})$  in this case. If p = 2, then  $\zeta_2 = -1 = 1$  in  $\overline{\mathbb{F}}_p$  and we only have the to consider the case

 $n_{a} = \left| \Phi_{a} \cap \Phi_{n(a)} \right| = 3. \text{ However, } n_{a} = 3 \text{ implies that the corresponding component } C_{n(a)} \text{ of the Dynkin diagram Dyn}(G) \text{ is of type } D_{4}, \text{ and hence } \check{b}(a) = 0 \text{ for all multipliable roots } b \in \Phi_{K}^{+,\text{mul}}. \text{ Thus } a(x - x_{0}) = a(x_{q} - x_{0,q}) \text{ by definition, see Equation (3.4), and the claim } \zeta_{n_{a}}^{(-a(x_{q} - x_{0,q}) + r) \left| \Gamma' / \Gamma'_{n(a)} \right|^{n_{a}(i-1)}} = \zeta_{n_{a}}^{(-a(x - x_{0,q}) + r) \left| \Gamma' / \Gamma'_{n(a)} \right|^{n_{a}(i-1)}} \text{ follows. Let } \zeta_{\gamma'} = \overline{\zeta_{G}}^{e(\gamma'(\alpha_{1}))}, \overline{X}_{\alpha} = \text{Lie}(\overline{x^{F}}_{\alpha})(1), \text{ and use otherwise the same notation as above. Then there exists a set of representatives } \left[ \text{Gal}(F/K) / \text{Stab}_{\text{Gal}(F/K)}(\alpha) \right] \text{ of } \text{Gal}(F/K) / \text{Stab}_{\text{Gal}(F/K)}(\alpha) \text{ such that the image of } \left( \mathscr{V} \cap \bigoplus_{\alpha \in \Phi(G)} \text{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])_{\alpha} \right) \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_{p} \text{ under } df^{-1} \text{ is spanned by}$ 

$$\begin{split} \overline{Y}_{a} &= \sum_{i=1}^{n_{a}} \sum_{j=1}^{\left|\Gamma'/\Gamma'_{n(a)}\right|} \zeta_{\gamma'}{}^{(j-1)rM} \zeta_{n_{a}}^{(-a(x_{q}-x_{0,q})+r)\left|\Gamma'/\Gamma'_{n(a)}\right| n_{a}(i-1)} \overline{c}_{\gamma'^{(j-1)}(\alpha_{i})}^{-1} \overline{X}_{\gamma'^{(j-1)}(\alpha_{i})} \\ &= \sum_{i=1}^{n_{a}} \sum_{j=1}^{\left|\Gamma'/\Gamma'_{n(a)}\right|} \zeta_{\gamma'}{}^{(j-1)rM} \zeta_{n_{a}}^{(-a(x-x_{0})+r)\left|\Gamma'/\Gamma'_{n(a)}\right| n_{a}(i-1)} \zeta_{\gamma'}^{-\alpha(x-x_{0})M(j-1)} \overline{X}_{\gamma'^{(j-1)}(\alpha_{i})} \\ &= \sum_{j=1}^{\left|\Gamma'/\Gamma'_{\alpha}\right|} \zeta_{\gamma'}{}^{(j-1)(r-a(x-x_{0}))M} \overline{X}_{\gamma'^{(j-1)}(\alpha_{1})} = \sum_{\gamma \in \left[\operatorname{Gal}(F/K)/\operatorname{Stab}_{\operatorname{Gal}(F/K)}(\alpha)\right]} \gamma\left(\overline{X}_{\alpha_{1}}\right), \end{split}$$

where the last equality follows from Lemma 3.12. Thus we obtain

$$df^{-1}(\mathscr{V}_{\overline{\mathbb{F}}_p}) \cap \bigoplus_{\alpha \in \Phi} \operatorname{Lie}(\mathbf{G}_x^F)(\overline{\mathbb{F}}_p)_{\alpha} = \mathbf{V}_{x,r} \cap \bigoplus_{\alpha \in \Phi} \operatorname{Lie}(\mathbf{G}_x^F)(\overline{\mathbb{F}}_p)_{\alpha}$$
(3.14)

inside  $\mathbf{V}_{x,r}^F \simeq \operatorname{Lie}(\mathbf{G}_x^F)(\overline{\mathbb{F}}_p).$ 

Let us consider  $\mathscr{V}_T$ . From the definition of the Moy–Prasad filtration  $\mathfrak{t}_{x,r}^{E_t}$  of the Lie algebra  $\mathfrak{t}_{E_t}$  of the torus  $T_{E_t}$  together with Lemma 3.3 and the observation that all *p*-power roots of unity in  $\overline{\mathbb{F}}_p$  are trivial, we deduce (by sending  $\zeta_M \otimes 1$  to  $\overline{\zeta_G}$  under the isomorphism  $\overline{\mathbb{Z}}[1/N] \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_p \simeq \overline{\mathbb{F}}_p$ , as above) that

$$df\left(\iota_{E_t,F,r}\left(\mathfrak{t}_{x,r}^{E_t}/\mathfrak{t}_{x,r+}^{E_t}\right)\right) = \left(\operatorname{Lie}(\mathscr{T}_H)(\overline{\mathbb{Z}}[1/N])\right)^{\vartheta^N} \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_p.$$

Moreover, by combining Proposition 4.6.2 and Proposition 4.6.1 from [20, Section 4.6], we have  $\mathfrak{t}_{x,r} = (\mathfrak{t}_{x,r}^{E_t})^{\operatorname{Gal}(E_t/K)}$  as  $E_t$  is tamely ramified over K, and we obtain (using tameness of  $E_t/K$ ) that

$$df\left(\mathfrak{t}_{x,r}/\mathfrak{t}_{x,r+}\right) = df\left(\left(\mathfrak{t}_{x,r}^{E_{t}}/\mathfrak{t}_{x,r}^{E_{t}}\right)^{\mathrm{Gal}(E_{t}/K)}\right) = \left(\left(\mathrm{Lie}(\mathscr{T}_{H})(\overline{\mathbb{Z}}[1/N])\right)^{\vartheta^{N}} \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_{p}\right)^{\vartheta}$$
$$= \left(\mathrm{Lie}(\mathscr{T}_{H})(\overline{\mathbb{Z}}[1/N])\right)^{\vartheta} \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_{p} = \mathscr{V}_{T} \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_{p}. \tag{3.15}$$

For q coprime to N, we denote by  $E_{t,q}$  the tamely ramified extension of degree N of  $K_q$ . Then we obtain by the same reasoning (substituting  $E_t$  by  $E_{t,q}$ )

$$df_q\left(\mathfrak{t}_{x_q,r}/\mathfrak{t}_{x_q,r+}\right) = \mathscr{V}_T \otimes_{\overline{\mathbb{Z}}[1/N]} \overline{\mathbb{F}}_q.$$

$$(3.16)$$

Combing Equations (3.14) and (3.15), and (3.13) and (3.16), we obtain for q coprime to N that

$$df(\mathbf{V}_{x,r}) = \mathscr{V}_{\overline{\mathbb{F}}_p}$$
 and  $df_q(\mathbf{V}_{x_q,r}) = \mathscr{V}_{\overline{\mathbb{F}}_q}$ .

In order to show that the adjoint action of  $\mathscr{G}_{\overline{\mathbb{Z}}[1/N]}$  on  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$  restricts to an action of  $\mathscr{H}_{\overline{\mathbb{Z}}[1/N]}$  on  $\mathscr{V}$ , we observe that the following diagram commutes

$$\begin{split} \mathscr{G}_{\overline{\mathbb{F}}_{q}} \times \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])_{\overline{\mathbb{F}}_{q}} & \longrightarrow \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])_{\overline{\mathbb{F}}_{q}} \\ f_{q}^{-1} \times df_{q}^{-1} \bigg| & \simeq & df_{q}^{-1} \bigg| & \simeq \\ \mathbf{G}_{x_{q}}^{F_{q}} \times \mathbf{V}_{x_{q},r}^{F_{q}} & \longrightarrow \mathbf{V}_{x_{q},r}^{F_{q}} & . \end{split}$$

Since  $\iota_{K_q,F_q}(\mathbf{G}_{x_q})$  preserves  $\mathbf{V}_{x_q,r}$  (Equation (2.11)), we deduce that the induced action of  $\mathscr{H}_{\overline{\mathbb{F}}_q}$  on  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{F}}_q)$  preserves  $\mathscr{V}_{\overline{\mathbb{F}}_q}$  for all q coprime to N. Hence the induced action of  $\mathscr{H}_{\overline{\mathbb{Z}}[1/N]}$  on  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$  preserves  $\mathscr{V}$ , and by construction and Lemma 2.9 the diagrams

in the proposition commute.

Theorem 3.7 is now an immediate consequence of Proposition 3.18.

# 4 Moy–Prasad filtration representations and global Vinberg–Levy theory

In this section we will give a different description of the reductive group scheme  $\mathscr{H}$  and its action on  $\mathscr{V}$  from Theorem 3.7 as a fixed-point group scheme of a larger split reductive scheme  $\mathscr{G}$  acting on a graded piece of Lie  $\mathscr{G}$  (see Theorem 4.1). This means we are in the setting of a global version of Vinberg–Levy theory and the special fibers correspond to (generalized) Vinberg–Levy representations for all primes q. In order to give such a description integrally (i.e. over  $\overline{\mathbb{Z}}[1/N]$ ), we will specialize to reductive groups G that become split over a tamely-ramified field extension in Section 4.1. Afterwards, in Section 4.2, we will then show that such a description holds over  $\overline{\mathbb{Q}}$  for all good groups. This will also allow us to study the existence of (semi)stable vectors in Section 5.

## 4.1 The case of G splitting over a tamely ramified extension

Let S be a scheme, then we denote by  $\mu_{M,S}$  the group scheme of M-th roots of unity over S. We will often omit S if it can be deduced from the context. Given an S-group scheme  $\mathscr{G}$ , we denote by  $\underline{\operatorname{Aut}}_{\mathscr{G}/S}$  its automorphisms functor, i.e. the functor that sends an S-scheme S' to the group of automorphisms of  $\mathscr{G}_{S'}$  in the category of S'-group schemes, and by  $\operatorname{Aut}_{\mathscr{G}/S}$  its representing group scheme if it exists. We will often omit S if it can be deduced from the context. Given, in addition, a morphism  $\theta : \mu_{M,S} \to \operatorname{Aut}_{\mathscr{G}}$ , we denote by  $\mathscr{G}^{\theta}$  the scheme theoretic fixed locus of  $\mathscr{G}$  under the action of  $\boldsymbol{\mu}_{M,S}$  via  $\theta$ , if it exists, i.e.  $\mathscr{G}^{\theta}$ represents the functor that sends an S-scheme S' to the elements of  $\mathscr{G}(S')$  on which  $\boldsymbol{\mu}_{M,S'}$ acts trivially. If  $\mathscr{G}^{\theta}$  is a smooth group scheme over S of finite presentation, we denote by  $\mathscr{G}^{\theta,0}$  its identity component. Similarly, if  $\mathcal{F}$  is a quasi-coherent  $\mathcal{O}_S$ -module, we denote by  $\underline{\operatorname{Aut}}_{\mathcal{F}/\mathcal{O}_S}$  its automorphism functor, and by  $\operatorname{Aut}_{\mathcal{F}/\mathcal{O}_S}$  (or simply  $\operatorname{Aut}_{\mathcal{F}}$ ) the group scheme representing  $\underline{\operatorname{Aut}}_{\mathcal{F}/\mathcal{O}_S}$  if it exists.

**Theorem 4.1.** Suppose that G is a reductive group over K that splits over a tamely ramified field extension E of degree e over K. Let  $r = \frac{d}{M}$  for some nonnegative integer d < M, and let  $\mathscr{H}$  be the split reductive group scheme over  $\overline{\mathbb{Z}}[\frac{1}{e}]$  acting on the free  $\overline{\mathbb{Z}}[\frac{1}{e}]$ -module  $\mathscr{V}$  as provided by Theorem 3.7, i.e. such that the special fibers each correspond to the action of a reductive quotient on a Moy-Prasad filtration quotient. Then there exists a split reductive group scheme  $\mathscr{G}$  defined over  $\overline{\mathbb{Z}}[\frac{1}{e}]$  and morphisms

$$\theta: \boldsymbol{\mu}_M \to \operatorname{Aut}_{\mathscr{G}} \quad and \quad d\theta: \boldsymbol{\mu}_M \to \operatorname{Aut}_{\operatorname{Lie}(\mathscr{G})}$$

that induces a  $\mathbb{Z}/M\mathbb{Z}$ -grading  $\operatorname{Lie}(\mathscr{G}) = \bigoplus_{i=1}^{M} \operatorname{Lie}(\mathscr{G})_{i}$  such that  $\mathscr{H}$  is isomorphic to  $\mathscr{G}^{\theta,0}, \mathscr{V}$ is isomorphic to  $\operatorname{Lie}(\mathscr{G})_{M-d}(\overline{\mathbb{Z}}[\frac{1}{e}])$  and the action of  $\mathscr{H}$  on  $\mathscr{V}$  corresponds to the restriction of the adjoint action of  $\mathscr{G}$  on  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[\frac{1}{e}])$  via these isomorphisms. In particular, this implies that for q coprime to e we have commutative diagrams

$$\begin{aligned} \mathscr{G}_{\overline{\mathbb{F}}_{p}}^{\theta,0} \times \operatorname{Lie}(\mathscr{G})_{M-d}(\overline{\mathbb{F}}_{p}) & \longrightarrow \operatorname{Lie}(\mathscr{G})_{M-d}(\overline{\mathbb{F}}_{p}) \\ & \downarrow^{\simeq \times \simeq} & \downarrow^{\simeq} \\ & \mathbf{G}_{x} \times \mathbf{V}_{x,r} & \longrightarrow \mathbf{V}_{x,r} \end{aligned}$$

$$(4.1)$$

$$\begin{aligned} \mathscr{G}_{\overline{\mathbb{F}}_{q}}^{\theta,0} \times \operatorname{Lie}(\mathscr{G})_{M-d}(\overline{\mathbb{F}}_{q}) & \longrightarrow \operatorname{Lie}(\mathscr{G})_{M-d}(\overline{\mathbb{F}}_{q}) \\ & \downarrow^{\simeq \times \simeq} & \downarrow^{\simeq} \\ & \mathbf{G}_{xq} \times \mathbf{V}_{xq,r} & \longrightarrow \mathbf{V}_{xq,r} & . \end{aligned}$$

**Remark 4.2.** If p is odd, not torsion for G and does not divide m, then, if we choose M to be m, the left diagram in (4.1) is proven to exist and commute in [15, Theorem 4.1]. The proof given in *Loc. cit.* does not work for all primes p, because it relies among others crucially on the assumption that p does not divide m.

#### Proof of Theorem 4.1.

Let e', f be integers such that  $e | e', M = e'f, \operatorname{gcd}(e', f) = 1$  and e' is minimal satisfying these properties. Let  $E_{e'}$  be the splitting field of  $(x^{e'} - 1)$  over E, and let  $\mathcal{O}_{e'}$  be the ring of integers in  $E_{e'}$ .

We let  $\mathscr{G}$  be a split reductive group scheme over  $\mathcal{O}_{e'}[\frac{1}{e}] \subset \overline{\mathbb{Z}}[\frac{1}{e}]$  whose root datum  $R(\mathscr{G})$  coincides with the root datum R(G) of G, i.e.  $\mathscr{G}$  is as defined in Section 3.3.1 base changed to  $\mathcal{O}_{e'}[\frac{1}{e}]$ , and  $\mathscr{T}$  denotes a split maximal torus of  $\mathscr{G}$ . Let  $G_{ad}$  be the adjoint group of G. We have an isogeny  $G \to G_{ad}$ , and we denote the image of T under this isogeny by  $T_{ad}$ . The isogeny induces an injection  $X_*(T) \hookrightarrow X_*(T_{ad})$  that yields an isomorphism  $X_*(T) \otimes_{\mathbb{Z}} \mathbb{R} \xrightarrow{\simeq} X_*(T_{ad}) \otimes_{\mathbb{Z}} \mathbb{R}$ , which we use to identify the two real vector spaces. This allows us to choose  $\lambda \in X_*(T_{ad}) \subset X_*(T) \otimes \mathbb{R}$  such that  $x = x_0 + \frac{1}{M}\lambda$ . Note that then, using

the identification of  $X_*(T)$  with  $X_*(T_{ad})$ , we have  $x_q = x_{0,q} + \frac{1}{M}\lambda$ . We also denote by  $\lambda$  the corresponding element in  $X_*(\mathscr{T}_{ad}) \subset X_*(\mathscr{T}) \otimes \mathbb{R}$  under the identification of  $X_*(T)$  with  $X_*(\mathscr{T})$ . Consider the action  $\theta_{\lambda}$  of  $\mu_m$  on  $\mathscr{G}$  given by composition of the closed immersion  $\mu_m \to \mathbb{G}_m$  with  $\lambda$  and the adjoint action of  $\mathscr{G}_{ad}$  on  $\mathscr{G}$ , i.e.

$$\theta_{\lambda}: \boldsymbol{\mu}_{M} \to \mathbb{G}_{m} \xrightarrow{\lambda} \mathscr{T}_{ad} \hookrightarrow \mathscr{G}_{ad} \xrightarrow{\mathrm{Ad}} \mathrm{Aut}_{\mathscr{G}}.$$

Let  $\vartheta \in \operatorname{Aut}(R(G), \Delta)$  denote the action of  $\gamma' \in \Gamma' \simeq \operatorname{Gal}(E/K)$  on R(G) given in the Definition 3.1 of a good group, and denote by  $\underline{\mathbb{Z}/e\mathbb{Z}}_{\mathcal{O}_{e'}[1/e]}$  the constant group scheme over  $\operatorname{Spec} \mathcal{O}_{e'}[\frac{1}{e}]$  corresponding to the group  $\mathbb{Z}/e\mathbb{Z}$ . Using the Chevalley system  $\{\chi_{\alpha} : \mathbb{G}_a \to \mathscr{U}_{\alpha} \subset \mathscr{G}\}_{\alpha \in \Phi(\mathscr{G}) = \Phi}$  for  $(\mathscr{G}, \mathscr{T})$  (defined in Section 3.3.1), the automorphism  $\vartheta$  defines a morphism of  $\operatorname{Spec} \mathcal{O}_{e'}[\frac{1}{e}]$ -schemes  $\underline{\mathbb{Z}/e\mathbb{Z}}_{\mathcal{O}_{e'}[1/e]} \to \operatorname{Aut}_{\mathscr{G}}$ . Note that we have an isomorphism of  $\operatorname{Spec} \mathcal{O}_{e'}[\frac{1}{e}]$ -schemes  $\mu_{e'} \xrightarrow{\simeq} \underline{\mathbb{Z}/e'\mathbb{Z}}_{\mathcal{O}_{e'}[1/e]}$  that yields the following morphism, which we again denote by  $\vartheta$ ,

$$\vartheta: \boldsymbol{\mu}_{e'} \xrightarrow{\simeq} \underline{\mathbb{Z}/e'\mathbb{Z}}_{\mathcal{O}_{e'}[1/e]} \xrightarrow{\stackrel{\cdot e'}{e}} \underline{\mathbb{Z}/e\mathbb{Z}}_{\mathcal{O}_{e'}[1/e]} \to \operatorname{Aut}_{\mathscr{G}}$$

Fix an isomorphism  $\boldsymbol{\mu}_M \simeq \boldsymbol{\mu}_{e'} \times \boldsymbol{\mu}_f$ . This yields a projection map  $p_{M,e'} : \boldsymbol{\mu}_M \to \boldsymbol{\mu}_{e'}$ , and allows us to define  $\theta : \boldsymbol{\mu}_M \to \operatorname{Aut}_{\mathscr{G}}$  as follows

$$\theta: \boldsymbol{\mu}_M \xrightarrow{\text{diag}} \boldsymbol{\mu}_M \times \boldsymbol{\mu}_M \xrightarrow{p_{M,e'} \times \text{Id}} \boldsymbol{\mu}_{e'} \times \boldsymbol{\mu}_M \xrightarrow{\vartheta \times \theta_\lambda} \text{Aut}_{\mathscr{G}} \times \text{Aut}_{\mathscr{G}} \xrightarrow{\text{mult.}} \text{Aut}_{\mathscr{G}}.$$

By [5, Proposition A.8.10], the fixed-point locus of  $\mathscr{G}$  under the action of  $\theta$  is representable by a smooth closed  $\mathcal{O}_{e'}[\frac{1}{e}]$ -subscheme  $\mathscr{G}^{\theta}$  of  $\mathscr{G}$ . Moreover, by [5, Proposition A.8.12], the fiber  $\mathscr{G}_{\overline{s}}^{\theta,0}$  is a reductive group for all geometric points  $\overline{s}$  of Spec  $\mathcal{O}_{e'}[\frac{1}{e}]$ . Similarly,  $\mathscr{T}^{\theta,0} = \mathscr{T}^{\vartheta,0}$  is a smooth closed subscheme of  $\mathscr{T}$ . Hence  $\mathscr{T}^{\vartheta,0}$  is a split torus over  $\operatorname{Spec} \mathcal{O}_{e'}[\frac{1}{e}]$ .

Let us denote  $\mathscr{G}^{\theta,0}$  by  $\mathscr{H}'$ . We claim that  $\mathscr{T}^{\vartheta,0}$  is a maximal torus of  $\mathscr{H}'$ . In order to prove the claim for geometric fibers, we use a similar argument to one used in [7, Section 4]. Let qbe an arbitrary prime number coprime to e,  $\mathscr{B}$  the Borel of  $\mathscr{G}$  corresponding to the positive roots, and  $\mathscr{U}$  its unipotent radical. As  $\mathscr{H}'_{\mathbb{F}_q}$  is a closed subgroup of  $\mathscr{G}_{\mathbb{F}_q}, \mathscr{H}'_{\mathbb{F}_q}/(\mathscr{B}_{\mathbb{F}_q} \cap \mathscr{H}'_{\mathbb{F}_q})$ is proper in  $\mathscr{G}_{\mathbb{F}_q}/\mathscr{B}_{\mathbb{F}_q}$ , hence is proper. Thus  $\mathscr{B}_{\mathbb{F}_q} \cap \mathscr{H}'_{\mathbb{F}_q}$  is a solvable parabolic subgroup, i.e. a Borel subgroup, and  $\mathscr{B}^{\theta,0}_{\mathbb{F}_q} = \mathscr{B}_{\mathbb{F}_q} \cap \mathscr{H}'_{\mathbb{F}_q}$ . According to [18, 8.2],  $\mathscr{U}^{\theta}_{\mathbb{F}_q}$  is connected, and hence  $\mathscr{B}^{\theta,0}_{\mathbb{F}_q} = \mathscr{T}^{\theta,0}_{\mathbb{F}_q} \ltimes \mathscr{U}^{\theta}_{\mathbb{F}_q}$ . This means that  $\mathscr{T}^{\vartheta,0}_{\mathbb{F}_q} = \mathscr{T}^{\theta,0}_{\mathbb{F}_q}$  is a maximal torus of  $\mathscr{H}'_{\mathbb{F}_q}$ . Hence  $\mathscr{T}^{\vartheta,0}_s$  is a maximal torus in  $\mathscr{H}'_s$  for all geometric points  $\overline{s}$  of Spec  $\mathcal{O}_{e'}[\frac{1}{e}]$ , because the locus of the former points is open. This means that  $\mathscr{T}^{\vartheta,0}$  is a maximal torus of  $\mathscr{H}'$ . In addition, Pic(Spec  $\overline{\mathbb{Z}}[\frac{1}{e}])$  is trivial (by the principal ideal theorem), and hence the root

spaces for  $(\mathscr{G}_{\overline{\mathbb{Z}}[1/e]}, \mathscr{T}_{\overline{\mathbb{Z}}[1/e]})$  are free line bundles. Using that  $\operatorname{Spec} \overline{\mathbb{Z}}[\frac{1}{e}]$  is connected, we conclude that  $\mathscr{H}'_{\overline{\mathbb{Z}}[1/e]}$  is a split reductive group scheme.

If q is a large enough prime number, then by [15, Theorem 4.1] we have  $\mathscr{H}'_{\overline{\mathbb{F}}_q} \simeq \mathbf{G}_{x_q}$ . Hence  $R(\mathscr{H}') = R(\mathscr{H}'_{\overline{\mathbb{F}}_p}) = R(\mathbf{G}_{x_q}) = R(\mathscr{H})$ , and  $\mathscr{H}'_{\overline{\mathbb{Z}}[1/e]}$  is (abstractly) isomorphic to  $\mathscr{H}$  as desired.

In order to give a new construction of  $\mathscr{V}$ , let  $d : \operatorname{Aut}_{\mathscr{G}} \to \operatorname{Aut}_{\operatorname{Lie}(\mathscr{G})}$  be the map defined as follows. For any  $\mathcal{O}_{e'}[\frac{1}{e}]$ -algebra R, and  $g \in \operatorname{Aut}_{\mathscr{G}}(R)$ , define  $dg := \operatorname{Lie}(g) \in \operatorname{Aut}(\operatorname{Lie}(\mathscr{G})_R)$ . Then the action  $d\theta$  defines a  $\mathbb{Z}/M\mathbb{Z}$ -grading on  $\operatorname{Lie}(\mathscr{G})$ , which we write as  $\operatorname{Lie}\mathscr{G} = \bigoplus_{i=1}^{M} (\operatorname{Lie}\mathscr{G})_i$ .

We define  $\mathscr{V}'$  to be the free  $\mathcal{O}_{e'}[\frac{1}{e}]$ -module  $\operatorname{Lie}(\mathscr{G})_{M-d}(\mathcal{O}_{e'}[\frac{1}{e}])$ , and the action of  $\mathscr{H}' := \mathscr{G}^{\theta,0}$ on  $\mathscr{V}'$  should be given by the restriction of the adjoint action of  $\mathscr{G}$  on  $\operatorname{Lie}(\mathscr{G})(\mathcal{O}_{e'}[\frac{1}{e}])$ .

In order to show that the  $\mathscr{H}$ -representation on  $\mathscr{V}$  corresponds to the  $\mathscr{H}'_{\overline{\mathbb{Z}}[1/e]}$ -representation on  $\mathscr{V}'_{\overline{\mathbb{Z}}[1/e]}$ , we observe that  $\mathscr{V}'_{\overline{\mathbb{Z}}[1/e]}$  is the M - d weight space of the action of  $\vartheta \cdot \operatorname{Ad}(\lambda(\zeta_M))$  for some primitive *M*-th root of unity  $\zeta_M$  in  $\overline{\mathbb{Z}}[\frac{1}{e}]$ . Using the notation introduced in Section 3.3.1 preceding Remark 3.11, we let  $C_{\alpha} = \zeta_M^{e(\alpha) \cdot \alpha(x-x_0) \cdot M}$ . By the same arguments as in the proof of Lemma 3.13, we see that there exists an automorphism *h* of  $\mathscr{G}_{\overline{\mathbb{Z}}[1/e]}$  that preserves  $\mathscr{T}_{\overline{\mathbb{Z}}[1/e]}$  and sends  $\chi_{\alpha}$  to  $\chi_{\alpha} \circ C_{\alpha}$  for all  $\alpha \in \Phi$ .

Let q be a large enough prime, to be more precise odd, not torsion for G and not dividing M. Then we deduce from the arguments used in [15, Section 4] that we have commutative diagrams:

$$\begin{aligned}
\mathscr{H}'_{\overline{\mathbb{F}}_{q}} & \xrightarrow{\subset} & \mathscr{G}_{\overline{\mathbb{F}}_{q}} & \xrightarrow{\vee}_{\overline{\mathbb{F}}_{q}} & \xrightarrow{\vee}_{\overline{\mathbb{F}}_{q}$$

Moreover, the diagram on the right hand side is compatible with the action by the groups of the diagram on the left hand side.

Recall that we constructed in Section 3.3 a map  $\iota : \mathscr{H} \to \mathscr{G}_{\overline{\mathbb{Z}}[1/e]}$  and  $\mathscr{V}$  as a free  $\overline{\mathbb{Z}}[\frac{1}{e}]$ submodule of  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[\frac{1}{e}])$  such that we have the following commutative diagrams for all
primes q coprime to e

$$\begin{aligned}
\mathscr{H}_{\overline{\mathbb{F}}_{q}} & \xrightarrow{\iota} & \mathscr{G}_{\overline{\mathbb{F}}_{q}} & \xrightarrow{\subset} \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{F}}_{q}) \\
\downarrow & \simeq & \simeq \downarrow f_{q}^{-1} & \downarrow \simeq & \simeq \downarrow \operatorname{Lie}(f_{q}^{-1}) & (4.3) \\
\mathbf{G}_{x_{q}} & \xrightarrow{\iota_{K_{q},F_{q}}} \mathbf{G}_{x_{q}}^{F_{q}} & \mathbf{V}_{x_{q},r} & \xrightarrow{\iota_{K_{q},F_{q},r}} \mathbf{V}_{x_{q},r}^{F_{q}} & ,
\end{aligned}$$

where the diagram on the right hand side is compatible with the action of the groups on the left hand side by Proposition 3.18. Note that  $\iota_{K_q,F_q}$  is a closed immersion as either q is odd or e is odd (see Section 2.5).

Thus we conclude that  $h^{-1}(\iota(\mathscr{H}_{\overline{\mathbb{F}}_q})) = \mathscr{H}'_{\overline{\mathbb{F}}_q}$  for large enough q.

Let q now be any prime coprime to e, and let  $\overline{g} \in \mathscr{H}(\overline{\mathbb{F}}_q)$ . As  $\mathscr{H}(\overline{\mathbb{Z}}[\frac{1}{e}])$  surjects onto  $\mathscr{H}(\overline{\mathbb{F}}_q)$ (because this holds for the root groups and the torus), we can choose  $g \in \mathscr{H}(\overline{\mathbb{Z}}[\frac{1}{e}])$  whose image in  $\mathscr{H}(\overline{\mathbb{F}}_q)$  is  $\overline{g}$ . By combining the Diagrams (4.2) and (4.3), we see that the image of  $h^{-1}\iota(g)$  in  $\mathscr{G}(\overline{\mathbb{F}}_{q'})$  is actually contained in  $\mathscr{H}'(\overline{\mathbb{F}}_{q'})$  for all sufficiently large primes q'. Hence  $h^{-1}\iota(g) \in \mathscr{H}'(\overline{\mathbb{Z}}[\frac{1}{e}]) \subset \mathscr{G}(\overline{\mathbb{Z}}[\frac{1}{e}])$ , and  $h^{-1} \circ \iota(\mathscr{H}(\overline{\mathbb{F}}_q)) \subset \mathscr{H}'(\overline{\mathbb{F}}_q)$ . Since we observed that  $\mathscr{H}'_{\overline{\mathbb{F}}_q}$  is abstractly isomorphic to  $\mathbf{G}_{x_q} \simeq h^{-1} \circ f_q(\iota_{K_q,F_q}(\mathbf{G}_{x_q})) \simeq h^{-1} \circ \iota(\mathscr{H}_{\overline{\mathbb{F}}_q})$ , we conclude that

$$h^{-1} \circ \iota(\mathscr{H}_{\overline{\mathbb{F}}_q}) = \mathscr{H}'_{\overline{\mathbb{F}}_q}$$

$$(4.4)$$

for all primes q coprime to e. The same arguments show that

$$h^{-1} \circ \iota(\mathscr{H}_{\overline{\mathbb{F}}_p}) = \mathscr{H}'_{\overline{\mathbb{F}}_p}.$$
(4.5)

Moreover, we claim that  $h^{-1} \circ \iota(\mathscr{H}_{\overline{\mathbb{Q}}}) = \mathscr{H}'_{\overline{\mathbb{Q}}}$ . In order to prove the claim, note that  $(\boldsymbol{\mu}_M)_{\overline{\mathbb{Q}}} \simeq \underline{\mathbb{Z}}/M\mathbb{Z}_{\overline{\mathbb{Q}}}$ , and hence the action of the group scheme  $\boldsymbol{\mu}_M$  on  $\mathscr{G}_{\overline{\mathbb{Q}}}$  corresponds to the action of the finite group  $\mathbb{Z}/M\mathbb{Z}$  generated by  $\vartheta \cdot \operatorname{Inn}(\lambda(\zeta_M))$ . Therefore, by the construction of  $\iota : \mathscr{H}_{\overline{\mathbb{Z}}[1/e]} \to \mathscr{G}_{\overline{\mathbb{Z}}[1/e]}$  (see Proposition 3.15) and the definition of  $h : \mathscr{G}_{\overline{\mathbb{Z}}[1/e]} \to \mathscr{G}_{\overline{\mathbb{Z}}[1/e]}$ , we see that  $h^{-1} \circ \iota(\mathscr{H}(\overline{\mathbb{Q}})) \subset \mathscr{G}^{\theta}(\overline{\mathbb{Q}})$ . As  $\iota_{\overline{\mathbb{Q}}} : \mathscr{H}_{\overline{\mathbb{Q}}} \to \mathscr{G}_{\overline{\mathbb{Q}}}$  is a closed immersion by Lemma 3.17,  $h^{-1} \circ \iota(\mathscr{H}_{\overline{\mathbb{Q}}}) \simeq \mathscr{H}_{\overline{\mathbb{Q}}} \simeq \mathscr{G}_{\overline{\mathbb{Q}}}^{\theta,0} = \mathscr{H}'_{\overline{\mathbb{Q}}}$ , and we conclude that

$$h^{-1} \circ \iota(\mathscr{H}_{\overline{\mathbb{Q}}}) = \mathscr{H}'_{\overline{\mathbb{Q}}}.$$
(4.6)

Thus, as  $\mathscr{H}'_{\overline{\mathbb{Z}}[1/e]}$  is smooth over  $\operatorname{Spec}\overline{\mathbb{Z}}[\frac{1}{e}]$ , hence reduced, we deduce from the Nullstellensatz that  $h^{-1} \circ \iota : \mathscr{H} \to \mathscr{G}_{\overline{\mathbb{Z}}[1/e]}$  factors via the closed subscheme  $\mathscr{H}'_{\overline{\mathbb{Z}}[1/e]}$  of  $\mathscr{G}_{\overline{\mathbb{Z}}[1/e]}$ , i.e. we may write  $h^{-1} \circ \iota : \mathscr{H} \to \mathscr{H}'_{\overline{\mathbb{Z}}[1/e]}$ . As we proved that  $(h^{-1} \circ \iota)_s : \mathscr{H}_s \to (\mathscr{H}'_{\overline{\mathbb{Z}}[1/e]})_s$  is an isomorphism for all  $s \in \operatorname{Spec}\overline{\mathbb{Z}}[\frac{1}{e}]$  (see Equation (4.4), (4.5), (4.6)), we conclude that by [6, 17.9.5] the morphism  $h^{-1} \circ \iota : \mathscr{H} \to \mathscr{H}'_{\overline{\mathbb{Z}}[1/e]}$  is an isomorphism.

Moreover, as  $\operatorname{Lie}(h)(\mathscr{V}'_{\overline{\mathbb{F}}_{q}}) = \mathscr{V}_{\overline{\mathbb{F}}_{q}}$  for large enough primes q, we deduce that  $\operatorname{Lie}(h) :$  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[\frac{1}{e}]) \to \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[\frac{1}{e}])$  yields an isomorphism of the direct  $\overline{\mathbb{Z}}[\frac{1}{e}]$ -module summands  $\mathscr{V}'_{\overline{\mathbb{Z}}[1/e]}$  and  $\mathscr{V}$ .

As the action of  $\mathscr{H}$  on  $\mathscr{V}$  was defined via the adjoint action of  $\mathscr{G}_{\overline{\mathbb{Z}}[1/e]} \supset \iota(\mathscr{H})$  onto Lie $(\mathscr{G}_{\overline{\mathbb{Z}}[1/e]})(\overline{\mathbb{Z}}[\frac{1}{e}]) \supset \mathscr{V}$ , the isomorphisms

$$h^{-1}: \mathscr{H} \to \mathscr{H}'_{\overline{\mathbb{Z}}[1/e]} = \mathscr{G}^{\theta,0}_{\overline{\mathbb{Z}}[1/e]} \quad \text{and} \quad \operatorname{Lie}(h^{-1}): \mathscr{V} \to \mathscr{V}'_{\overline{\mathbb{Z}}[1/e]} = \operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Z}}[1/e]})_{M-d}\left(\overline{\mathbb{Z}}[\frac{1}{e}]\right)$$

map the action of  $\mathscr{H}$  onto  $\mathscr{V}$  to the action of  $(\mathscr{G}_{\overline{\mathbb{Z}}[1/e]})^{\theta,0}$  on  $\operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Z}}[1/e]})_{M-d}(\overline{\mathbb{Z}}[\frac{1}{e}])$  which arises from the restriction of the adjoint action of  $\mathscr{G}_{\overline{\mathbb{Z}}[1/e]}$  on  $\operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Z}}[1/e]})(\overline{\mathbb{Z}}[\frac{1}{e}])$ .

The commutative diagrams in the theorem now follow by applying Theorem 3.7.  $\Box$ 

**Remark 4.3.** Let  $E_{e'}$  be as defined in the proof of Theorem 4.1. Denote by  $E_H$  the Hilbert class field of  $E_{e'}$  and by  $\mathcal{O}_H$  the ring of integers in  $E_H$ . Then the group schemes  $\mathscr{H}$  and  $\mathscr{G}$ and the action of  $\mathscr{H}$  on  $\mathscr{V}$  appearing in Theorem 4.1 can be defined over Spec  $\mathcal{O}_H[\frac{1}{e}]$ .

#### 4.2 Vinberg–Levy theory for all good groups

Even though the Moy–Prasad filtration representation of groups that do not split over a tamely ramified extension might not be described as in Vinberg–Levy theory, its lift to characteristic zero can be described using Vinberg theory, i.e. as the fixed-point subgroup of a finite order automorphism on a larger group acting on some eigenspace in the Lie algebra of the larger group. To be more precise, we have the following corollary of Theorem 4.1 combined with Theorem 3.7. **Corollary 4.4.** Let G be a good group over K,  $r = \frac{d}{M}$  for some nonnegative integer d < M, and let the representation  $\mathscr{H}$  acting on  $\mathscr{V}$  be as in Theorem 3.7. Then there exist a reductive group scheme  $\mathscr{G}_{\overline{\mathbb{Q}}}$  over  $\overline{\mathbb{Q}}$  and morphisms

$$\theta: \boldsymbol{\mu}_M \to \operatorname{Aut}_{\mathscr{G}_{\overline{\mathbb{Q}}}/\overline{\mathbb{Q}}} \quad and \quad d\theta: \boldsymbol{\mu}_M \to \operatorname{Aut}_{\operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Q}}})/\overline{\mathbb{Q}}}$$

such that  $\mathscr{H}_{\overline{\mathbb{Q}}} \simeq \mathscr{G}_{\overline{\mathbb{Q}}}^{\theta,0}$  and  $\mathscr{V}_{\overline{\mathbb{Q}}} \simeq \operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Q}}})_{M-d}(\overline{\mathbb{Q}})$ , and the action of  $\mathscr{H}_{\overline{\mathbb{Q}}}$  on  $\mathscr{V}_{\overline{\mathbb{Q}}}$  corresponds via these isomorphisms to the restriction of the adjoint action of  $\mathscr{G}_{\overline{\mathbb{Q}}}$  on  $\operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Q}}})(\overline{\mathbb{Q}})$ .

**Proof.** Let q be a prime larger than  $p^s N$ . Then, by construction, the representation over  $\overline{\mathbb{Z}}[\frac{1}{p^s N}]$  associated to  $G_q$  via the proof of Theorem 3.7 agrees with the representation of  $\mathscr{H}_{\overline{\mathbb{Z}}[1/(p^s N)]}$  on  $\mathscr{V}_{\overline{\mathbb{Z}}[1/(p^s N)]}$ . As  $G_q$  splits over a tamely ramified extension, Theorem 4.1 allows us to deduce the corollary.

## 5 Semistable and stable vectors

In this section we apply our results of Section 3 and Section 4 to prove that the existence of stable and semistable vectors in the Moy–Prasad filtration representations is independent of the characteristic of the residue field. Recall that a vector v in a vector space V over an algebraically closed field is *stable* under the action of a reductive group  $G_V$  on V if the orbit  $G_V v$  is closed and the stabilizer  $\operatorname{Stab}_{G_V}(v)$  of v in  $G_V$  is finite. A vector  $v \in V$  is called *semistable* if the closure of the orbit  $G_V v$  does not contain zero.

#### 5.1 Semistable vectors

The global version of the Moy–Prasad filtration representation as provided by Theorem 3.7 allows us to show that the existence of semistable vectors is prime independent as follows.

**Theorem 5.1.** We keep the notation used in Theorem 3.7, in particular G is a good reductive group over K and  $x \in \mathscr{B}(G, K)$ . Then the following are equivalent

- (i)  $\mathbf{V}_{x,r}$  has semistable vectors under the action of  $\mathbf{G}_x$ .
- (ii)  $\mathbf{V}_{x_q,r}$  has semistable vectors under the action of  $\mathbf{G}_{x_q}$  for some prime q coprime to N.

(iii)  $\mathbf{V}_{x_q,r}$  has semistable vectors under the action of  $\mathbf{G}_{x_q}$  for all primes q coprime to N.

**Proof.** We first show that (ii) implies (i). Suppose that (ii) holds, i.e. that  $\mathbf{V}_{x_q,r}$  contains semistable vectors under  $\mathbf{G}_{x_q}$  for some prime q coprime to N. This implies by [11, Proposition 4.3] that  $\mathscr{V}_{\overline{\mathbb{Q}}_q}$  has semistable vectors under the action of  $\mathscr{H}_{\overline{\mathbb{Q}}_q}$ , where  $\mathscr{H}$  and  $\mathscr{V}$  are as in Theorem 3.7. By [13, p. 41] this means that there exists a  $\mathscr{H}_{\overline{\mathbb{Q}}_q}$ -invariant non-constant homogeneous element  $P_q$  in  $\operatorname{Sym}\check{\mathscr{V}}_{\overline{\mathbb{Q}}_q}$ . Moreover, there exists  $X \in \mathscr{V}_{\overline{\mathbb{Q}}} \subset \mathscr{V}_{\overline{\mathbb{Q}}_q}$  such that  $P_q(X) \neq 0$ , i.e. X is semistable in  $\mathscr{V}_{\overline{\mathbb{Q}}_q}$  under the action of  $\mathscr{H}_{\overline{\mathbb{Q}}_q}$ . Hence  $X \neq 0$  is also semistable in  $\mathscr{V}_{\overline{\mathbb{Q}}}$  under the action of  $\mathscr{H}_{\overline{\mathbb{Q}}}$ , which implies  $(\operatorname{Sym}\check{\mathscr{V}_{\overline{\mathbb{Q}}}})^{\mathscr{H}(\overline{\mathbb{Q}})} \neq \overline{\mathbb{Q}}$ . Thus, there does also exist a  $\mathscr{H}(\overline{\mathbb{Z}})$ -invariant non-constant homogeneous element P in  $\operatorname{Sym}\check{\mathscr{V}_{\overline{\mathbb{Z}}}}$ . As Pis non-constant and homogeneous, we can assume without loss of generality that the image  $\overline{P}$  of P in  $\operatorname{Sym}\check{\mathscr{V}_{\overline{\mathbb{Z}}}} \otimes \overline{\mathbb{F}}_p \simeq \operatorname{Sym}\check{\mathscr{V}_{\overline{\mathbb{F}}_p}}$  is non-constant. Note that  $\mathscr{H}(\overline{\mathbb{Z}})$  surjects onto  $\mathscr{H}(\overline{\mathbb{F}}_p)$ , which follows from the surjections on all root groups and the split maximal torus. Hence  $\overline{P}$  is  $\mathscr{H}(\overline{\mathbb{F}}_p) \simeq \mathbf{G}_x(\overline{\mathbb{F}}_p)$ -invariant and there exists  $\overline{X} \in \mathscr{V}_{\overline{\mathbb{F}}_p} \simeq \mathbf{V}_{x,r}$  such that  $\overline{f}(\overline{X}) \neq 0$ , i.e.  $\overline{X}$  is semistable by [13, p. 41]. Thus (i) is true. The same arguments show that if  $\mathbf{G}_{x,r}$  has semistable vectors, then  $\mathbf{G}_{xq,r}$  has semistable vectors for all primes q coprime to N, i.e. (i) implies (iii). As (iii) implies (ii), we conclude that all three statements are equivalent.

Note that the same holds for the linear duals  $\check{\mathbf{V}}_{x,r}$  and  $\check{\mathbf{V}}_{x_q,r}$  of  $\mathbf{V}_{x,r}$  and  $\mathbf{V}_{x_q,r}$  using  $\check{\mathscr{V}}$  instead of  $\mathscr{V}$  in the proof above:

**Corollary 5.2.** We use the same notation as above. Then  $\dot{\mathbf{V}}_{x,r}$  has semistable vectors under the action of  $\mathbf{G}_x$  if and only if  $\check{\mathbf{V}}_{x_q,r}$  has semistable vectors under the action of  $\mathbf{G}_{x_q}$ for some prime q coprime to N if and only if  $\check{\mathbf{V}}_{x_q,r}$  has semistable vectors under the action of  $\mathbf{G}_{x_q}$  for all primes q coprime to N.

**Remark 5.3.** For semisimple groups G that split over a tamely ramified extension and sufficiently large residue-field characteristic p, Reeder and Yu classified in [15, Theorem 8.3] those x for which  $\check{\mathbf{V}}_{x,r}$  contains semistable vectors in terms of conditions that are independent of the prime p. Corollary 5.2 allows us to conclude that these prime independent conditions also classify points x such that  $\mathbf{V}_{x,r}$  contains semistable vectors for all good semisimple groups G (without any restriction on the residue-field characteristic).

### 5.2 Stable vectors

In this section we show an analogous result to the one in Section 5.1 for stable vectors. This allows us to generalize the criterion in [15] for the existence of stable vectors in the dual of the first Moy–Prasad filtration quotient to arbitrary residual characteristics p and all good semisimple groups, which in turn produces new supercuspidal representations.

**Theorem 5.4.** We keep the notation used above, in particular G is a good reductive group over K and  $x \in \mathscr{B}(G, K)$ . Then the following are equivalent

- (i)  $\mathbf{V}_{x,r}$  has stable vectors under the action of  $\mathbf{G}_x$ .
- (ii)  $\mathbf{V}_{x_q,r}$  has stable vectors under the action of  $\mathbf{G}_{x_q}$  for some prime q coprime to N.
- (iii)  $\mathbf{V}_{x_q,r}$  has stable vectors under the action of  $\mathbf{G}_{x_q}$  for all primes q coprime to N.

**Proof.** We suppose without loss of generality that  $r = \frac{d}{M}$  for some nonnegative integer d < M.

Assume that (ii) is satisfied, i.e. there exists a prime q coprime to N such that  $\mathbf{V}_{x_q,r}$  contains stable vectors under the action of  $\mathbf{G}_{x_q}$ .

As was pointed out to us by Beth Romano, a slight variation of the proof by Moy and Prasad of [12, Proposition 4.3] shows that then  $\mathscr{V}_{\overline{\mathbb{Q}}_q}$  contains stable vectors under  $\mathscr{H}_{\overline{\mathbb{Q}}_q}$ , where  $\mathscr{H}$  and  $\mathscr{V}$  are as in Theorem 3.7.

Recall that by Corollary 4.4,  $\mathscr{H}_{\overline{\mathbb{Q}}} \simeq \mathscr{G}_{\overline{\mathbb{Q}}}^{\theta,0}$  and  $\mathscr{V}_{\overline{\mathbb{Q}}} \simeq \operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Q}}})_{M-d}(\overline{\mathbb{Q}})$  such that the action of  $\mathscr{H}_{\overline{\mathbb{Q}}}$  on  $\mathscr{V}_{\overline{\mathbb{Q}}}$  corresponds via these isomorphisms to the restriction of the adjoint action of  $\mathscr{G}_{\overline{\mathbb{Q}}}$  on  $\operatorname{Lie}(\mathscr{G}_{\overline{\mathbb{Q}}})(\overline{\mathbb{Q}})$ . Let  $\zeta_M$  be a primitive M-th root of unity in  $\overline{\mathbb{Q}}$ , denote  $\mathscr{G}_{\overline{\mathbb{Q}}}^{\theta(\zeta_M)^{M/(d,M)},0}$  by  $\mathscr{G}'$ , its Weyl group by W', and let  $\vartheta$  be the action of  $\theta(\zeta_M)$  on the root datum  $R(\mathscr{G}'_{\overline{\mathbb{Q}}_q})$ . Then by [14, Corollary 14], the existence of stable vectors in  $\mathscr{H}_{\overline{\mathbb{Q}}_q}$  is equivalent to the action of  $\theta(\zeta_M)$  on  $\mathscr{G}'_{\overline{\mathbb{Q}}_q}$  (or, equivalently, on  $\mathscr{G}'$ ) being principal and  $\frac{M}{(d,M)}$  being the order of an elliptic  $\mathbb{Z}$ -regular element of  $W'\vartheta$ . Hence we conclude by the same equivalence for the prime p that there exist stable vectors in  $\mathscr{H}_{\overline{\mathbb{Q}}_p}$  under the action of  $\mathscr{H}_{\overline{\mathbb{Q}}_p}$ .

Thus the set of stable vectors  $(\mathscr{V}_{\overline{\mathbb{Q}}_p})_s$  in  $\mathscr{V}_{\overline{\mathbb{Q}}_p}$  is non-empty and open (see [13]). Hence there exists a nonzero polynomial P in  $\mathcal{O}(\mathscr{V}_{\overline{\mathbb{Q}}_p}) = \mathcal{O}(\mathscr{V}_{\overline{\mathbb{Z}}_p}) \otimes_{\overline{\mathbb{Z}}_p} \overline{\mathbb{Q}}_p \simeq \overline{\mathbb{Z}}_p[x_1, \ldots, x_n] \otimes_{\overline{\mathbb{Z}}_p} \overline{\mathbb{Q}}_p = \overline{\mathbb{Q}}_p[x_1, \ldots, x_n]$  such that the  $\overline{\mathbb{Q}}_p$ -points of the closed reduced subvariety V(P) of  $\mathscr{V}_{\overline{\mathbb{Q}}_p}$  defined by the vanishing locus of P contain  $\left(\mathscr{V}_{\overline{\mathbb{Q}}_p} - (\mathscr{V}_{\overline{\mathbb{Q}}_p})_s\right) \ni 0$ . We can assume without loss of generality that the coefficients of P are in  $\overline{\mathbb{Z}}_p$ , i.e.  $P \in \mathcal{O}(\mathscr{V}_{\overline{\mathbb{Z}}_p}) \subset \mathcal{O}(\mathscr{V}_{\overline{\mathbb{Q}}_p})$ , and that at least one coefficient of P has p-adic valuation zero. Let  $\overline{P}$  be the image of P under the reduction map  $\mathcal{O}(\mathscr{V}_{\overline{\mathbb{Z}}_p}) \simeq \overline{\mathbb{Z}}_p[x_1, \ldots, x_n] \to \mathcal{O}(\mathscr{V}_{\overline{\mathbb{F}}_p}) \simeq \overline{\mathbb{F}}_p[x_1, \ldots, x_n]$ . Then  $\overline{P}$  is not constant, because P(0) = 0, and there exists  $\overline{X} \in \mathscr{V}_{\overline{\mathbb{F}}_p} \simeq \mathbf{V}_{x,r}$  such that  $\overline{P}(\overline{X}) \neq 0$ .

We claim that  $\overline{X}$  is a stable vector under the action of  $\mathbf{G}_x$ . We will prove the claim using the Hilbert-Mumford Criterion that states that a vector is stable if and only if it has positive and negative weights for every non-trivial one-parameter subgroup, see [13]. Let  $\overline{\lambda}: \mathbb{G}_m \to \mathbf{G}_x \simeq \mathscr{H}_{\overline{\mathbb{F}}_p}$  be a non-trivial one parameter subgroup. Then  $\overline{\lambda}$  is defined over some finite extension of  $\mathbb{F}_p$ , and hence by [16, IX, Corollaire 7.3] there exists a lift  $\lambda: \mathbb{G}_m \to \mathscr{H}_{\overline{\mathbb{Z}}_p}$ , of  $\overline{\lambda}$ . The composition of  $\lambda$  with the action of  $\mathscr{H}_{\overline{\mathbb{Z}}_p}$  on  $\mathscr{V}_{\mathbb{Z}_p}$  yields an action of  $\mathbb{G}_m$  on  $\mathscr{V}_{\mathbb{Z}_p}$ , and we obtain a weight decomposition  $\mathscr{V}_{\overline{\mathbb{Z}}_p} = \oplus_{m \in \mathbb{Z}} \mathscr{V}_m$ . Denote  $\oplus_{m \in \mathbb{Z}_{>0}} \mathscr{V}_m$  by  $\mathscr{V}_+$  and  $\oplus_{m \in \mathbb{Z}_{<0}} \mathscr{V}_m$ by  $\mathscr{V}_-$ , i.e.  $\mathscr{V}_{\overline{\mathbb{Z}}_p} = \mathscr{V}_- \oplus \mathscr{V}_0 \oplus \mathscr{V}_+$ . Let  $X \in \mathscr{V}_{\overline{\mathbb{Z}}_p}$  be a lift of  $\overline{X}$ , and write  $X = X_- + X_0 + X_+$ with  $X_- \in \mathscr{V}_-, X_0 \in \mathscr{V}_0, X_+ \in \mathscr{V}_+$ . Note that the weight decomposition of  $\mathscr{H}_{\overline{\mathbb{F}}_p}$  under the action of  $\mathbb{G}_m$  via the composition of  $\overline{\lambda}$  with the action of  $\mathscr{H}_{\overline{\mathbb{F}}_p}$  on  $\mathscr{H}_{\overline{\mathbb{F}}_p}$  is the image of the decomposition  $\mathscr{V}_- \oplus \mathscr{V}_0 \oplus \mathscr{V}_+$ , i.e.  $(\mathscr{H}_{\overline{\mathbb{F}}_p})_- = \oplus_{m \in \mathbb{Z}_{<0}} (\mathscr{H}_{\overline{\mathbb{F}}_p})_m = (\mathscr{H}_-)_{\overline{\mathbb{F}}_p}, (\mathscr{H}_{\overline{\mathbb{F}}_p})_0 = (\mathscr{H}_0)_{\overline{\mathbb{F}}_p}$  and  $(\mathscr{H}_{\overline{\mathbb{F}}_p})_+ = \oplus_{m \in \mathbb{Z}_{>0}} (\mathscr{H}_{\overline{\mathbb{F}}_p})_m = (\mathscr{H}_+)_{\overline{\mathbb{F}}_p}$ . Hence  $\overline{X} = \overline{X}_- + \overline{X}_0 + \overline{X}_+$  (where an overline denotes the image after base change to  $\overline{\mathbb{F}}_p$ ) has positive and negative weights with respect to  $\overline{\lambda}$  if and only if  $v(X_-) = 0 = v(X_+)$ .

Suppose that  $v(X_{-}) > 0$ . Then  $P(X) \equiv P(X_0 + X_+)$  modulo the maximal ideal of  $\overline{\mathbb{Z}}_p$ . However,  $X_0 + X_+$  is not a stable vector, because it has no negative weights with respect to the non-trivial one parameter subgroup  $\lambda \times_{\overline{\mathbb{Z}}_p} \overline{\mathbb{Q}}_p$ , which implies  $P(X_0 + X_+) = 0$ . Hence  $\overline{P}(\overline{X}) = 0$  contradicting the choice of  $\overline{X}$ . The same contradiction arises if we assume that  $v(X_-) > 0$ . Thus,  $\overline{X}$  has positive and negative weights for every non trivial one parameter subgroup, i.e.  $\overline{X}$  is stable by the Hilbert-Mumford criterion. Hence, statement (i) of the theorem holds. The same arguments show that if  $\mathbf{G}_{x,r}$  has stable vectors, then  $\mathbf{G}_{x_q,r}$  has stable vectors for all q coprime to N, i.e. (i) implies (iii). As (iii) implies (ii), the three statements are equivalent.

As in the semistable case, the same proof works for the linear duals of the Moy–Prasad filtration quotients:

**Corollary 5.5.** We use the same notation as above. Then  $\check{\mathbf{V}}_{x,r}$  has stable vectors under the action of  $\mathbf{G}_x$  if and only if  $\check{\mathbf{V}}_{x_q,r}$  has stable vectors under the action of  $\mathbf{G}_{x_q}$  for some prime q coprime to N if and only if  $\check{\mathbf{V}}_{x_q,r}$  has stable vectors under the action of  $\mathbf{G}_{x_q}$  for all primes q coprime to N.

Denote by r(x) the smallest positive real number such that  $\mathbf{V}_{x,r(x)} \neq \{0\}$ , and let  $\check{\rho} = \frac{1}{2} \sum_{\alpha \in \Phi^+} \check{\alpha}$ , where  $\Phi^+$  are the positive roots of  $\Phi = \Phi(G)$  (with respect to the fixed Borel B). Then Corollary 5.5 allows us to classify the existence of stable vectors in  $\check{\mathbf{V}}_{x,r(x)}$  for arbitrary primes p and good semisimple groups below. This generalizes the result of [15, Corollary 5.1] for large primes p and semisimple groups that split over a tamely ramified extensions.

**Corollary 5.6.** Let G be a good semisimple group and x a rational point of order m in  $\mathscr{A}(S,K) \subset \mathscr{B}(G,K)$ . Then  $\check{\mathbf{V}}_{x,r(x)}$  contains stable vectors under  $\mathbf{G}_x$  if and only if x is conjugate under the affine Weyl group  $W_{\text{aff}}$  of the restricted root system of G to  $x_0 + \check{\rho}/m$ , r(x) = 1/m and there exists an elliptic  $\mathbb{Z}$ -regular element  $w\gamma'$  of order m in  $W\gamma'$ , where W is the absolute Weil group of G and  $\gamma'$  is the automorphism of R(G) given in the definition of a good group (Definition 3.1).

**Proof.** Note that by Lemma 3.5, the order of  $x_q$  is m, and by Theorem 3.7, we have  $r(x_q) = r(x)$ . Let q be sufficiently large, i.e. coprime to M, not torsion and odd. Then  $G_q$ 

is a semisimple group that splits over a tamely ramified extension, and we deduce from the proof of [15, Lemma 3.1] that  $\check{\mathbf{V}}_{x_q,r(x_q)}$  can only admit stable vectors under  $\mathbf{G}_{x_q}$  if  $x_q$  is a barycenter of some facet of  $\mathscr{A}_q = \mathscr{A}(S_q, K_q)$ , and hence  $r(x_q) = 1/m$ . Therefore, as q is chosen sufficiently large, we obtain by [15, Corollary 5.1] that  $\check{\mathbf{V}}_{x_q,r(x_q)}$  has stable vectors if and only if  $x_q$  is conjugate under the affine Weyl group  $W_{\mathrm{aff}q}$  of the restricted root system of  $G_q$  to  $x_{0,q} + \check{\rho}/m$ , r(x) = 1/m and there exists an elliptic  $\mathbb{Z}$ -regular element  $w\gamma'$  of order m in  $W\gamma'$ , because W is isomorphic to the absolute Weil group of  $G_q$ . Note that

$$x_q \sim_{W_{\mathrm{aff}q}} x_{0,q} + \check{\rho}/m$$
 if and only if  $x \sim_{W_{\mathrm{aff}}} x_0 + \frac{1}{4} \sum_{a \in \Phi_K^{+,\mathrm{mul}}} \mathrm{v}(\lambda_a) \cdot \check{a} + \frac{\check{\rho}}{m}$ ,

and  $x_0 + \frac{1}{4} \sum_{a \in \Phi_K^{+, \text{mul}}} v(\lambda_a) \cdot \check{a} + \check{\rho}/m$  is conjugate to  $x_0 + \check{\rho}/m$  under the extended affine Weyl group of the restricted root system of G. However, by checking the tables for all possible points  $x_q$  whose first Moy–Prasad filtration quotient  $\check{\mathbf{V}}_{x_q,r(x_q)}$  admits stable vectors in [14] and [15], we observe that the latter conjugacy can be replaced by conjugacy under the (unextended) affine Weyl group. Hence using Corollary 5.5, we conclude that  $\check{\mathbf{V}}_{x,r(x)}$ contains stable vectors under the action of  $\mathbf{G}_x$  if and only if  $x \sim_{W_{\text{aff}}} x_0 + \check{\rho}/m$ , r(x) = 1/m, and there exists an elliptic  $\mathbb{Z}$ -regular element of order m in  $W\gamma'$ .

Recall that k is a nonarchimedean local field with maximal unramified extension K.

**Corollary 5.7.** Let G be a good semisimple group, and suppose that G is defined over k. Assume that  $W\gamma'$  contains an elliptic Z-regular element. Then using the construction of [15, Section 2.5] we obtain supercuspidal (epipelagic) representations of G(k') for some finite unramified field extension k' of k.

**Proof.** Let *m* be the order of an elliptic  $\mathbb{Z}$ -regular element of  $W\gamma'$ , and  $x = x_0 + \check{\rho}/m \in \mathscr{A}(S, K)$ . By Corollary 5.6,  $\check{\mathbf{V}}_{x,r(x)}$  contains stable vectors under the action of  $\mathbf{G}_x$ . Since *x* 

is fixed under the action of the Galois group  $\operatorname{Gal}(K/k)$ , the vector space  $\check{\mathbf{V}}_{x,r(x)}$  is defined over the residue field  $\mathfrak{f}$  of k. Hence there exists a finite unramified field extension k' of k with residue field  $\mathfrak{f}'$  such  $\check{\mathbf{V}}_{x,r(x)}$  contains a stable vector defined over  $\mathfrak{f}'$ . Applying [15, Proposition 2.4] yields the desired result.

# 6 Moy–Prasad filtration representations as Weyl modules

In this section we describe the Moy–Prasad filtration representations in terms of Weyl modules. Recall that for  $\lambda \in X^*(\mathscr{S})$  a dominant weight, the Weyl module  $V(\lambda)$  (over  $\overline{\mathbb{Z}}[1/N]$ ) is given by

$$V(\lambda) = \operatorname{ind}_{\mathscr{B}_{H}^{-}}^{\mathscr{H}}(-w_{0}\lambda)^{\vee},$$

where  $\mathscr{B}_H$  is the Borel subgroup of  $\mathscr{H}$  corresponding to  $\Delta(\mathscr{H}), \mathscr{B}_H^-$  is the opposite Borel subgroup,  $w_0$  is the longest element of the Weyl group of  $\Phi(\mathscr{H})$ , and  $(.)^{\vee}$  denotes the dual ([8, II.8.9]). We define

$$\Phi_{x,r} = \{a \in \Phi_K \mid r - a(x - x_0) \in \Gamma'_a(G)\}$$
  
$$\Phi_{x,r}^{\max} = \{a \in \Phi_{x,r} \mid a + b \notin \Phi_{x,r} \text{ for all } b \in \Phi^+(\mathscr{H}) \subset \Phi_K \}.$$

#### 6.1 The split case

If G is split over K, then

$$\Phi_{x,r}^{\max} = \left\{ \alpha \in \Phi \,|\, r - \alpha(x - x_0) \in \mathbb{Z}, \alpha + \beta \notin \Phi \text{ for all } \beta \in \Phi^+(\mathscr{H}) \subset \Phi \right\}.$$

**Theorem 6.1.** Let G be a split reductive group over K, r a real number and x a rational point of  $\mathscr{B}(G, K)$ . Let  $\mathscr{V}$  be the corresponding global Moy-Prasad filtration representation of the split reductive group scheme  $\mathscr{H}$  over  $\overline{\mathbb{Z}}$  (Theorem 3.7). Then

$$\mathscr{V} \simeq \begin{cases} \operatorname{Lie}(\mathscr{H})(\overline{\mathbb{Z}}) & \text{if } r \text{ is an integer} \\ \bigoplus_{\lambda \in \Phi_{x,r}^{\max}} V(\lambda) & \text{otherwise } . \end{cases}$$

**Proof.** If r is an integer, then we have by Theorem 4.1 that  $\mathscr{V} \simeq \operatorname{Lie}(\mathscr{G})_M(\overline{\mathbb{Z}}) = \operatorname{Lie}(\mathscr{G}^{\theta})(\overline{\mathbb{Z}}) = \operatorname{Lie}(\mathscr{H})(\overline{\mathbb{Z}}).$ 

Suppose r is not an integer. Then  $\mathscr{V} \subset \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}})$  is spanned by  $\mathscr{X}_{\alpha} = \operatorname{Lie}(\mathscr{X}_{\alpha})(1)$  for  $\alpha \in \Phi_{x,r}$  (Section 3.3.2). Thus the weights in  $\Phi_{x,r}^{\max}$  are the highest weights of the representation of  $\mathscr{H}$  on  $\mathscr{V}$ , and we have  $\mathscr{V}_{\overline{\mathbb{Q}}} \simeq \bigoplus_{\lambda \in \Phi_{x,r}^{\max}} V(\lambda)_{\overline{\mathbb{Q}}}$ . In order to show that  $\mathscr{V} \simeq \bigoplus_{\lambda \in \Phi_{x,r}^{\max}} V(\lambda)$ , it suffices by [8, II.8.3] to prove that  $\{\mathscr{H}(\overline{\mathbb{Z}})(\mathscr{X}_{\alpha})\}_{\alpha \in \Phi_{x,r}^{\max}}$  spans  $\mathscr{V}$ , i.e. that  $\langle \mathscr{H}(\overline{\mathbb{Z}})(\mathscr{X}_{\alpha}) \rangle_{\alpha \in \Phi_{x,r}^{\max}}$  contains  $\mathscr{X}_{\alpha}$  for all  $\alpha \in \Phi_{x,r}$ . Let  $\alpha \in \Phi_{x,r} \setminus \Phi_{x,r}^{\max}$ . Then there exists  $\beta \in \Phi^+(\mathscr{H})$  such that  $\alpha + \beta \in \Phi$ . Let  $N_{\alpha,\beta} > 0$  be the maximal integer such that  $\alpha + N_{\alpha,\beta}\beta \in \Phi$ , and let  $N_{\alpha,\beta}^-$  be the maximal integer such that  $\alpha - N_{\alpha,\beta}^-\beta \in \Phi$ . We claim that  $\mathscr{X}_{\alpha} + N_{\alpha,\beta}\beta \in \langle \mathscr{H}(\overline{\mathbb{Z}})(\mathscr{X}_{\alpha}) \rangle_{\alpha \in \Phi_{x,r}^{\max}}$  implies that  $\mathscr{X}_{\alpha} \in \langle \mathscr{H}(\overline{\mathbb{Z}})(\mathscr{X}_{\alpha}) \rangle_{\alpha \in \Phi_{x,r}^{\max}}$ , which will imply the theorem by induction.

Suppose that  $\mathcal{X}_{\alpha} + N_{\alpha,\beta}\beta \in \left\langle \mathscr{H}(\overline{\mathbb{Z}})(\mathcal{X}_{\alpha})\right\rangle_{\alpha \in \Phi_{x,r}^{\max}}$ . Note that  $N_{\alpha,\beta} + N_{\alpha,\beta}^{-} \in \{1,2,3\}$ , and recall that

$$\chi_{-\beta}(u)(\mathcal{X}_{\alpha+N_{\alpha,\beta}\beta}) = \sum_{i=0}^{N_{\alpha,\beta}+N_{\alpha,\beta}^{-}} m_{\alpha,\beta,i} u^{i} \mathcal{X}_{\alpha+(N_{\alpha,\beta}-i)\beta} \text{ with } m_{\alpha,\beta,i} \in \{\pm 1\}, \qquad (6.1)$$

for  $u \in \mathbb{G}_a(\overline{\mathbb{Z}})$ . By varying  $u \in \mathbb{G}_a(\overline{\mathbb{Z}})$  and taking linear combinations, we conclude that  $\mathcal{X}_{\alpha}$  is in the  $\overline{\mathbb{Z}}$ -span of  $\{\mathscr{H}(\overline{\mathbb{Z}})(\mathcal{X}_{\alpha})\}_{\alpha \in \Phi_{x,r}^{\max}}$ .

The following corollary follows immediately by combining Theorem 6.1 and Theorem 3.7.

**Corollary 6.2.** Let G be a split reductive group over K, r a real number and x a rational point of  $\mathscr{B}(G, K)$ . Then the representation of  $\mathbf{G}_x$  on  $\mathbf{V}_{x,r}$  is given by

$$\mathbf{V}_{x,r} \simeq \begin{cases} \operatorname{Lie}(\mathbf{G}_x)(\overline{\mathbb{F}}_p) & \text{if } r \text{ is an integer} \\ \bigoplus_{\lambda \in \Phi_{x,r}^{\max}} V(\lambda)_{\overline{\mathbb{F}}_p} & \text{otherwise} \end{cases}.$$

**Remark 6.3.** Note that, if p is sufficiently large, then  $V(\lambda)_{\overline{\mathbb{F}}_p}$  is an irreducible representation of  $\mathbf{G}_x$  of highest weight  $\lambda$ .

### 6.2 The general case

Let  $a \in \Phi_{x,r}^{\max}$  and let  $\mathscr{U}_H$  be the unipotent radical of  $\mathscr{B}_H$ . By Frobenius reciprocity, we have ([8, Proof of Lemma II.2.13a)])

$$\operatorname{Hom}_{\mathscr{H}} \left( V(a), \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N]) \right) \simeq \operatorname{Hom}_{\mathscr{H}} \left( \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])^{\vee}, \operatorname{ind}_{\mathscr{B}_{H}^{-}}^{\mathscr{H}}(-w_{0}a) \right) \\ \simeq \operatorname{Hom}_{\mathscr{B}_{H}^{-}} \left( \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])^{\vee}, -w_{0}a \right) \\ \simeq \operatorname{Hom}_{\mathscr{B}_{H}^{-}} \left( w_{0}a, \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N]) \right) \simeq \left( \left( \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])^{\mathscr{U}_{H}} \right)_{a}. \right)$$

Using these isomorphisms, the element  $Y_a \in \left(\left(\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N]\right)^{\mathscr{U}_H}\right)_a \subset \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$ yields a morphism  $V(a) \to \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$  of representations of  $\mathscr{H}$ . This morphism is an injection, and we will identify V(a) with its image in  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N])$ .

**Theorem 6.4.** Let G be a good reductive group over K, r a real number and x a rational point of  $\mathscr{B}(G, K)$ . Let  $N' = \begin{cases} 2N & \text{if } \Phi_K \text{ contains multipliable roots} \\ N & \text{otherwise} \end{cases}$ .

Then

$$\mathscr{V}_{\overline{\mathbb{Z}}[1/N']} \simeq (\mathscr{V}_T)_{\overline{\mathbb{Z}}[1/N']} + \bigoplus_{\lambda \in \Phi_{x,r}^{\max}} V(\lambda)_{\overline{\mathbb{Z}}[1/N']} \subset \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N'])$$
(6.2)

as representations of  $\mathscr{H}_{\overline{\mathbb{Z}}[1/N']}$ .

**Proof.** The subspace  $\mathscr{V}_{\overline{\mathbb{Z}}[1/N']} \subset \operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N'])$  is spanned by  $\mathscr{V}_T$  and  $Y_a$  for  $a \in \Phi_{x,r}$ (Section 3.3.2). Thus, analogously to the argument in the proof of Theorem 6.1, it suffices to show that  $\langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$  contains  $Y_b$  for all  $b \in \Phi_{x,r}$ . Let  $a \in \Phi_{x,r}^{\max} \setminus \Phi_{x,r}$ ,  $b \in \Phi_{x,r}$  with  $a + b \in \Phi_{x,r}$ , and  $N_{a,b} > 0$  the maximal integer such that  $a + N_{a,b}b \in \Phi_{x,r}$ . We need to show that  $Y_{a+N_{a,b}b} \in \langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$  implies  $Y_a \in \langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$ . We assume  $Y_{a+N_{a,b}b} \in \langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$  and distinguish four cases.

- Case 1:  $a\mathbb{R} \neq b\mathbb{R}$  and b is not multipliable. In this case the result follows from the proof of the split case (Theorem 6.1) and Equation (3.11) on page 46 and (3.12) on page 50 (if b is non-divisible) or Equation (3.10) on page 46 and Equation (3.12) (if b is divisible).
- Case 2:  $a\mathbb{R} = b\mathbb{R}$  and b is not multipliable. In this case  $a = -(a + N_{a,b}b)$ , and the element  $s_b$  in the Weyl group of  $\mathscr{H}$  corresponding to reflection in direction of b sends  $Y_{a+N_{a,b}b}$  to  $\pm Y_{-(a+N_{a,b}b)} = \pm Y_a$ . Hence  $Y_a \in \langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$ .
- Case 3:  $a\mathbb{R} \neq b\mathbb{R}$  and b is multipliable. By taking Galois orbits over different connected component and using Equation (3.9) on page 3.9 and Equation (3.12) on page 50, it suffices to consider the case that  $Dyn(G) = A_{2n}$  with non-trivial Galois action. We label the simple roots of by  $\alpha_n, \alpha_{n-1}, \ldots, \alpha_2, \alpha_1, \beta_1, \beta_2, \ldots, \beta_n$  as in Figure 1 on page 20. Then b is the image of  $\alpha_1 + \ldots + \alpha_s$  for some  $1 \leq s \leq n$ , and, as  $\langle b^{\vee}, a + N_{a,b}b \rangle > 0$ , the root  $a + N_{a,b}b$  is the image of

$$-(\alpha_{s+1} + \ldots + \alpha_{s_1}) \text{ for some } s < s_1 \le n, \text{ or}$$
  
$$\alpha_{s_2} + \ldots + \alpha_s \text{ for some } 1 < s_2 \le s, \text{ or}$$
  
$$\alpha_1 + \ldots + \alpha_s + \beta_1 + \ldots + \beta_{s_3} \text{ for some } 1 \le s_3 < s \text{ or } s < s_3 \le n.$$

To simplify notation, we will prove the claim for the case that b is the image of  $\alpha_1$  and  $a + N_{a,b}b$  is the image of  $-\alpha_2$ . All other cases work analogously. Combining Equation (3.9) on page 46, Equation (3.12) on page 50 and Equation (6.1) on page 70, and using that  $\mathscr{H}_{\overline{\mathbb{Z}}[1/N']}$  preserves the subspace  $\mathscr{V}_{\overline{\mathbb{Z}}[1/N']}$  of  $\operatorname{Lie}(\mathscr{G})(\overline{\mathbb{Z}}[1/N'])$ , we obtain that

$$\begin{aligned} \chi_{H-b}(u)(Y_{a+N_{a,b}b}) &= \left(\chi_{-\beta_{1}}(\sqrt{2}u)\chi_{-(\alpha_{1}+\beta_{1})}(-(-1)^{b(x-x_{0})M}u^{2})\chi_{-\alpha_{1}}((-1)^{b(x-x_{0})M}\sqrt{2}u)\right) \\ &\qquad \left(\mathcal{X}_{-\beta_{2}}+(-1)^{(-(a+N_{a,b}b)(x_{q}-x_{0,q})+r)\cdot 2}\mathcal{X}_{-\alpha_{2}}\right) \\ &= Y_{a+N_{a,b}b}+m'_{a,b,1}\sqrt{2}uY_{a+(N_{a,b}-1)b}+m'_{a,b,2}u^{2}Y_{a+(N_{a,b}-2)b} \end{aligned}$$

with  $m'_{a,b,1}, m'_{a,b,2} \in \{\pm 1\}$ , for all  $u \in \mathbb{G}_a(\overline{\mathbb{Z}}[1/N'])$ . Since  $2 \mid N'$ , taking  $\overline{\mathbb{Z}}[1/N']$ -linear combinations of  $Y_{a+N_{a,b}b} + m'_{a,b,1}\sqrt{2}uY_{a+(N_{a,b}-1)b} + m'_{a,b,2}u^2Y_{a+(N_{a,b}-2)b}$  for different u implies that  $Y_{a+(N_{a,b}-1)b}$  and  $Y_{a+(N_{a,b}-2)b}$  are contained in  $\langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$ , so  $Y_a \in \langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$ .

Case 4:  $a\mathbb{R} = b\mathbb{R}$  and b is multipliable. As in Case 3, we can restrict to the case that  $\operatorname{Dyn}(G) = A_{2n}$ , and we may assume that b is the image of  $\alpha_1$ . Then  $a + N_{a,b}b$  is the image of  $\alpha_1$  or the image of  $\alpha_1 + \beta_1$ . If  $N_{a,b}^-$  denotes the largest integer such that  $a - N_{a,b}^- b \in \Phi_{x,r}$ , then  $Y_{a-N_{a,b}^- b}$  is conjugate to  $\pm Y_{a+N_{a,b}b}$  under the Weyl group. Hence  $Y_{a-N_{a,b}^- b} \in \langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$ . If  $a + N_{a,b}b$  is the image of  $\alpha_1$ , then  $N_{a,b}^- = 0$ , and we are done. Thus, suppose that  $a + N_{a,b}b$  is the image of  $\alpha_1 + \beta_1$ . Recall that for  $\alpha \in \Phi$  and  $H_{\alpha} := \text{Lie}(\check{\alpha})(1)$ , we have ([4, Corollary 5.1.12])

$$\chi_{-\alpha}(u)(\mathcal{X}_{\alpha}) = \mathcal{X}_{\alpha} + \epsilon_{\alpha,\alpha} u H_{-\alpha} - \epsilon_{\alpha,\alpha} u^2 \mathcal{X}_{-\alpha}$$
$$\chi_{-\alpha}(u)(H) = H + \operatorname{Lie}(\alpha)(H) u \mathcal{X}_{-\alpha}$$

for all  $u \in \mathbb{G}_a(\overline{\mathbb{Z}}[1/N'])$  and all  $H \in \operatorname{Lie}(\mathscr{T})(\overline{\mathbb{Z}}[1/N'])$ . Using these identities, we obtain

$$\begin{aligned} \chi_{H-b}(u)(Y_{a+N_{a,b}b}) &= \left(\chi_{-\beta_1}(\sqrt{2}u)\chi_{-\alpha_1-\beta_1}(-(-1)^{b(x-x_0)M}u^2)\chi_{-\alpha_1}((-1)^{b(x-x_0)M}\sqrt{2}u)\right) \\ &\quad (\mathcal{X}_{\alpha_1+\beta_1}) \\ &= Y_{a+N_{a,b}b} + m''_{a,1}\sqrt{2}uY_{a+(N_{a,b}-1)b} + H + m''_{a,3}\sqrt{2}u^3Y_{a+(N_{a,b}-3)b} \\ &\quad + m''_{a,4}u^4Y_{a+(N_{a,b}-4)b}, \end{aligned}$$

with  $m_{a,1}'', m_{a,3}'' \in \{\pm 1\}, m_{a,4}'' \in \{\pm 1, \pm 3\}$  and  $H \in \mathscr{V}_T$ . As  $Y_{a+(N_{a,b}-4)b} = Y_{a-N_{a,b}^-b}$ and H are in  $\langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$ , and since  $2 \mid N'$ , we also obtain that  $Y_{a+(N_{a,b}-1)b}$  and  $Y_{a+(N_{a,b}-3)b}$  are contained in  $\langle \mathscr{H}(\overline{\mathbb{Z}}[1/N'])(Y_a), \mathscr{V}_T \rangle_{a \in \Phi_{x,r}^{\max}}$ .  $\Box$ 

**Corollary 6.5.** Let G be a good reductive group,  $r \notin \frac{1}{p^s N} \mathbb{Z}$  a real number, and x a rational point of  $\mathscr{B}(G, K)$ . Suppose that either p is odd or that  $\Phi_K$  does not contain any multipliable root. Then

$$\mathbf{V}_{x,r} \simeq \bigoplus_{\lambda \in \Phi_{x,r}^{\max}} V(\lambda)_{\overline{\mathbb{F}}_p} \,.$$

**Proof.** If  $r \notin \frac{1}{p^s N} \mathbb{Z}$ , then  $\mathscr{V}_T = \{0\}$ , and the claim follows by combining Theorem 6.4 and Theorem 3.7.

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