

# ALGEBRAS AND MODULES IN MONOIDAL MODEL CATEGORIES

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## 1. Introduction

In recent years the theory of structured ring spectra (formerly known as  $A_\infty$ - and  $E_\infty$ -ring spectra) has been significantly simplified by the discovery of categories of spectra with strictly associative and commutative smash products. Now a ring spectrum can simply be defined as a monoid with respect to the smash product in one of these new categories of spectra. In order to make use of all of the standard tools from homotopy theory, it is important to have a Quillen model category structure [20] available here. In this paper we provide a general method for lifting model structures to categories of rings, algebras, and modules. This includes, but is not limited to, each of the new theories of ring spectra.

One model for structured ring spectra is given by the  $S$ -algebras of [11]. This example has the special feature that every object is fibrant, which makes it easier to form model structures of modules and algebras. There are other new theories such as ‘symmetric ring spectra’ [13], ‘functors with smash product’ [2, 3, 16] or ‘diagram ring spectra’ [19] which do not have this special property. This paper provides the necessary input for obtaining model categories of associative structured ring spectra in these contexts. Categories of *commutative* ring spectra appear to be intrinsically more complicated, and they are not treated systematically here. Our general construction of model structures for associative monoids also gives a unified treatment of previously known cases (simplicial sets, simplicial abelian groups, chain complexes,  $S$ -modules) and applies to other new examples ( $\Gamma$ -spaces and modules over group algebras). We discuss these examples in more detail in § 5.

Technically, what we mean by an ‘algebra’ is a monoid in a symmetric monoidal category, for example, a ring in the category of abelian groups under tensor product. To work with this symmetric monoidal product it must be compatible with the model category structure, which leads to the definition of a *monoidal model category*; see Definition 3.1. To obtain a model category structure of algebras we have to introduce one further axiom, the *monoid axiom* (Definition 3.3). A filtration on certain pushouts of monoids (see Lemma 6.2) is then used to reduce the problem to standard model category arguments based on Quillen’s ‘small object argument’. The case of modules also uses the monoid axiom, but the argument here is straightforward. Our main result is stated in Theorem 4.1.

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*Organization.* We assume that the reader is familiar with the language of homotopical algebra (cf. [20, 10, 12]) and with the basic ideas concerning monoidal and symmetric monoidal categories (cf. [17, VII; 4, Chapter 6]) and triples (also called monads, cf. [17, VI.1; 4, Chapter 4]). In §2 we consider the general question of lifting model categories to categories of algebras over a triple. This forms a basis for the following study of the more specific examples of algebras and modules in a monoidal category. In §3 we discuss the compatibility that is necessary between the monoidal and model category structures. In §4 we state our main results which construct model categories for modules and algebras and compare the homotopy categories of modules or algebras over weakly equivalent monoids. In §5 we list examples to which our theorems apply. Then finally, in §6 we prove the main theorem, Theorem 4.1.

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## 2. Cofibrantly generated model categories

In this section we review a general method for creating model category structures; we will later apply this material to the special cases of module and algebra categories. We need to transfer model category structures to categories of algebras over triples. In [20, II, p.3.4], Quillen formulates his *small object argument*, which is now the standard device for such purposes. In our context we will need a transfinite version of the small object argument, so we work with the ‘cofibrantly generated model category’ of [9], which we now recall. This material also appears in more detail in [12, 2.1].

If a model category is cofibrantly generated, its model category structure is completely determined by a set of cofibrations and a set of acyclic cofibrations. The transfinite version of Quillen’s small object argument allows functorial factorization of maps as cofibrations followed by acyclic fibrations and as acyclic cofibrations followed by fibrations. Most of the model categories in the literature are cofibrantly generated, for example, topological spaces and simplicial sets, as are all the examples that appear in this paper.

The only complicated part of the definition of a cofibrantly generated model category is formulating the definition of relative smallness. For this we need to consider the following set-theoretic concepts. The reader might keep in mind the example of a compact topological space which is  $\aleph_0$ -small relative to closed inclusions.

*Ordinals and cardinals.* An ordinal  $\gamma$  is an ordered isomorphism class of well ordered sets; it can be identified with the well ordered set of all preceding ordinals. For an ordinal  $\gamma$ , the same symbol will denote the associated poset category. The latter has an initial object  $\emptyset$ , the empty ordinal. An ordinal  $\kappa$  is a *cardinal* if its cardinality is larger than that of any preceding ordinal. A cardinal  $\kappa$  is called *regular* if for every set of sets  $\{X_j\}_{j \in J}$  indexed by a set  $J$  of cardinality

less than  $\kappa$  such that the cardinality of each  $X_j$  is less than that of  $\kappa$ , then the cardinality of the union  $\bigcup_j X_j$  is also less than that of  $\kappa$ . The successor cardinal (the smallest cardinal of larger cardinality) of every cardinal is regular.

*Transfinite composition.* Let  $\mathcal{C}$  be a cocomplete category and  $\gamma$  a well ordered set which we identify with its poset category. A functor  $V: \gamma \rightarrow \mathcal{C}$  is called a  $\gamma$ -sequence if for every limit ordinal  $\beta < \gamma$  the natural map  $\text{colim } V|_\beta \rightarrow V(\beta)$  is an isomorphism. The map  $V(\emptyset) \rightarrow \text{colim}_\gamma V$  is called the transfinite composition of the maps of  $V$ . A subcategory  $\mathcal{C}_1 \subset \mathcal{C}$  is said to be closed under transfinite composition if for every ordinal  $\gamma$  and every  $\gamma$ -sequence  $V: \gamma \rightarrow \mathcal{C}$  with map  $V(\alpha) \rightarrow V(\alpha + 1)$  in  $\mathcal{C}_1$  for every ordinal  $\alpha < \gamma$ , the induced map  $V(\emptyset) \rightarrow \text{colim}_\gamma V$  is also in  $\mathcal{C}_1$ . Examples of such subcategories are the cofibrations or the acyclic cofibrations in a closed model category.

*Relatively small objects.* Consider a cocomplete category  $\mathcal{C}$  and a subcategory  $\mathcal{C}_1 \subset \mathcal{C}$  closed under transfinite composition. If  $\kappa$  is a regular cardinal, an object  $C \in \mathcal{C}$  is called  $\kappa$ -small relative to  $\mathcal{C}_1$  if for every regular cardinal  $\lambda \geq \kappa$  and every functor  $V: \lambda \rightarrow \mathcal{C}_1$  which is a  $\lambda$ -sequence in  $\mathcal{C}$ , the map

$$\text{colim}_\lambda \text{Hom}_{\mathcal{C}}(C, V) \rightarrow \text{Hom}_{\mathcal{C}}(C, \text{colim}_\lambda V)$$

is an isomorphism. An object  $C \in \mathcal{C}$  is called *small relative to  $\mathcal{C}_1$*  if there exists a regular cardinal  $\kappa$  such that  $C$  is  $\kappa$ -small relative to  $\mathcal{C}_1$ .

*I-injectives, I-cofibrations and regular I-cofibrations.* Given a cocomplete category  $\mathcal{C}$  and a class  $I$  of maps, we use the following notation.

By  $I$ -inj we denote the class of maps which have the right lifting property with respect to the maps in  $I$ . Maps in  $I$ -inj are referred to as *I-injectives*.

By  $I$ -cof we denote the class of maps which have the left lifting property with respect to the maps in  $I$ -inj. Maps in  $I$ -cof are referred to as *I-cofibrations*.

By  $I\text{-cof}_{\text{reg}} \subset I\text{-cof}$  we denote the class of the (possibly transfinite) compositions of pushouts (cobase changes) of maps in  $I$ . Maps in  $I\text{-cof}_{\text{reg}}$  are referred to as *regular I-cofibrations*.

Quillen’s small object argument [20, p.II 3.4] has the following transfinite analogue. Note that here  $I$  has to be a *set*, not just a class of maps. The obvious analogue of Quillen’s small object argument would seem to require that coproducts are included in the regular  $I$ -cofibrations. In fact, any coproduct of regular  $I$ -cofibrations is already a regular  $I$ -cofibration; see [12, 2.1.6].

LEMMA 2.1 [9; 12, 2.1.14]. *Let  $\mathcal{C}$  be a cocomplete category and  $I$  a set of maps in  $\mathcal{C}$  whose domains are small relative to  $I\text{-cof}_{\text{reg}}$ . Then*

- (i) *there is a functorial factorization of any map  $f$  in  $\mathcal{C}$  as  $f = qi$  with  $q \in I\text{-inj}$  and  $i \in I\text{-cof}_{\text{reg}}$ , and thus*
- (ii) *every  $I$ -cofibration is a retract of a regular  $I$ -cofibration.*

DEFINITION 2.2 [9]. A model category  $\mathcal{C}$  is called *cofibrantly generated* if it is complete and cocomplete and there exist a set of cofibrations  $I$  and a set of acyclic cofibrations  $J$  such that

- (i) the fibrations are precisely the  $J$ -injectives;

- (ii) the acyclic fibrations are precisely the  $I$ -injectives;
- (iii) the domain of each map in  $I$  or  $J$  is small relative to  $I\text{-cof}_{\text{reg}}$  or  $J\text{-cof}_{\text{reg}}$ , respectively.

Moreover, here the cofibrations are the  $I$ -cofibrations, and the acyclic cofibrations are the  $J$ -cofibrations.

For a specific choice of  $I$  and  $J$  as in the definition of a cofibrantly generated model category, the maps in  $I$  will be referred to as generating cofibrations, and those in  $J$  as generating acyclic cofibrations. In cofibrantly generated model categories, a map may be functorially factored as an acyclic cofibration followed by a fibration and as a cofibration followed by an acyclic fibration.

Let  $\mathcal{C}$  be a cofibrantly generated model category and  $T$  a triple on  $\mathcal{C}$ . We want to form a model category on the category of algebras over the triple  $T$ , denoted  $T\text{-alg}$ . Define a map of  $T$ -algebras to be a *weak equivalence* or a *fibration* if the underlying map in  $\mathcal{C}$  is a weak equivalence or a fibration, respectively. Define a map of  $T$ -algebras to be a *cofibration* if it has the left lifting property with respect to all acyclic fibrations. The forgetful functor  $T\text{-alg} \rightarrow \mathcal{C}$  has a left adjoint ‘free’ functor. The following lemma gives two different situations in which one can lift a model category on  $\mathcal{C}$  to one on  $T\text{-alg}$ . We make no great claim to originality for this lemma. Other lifting theorems for model category structures can be found in [1, Theorem 4.14; 6, Theorem 2.5; 8, Theorem 3.3; 11, VII, Theorems 4.7, 4.9; 21, Lemma B.2; 9].

Let  $X$  be a  $T$ -algebra. We define a *path object* for  $X$  to be a  $T$ -algebra  $X^I$  together with  $T$ -algebra maps

$$X \xrightarrow{\sim} X^I \longrightarrow X \times X$$

factoring the diagonal map, such that the first map is a weak equivalence and the second map is a fibration in the underlying category  $\mathcal{C}$ .

**LEMMA 2.3.** *Assume that the underlying functor of  $T$  commutes with filtered direct limits. Let  $I$  be a set of generating cofibrations and  $J$  be a set of generating acyclic cofibrations for the cofibrantly generated model category  $\mathcal{C}$ . Let  $I_T$  and  $J_T$  be the images of these sets under the free  $T$ -algebra functor. Assume that the domains of  $I_T$  and  $J_T$  are small relative to  $I_T\text{-cof}_{\text{reg}}$  and  $J_T\text{-cof}_{\text{reg}}$  respectively. Suppose that*

- (1) every regular  $J_T$ -cofibration is a weak equivalence, or
- (2) every object of  $\mathcal{C}$  is fibrant and every  $T$ -algebra has a path object.

*Then the category of  $T$ -algebras is a cofibrantly generated model category with  $I_T$  a generating set of cofibrations and  $J_T$  a generating set of acyclic cofibrations.*

*Proof.* We refer the reader to [10, 3.3] for the numbering of the model category axioms. All those kinds of limits that exist in  $\mathcal{C}$  also exist in  $T\text{-alg}$ , and limits are created in the underlying category  $\mathcal{C}$  [4, Proposition 4.3.1]. Colimits are more subtle, but since the underlying functor of  $T$  commutes with filtered colimits, they exist by [4, Proposition 4.3.6]. Model category axioms MC2 (saturation) and MC3 (closure properties under retracts) are clear. One half of MC4 (lifting properties) holds by the definition of cofibrations of  $T$ -algebras.

The proof of the remaining axioms uses the transfinite small object argument (Lemma 2.1), which applies because of the hypothesis about the smallness of the domains. We begin with the factorization axiom, MC5. Every map in  $I_T$  and  $J_T$  is a cofibration of  $T$ -algebras by adjointness. Hence any  $I_T$ -cofibration or  $J_T$ -cofibration is a cofibration of  $T$ -algebras. By adjointness and the fact that  $I$  is a generating set of cofibrations for  $\mathcal{C}$ , a map is  $I_T$ -injective precisely when the map is an acyclic fibration of underlying objects, that is, an acyclic fibration of  $T$ -algebras. Hence the small object argument applied to the set  $I_T$  gives a (functorial) factorization of any map in  $T\text{-alg}$  as a cofibration followed by an acyclic fibration.

The other half of the factorization axiom, MC5, needs hypothesis (1) or (2). Applying the small object argument to the set of maps  $J_T$  gives a functorial factorization of a map in  $T\text{-alg}$  as a regular  $J_T$ -cofibration followed by a  $J_T$ -injective. Since  $J$  is a generating set for the acyclic cofibrations in  $\mathcal{C}$ , the  $J_T$ -injectives are precisely the fibrations among the  $T$ -algebra maps, once more by adjointness. In case (1) we assume that every regular  $J_T$ -cofibration is a weak equivalence on underlying objects in  $\mathcal{C}$ . We noted above that every  $J_T$ -cofibration is a cofibration in  $T\text{-alg}$ . So we see that the factorization above is an acyclic cofibration followed by a fibration.

In case (2) we can adapt the argument of [20, II, p.4.9] as follows. Let  $i: X \rightarrow Y$  be any  $J_T$ -cofibration. We claim that it is a weak equivalence in the underlying category. Since  $X$  is fibrant and fibrations are  $J_T$ -injectives, we obtain a retraction  $r$  to  $i$  by lifting in the square

$$\begin{array}{ccc} X & \xrightarrow{\text{id}} & X \\ \downarrow i & \nearrow r & \downarrow \\ Y & \longrightarrow & * \end{array}$$

Here  $Y$  possesses a path object and  $i$  has the left lifting property with respect to fibrations. So a lifting exists in the square

$$\begin{array}{ccccc} X & \xrightarrow{i} & Y & \longrightarrow & Y^I \\ \downarrow & & & \nearrow & \downarrow \\ Y & \xrightarrow{(\text{id}, i \circ r)} & Y \times Y & & Y \end{array}$$

This shows that in the homotopy category of  $\mathcal{C}$ ,  $i \circ r$  is equal to the identity map of  $Y$ . Since maps in  $\mathcal{C}$  are weak equivalences if and only if they become isomorphisms in the homotopy category of  $\mathcal{C}$ , this proves that  $i$  is a weak equivalence, and it completes the proof of model category axiom MC5 under hypothesis (2).

It remains to prove the other half of MC4, that is, that any acyclic cofibration  $A \xrightarrow{\sim} B$  has the left lifting property with respect to fibrations. In other words, we need to show that the acyclic cofibrations are contained in the  $J_T$ -cofibrations. The small object argument provides a factorization

$$A \xrightarrow{\sim} W \longrightarrow B$$

with  $A \rightarrow W$  a  $J_T$ -cofibration and  $W \rightarrow B$  a fibration. In addition,  $W \rightarrow B$  is a

weak equivalence since  $A \rightarrow B$  is. Since  $A \rightarrow B$  is a cofibration, a lifting in

$$\begin{array}{ccc}
 A & \xrightarrow{\quad} & W \\
 \downarrow & \nearrow \text{dotted} & \downarrow \sim \\
 B & \xrightarrow{\text{id}} & B
 \end{array}$$

exists. Thus  $A \rightarrow B$  is a retract of a  $J_T$ -cofibration; hence it is a  $J_T$ -cofibration.

REMARK 2.4. To simplify the exposition, we will assume that every object of  $\mathcal{C}$  is small relative to the whole category  $\mathcal{C}$  when we apply Lemma 2.3 in the rest of this paper. This holds for  $\Gamma$ -spaces and symmetric spectra based on simplicial sets. These two categories are in fact examples of the very general notion of a ‘locally presentable category’ [4, 5.2]. Category theory takes care of the smallness conditions here since every object of a locally presentable category is small [4, Proposition 5.2.10]. As a rule of thumb, diagram categories involving sets or simplicial sets are locally presentable, but categories involving actual topological spaces are not. If the underlying functor of the triple  $T$  on  $\mathcal{C}$  commutes with filtered direct limits, then so does the forgetful functor from  $T$ -algebras to  $\mathcal{C}$ . Hence by adjointness, if every object of  $\mathcal{C}$  is small relative to  $\mathcal{C}$ , then every free  $T$ -algebra is small relative to the whole category of  $T$ -algebras, so the smallness conditions of Lemma 2.3 hold. Of course, if one is interested in a category where not all objects are small with respect to all of  $\mathcal{C}$  one can verify those smallness conditions directly. So by adding hypotheses about smallness of the domains of the new generators to each of the statements in the rest of the paper, we could remove the condition that all objects are small.

### 3. Monoidal model categories

A monoidal model category is essentially a model category with a compatible closed symmetric monoidal product. The compatibility is expressed by the pushout product axiom below. In this paper we always require a *closed symmetric* monoidal product, although for expository ease we refer to these categories as just ‘monoidal’ model categories. One could also consider model categories enriched over a monoidal model category with certain compatibility requirements analogous to the pushout product axiom or the simplicial axiom of [20, II.2]. For example, closed simplicial model categories [20, II.2] are such compatibly enriched categories over the monoidal model category of simplicial sets. See [12, Chapter 4] for an exposition on this material.

We also introduce the monoid axiom which is the crucial ingredient for lifting the model category structure to monoids and modules. Examples of monoidal model categories satisfying the monoid axiom are given in § 5.

DEFINITION 3.1. A model category  $\mathcal{C}$  is a *monoidal model category* if it is endowed with a closed symmetric monoidal structure and satisfies the following pushout product axiom. We will denote the symmetric monoidal product by  $\wedge$ , the unit by  $\mathbb{1}$  and the internal Hom object by  $[-, -]$

*Pushout product axiom.* Let  $A \rightarrow B$  and  $K \rightarrow L$  be cofibrations in  $\mathcal{C}$ . Then

the map

$$A \wedge L \cup_{A \wedge K} B \wedge K \longrightarrow B \wedge L$$

is also a cofibration. If in addition one of the former maps is a weak equivalence, so is the latter map.

REMARK 3.2. Mark Hovey has pointed out that an extra condition is needed to ensure that the monoidal structure on the model category induces a monoidal structure on the homotopy category; see [12, 4.3.2]. The pushout product axiom guarantees that for cofibrant objects the smash product is an invariant of the weak equivalence type, so it passes to a product on the homotopy category. However, if the unit of the smash product is not cofibrant, then it need not represent a unit on the homotopy category level. The following additional requirement fixes this problem: let  $c\mathbb{1} \longrightarrow \mathbb{1}$  be a cofibrant replacement of the unit. Then for any cofibrant  $X$  the map  $c\mathbb{1} \wedge X \longrightarrow \mathbb{1} \wedge X \cong X$  should be a weak equivalence (or equivalently: for any fibrant  $Y$  the map  $Y \cong [\mathbb{1}, Y] \longrightarrow [c\mathbb{1}, Y]$  should be a weak equivalence). This extra property holds in all of our examples; for  $\Gamma$ -spaces, symmetric spectra and simplicial functors the unit is cofibrant, and for  $S$ -modules this condition is in [11, III, 3.8]. However this extra condition is irrelevant for the purpose of the present paper since we always work on the model category level.

If  $\mathcal{C}$  is a category with a monoidal product  $\wedge$  and  $I$  is a class of maps in  $\mathcal{C}$ , we denote by  $I \wedge \mathcal{C}$  the class of maps of the form

$$A \wedge Z \longrightarrow B \wedge Z$$

for  $A \longrightarrow B$  a map in  $I$  and  $Z$  an object of  $\mathcal{C}$ . Recall that  $I\text{-cof}_{\text{reg}}$  denotes the class of maps obtained from the maps of  $I$  by cobase change and composition (possibly transfinite; see § 2).

DEFINITION 3.3. A monoidal model category  $\mathcal{C}$  satisfies the *monoid axiom* if every map in

$$(\{\text{acyclic cofibrations}\} \wedge \mathcal{C})\text{-cof}_{\text{reg}}$$

is a weak equivalence.

REMARK 3.4. Note that if  $\mathcal{C}$  has the special property that every object is cofibrant, then the monoid axiom is a consequence of the pushout product axiom. To see this, first note that the initial object acts like a zero for the smash product since  $\wedge$  preserves colimits in each of its variables. So the pushout product axiom says that for an acyclic cofibration  $A \longrightarrow B$  and for cofibrant (that is, for all)  $Z$ , the map  $A \wedge Z \longrightarrow B \wedge Z$  is again an acyclic cofibration. Since the acyclic cofibrations are also closed under cobase change and transfinite composition, every map in the class  $(\{\text{acyclic cofibrations}\} \wedge \mathcal{C})\text{-cof}_{\text{reg}}$  is an acyclic cofibration.

In cofibrantly generated model categories fibrations can be detected by checking the right lifting property against a *set* of maps, the generating acyclic cofibrations, and similarly for acyclic fibrations. This is in contrast to general model categories where the lifting property has to be checked against the whole class of acyclic cofibrations. Similarly, in cofibrantly generated model categories, the pushout

product axiom and the monoid axiom only have to be checked for a set of generating (acyclic) cofibrations.

LEMMA 3.5. *Let  $\mathcal{C}$  be a cofibrantly generated model category endowed with a closed symmetric monoidal structure.*

- (1) *If the pushout product axiom holds for a set of generating cofibrations and a set of generating acyclic cofibrations, then it holds in general.*
- (2) *Let  $J$  be a set of generating acyclic cofibrations. If every map in  $(J \wedge \mathcal{C})\text{-cof}_{\text{reg}}$  is a weak equivalence, then the monoid axiom holds.*

*Proof.* For the first statement consider a map  $i: A \rightarrow B$  in  $\mathcal{C}$ . Denote by  $G(i)$  the class of maps  $j: K \rightarrow L$  such that the pushout product

$$A \wedge L \cup_{A \wedge K} B \wedge K \rightarrow B \wedge L$$

is a cofibration. This pushout product has the left lifting property with respect to a map  $f: X \rightarrow Y$  if and only if  $j$  has the left lifting property with respect to the map

$$p: [B, X] \rightarrow [B, Y] \times_{[A, Y]} [A, X].$$

Hence, a map is in  $G(i)$  if and only if it has the left lifting property with respect to the map  $p$  for all  $f: X \rightarrow Y$  which are acyclic fibrations in  $\mathcal{C}$ .

Thus  $G(i)$  is closed under cobase change, transfinite composition and retracts. If  $i: A \rightarrow B$  is a generating cofibration,  $G(i)$  contains all generating cofibrations by assumption; because of the closure properties it thus contains all cofibrations; see Lemma 2.1. Reversing the roles of  $i$  and an arbitrary cofibration  $j: K \rightarrow L$ , we thus know that  $G(j)$  contains all generating cofibrations. Again by the closure properties,  $G(j)$  contains all cofibrations, which proves the pushout product axiom for two cofibrations. The proof of the fact that the pushout product is an acyclic cofibration when one of the constituents is, follows in the same manner.

For the second statement note that by the small object argument, Lemma 2.1, every acyclic cofibration is a retract of a transfinite composition of cobase changes along the generating acyclic cofibrations. Since transfinite compositions of transfinite compositions are transfinite compositions, every map in  $(\{\text{acyclic cofibrations}\} \wedge \mathcal{C})\text{-cof}_{\text{reg}}$  is thus a retract of a map in  $(J \wedge \mathcal{C})\text{-cof}_{\text{reg}}$ .

#### 4. Model categories of algebras and modules

In this section we state the main theorem, Theorem 4.1, which constructs model categories for algebras and modules. The proof of this theorem is delayed to § 6. Examples of model categories for which this theorem applies are given in § 5. We end this section with two results which compare the homotopy categories of modules or algebras over weakly equivalent monoids.

We consider a symmetric monoidal category with product  $\wedge$  and unit  $\mathbb{1}$ . A *monoid* is an object  $R$  together with a ‘multiplication’ map  $R \wedge R \rightarrow R$  and a ‘unit’  $\mathbb{1} \rightarrow R$  which satisfy certain associativity and unit conditions (see [17, VII.3]). Note that  $R$  is a *commutative* monoid if the multiplication map is unchanged when composed with the twist, or the symmetry isomorphism, of  $R \wedge R$ . If  $R$  is a monoid, a *left  $R$ -module* (‘object with left  $R$ -action’ in [17, VII.4]) is an object  $N$  together with an action map  $R \wedge N \rightarrow N$  satisfying

associativity and unit conditions (see again [17, VII.4]). Right  $R$ -modules are defined similarly.

Assume that  $\mathcal{C}$  has coequalizers. Then there is a smash product over  $R$ , denoted  $M \wedge_R N$ , of a right  $R$ -module  $M$  and a left  $R$ -module  $N$ . It is defined as the coequalizer, in  $\mathcal{C}$ , of the two maps  $M \wedge R \wedge N \rightrightarrows M \wedge N$  induced by the actions of  $R$  on  $M$  and  $N$  respectively. If  $R$  is a commutative monoid, then the category of left  $R$ -modules is isomorphic to the category of right  $R$ -modules, and we simply speak of  $R$ -modules. In this case, the smash product of two  $R$ -modules is another  $R$ -module and smashing over  $R$  makes  $R\text{-mod}$  into a symmetric monoidal category with unit  $R$ . If  $\mathcal{C}$  has equalizers, there is also an internal Hom object of  $R$ -modules,  $[M, N]_R$ . It is the equalizer of two maps  $[M, N] \rightrightarrows [R \wedge M, N]$ . The first map is induced by the action of  $R$  on  $M$ , the second map is the composition of

$$R \wedge -: [M, N] \longrightarrow [R \wedge M, R \wedge N]$$

followed by the map induced by the action of  $R$  on  $N$ .

For a commutative monoid  $R$ , an  $R$ -algebra is defined to be a monoid in the category of  $R$ -modules. It is a formal property of symmetric monoidal categories (cf. [11, VII, 1.3]) that specifying an  $R$ -algebra structure on an object  $A$  is the same as giving  $A$  a monoid structure together with a monoid map  $f: R \rightarrow A$  which is central in the sense that the following diagram commutes:

$$\begin{array}{ccccc} R \wedge A & \xrightarrow{\text{switch}} & A \wedge R & \xrightarrow{\text{id} \wedge f} & A \wedge A \\ f \wedge \text{id} \downarrow & & & & \downarrow \text{mult.} \\ A \wedge A & \xrightarrow{\text{mult.}} & & & A \end{array}$$

Now we can state our main theorem. It essentially says that monoids, modules and algebras in a cofibrantly generated, monoidal model category  $\mathcal{C}$  again form a model category if the monoid axiom holds. To simplify the exposition, we assume that all objects in  $\mathcal{C}$  are small relative to the whole category; see § 2. This last assumption can be weakened as indicated in Remark 2.4. The proofs will be delayed until the last section.

In the categories of monoids, left  $R$ -modules (when  $R$  is a fixed monoid), and  $R$ -algebras (when  $R$  is a fixed commutative monoid), a morphism is defined to be a *fibration* or *weak equivalence* if it is a fibration or weak equivalence in the underlying category  $\mathcal{C}$ . A morphism is a *cofibration* if it has the left lifting property with respect to all acyclic fibrations.

In part (3) of the following theorem we can take  $R$  to be the unit of the smash product, in which case we see that the category of monoids in  $\mathcal{C}$  forms a model category. Note that this theorem does *not* treat the case of commutative  $R$ -algebras. See Remark 4.5 for examples of categories  $\mathcal{C}$  satisfying the hypotheses but where the category of commutative monoids in fact does not have a model category structure with fibrations and weak equivalences defined in the underlying category.

**THEOREM 4.1.** *Let  $\mathcal{C}$  be a cofibrantly generated, monoidal model category. Assume further that every object in  $\mathcal{C}$  is small relative to the whole category and that  $\mathcal{C}$  satisfies the monoid axiom.*

(1) *Let  $R$  be a monoid in  $\mathcal{C}$ . Then the category of left  $R$ -modules is a cofibrantly generated model category.*

(2) Let  $R$  be a commutative monoid in  $\mathcal{C}$ . Then the category of  $R$ -modules is a cofibrantly generated, monoidal model category satisfying the monoid axiom.

(3) Let  $R$  be a commutative monoid in  $\mathcal{C}$ . Then the category of  $R$ -algebras is a cofibrantly generated model category. Every cofibration of  $R$ -algebras whose source is cofibrant as an  $R$ -module is also a cofibration of  $R$ -modules. In particular, if the unit  $\mathbb{1}$  of the smash product is cofibrant in  $\mathcal{C}$ , then every cofibrant  $R$ -algebra is also cofibrant as an  $R$ -module.

REMARK 4.2. The full strength of the monoid axiom is not necessary to obtain a model category of  $R$ -modules for a particular monoid  $R$ . In fact, to get hypothesis (1) of Lemma 2.3 for  $R$ -modules, one need only know that every map in  $(\{\text{acyclic cofibrations}\} \wedge R)\text{-cof}_{\text{reg}}$  is a weak equivalence. This holds, independently of the monoid axiom, if  $R$  is cofibrant in the underlying category  $\mathcal{C}$ , by arguments similar to those in Remark 3.4. For then the pushout product axiom implies that smashing with  $R$  preserves acyclic cofibrations.

The following theorems concern comparisons of homotopy categories of modules and algebras. The homotopy theory of  $R$ -modules and  $R$ -algebras should only depend on the weak equivalence type of the monoid  $R$ . To show this for  $R$ -modules we must require that the functor  $-\wedge_R N$  take any weak equivalence of right  $R$ -modules to a weak equivalence in  $\mathcal{C}$  whenever  $N$  is a cofibrant left  $R$ -module. In all of our examples this added property of the smash product holds. For the comparison of  $R$ -algebras, we also require that the unit of the smash product is cofibrant. This is the case, for example, with  $\Gamma$ -spaces, symmetric spectra, and simplicial functors, although it does not hold for the  $S$ -modules of [11].

THEOREM 4.3. Assume that for any cofibrant left  $R$ -module  $N$ ,  $-\wedge_R N$  takes weak equivalences of right  $R$ -modules to weak equivalences in  $\mathcal{C}$ . If  $R \xrightarrow{\sim} S$  is a weak equivalence of monoids, then the total derived functors of restriction and extension of scalars induce equivalences of homotopy categories

$$\text{Ho}(R\text{-mod}) \cong \text{Ho}(S\text{-mod}).$$

*Proof.* This is an application of Quillen's adjoint functor theorem (see [20, I.4, Theorem 3] or [10, Theorem 9.7]). The weak equivalences and fibrations are defined in the underlying category; hence the restriction functor preserves fibrations and acyclic fibrations. By adjointness, the extension functor preserves cofibrations and trivial cofibrations. By assumption, for  $N$  a cofibrant left  $R$ -module

$$N \cong R \wedge_R N \longrightarrow S \wedge_R N$$

is a weak equivalence. Thus if  $Y$  is a fibrant left  $S$ -module, an  $R$ -module map  $N \longrightarrow Y$  is a weak equivalence if and only if the adjoint  $S$ -module map  $S \wedge_R N \longrightarrow Y$  is a weak equivalence. This verifies the two conditions in [10, Theorem 9.7].

THEOREM 4.4. Suppose that the unit  $\mathbb{1}$  of the smash product is cofibrant in  $\mathcal{C}$  and that for any cofibrant left  $R$ -module  $N$ ,  $-\wedge_R N$  takes weak equivalences of right  $R$ -modules to weak equivalences in  $\mathcal{C}$ . Then for a weak equivalence of commutative monoids  $R \xrightarrow{\sim} S$ , the total derived functors of restriction and extension of scalars induce equivalences of homotopy categories

$$\text{Ho}(R\text{-alg}) \cong \text{Ho}(S\text{-alg}).$$

*Proof.* The proof is similar to the one of the previous theorem. Again the right adjoint restriction functor does not change underlying objects, so it preserves fibrations and acyclic fibrations. Since cofibrant  $R$ -algebras are also cofibrant as  $R$ -modules (Theorem 4.1(3)), for any cofibrant  $R$ -algebra the unit of the adjunction  $A \cong R \wedge_R A \longrightarrow S \wedge_R A$  is again a weak equivalence. So [10, Theorem 9.7] applies one more time.

REMARK 4.5. In the next section we give some important examples of monoidal model categories in which *all objects are fibrant*. This greatly simplifies the situation. If there is also a simplicial or topological model category structure and if a simplicial or topological triple  $T$  acts, then the category of  $T$ -algebras is again a simplicial or topological (respectively) category, so it has path objects. Hence hypothesis (2) of Lemma 2.3 applies. We emphasize again that in our main examples, symmetric spectra and  $\Gamma$ -spaces, not all objects are fibrant, which is why we need a more complicated approach. In the fibrant case, one gets model category structures for algebras over all reasonable (for example, continuous or simplicial) triples, whereas our monoid axiom approach only applies to the free  $R$ -module and free  $R$ -algebra triples. The category of *commutative* monoids often has a model category structure in the fibrant case (for example, commutative simplicial rings or commutative  $S$ -algebras [11, Corollary VII 4.8]). In contrast, for  $\Gamma$ -spaces, symmetric spectra and simplicial functors, the category of commutative monoids can *not* form a model category with fibrations and weak equivalences defined in the underlying category. For if such a model category structure existed, one could choose a fibrant replacement of the unit  $S^0$  inside the respective category of commutative monoids. Evaluating this fibrant representative at  $1^+ \in \Gamma^{\text{op}}$ , level 0 or  $S^0$  respectively, would give a commutative simplicial monoid weakly equivalent to  $QS^0$ . This would imply that the space  $QS^0$  is weakly equivalent to a product of Eilenberg–Mac Lane spaces, which is not the case. The homotopy category of commutative monoids in symmetric spectra is still closely related to  $E_\infty$ -ring spectra though.

## 5. Examples

### *Simplicial sets*

The category of simplicial sets has a well-known model category structure established by Quillen [20, II.3, Theorem 3]. The cofibrations are the degreewise injective maps, the fibrations are the Kan fibrations and the weak equivalences are the maps which become homotopy equivalences after geometric realization. This model category is cofibrantly generated. The standard choice for the generating cofibrations, or generating acyclic cofibrations, are the inclusions of the boundaries, or horns respectively, into the standard simplices. Here every object is small with respect to the whole category.

The cartesian product of simplicial sets is symmetric monoidal with unit the discrete one-point simplicial set. The pushout product axiom is well known in this case; see [20, II.3, Theorem 3]. Since every simplicial set is cofibrant, the monoid axiom follows from the pushout product axiom. A monoid in the category of simplicial sets under cartesian product is just a simplicial monoid, that is, a simplicial object of ordinary unital and associative monoids. So the main theorem, Theorem 4.1(3), recovers Quillen's model category structure for simplicial monoids [20, II.4, Theorem 4, and Remark 1, p. 4.2].

*$\Gamma$ -spaces, symmetric spectra and simplicial functors*

These examples are new. In fact, the main justification for writing this paper is to give a unified treatment of why monoids and modules in these categories form model categories. Here we only give an overview; for the details the reader may consult [22, 5, 15, 21] in the case of  $\Gamma$ -spaces, [13] in the case of symmetric spectra, and [16] for simplicial functors. These three examples have a very similar flavor, and in fact they are all instances of categories of diagram spectra in the sense of [18]. The particular interest in these categories comes from the fact that they model stable homotopy theory. The homotopy categories of symmetric spectra and of simplicial functors are equivalent to the usual stable homotopy category of algebraic topology. In the case of  $\Gamma$ -spaces, one obtains the stable homotopy category of connective (that is,  $(-1)$ -connected) spectra. Monoids in either of these categories are thus possible ways of defining ‘brave new rings’, that is, rings up to homotopy with higher coherence conditions. Another approach to this idea consists of the  $S$ -algebras of [11].

*$\Gamma$ -spaces.* These were introduced by G. Segal [22] who showed that they give rise to a homotopy category equivalent to the usual homotopy category of connective spectra. A. K. Bousfield and E. M. Friedlander [5] considered a larger category of  $\Gamma$ -spaces in which the ones introduced by Segal appeared as the *special*  $\Gamma$ -spaces. Their category admits a simplicial model category structure with a notion of stable weak equivalence giving rise again to the homotopy theory of connective spectra. Then M. Lydakis [15] showed that  $\Gamma$ -spaces admit internal function objects and a symmetric monoidal smash product with nice homotopical properties. Smallness and cofibrant generation for  $\Gamma$ -spaces is verified in [21], as well as the pushout product and the monoid axiom. The monoids in this setting are called *Gamma-rings*.

*Symmetric spectra.* The category of symmetric spectra,  $\mathrm{Sp}^{\Sigma}$ , is described in [13]. There it is also shown that this category is a cofibrantly generated, monoidal model category, and that the associated homotopy category is equivalent to the usual homotopy category of spectra. For symmetric spectra over the category of simplicial sets every object is small with respect to the whole category. The monoid axiom and the fact that smashing with a cofibrant left  $R$ -module preserves weak equivalences between right  $R$ -modules are verified in [13]. The monoids in this setting are called *symmetric ring spectra*.

*Simplicial functors.* The category of simplicial functors from the category of finite simplicial sets to the category of all simplicial sets is another model for the category of spectra and is studied by Lydakis in [16]. Here the monoids with respect to the smash product coincide with the *functors with smash product* as introduced by Bökstedt in [2]; see also [3]. The pushout product and monoid axioms can be deduced from Lydakis’ results in a way similar to that used for  $\Gamma$ -spaces and symmetric spectra.

*Fibrant examples: simplicial abelian groups, chain complexes, stable module categories and  $S$ -modules*

These are the examples of monoidal model categories in which every object is

fibrant. With this special property it is easier to lift model category structures since the (often hard to verify) condition (1) of the lifting lemma, Lemma 2.3, is a formal consequence of fibrancy and the existence of path objects; see the proof of Lemma 2.3. For example, the *commutative* monoids sometimes form model categories in these cases. The pushout product and monoid axioms also hold in these examples, but since the fibrancy property deprives them of their importance, we will not bother to prove them.

*Simplicial abelian groups.* The model category structure for simplicial abelian groups was established by Quillen [20, II.6]. The weak equivalences and fibrations are defined on underlying simplicial sets. The cofibrations are the retracts of the free maps (see [20, II, p.4.11, Remark 4]). This model category is cofibrantly generated and all objects are small. The (degreewise) tensor product provides a symmetric monoidal product for simplicial abelian groups. The unit for this product is the integers, considered as a constant simplicial abelian group. A monoid then is nothing but a simplicial ring. These have path objects given by the simplicial structure. This means that for a simplicial ring  $R$  the simplicial set  $\text{Hom}(\Delta[1], R)$  of maps of the standard 1-simplex into the underlying simplicial set of  $R$  is naturally a simplicial ring. The model category structure for simplicial rings and simplicial modules was established by Quillen in [20, II.4, Theorem 4] and [20, II.6].

*Chain complexes.* The category of non-negatively graded chain complexes over a commutative ring  $k$  forms a model category; see [20, II, p.4.11, Remark 5; 10, § 7]. The weak equivalences are the maps inducing homology isomorphisms, the fibrations are the maps which are surjective in positive degrees, and cofibrations are monomorphisms with degreewise projective cokernels. This model category is cofibrantly generated and every object is small. The category of unbounded chain complexes over  $k$ , although less well known, also forms a cofibrantly generated model category with weak equivalences the homology isomorphism and fibrations the epimorphisms; see [12, 2.3.11]. The cofibrations here are still degreewise split injections, but their description is a bit more complicated than for bounded chain complexes. The following remarks refer to this category of  $\mathbb{Z}$ -graded chain complexes of  $k$ -modules.

The graded tensor product of chain complexes is symmetric monoidal and has adjoint internal hom-complexes. A monoid in this symmetric monoidal category is a differential graded algebra (DGA). Every complex is fibrant and associative DGAs have path objects. To construct them, we need the following 2-term complex denoted  $I$ . In degree 0,  $I$  consists of a free  $k$ -module on two generators  $[0]$  and  $[1]$ . In degree 1,  $I$  is a free  $k$ -module on a single generator  $\iota$ . The differential is given by  $d\iota = [1] - [0]$ . This complex becomes a coassociative and counital coalgebra when given the comultiplication

$$\Delta: I \longrightarrow I \otimes_k I$$

defined by  $\Delta([0]) = [0] \otimes [0]$ ,  $\Delta([1]) = [1] \otimes [1]$ ,  $\Delta(\iota) = [0] \otimes \iota + \iota \otimes [1]$ . The counit map  $I \longrightarrow k$  sends both  $[0]$  and  $[1]$  to  $1 \in k$ . The two inclusions  $k \longrightarrow I$  given by the generators in degree 0 and the counit are maps of coalgebras. Note that the comultiplication of  $I$  is *not* cocommutative (this is reminiscent of the failure of the Alexander–Whitney map to be commutative).

For any coassociative, counital differential graded coalgebra  $C$ , and any DGA  $A$ , the internal Hom-chain complex  $\mathrm{Hom}_{\mathrm{Ch}}(C, A)_*$  becomes a DGA with multiplication

$$f \cdot g = \mu_A \circ (f \otimes g) \circ \Delta_C$$

where  $\mu_A$  is the multiplication of  $A$  and  $\Delta_C$  is the comultiplication of  $C$ . In particular,  $\mathrm{Hom}_{\mathrm{Ch}}(I, A)$  is a DGA, and it comes with DGA maps from  $A$  and to  $A \times A$  which make it into a path object. In this way we recover the model category structure for associative DGAs over a commutative ring, first discovered by J. F. Jardine [14]. Our approach is a bit more general, since we can define similar path objects for associative DGAs over a fixed commutative DGA, and for modules over a fixed DGA  $A$ . We thus also get model categories in those cases. However, since the basic differential graded coalgebra  $I$  is not cocommutative, this does not provide path objects for *commutative* DGAs.

*Stable module categories.* Another class of examples arises from modular representation theory. We let  $k$  be a field and  $G$  a finite group; the interesting cases will be those where the characteristic of  $k$  does divide the order of  $G$ . The group algebra  $kG$  is a Frobenius ring, that is, the classes of its projective and injective modules coincide. The *stable module category*  $\mathrm{Stmod}(kG)$  has as objects all (left, say)  $kG$ -modules, and the group of morphisms in  $\mathrm{Stmod}(kG)$  is defined to be the quotient of the group of module homomorphisms by the subgroup of those homomorphisms which factor through a projective (equivalently, an injective) module; see for example [7, §5]. The stable module category is in fact the homotopy category associated to a model category structure on the category of all  $kG$ -modules; compare [12, 2.2]. The cofibrations are the monomorphisms, the fibrations are the epimorphisms, and the weak equivalences are maps which become isomorphisms in the stable module category. This model category is quite special because every object is both fibrant and cofibrant.

The above model category structure exists over any Frobenius ring, but for the group algebra  $kG$  (or more generally for finite-dimensional cocommutative Hopf-algebras over a field) there is a compatible monoidal structure. For two  $kG$ -modules  $M$  and  $N$ , the tensor product over the ground field  $M \otimes_k N$  becomes a  $kG$ -module when endowed with the diagonal  $G$ -action. Similarly the group  $\mathrm{Hom}_k(M, N)$  of  $k$ -linear maps supports a  $G$ -action by conjugation. This data makes the category of  $kG$ -modules into a symmetric monoidal closed category with unit object the trivial module  $k$ . The pushout product axiom and the monoid axiom follow easily.

A monoid in this monoidal model category is the same as an associative  $k$ -algebra  $A$  with an action of  $G$  via algebra-automorphisms. A module (in the sense of monoidal category theory) over such a monoid corresponds to a module in the ordinary sense over the *twisted group algebra*  $\tilde{A}[G] = A \otimes_k k[G]$  with multiplication

$$(a \otimes g) \cdot (b \otimes h) = (a \cdot b^g) \otimes (g \cdot h)$$

(where  $b^g$  denotes the action of  $g \in G$  on  $b \in A$ ). Our results thus provide model category structures for the categories of  $\tilde{A}[G]$ -modules and for the category of all  $k$ -algebras with  $G$ -action; in both cases the fibrations are the surjective morphisms and the weak equivalences are the morphisms which are stable equivalences of underlying  $kG$ -modules. To our knowledge these model structures have not yet been considered.

*S-modules.* The model category of  $S$ -modules,  $\mathcal{M}_S$ , is described in [11, VII 4.6]. This model category structure is cofibrantly generated (see [11, VII, 5.6 and 5.8]). To ease notation, let  $F_q = S \wedge_{\mathcal{C}} \mathbb{L}\Sigma_q^\infty(-)$ , the functor from topological spaces to  $\mathcal{M}_S$  that is used to define the model category structure on  $S$ -modules. In our terminology, a set of generating (acyclic) cofibrations is obtained by applying  $F_q$  to a set of generators for topological spaces, for example,  $S^n \longrightarrow CS^n$  ( $CS^n \longrightarrow CS^n \wedge I_+$ ), where  $CX$  is the cone on  $X$ . The associative monoids are the  $S$ -algebras. The difficult part for showing that model category structures can be lifted to the categories of modules and algebras in this case is verifying the smallness hypothesis. This is where the ‘Cofibration Hypothesis’ comes in; see [11, VII, 5.2]. The underlying category of  $S$ -modules is a topological model category (see [11, VII, 4.4]), and the triples in question are continuous. Hence, Remark 4.5 applies to give path objects, and Lemma 2.3(2) recovers [11, VII, 4.7], in particular, the model category structures for  $R$ -algebras and  $R$ -modules. Our module comparison, Theorem 4.3, recovers [11, III, 4.2]. To see that the hypothesis for Theorem 4.3 holds for  $S$ -modules, [11, VII, 4.15] shows that any cofibrant object is a retract of a cell object and [11, III, 3.8] shows that a cell object smash any weak equivalence is still a weak equivalence. Our method of comparing algebra categories over equivalent commutative monoids does not apply here because the unit of the smash product is not cofibrant. Note, however, that even though the unit is not cofibrant here, the unit axiom mentioned in Remark 3.2 does hold by [11, III, 3.8]. Furthermore the hypothesis for Theorem 4.3 holds, because smashing with a cofibrant object preserves weak equivalences, again a consequence of [11, III, 3.8].

### 6. Proofs

*Proof of Theorem 4.1(1).* The category of  $R$ -modules is also the category of algebras over the triple  $T_R$  where  $T_R(M) = R \wedge M$ . The triple structure for  $T_R$  comes from the multiplication  $R \wedge R \rightarrow R$ . This theorem is a direct application of Lemma 2.3 since by the monoid axiom the  $J_T$ -cofibrations are weak equivalences.

*Proof of Theorem 4.1(2).* The model category part is Theorem 4.1(1). By Lemma 3.5, it suffices to check the pushout product axiom and the monoid axiom for the generating cofibrations and the generating acyclic cofibrations. Every generating cofibration is induced from  $\mathcal{C}$  by smashing with  $R$ , that is, it is of the form

$$R \wedge A \longrightarrow R \wedge B$$

for  $A \longrightarrow B$  a cofibration in  $\mathcal{C}$ . In the pushout product of two such maps, one  $R$  smash factor cancels due to using  $\wedge_R$ , so that the pushout product is again induced from a pushout product of cofibrations in  $\mathcal{C}$ , where the pushout product axiom holds. Acyclic cofibrations can be treated in the same way. This gives the pushout product axiom for  $\wedge_R$ .

If  $J$  is a set of generating acyclic cofibrations in  $\mathcal{C}$ , the set of generating acyclic cofibrations in the category of  $R$ -modules (called  $J_T$  above) consists of maps of  $J$  smashed with  $R$ . We thus have the equality  $J_T \wedge_R (R\text{-mod}) = J \wedge \mathcal{C}$ . Since the forgetful functor  $R\text{-mod} \longrightarrow \mathcal{C}$  preserves colimits (it has a right adjoint  $[R, -]$ ),

$(J_T \wedge_R (R\text{-mod}))\text{-cof}_{\text{reg}}$  is a subset of  $(J \wedge \mathcal{C})\text{-cof}_{\text{reg}}$ . The monoid axiom for  $\mathcal{C}$  thus implies the monoid axiom for  $R\text{-mod}$ .

*Proof of Theorem 4.1(3).* This proof is much longer than the previous ones; it occupies the rest of the paper. The main ingredient here is a filtration of a certain pushout in the monoid category. This filtration is also needed to prove the statement about cofibrant monoids. The crucial step only depends on the weak equivalences and cofibrations in the model category structure. Hence we formulate it in a more general context. The hope is that it can also be useful in a situation where one only has something weaker than a model category, without a notion of fibrations. The following definition captures exactly what is needed.

**DEFINITION 6.1.** An *applicable category* is a symmetric monoidal category  $\mathcal{C}$  equipped with two classes of morphisms called cofibrations and weak equivalences, satisfying the following axioms.

- (a) The category  $\mathcal{C}$  has pushouts and filtered colimits. The monoidal product preserves colimits in each of its variables.
- (b) Any isomorphism is a weak equivalence and a cofibration. Weak equivalences are closed under composition. Cofibrations and acyclic cofibrations are closed under transfinite composition and cobase change.
- (c) The pushout product and monoid axiom are satisfied.

Of course, any monoidal model category which satisfies the monoid axiom is applicable. We are essentially forgetting all references to fibrations since they play no role in the following filtration argument. Note that the notion of regular cofibrations as defined in Definition 3.3 and §2 still makes sense in an applicable category. In the following lemma, let  $I$  and  $J$  be the classes of those maps between monoids in  $\mathcal{C}$  which are obtained from cofibrations and acyclic cofibrations, respectively, in  $\mathcal{C}$  by application of the free monoid functor; see (\*) below.

**LEMMA 6.2.** *If  $\mathcal{C}$  is an applicable category, any regular  $J$ -cofibration is a weak equivalence in the underlying category  $\mathcal{C}$ . Moreover, any regular  $I$ -cofibration whose source is cofibrant in  $\mathcal{C}$  is a cofibration in the underlying category  $\mathcal{C}$ .*

*Proof of Theorem 4.1(3), assuming Lemma 6.2.* By the already-established part (2) of Theorem 4.1, the category of  $R$ -modules is itself a cofibrantly generated, monoidal model category satisfying the monoid axiom. Also if  $\mathbb{1}$  is cofibrant in  $\mathcal{C}$ , then  $R$ , the unit for  $\wedge_R$ , is cofibrant in  $R\text{-mod}$ . So we can assume that the commutative monoid  $R$  is actually equal to the unit  $\mathbb{1}$  of the smash product, thus simplifying terminology from ‘ $R$ -algebras’ to ‘monoids’.

To use Lemma 2.3 here we need to recognize monoids in  $\mathcal{C}$  as the algebras over the free monoid triple  $T$ . For an object  $K$  of  $\mathcal{C}$ , define  $T(K)$  to be

$$T(K) = \mathbb{1} \amalg K \amalg (K \wedge K) \amalg \dots \amalg K^{\wedge n} \amalg \dots \tag{*}$$

One can think of  $T(K)$  as the ‘tensor algebra’. Using the fact that  $\wedge$  distributes over the coproduct, we find that  $T(K)$  has a monoid structure given by concatenation. The functor  $T$  is left adjoint to the forgetful functor from monoids

to  $\mathcal{C}$ . Hence  $T$  is also a triple on the category  $\mathcal{C}$  and the  $T$ -algebras are precisely the monoids.

Because the monoidal product is closed symmetric,  $\wedge$  commutes with colimits. Hence, the underlying functor of  $T$  commutes with filtered colimits, as required for Lemma 2.3. The condition on the regular cofibrations is taken care of by Lemma 6.2. Let  $f: M \rightarrow N$  be a cofibration of monoids with  $M$  cofibrant in  $\mathcal{C}$ . By the small object argument, Lemma 2.1, the map  $f$  can be factored as a composite  $f = qi$  such that  $i$  is a regular  $I$ -cofibration and  $f$  has the left lifting property with respect to  $q$ . So  $f$  is a retract of the regular  $I$ -cofibration  $i$ . The source of  $i$  is again the monoid  $M$  which is cofibrant in  $\mathcal{C}$ , so by Lemma 6.2 the map  $i$ , and hence its retract,  $f$ , is a cofibration in  $\mathcal{C}$ . In particular, a cofibrant monoid is a monoid  $M$  such that the unit map  $\mathbb{1} \rightarrow M$  is a cofibration of monoids. So if the unit  $\mathbb{1}$  is cofibrant in  $\mathcal{C}$ , then the unit map  $\mathbb{1} \rightarrow M$  is a cofibration in  $\mathcal{C}$  and  $M$  is cofibrant in the underlying category  $\mathcal{C}$ .

*Proof of Lemma 6.2.* The main ingredient is a filtration of a certain kind of pushout in the monoid category. Consider a map  $K \rightarrow L$  in  $\mathcal{C}$ , a monoid  $X$  and a monoid map  $T(K) \rightarrow X$ . We want to describe the pushout in the monoid category of the diagram

$$\begin{array}{ccc} T(K) & \longrightarrow & T(L) \\ \downarrow & & \\ X & & \end{array}$$

The pushout  $P$  will be obtained as the colimit, in the underlying category  $\mathcal{C}$ , of a sequence

$$X = P_0 \rightarrow P_1 \rightarrow \dots \rightarrow P_n \rightarrow \dots$$

If one thinks of  $P$  as consisting of formal products of elements from  $X$  and from  $L$ , with relations coming from the elements of  $K$  and the multiplication in  $X$ , then  $P_n$  consists of those products where the total number of factors from  $L$  is less than or equal to  $n$ . For ordinary monoids, this is in fact a valid description, and we will now translate this idea into the element-free form which applies to general symmetric monoidal categories.

As indicated above, we set  $P_0 = X$  and describe  $P_n$  inductively as a pushout in  $\mathcal{C}$ . We first describe an  $n$ -dimensional cube in  $\mathcal{C}$ ; by definition, such a cube is a functor

$$W: \mathcal{P}(\{1, 2, \dots, n\}) \rightarrow \mathcal{C}$$

from the poset category of subsets of  $\{1, 2, \dots, n\}$  and inclusions to  $\mathcal{C}$ . If  $S \subseteq \{1, 2, \dots, n\}$  is a subset, the vertex of the cube at  $S$  is defined to be

$$W(S) = X \wedge C_1 \wedge X \wedge C_2 \wedge \dots \wedge C_n \wedge X$$

with

$$C_i = \begin{cases} K & \text{if } i \notin S, \\ L & \text{if } i \in S. \end{cases}$$

All maps in the cube  $W$  are induced from the map  $K \rightarrow L$  and the identity on the  $X$  factors.

So at each vertex a total of  $n + 1$  smash factors of  $X$  alternate with  $n$  smash factors of either  $K$  or  $L$ . The initial vertex corresponding to the empty subset has all its  $C_i$  equal to  $K$  and the terminal vertex corresponding to the whole set has all its  $C_i$  equal to  $L$ . For example, for  $n = 2$ , the cube is a square and looks like

$$\begin{array}{ccc} X \wedge K \wedge X \wedge K \wedge X & \longrightarrow & X \wedge K \wedge X \wedge L \wedge X \\ \downarrow & & \downarrow \\ X \wedge L \wedge X \wedge K \wedge X & \longrightarrow & X \wedge L \wedge X \wedge L \wedge X \end{array}$$

Denote by  $Q_n$  the colimit of the punctured cube, that is, the cube with the terminal vertex removed. Define  $P_n$  via the pushout in  $\mathcal{C}$ ,

$$\begin{array}{ccc} Q_n & \longrightarrow & (X \wedge L)^{\wedge n} \wedge X \\ \downarrow & & \downarrow \\ P_{n-1} & \longrightarrow & P_n \end{array}$$

This is not a complete definition until we say what the left vertical map is. We define the map from  $Q_n$  to  $P_{n-1}$  by describing how it maps a vertex  $W(S)$  for  $S$  a proper subset of  $\{1, 2, \dots, n\}$ . Each of the smash factors of  $W(S)$  which is equal to  $K$  is first mapped into  $X$ . Then adjacent smash factors of  $X$  are multiplied. This gives a map

$$W(S) \longrightarrow X \wedge L \wedge X \wedge \dots \wedge L \wedge X,$$

where the right-hand side has  $|S| + 1$  smash factors of  $X$  and  $|S|$  smash factors of  $L$ . So the right-hand side maps further to  $P_{|S|}$ , and hence to  $P_{n-1}$  since  $S$  is a proper subset.

We have to check that these maps on the vertices of the punctured cube  $W$  are compatible so that they assemble to a map from the colimit,  $Q_n$ . So let  $S$  be again a proper subset of  $\{1, 2, \dots, n\}$  and take  $i \notin S$ . We have to verify commutativity of the diagram

$$\begin{array}{ccccc} W(S) & \longrightarrow & (X \wedge L)^{\wedge |S|} \wedge X & \longrightarrow & P_{|S|} \\ \downarrow & & & & \downarrow \\ W(S \cup \{i\}) & \longrightarrow & (X \wedge L)^{\wedge (|S|+1)} \wedge X & \longrightarrow & P_{|S|+1} \end{array}$$

By definition,  $W(S)$  and  $W(S \cup \{i\})$  differ at exactly one smash factor in the  $2i$ th position which is equal to  $K$  for the former and equal to  $L$  for the latter. The upper left map factors as

$$W(S) \longrightarrow (X \wedge L)^{\wedge a} \wedge X \wedge K \wedge (X \wedge L)^{\wedge b} \wedge X \longrightarrow (X \wedge L)^{\wedge |S|} \wedge X$$

where  $a$  and  $b$  are the numbers of elements in  $S$  which are, respectively, smaller than or larger than  $i$ ; in particular  $a + b = |S|$ . The right map in this factorization pushes  $K$  into  $X$  and multiplies the three adjacent smash factors of  $X$ . Hence the

diagram in question is the composite of two commutative squares

$$\begin{array}{ccccc}
 W(S) & \longrightarrow & (X \wedge L)^{\wedge a} \wedge X \wedge K \wedge (X \wedge L)^{\wedge b} \wedge X & \longrightarrow & P_{|S|} \\
 \downarrow & & \downarrow & & \downarrow \\
 W(S \cup \{i\}) & \longrightarrow & (X \wedge L)^{\wedge (|S|+1)} \wedge X & \longrightarrow & P_{|S|+1}
 \end{array}$$

The right-hand square commutes by the definition of  $P_{|S|+1}$ .

We have now completed the inductive definition of  $P_n$ . We set  $P = \operatorname{colim} P_n$ , the colimit being taken in  $\mathcal{C}$ . Then  $P$  comes equipped with  $\mathcal{C}$ -morphisms  $X = P_0 \rightarrow P$  and

$$L \cong \mathbb{1} \wedge L \wedge \mathbb{1} \rightarrow X \wedge L \wedge X \rightarrow P_1 \rightarrow P$$

which make the diagram

$$\begin{array}{ccc}
 K & \longrightarrow & L \\
 \downarrow & & \downarrow \\
 X & \longrightarrow & P
 \end{array}$$

commute. There are several things to check:

- (i)  $P$  is naturally a monoid, so that
- (ii)  $X \rightarrow P$  is a map of monoids and
- (iii)  $P$  has the universal property of the pushout in the category of monoids.

Define the unit of  $P$  as the composite of  $X \rightarrow P$  with the unit of  $X$ . The multiplication of  $P$  is defined from compatible maps  $P_n \wedge P_m \rightarrow P_{n+m}$  by passage to the colimit. These maps are defined by induction on  $n+m$  as follows. Note that  $P_n \wedge P_m$  is the pushout in  $\mathcal{C}$  in the following diagram:

$$\begin{array}{ccc}
 Q_n \wedge ((X \wedge L)^m \wedge X) \cup_{(Q_n \wedge Q_m)} ((X \wedge L)^n \wedge X) \wedge Q_m & \longrightarrow & ((X \wedge L)^n \wedge X) \wedge ((X \wedge L)^m \wedge X) \\
 \downarrow & & \downarrow \\
 (P_{n-1} \wedge P_m) \cup_{(P_{n-1} \wedge P_{m-1})} (P_n \wedge P_{m-1}) & \longrightarrow & P_n \wedge P_m
 \end{array}$$

The lower left corner already has a map to  $P_{n+m}$  by induction, the upper right corner is mapped there by multiplying the two adjacent factors of  $X$  followed by the map  $(X \wedge L)^{n+m} \wedge X \rightarrow P_{n+m}$  from the definition of  $P_{n+m}$ . We omit the tedious verification that this in fact gives a well-defined multiplication map and that the associativity and unital diagrams commute. Hence,  $P$  is a monoid. Multiplication in  $P$  was arranged so that  $X \rightarrow P$  is a monoid map.

For (iii), suppose we are given another monoid  $M$ , a monoidal map  $X \rightarrow M$ , and a  $\mathcal{C}$ -map  $L \rightarrow M$  such that the outer square in

$$\begin{array}{ccc}
 K & \longrightarrow & L \\
 \downarrow & & \downarrow \\
 X & \longrightarrow & P
 \end{array}
 \begin{array}{c}
 \searrow \\
 \downarrow \\
 \searrow \\
 \dots \\
 \searrow \\
 M
 \end{array}$$

commutes. We have to show that there is a unique monoidal map  $P \rightarrow M$  making the entire square commute. These conditions in fact force the behavior of the composite map  $W(S) \rightarrow P_n \rightarrow P \rightarrow M$ . Since  $P$  is obtained by various colimit constructions from these basic building blocks, uniqueness follows. We again omit the tedious verification that the maps  $W(S) \rightarrow M$  are compatible and assemble to a monoidal map  $P \rightarrow M$ .

Now that we have established that  $P$  is the pushout of the original diagram of monoids, we continue with the homotopical analysis of the constructed filtration, that is, we will verify that the regular  $J$ -cofibrations are weak equivalences. Assume now that  $K \rightarrow L$  is an acyclic cofibration in  $\mathcal{C}$ . The cube  $W$  used in the inductive definition of  $P_n$  has  $n + 1$  smash factors of  $X$  at every vertex which map by the identity everywhere. Using the symmetry isomorphism for  $\wedge$ , we see that these can all be shuffled to one side and we find that the map  $Q_n \rightarrow (X \wedge L)^{\wedge n} \wedge X$  is isomorphic to

$$\bar{Q}_n \wedge X^{\wedge(n+1)} \rightarrow L^{\wedge n} \wedge X^{\wedge(n+1)}.$$

Here  $\bar{Q}_n$  is the colimit of a punctured cube analogous to  $W$ , but with all the smash factors of  $X$  in the vertices deleted. By iterated application of the pushout product axiom, the map  $\bar{Q}_n \xrightarrow{\sim} L^{\wedge n}$  is an acyclic cofibration. So by the monoid axiom, the map  $P_{n-1} \xrightarrow{\sim} P_n$  is a weak equivalence. The map  $X = P_0 \xrightarrow{\sim} P$  is an instance of a transfinite composite (indexed by the first infinite ordinal) of the kind of maps considered in the monoid axiom, so it is also a weak equivalence.

With the use of the filtration we have just established that any pushout, in the category of monoids, of a map in  $J$ , is a countable composite of maps of the kind considered in the monoid axiom. Recall here that any map in  $J$  is obtained by applying the free monoid functor to an acyclic cofibration in  $\mathcal{C}$ . A transfinite composite of transfinite composites is again a transfinite composite. Because the forgetful functor from monoids to  $\mathcal{C}$  preserves filtered colimits, this shows that regular  $J$ -cofibrations are weak equivalences.

It remains to prove the statement about regular  $I$ -cofibrations. We note that if, in the above pushout diagram,  $K \rightarrow L$  is a cofibration and the monoid  $X$  is cofibrant in the underlying category, then

$$\bar{Q}_n \wedge X^{\wedge(n+1)} \rightarrow L^{\wedge n} \wedge X^{\wedge(n+1)}$$

is a cofibration in the underlying category (by several applications of the pushout product axiom). Thus also the maps  $P_{n-1} \rightarrow P_n$  and finally  $X = P_0 \rightarrow P$  are cofibrations in the underlying category. Since the forgetful functor commutes with filtered colimits, transfinite composites of such pushouts in the monoid category are still cofibrations in the underlying category  $\mathcal{C}$ .

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