

R. Kottwitz · M. Rapoport

## Minuscule alcoves for $GL_n$ and $GSp_{2n}$

Received: 5 July 1999

**Abstract.** Let  $\mu$  be a minuscule coweight for either  $GL_n$  or  $GSp_{2n}$ , and regard  $\mu$  as an element  $t_\mu$  in the extended affine Weyl group  $\tilde{W}$ . We say that an element  $x \in \tilde{W}$  is  $\mu$ -admissible if there exists  $\mu'$  in the Weyl group orbit of  $\mu$  such that  $x \leq t_{\mu'}$  in the Bruhat order on  $\tilde{W}$ . Our main result is that  $x \in \tilde{W}$  is  $\mu$ -admissible if and only if it is  $\mu$ -permissible, where  $\mu$ -permissibility is defined using inequalities arising naturally in the study of bad reduction of Shimura varieties.

### Introduction

The main result of this paper concerns the extended affine Weyl group  $\tilde{W}$  for  $GL_n$ . The group  $\tilde{W}$  is the semidirect product of the symmetric group  $S_n$  and the group  $\mathbf{Z}^n$ ; this group acts on  $\mathbf{R}^n$ , with  $S_n$  acting by permutations of the coordinates and with  $\mathbf{Z}^n$  acting by translations.

Let  $d$  be an integer in the range  $0 \leq d \leq n$  and let  $\omega_d$  denote the vector  $(1, \dots, 1, 0, \dots, 0) \in \mathbf{Z}^n$  in which 1 is repeated  $d$  times and 0 is repeated  $n - d$  times.

Let  $x \in \tilde{W}$ . We say that  $x$  is  $d$ -admissible if there exists  $\tau \in S_n$  such that  $x$  is less than or equal to  $\tau(\omega_d) \in \mathbf{Z}^n \subset \tilde{W}$  in the Bruhat order on  $\tilde{W}$ .

Again let  $x \in \tilde{W}$ . For integers  $i$  in the range  $0 \leq i \leq n - 1$  we let  $v_i$  denote the vector in  $\mathbf{Z}^n$  obtained by applying the affine transformation  $x : \mathbf{R}^n \rightarrow \mathbf{R}^n$  to the vector  $\omega_i \in \mathbf{Z}^n \subset \mathbf{R}^n$ . We say that  $x$  is *minuscule* if

$$0 \leq v_i(m) - \omega_i(m) \leq 1 \quad \forall i \in \{0, 1, \dots, n - 1\} \quad \forall m \in \{1, 2, \dots, n\},$$

where  $v_i(m)$  and  $\omega_i(m)$  denote the  $m$ -th entries of the vectors  $v_i$ ,  $\omega_i$  respectively. We define the *size* of  $x \in \tilde{W}$  to be the sum of the entries of the vector  $v_0$ . We say that  $x$  is  $d$ -permissible if it is minuscule of size  $d$ .

The main result of this paper, Theorem 3.5(3), states that  $x \in \tilde{W}$  is  $d$ -admissible if and only if it is  $d$ -permissible. It is easy to see that  $d$ -admissibility implies

---

R. Kottwitz: Mathematics Department, University of Chicago, 5734 University Avenue, Chicago, IL 60637, USA. e-mail: kottwitz@math.uchicago.edu

M. Rapoport: Mathematisches Institut der Universität zu Köln, Weyertal 86–90, 50931 Köln, Germany

*Mathematics Subject Classification (2000):* Primary 17B20

$d$ -permissibility; the converse seems to be harder. Theorem 4.5(3) is a completely analogous result for the group  $G = GSp_{2n}$  of symplectic similitudes.

Let us now rephrase our results in a way that makes sense for any extended affine Weyl group  $\tilde{W}$ . Recall that  $\tilde{W}$  has the form  $W \ltimes X$ , where  $W$  is the finite Weyl group and  $X$  is the lattice of cocharacters. Inside  $X$  is the coroot lattice  $X_0$ , and there is a canonical surjective homomorphism

$$c : \tilde{W} \rightarrow X/X_0$$

that is trivial on  $W$  and induces the canonical surjection from  $X$  to  $X/X_0$ .

Let  $\mu \in X$ . When we regard  $\mu$  as an element in the translation subgroup of  $\tilde{W}$ , we sometimes denote it by  $t_\mu$ . We say that  $x \in \tilde{W}$  is  $\mu$ -admissible if there exists  $\tau \in W$  such that  $x \leq t_{\tau(\mu)}$  in the Bruhat order on  $\tilde{W}$ .

Let  $P_\mu$  denote the convex hull in  $X_{\mathbf{R}} := X \otimes_{\mathbf{Z}} \mathbf{R}$  of the points  $\tau(\mu)$  as  $\tau$  ranges through the finite Weyl group  $W$ . Let  $\bar{\mathbf{a}}$  denote the closure of the base alcove  $\mathbf{a}$  in  $X_{\mathbf{R}}$ ; thus

$$\bar{\mathbf{a}} = \{v \in X_{\mathbf{R}} : \tilde{\alpha}(v) \geq 0 \text{ for all simple affine roots } \tilde{\alpha}\}.$$

We say that  $x \in \tilde{W}$  is  $\mu$ -permissible if  $c(x) = c(t_\mu)$  and  $xv - v \in P_\mu$  for all  $v \in \bar{\mathbf{a}}$  (equivalently, for all ‘‘vertices’’  $v$  of  $\bar{\mathbf{a}}$ , where by a ‘‘vertex’’ we mean an element lying in a face of minimal dimension).

When  $G$  is  $GL_n$  or  $GSp_{2n}$  and  $\mu$  is a minuscule coweight, Theorems 3.5(3) and 4.5(3) say that  $x$  is  $\mu$ -admissible if and only if it is  $\mu$ -permissible. In the case of  $GL_n$  the point is that for  $\mu = \omega_d$ , the convex hull  $P_\mu$  is equal to the set of vectors  $(x_1, x_2, \dots, x_n) \in \mathbf{R}^n$  such that  $x_1 + x_2 + \dots + x_n = d$  and  $0 \leq x_i \leq 1$  for all  $i \in \{1, 2, \dots, n\}$ ; similar considerations apply to  $GSp_{2n}$  and  $\omega_n$  (see 12.4). Also, it is easy to check that  $\mu$ -admissibility always implies  $\mu$ -permissibility (see 11.2 for a proof). T. Haines pointed out to us that in light of Deodhar’s results in [D] it seems unlikely that  $\mu$ -permissibility always implies  $\mu$ -admissibility, as we had first hoped. It would be interesting to clarify the situation.

The results and the methods of this paper are purely combinatorial, but the origin of the problems considered here is the study of the bad reduction of certain Shimura varieties. Indeed, consider the local model associated to the triple  $(G, \mu, K)$  consisting of the algebraic group  $G = GL_n$  over  $\mathbf{Q}_p$ , the minuscule coweight  $\omega_d$ , and an Iwahori subgroup  $K$  of  $G$ , ([RZ, G1]). Then the special fiber of this local model has a natural stratification (into affine Schubert cells) whose strata are parametrized by the  $\mu$ -permissible subset of the extended affine Weyl group  $\tilde{W}$  for  $GL_n$ . Similar remarks apply to  $GSp_{2n}$ .

The concepts of  $\mu$ -admissibility and  $\mu$ -permissibility also play a role in the problem of determining the function in the Iwahori-Hecke algebra which describes the trace of the Frobenius on the sheaf of nearby cycles of a local model. We refer the reader to [G2, H1, H2, HN] for more details.

The first author would like to thank the Universities of Cologne and Paris-Sud for their hospitality and support during visits that made this joint work possible.

## 1. Review of the Bruhat order

### 1.1. Notation

In this section we consider the Weyl group  $W$  of a root system  $R$ , which can be either an ordinary root system or an affine root system. We choose an order on  $R$ , so that  $R = R_+ \amalg R_-$ , where  $R_+$  denotes the set of positive roots, and we denote by  $B$  the set of simple positive roots. For  $\alpha \in R$  we denote by  $w_\alpha$  the reflection in the root  $\alpha$ . The map  $\alpha \mapsto w_\alpha$  sets up a bijection from  $R_+$  to the set  $T$  of reflections in  $W$ , and it also sets up a bijection from  $B$  to the set  $S$  of simple reflections in  $W$ . For  $w \in W$  we denote by  $l(w)$  the length of  $w$  with respect to the base  $B$ . We will recall from [1, 2, 4] the definition and properties of the Bruhat order. Note that [1, 2] concern ordinary Weyl groups, but we will cite only results that apply equally well to affine Weyl groups.

### 1.2. Definition of Bruhat order

Let  $x, y \in W$ . We write  $x \rightarrow y$  if  $yx^{-1} \in T$  and  $l(y) = l(x) + 1$ . We write  $x \leq y$  if there exists a chain

$$x = x_1 \rightarrow x_2 \rightarrow \cdots \rightarrow x_{k-1} \rightarrow x_k = y$$

( $k = 1$  is allowed, so that  $x \leq x$ ). The partial order  $\leq$  is called the Bruhat order on  $W$ . It is clear that  $x \leq y$  if and only if  $x^{-1} \leq y^{-1}$ .

**Lemma 1.3.** *Let  $x, y \in W, s \in S$  and assume that  $x \leq y$ . Then*

- (1) *either  $sx \leq y$  or  $sx \leq sy$ , and*
- (2) *either  $x \leq sy$  or  $sx \leq sy$ .*

*Proof.* This is Lemma 2.5 of [2].  $\square$

**Lemma 1.4.** *Let  $x, y \in W$ . Suppose that  $yx^{-1} \in T$  and  $l(x) < l(y)$ . Then  $x \leq y$ .*

*Proof.* This is Lemma 8.11 in [1]. The idea is to prove Lemma 1.4 by induction on  $l(y)$ , using Lemma 1.3 (if  $l(y) > 0$  pick  $s \in S$  such that  $sy \rightarrow y$ ). Note however that Lemma 1.3 was not explicitly formulated in [1].  $\square$

**Corollary 1.5.** *Let  $x \in W$  and let  $\alpha$  be a positive root. Then  $x^{-1}\alpha$  is a positive root if and only if  $x \leq w_\alpha x$ . Equivalently,  $x^{-1}\alpha$  is a negative root if and only if  $w_\alpha x \leq x$ .*

*Proof.* Use Corollary 2.3(ii) in [2] in addition to Lemma 1.4.  $\square$

**Lemma 1.6.** *Let  $x, y \in W$  and let  $x = s_1 \dots s_q$  be a reduced decomposition of  $x$ . Then  $y \leq x$  if and only if there exists a strictly increasing sequence  $i_1 < \dots < i_k$  (possibly empty) of integers drawn from  $\{1, \dots, q\}$  such that  $y = s_{i_1} \dots s_{i_k}$ .*

*Proof.* This is Proposition 2.8 of [2].  $\square$

**Lemma 1.7.** *Let  $\preceq$  be a partial order on  $W$  satisfying the following three properties.*

- (1) *Let  $x, y \in W$ . If  $x \leq y$ , then  $x \preceq y$ .*
- (2)  *$x \preceq e$ , then  $x = e$ . Here  $e$  denotes the identity element of  $W$ .*
- (3) *Let  $x, y \in W$  and  $s \in S$ . Suppose that  $x \preceq y$ . Then either  $x \preceq sy$  or  $sx \preceq sy$ .*

*Then the partial order  $\preceq$  coincides with the Bruhat order  $\leq$ .*

*Proof.* Suppose that  $x \preceq y$ . We must show that  $x \leq y$ . We use induction on  $l(y)$ . If  $l(y) = 0$ , then  $y = e$  and (2) yields the desired result. Now suppose that  $l(y) > 0$ . Then there exists  $s \in S$  such that  $l(sy) = l(y) - 1$  and hence  $sy \leq y$ . By (3) either  $x \preceq sy$  or  $sx \preceq sy$ . By the induction hypothesis either  $x \leq sy$  or  $sx \leq sy$ . By Lemma 1.3 either  $x \leq y$  or  $x \leq sy$ . Since  $sy \leq y$  we conclude that in any case  $x \leq y$ .  $\square$

Note that this lemma is a variant of Proposition 2.7 in [2], but that Proposition 2.7 applies only to ordinary root systems (the proof in [2] uses the existence of a longest element in  $W$ ).

### 1.8. Bruhat order on extended affine Weyl groups

Let  $G$  be a connected reductive group over an algebraically closed field, and let  $A$  be a maximal torus in  $G$ . The Weyl group of  $G$  is the quotient group  $N(A)/A$ , where  $N(A)$  denotes the normalizer of  $A$  in  $G$ . The Weyl group acts on the cocharacter group  $X_*(A)$ , and the extended affine Weyl group  $\tilde{W}$  of  $G$  is by definition the semidirect product of  $W$  and  $X_*(A)$ . In case  $G$  is semisimple and simply connected, the extended affine Weyl group coincides with the affine Weyl group  $W_a$  of  $G$ . In general we write  $G_{sc}$  for the simply connected covering group of the derived group of  $G$ , and we write  $A_{sc}$  for the inverse image of  $A$  in  $G_{sc}$ . Then the cocharacter group  $X_*(A_{sc})$  can be identified with a subgroup of  $X_*(A)$ , and thus the affine Weyl group  $W_a = W \ltimes X_*(A_{sc})$  can be identified with a subgroup of the extended affine Weyl group  $\tilde{W} = W \ltimes X_*(A)$ . In fact the subgroup  $W_a$  is normal in  $\tilde{W}$ , so that we get a canonical surjective homomorphism

$$\tilde{W} \rightarrow X_*(A)/X_*(A_{sc}) \tag{1.8.1}$$

whose kernel is  $W_a$ .

Pick a Borel subgroup of  $G$  containing  $A$ . It determines a set of simple roots and also a set of simple affine roots. Let  $C$  be the subgroup of  $\tilde{W}$  consisting of all elements that preserve the set of simple affine roots. Then  $\tilde{W}$  is the semidirect product of  $C$  and the normal subgroup  $W_a$ , and thus  $C$  maps isomorphically to  $X_*(A)/X_*(A_{sc})$  under the homomorphism (1.8.1).

As usual the Bruhat order and length function on the extended affine Weyl group  $\tilde{W}$  are defined as follows. Let  $x, x' \in \tilde{W}$ . Then  $x, x'$  can be decomposed uniquely as  $x = wc$  and  $x' = w'c'$  with  $c, c' \in C$  and  $w, w' \in W_a$ . Then by definition  $x \leq y$  means that  $w \leq w'$  and that  $c = c'$ . Moreover the length  $l(x)$  of  $x$  is defined to be  $l(x) := l(w)$ .

## 2. An inheritance property of the Bruhat order

### 2.1. Notation

We keep the notation of 1.1. In addition we now consider an automorphism  $\theta$  of the root system  $R$  having the property that  $\theta$  preserves the set  $B$  of simple roots. If  $R$  is an ordinary root system we require that  $\theta$  come from an automorphism of the real vector space on which the roots are linear functions, and if  $R$  is an affine root system we require that  $\theta$  come from an automorphism of the real affine space on which the roots are affine linear functions. Thus we are in the situation considered in §1 of [3].

### 2.2. Fixed point group $W^\theta$

The automorphism  $\theta$  induces an automorphism, still called  $\theta$ , of the group  $W$ , and this automorphism preserves the set  $S$  of simple reflections. Recall from [3] that the fixed point group  $W^\theta := \{w \in W \mid \theta(w) = w\}$  is again the Weyl group of a root system. To describe the simple reflections in the Weyl group  $W^\theta$  we must first recall that for any subset  $X \subset S$  the subgroup  $W_X$  of  $W$  generated by  $X$  is a Weyl group having  $X$  as its set of simple reflections. Let  $\Delta$  be an orbit of  $\theta$  on  $S$  and suppose that the Weyl group  $W_\Delta$  is finite. Then we denote by  $s_\Delta$  the unique longest element of  $W_\Delta$ ; note that  $s_\Delta$  is fixed by  $\theta$ . Then the elements  $s_\Delta$ , one for each orbit  $\Delta$  such that  $W_\Delta$  is finite, are the simple reflections in  $W^\theta$  (see [3]).

Let  $x \in W^\theta$ . We write  $l(x)$  for the length of  $x$  as element in  $W$ , and we write  $l^\theta(x)$  for the length of  $x$  as element of  $W^\theta$ . For any reduced decomposition  $x = s_{\Delta_1} \dots s_{\Delta_q}$  of  $x$  as element of  $W^\theta$  we have

$$l(x) = \sum_{i=1}^q l(s_{\Delta_i}) \tag{2.2.1}$$

(this is in [3], at least implicitly).

**Proposition 2.3.** *The Bruhat order  $\leq$  on  $W^\theta$  is inherited from the Bruhat order  $\leq$  on  $W$ . In other words, for any  $x, y \in W^\theta$ , the conditions  $x \leq y$  and  $x \preceq y$  are equivalent.*

*Proof.* We prove this result by verifying that the partial order  $\leq$  on  $W^\theta$  satisfies the three hypotheses of Lemma 1.7. First we show that if  $x \leq y$ , then  $x \preceq y$ . Let

$$y = s_{\Delta_1} \dots s_{\Delta_q} \tag{2.3.1}$$

be a reduced decomposition for  $y$  as element of  $W^\theta$ . By Lemma 1.6 the element  $x$  can be written as

$$x = s_{\Delta_{i_1}} \dots s_{\Delta_{i_k}} \tag{2.3.2}$$

for some strictly increasing sequence  $i_1 < \dots < i_k$ . For each  $i$  choose a reduced decomposition for  $s_{\Delta_i}$  as element of  $W$ ; it follows from (2.2.1) that one obtains

a reduced decomposition for  $y$  as element of  $W$  by substituting these decompositions of the elements  $s_{\Delta_i}$  in the decomposition (2.3.1). Substituting the same reduced decompositions for the elements  $s_{\Delta_i}$  into the expression (2.3.2), we see from Lemma 1.6 that  $x \preceq y$ .

Thus the partial order  $\preceq$  satisfies the first hypothesis of Lemma 1.7, and it is obvious that it satisfies the second hypothesis as well. It remains to verify the third hypothesis. Let  $x, y \in W^\theta$ , let  $\sigma$  be a simple reflection in  $W^\theta$  and suppose that  $x \preceq y$ . We must show that either  $x \preceq \sigma y$  or  $\sigma x \preceq \sigma y$ .

Of course  $l^\theta(\sigma y) = l^\theta(y) \pm 1$ . If  $l^\theta(\sigma y) = l^\theta(y) + 1$ , then  $y \preceq \sigma y$ . Therefore  $x \preceq y \preceq \sigma y$  and we are done. So we may as well assume that  $l^\theta(\sigma y) = l^\theta(y) - 1$ , in which case  $\sigma y \preceq y$  and  $\sigma y \preceq y$ . It follows from (2.2.1) that  $l(\sigma y) = l(y) - l(\sigma)$ . Choose a reduced decomposition  $\sigma = s_1 \dots s_q$  for  $\sigma$  in  $W$  and note that

$$l(s_i \dots s_q y) = l(s_{i+1} \dots s_q y) - 1$$

for all  $i$  in the range  $1 \leq i \leq q$ . It follows that

$$\sigma y \preceq s_2 \dots s_q y \preceq \dots \preceq s_q y \preceq y.$$

Of course  $l^\theta(\sigma x) = l^\theta(x) \pm 1$ . First we assume that  $l^\theta(\sigma x) = l^\theta(x) - 1$ . As before it follows that

$$\sigma x \preceq s_2 \dots s_q x \preceq \dots \preceq s_q x \preceq x.$$

Applying Lemma 1.3 to  $x, y$  and  $s_q$  we see that  $s_q x \preceq s_q y$ . Again applying Lemma 1.3, this time to  $s_q x, s_q y$  and  $s_{q-1}$ , we see that  $s_{q-1} s_q x \preceq s_{q-1} s_q y$ . Continuing in this way, we see that  $\sigma x \preceq \sigma y$ , and we are done with this case.

Next we assume that  $l^\theta(\sigma x) = l^\theta(x) + 1$ . It follows that

$$x \preceq s_q x \preceq s_{q-1} s_q x \preceq \dots \preceq s_2 \dots s_q x \preceq \sigma x.$$

Applying Lemma 1.3 to  $x, y$  and  $s_q$ , we see that  $x \preceq s_q y$  (we used that  $x \preceq s_q x$ ). We now note that in fact  $x \preceq s_i x$  for any  $i$  in the range  $1 \leq i \leq q$ . Indeed, since  $\sigma$  is the longest element in the Weyl group  $W_\Delta$ , where  $\Delta$  is the  $\theta$ -orbit in  $S$  corresponding to  $\sigma$ , we can find a reduced decomposition of  $\sigma$  ending with  $s_i$  rather than  $s_q$ . Applying Lemma 1.3 again, this time to  $x, s_q y$  and  $s_{q-1}$ , we see that  $x \preceq s_{q-1} s_q y$ . Continuing in this way, we see that  $x \preceq \sigma y$ , and this concludes the proof.  $\square$

### 3. Main result for $GL_n$

#### 3.1. Extended affine Weyl group of $GL_n$

Consider the general linear group  $GL_n$  for  $n \geq 1$ . Its extended affine Weyl group  $\tilde{W}$  is the semidirect product of the symmetric group  $S_n$  and the group  $\mathbf{Z}^n$ ; this group acts on  $\mathbf{R}^n$ , with  $S_n$  acting by permutations of the coordinates and with  $\mathbf{Z}^n$  acting by translations. The affine Weyl group  $W_a \subset \tilde{W}$  of  $SL_n$  is the semidirect product of  $S_n$  and the subgroup of  $\mathbf{Z}^n$  consisting of all  $n$ -tuples  $(a_1, \dots, a_n)$  such

that  $a_1 + \dots + a_n = 0$ . As usual we order the affine roots in such a way that the simple affine roots are the functions  $(x_1, \dots, x_n) \mapsto x_i - x_{i+1}$  ( $1 \leq i \leq n - 1$ ) together with the affine linear function

$$(x_1, \dots, x_n) \mapsto x_n - x_1 + 1.$$

The simple reflections are the transpositions  $(i, i + 1)$  in  $S_n$  ( $1 \leq i \leq n - 1$ ) together with the affine linear transformation

$$(x_1, \dots, x_n) \mapsto (x_n + 1, x_2, x_3, \dots, x_{n-2}, x_{n-1}, x_1 - 1).$$

### 3.2. Alcoves

For a vector  $v \in \mathbf{Z}^n$  we denote by  $v(m)$  the  $m$ -th entry of  $v$ ; thus  $v = (v(1), \dots, v(n))$ . Also we write  $\Sigma(v)$  for the sum of the entries of  $v$ ; thus  $\Sigma(v) = v(1) + \dots + v(n)$ . Given two vectors  $u, v \in \mathbf{Z}^n$  we say that  $u \leq v$  if  $u(m) \leq v(m)$  for all  $m$  such that  $1 \leq m \leq n$ . An alcove for  $GL_n$  is a sequence  $v_0, \dots, v_{n-1}$  of elements  $v_i \in \mathbf{Z}^n$  satisfying the following two conditions. Put  $v_n := v_0 + (1, 1, \dots, 1)$ . Then the first condition is that

$$v_0 \leq v_1 \leq \dots \leq v_{n-1} \leq v_n. \tag{3.2.1}$$

The second condition is that

$$\Sigma(v_i) = \Sigma(v_{i-1}) + 1 \tag{3.2.2}$$

for all  $i$  such that  $1 \leq i \leq n$ .

For  $1 \leq i \leq n$  let  $e_i$  be the  $i$ -th standard basis vector in  $\mathbf{Z}^n$ , thus  $e_i(j)$  is 0 unless  $i = j$ , in which case it is 1. For  $0 \leq i \leq n$  let  $\omega_i$  be the vector of the form  $(1, 1, \dots, 1, 0, 0, \dots, 0)$  in which 1 is repeated  $i$  times and 0 is repeated  $n - i$  times. The *standard alcove* is the sequence  $\omega_0, \dots, \omega_{n-1}$ . The extended affine Weyl group  $\tilde{W}$  acts on  $\mathbf{Z}^n$  by affine linear transformations, and this action takes alcoves to alcoves ( $x \in \tilde{W}$  sends  $v_0, \dots, v_{n-1}$  to  $xv_0, \dots, xv_{n-1}$ ). For any alcove  $v_0, \dots, v_{n-1}$  the vectors  $v_1 - v_0, v_2 - v_1, \dots, v_n - v_{n-1}$  are a permutation of the standard basis vectors  $e_1, \dots, e_n$  (in the case of the standard alcove the permutation is trivial). Thus we see that the extended affine Weyl group acts simply transitively on the set of alcoves. Using the standard alcove as base-point, we identify the extended affine Weyl group with the set of alcoves.

For any alcove  $v_0, \dots, v_{n-1}$  the integer  $\Sigma(v_i) - \Sigma(\omega_i)$  is independent of  $i$ ; we denote this integer by  $r$  and say that the *size* of the alcove is  $r$ . Two alcoves have the same size if and only if the corresponding elements in the extended affine Weyl group have the same image in the group  $X_*(A)/X_*(A_{sc})$  discussed in 1.8.

### 3.3. Minuscule alcoves

We say that an alcove  $v_0, \dots, v_{n-1}$  is *minuscule* if

$$\omega_i \leq v_i \leq \omega_i + (1, 1, \dots, 1)$$

for all  $i$  in the range  $0 \leq i \leq n - 1$ .

3.4. Minuscule cocharacters

We say that a vector  $v \in \mathbf{Z}^n$  is *minuscule* if each of its entries is 0 or 1. Thus every minuscule vector  $v$  is a permutation of one of the vectors  $\omega_i$ . If  $v$  is minuscule and  $\Sigma(v) = i$ , then  $v$  is a permutation of  $\omega_i$ .

**Theorem 3.5.** *Let  $v_0, \dots, v_{n-1}$  be an alcove and let  $x$  be the corresponding element of  $\tilde{W}$ .*

- (1) *Suppose that the alcove  $v_0, \dots, v_{n-1}$  is minuscule. Then  $v_0$  is a minuscule vector, and when we regard  $v_0$  as an element in the translation subgroup of  $\tilde{W}$ , we have the inequality  $x \leq v_0$  in the Bruhat order.*
- (2) *Let  $v$  be a minuscule vector in  $\mathbf{Z}^n$  and regard  $v$  as an element in the translation subgroup of  $\tilde{W}$ . Suppose that  $x \leq v$  in the Bruhat order. Then the alcove  $v_0, \dots, v_{n-1}$  is minuscule.*
- (3) *Let  $0 \leq r \leq n$ . The alcove  $v_0, \dots, v_{n-1}$  is minuscule of size  $r$  if and only if there exists a permutation  $v$  of  $\omega_r$  such that  $x \leq v$  in the Bruhat order.*

We will prove this theorem in Sect. 5.

**Corollary 3.6.** *Let  $v_0, \dots, v_{n-1}$  be a minuscule alcove and let  $x$  be the corresponding element of  $\tilde{W}$ . Let  $i$  be an integer in the range  $0 \leq i \leq n - 1$  and define a vector  $\mu_i \in \mathbf{Z}^n$  by  $\mu_i := v_i - \omega_i$ . Then  $\mu_i$  is minuscule, and when we regard  $\mu_i$  as an element of the translation subgroup of  $\tilde{W}$ , we have the inequality  $x \leq \mu_i$  in the Bruhat order.*

*Proof.* Let  $c$  be the element of  $\tilde{W}$  defined (as affine linear automorphism of  $\mathbf{R}^n$ ) by

$$(x_1, \dots, x_n) \mapsto (x_2, x_3, \dots, x_n, x_1 - 1).$$

Consider the alcove  $v'_0, \dots, v'_{n-1}$  corresponding to the element  $c^i x c^{-i} \in \tilde{W}$ . An easy calculation shows that this new alcove is minuscule and that  $v'_0$  is equal to the vector obtained by applying the linear part of the affine transformation  $c^i$  to the vector  $\mu_i$ . Thus, viewing  $v'_0$  as an element in the translation subgroup of  $\tilde{W}$ , we have the equality  $v'_0 = c^i \mu_i c^{-i}$ . By Theorem 3.5 (1) we have the inequality  $c^i x c^{-i} \leq c^i \mu_i c^{-i}$ . Since  $c$  permutes the simple affine roots, the inner automorphism  $w \mapsto c^i w c^{-i}$  of  $\tilde{W}$  respects the Bruhat order. Therefore  $x \leq \mu_i$ .  $\square$

4. Main result for  $Sp_{2n}$

4.1. Extended affine Weyl group of the group  $GSp_{2n}$

Now we turn to the group  $GSp_{2n}$  of symplectic similitudes on a symplectic space of dimension  $2n$  (with  $n \geq 1$ ). Its derived group is the symplectic group  $Sp_{2n}$ , which is simply connected. The extended affine Weyl group  $\tilde{W}$  of  $GSp_{2n}$  can be realized as a subgroup of the extended affine Weyl group of  $GL_{2n}$ , and the affine Weyl group  $W_a$  of  $Sp_{2n}$  then occurs as a subgroup of the affine Weyl group of  $SL_{2n}$ .



Indeed, consider the automorphism  $\theta$  of  $\mathbf{R}^{2n}$  defined by

$$\theta(x_1, x_2, \dots, x_{2n-1}, x_{2n}) = (-x_{2n}, -x_{2n-1}, \dots, -x_2, -x_1);$$

then  $\theta$  preserves the set of affine roots of  $SL_{2n}$ , and it also preserves the set of simple affine roots. The subgroup of fixed points of  $\theta$  on the affine Weyl group of  $SL_{2n}$  is the affine Weyl group  $W_a$  of  $Sp_{2n}$ . We conclude from Proposition 2.3 that the Bruhat order on the affine Weyl group of  $Sp_{2n}$  is inherited from the Bruhat order on the affine Weyl group of  $SL_{2n}$ .

It is also true that the affine Weyl group of  $Sp_{2n}$  is the group of fixed points of  $\theta$  on the extended affine Weyl group  $S_{2n} \ltimes \mathbf{Z}^{2n}$  of  $GL_{2n}$ . In fact the group of fixed points of  $\theta$  on  $S_{2n}$  is the Weyl group  $W_n$  of  $Sp_{2n}$ , and the group of fixed points of  $\theta$  on the lattice  $\mathbf{Z}^{2n}$  of translations is the lattice

$$\{(x_1, \dots, x_n, -x_n, \dots, -x_1) \mid (x_1, \dots, x_n) \in \mathbf{Z}^n\}$$

of translations for  $Sp_{2n}$ . The extended affine Weyl group  $\tilde{W}$  of  $GSp_{2n}$  is the following slightly larger subgroup of  $S_{2n} \ltimes \mathbf{Z}^{2n}$ , namely the semidirect product of the Weyl group  $W_n$  of the symplectic group with the translation group  $Y$  of all vectors  $(x_1, \dots, x_{2n}) \in \mathbf{Z}^{2n}$  such that there exists  $c \in \mathbf{Z}$  such that

$$c = x_1 + x_{2n} = x_2 + x_{2n-1} = \dots = x_n + x_{n+1}.$$

We now see from 1.8 that the Bruhat order on the extended affine Weyl group of  $GSp_{2n}$  is inherited from the Bruhat order on the extended affine Weyl group of  $GL_{2n}$ .

#### 4.2. Alcoves

We use notation from 3.2, though now we are considering  $\mathbf{Z}^{2n}$  rather than  $\mathbf{Z}^n$ . We want to define the set of alcoves for the group  $G = GSp_{2n}$ . We will refer to these as  $G$ -alcoves to distinguish them from alcoves for the group  $GL_{2n}$ . In fact the set of  $G$ -alcoves is defined as a subset of the set of alcoves for  $GL_{2n}$ . An alcove  $v_0, \dots, v_{2n-1}$  is a  $G$ -alcove if and only if the following condition is satisfied for some integer  $d$ :

$$v_{2n-i} = \mathbf{d} + \theta(v_i)$$

for  $1 \leq i \leq 2n$ , where  $\mathbf{d}$  denotes the vector  $(d, d, \dots, d)$  (recall that the vector  $v_{2n}$  was defined in 3.2). Note that the standard alcove  $\omega_0, \dots, \omega_{2n-1}$  defined in 3.2 is a  $G$ -alcove (with  $d = 1$ ). For any  $G$ -alcove  $v_0, \dots, v_{2n-1}$  it is clear that the vector  $v_0$  belongs to the translation subgroup  $Y$  for  $G$  discussed in 4.1. It is also clear that the vectors  $v_1 - v_0, v_2 - v_1, \dots, v_{2n} - v_{2n-1}$  are a permutation of the standard basis vectors  $e_1, \dots, e_{2n}$ , and that this permutation lies in the subgroup  $W_n$  of  $S_{2n}$ . From this discussion it is clear that the natural action of the extended affine Weyl group  $\tilde{W}$  of  $G$  on the set of  $G$ -alcoves is simply transitive. Using the standard alcove as base-point, we identify  $\tilde{W}$  with the set of  $G$ -alcoves.

### 4.3. Minuscule alcoves

We say that a  $G$ -alcove is *minuscule* if it is minuscule in the sense of 3.3.

### 4.4. Minuscule cocharacters

We say that a vector  $v$  in the subgroup  $Y$  of  $\mathbf{Z}^{2n}$  is *minuscule* if it is minuscule in the sense of 3.4. Note that every minuscule vector in  $Y$  is either  $\omega_0$ ,  $\omega_{2n}$  or permutation of the vector  $\omega_n$ , this permutation coming from the subgroup  $W_n$  of  $S_{2n}$ .

**Theorem 4.5.** *Let  $v_0, \dots, v_{2n-1}$  be a  $G$ -alcove and let  $x$  be the corresponding element of the extended affine Weyl group  $\tilde{W}$  of  $G = GSp_{2n}$ .*

- (1) *Suppose that the  $G$ -alcove  $v_0, \dots, v_{2n-1}$  is minuscule. Then  $v_0$  is a minuscule vector, and when we regard  $v_0$  as an element in the translation subgroup  $Y$  of  $\tilde{W}$ , we have the inequality  $x \leq v_0$  in the Bruhat order.*
- (2) *Let  $v$  be a minuscule vector in  $Y$ , the translation subgroup of  $\tilde{W}$ . Suppose that  $x \leq v$  in the Bruhat order. Then the  $G$ -alcove  $v_0, \dots, v_{2n-1}$  is minuscule.*
- (3) *The  $G$ -alcove  $v_0, \dots, v_{2n-1}$  is minuscule of size  $n$  (see 3.2 for the definition of size) if and only if there exists a permutation  $\tau \in W_n$  such that  $x \leq \tau(\omega_n)$  in the Bruhat order.*

*Proof.* Since the Bruhat order on  $\tilde{W}$  is inherited from the Bruhat order on the extended affine Weyl group of  $GL_{2n}$ , the first two parts of this theorem follow from the corresponding parts of Theorem 3.5. The third part follows from the first two.  $\square$

## 5. Proof of Theorem 3.5

### 5.1. Strategy

We use the notation of Sect. 3. Let  $x \in \tilde{W}$ , let  $\alpha$  be an affine root for  $GL_n$ , and let  $w_\alpha \in W_\alpha \subset \tilde{W}$  be the corresponding reflection. Then by Corollary 1.5 the elements  $x$ ,  $w_\alpha x$  are related by the Bruhat order, and the direction of the inequality is determined by whether the root  $x^{-1}\alpha$  is positive or negative. Moreover the Bruhat order is generated by such elementary inequalities (by its very definition). Thus, in order to prove Theorem 3.5 we need to answer the following question: given a minuscule alcove  $\mathfrak{v}$  (we write  $\mathfrak{v}$  for the  $n$ -tuple  $v_0, \dots, v_{n-1}$ ) and an affine root  $\alpha$ , when is the alcove  $w_\alpha \mathfrak{v}$  minuscule?

### 5.2. Answer

As above we consider a minuscule alcove  $\mathfrak{v}$ . For  $0 \leq k \leq n$  we define a vector  $\mu_k$  by putting  $\mu_k := v_k - \omega_k$ ; note that  $\mu_n = \mu_0$ . The condition that the alcove  $\mathfrak{v}$  be minuscule is simply the condition that for all  $k$  the vector  $\mu_k$  be minuscule. Thus

each entry of each vector  $\mu_k$  is 0 or 1. For  $1 \leq m \leq n$  we define a subset  $K_m$  of  $\{0, 1, 2, \dots, n - 1\}$  by putting

$$K_m := \{k \mid 0 \leq k \leq n - 1 \quad \text{and} \quad \mu_k(m) = 1\}.$$

Let  $1 \leq i < j \leq n$  and let  $d \in \mathbf{Z}$ . Then the affine linear function  $\alpha = \alpha_{i,j;d}$  on  $\mathbf{R}^n$  defined by

$$(x_1, \dots, x_n) \mapsto x_i - x_j - d$$

is an affine root for  $GL_n$ . These are not the positive affine roots; nevertheless, up to sign they give all affine roots. We consider the reflection  $w = w_{i,j;d}$  in the affine root  $\alpha$ ; note that  $w$  maps  $(x_1, \dots, x_n) \in \mathbf{R}^n$  to the vector

$$(\dots, x_j + d, \dots, x_i - d, \dots)$$

(we have indicated only the  $i$ -th and  $j$ -th entries as the others are the same as the corresponding entries in  $(x_1, \dots, x_n)$ ). We want to express the condition that  $w\mathbf{v}$  be minuscule.

To do so it is convenient to introduce some notation. We write  $[i, j]$  for the set of integers  $k$  such that  $i \leq k < j$ . Let  $X$  be any subset of the set  $\{0, 1, 2, \dots, n - 1\}$ . We write  $X'$  for the complement of  $X$  in  $\{0, 1, 2, \dots, n - 1\}$ , and we write  $\xi_X$  for the characteristic function of the subset  $X$ .

It follows from the definitions that the alcove  $w\mathbf{v}$  is minuscule if and only if

$$d - \xi_{[i,j]}(k) + \xi_{K_j}(k) \in \{0, 1\} \tag{5.2.1}$$

and

$$d - \xi_{[i,j]}(k) - \xi_{K_i}(k) \in \{0, -1\} \tag{5.2.2}$$

for all  $k$  in the range  $0 \leq k \leq n - 1$ .

If condition (5.2.1) holds for all  $k$ , then  $d = 0$  or  $d = 1$  since the characteristic function  $\xi_{[i,j]}(k)$  necessarily attains both the value 0 and the value 1 (for suitable  $k$ ). A glance at the conditions (5.2.1) and (5.2.2) shows that if  $d = 0$ , then  $w\mathbf{v}$  is minuscule if and only if

$$[i, j] \subset K'_i \cap K_j, \tag{5.2.3}$$

and if  $d = 1$ , then  $w\mathbf{v}$  is minuscule if and only if

$$[i, j]' \subset K'_j \cap K_i. \tag{5.2.4}$$

5.3. *Position of the alcove with respect to the wall*

We continue with our discussion of the alcoves  $\mathfrak{v}$  and  $w\mathfrak{v}$ . Since we have identified the set of alcoves with the extended affine Weyl group, we may transport the Bruhat order on the extended affine Weyl group over to the set of alcoves. Then we know that  $\mathfrak{v}$  and  $w\mathfrak{v}$  are related by the Bruhat order (Lemma 1.4), and we would like to know in which direction the inequality goes. The answer is given by Corollary 1.5. The affine root  $\alpha$  defines a wall in  $\mathbf{R}^n$  (the zero set of this affine linear function). Corollary 1.5 tells us that if the alcove  $\mathfrak{v}$  and the standard alcove lie on the same side of this wall, then  $\mathfrak{v} \leq w\mathfrak{v}$ , but if they lie on opposite sides of this wall, then  $w\mathfrak{v} \leq \mathfrak{v}$ . So we need to determine which side of the wall  $\mathfrak{v}$  is on.

Recall that the standard alcove is  $\omega_0, \dots, \omega_{n-1}$ . The first thing to do is to look at the values of our affine root  $\alpha$  on the vectors  $\omega_0, \dots, \omega_{n-1}$ . There are two cases. Suppose first that  $d \geq 1$ . Then all these values are  $\leq 0$  and at least one is  $< 0$ . Therefore  $\mathfrak{v}$  and the standard alcove lie on opposite sides of the wall if and only if there exists  $k$  in the range  $0 \leq k \leq n - 1$  such that

$$\alpha(v_k) = \xi_{[i,j]}(k) + \xi_{K_i}(k) - \xi_{K_j}(k) - d > 0,$$

and it is easy to see that this condition holds if and only if  $d = 1$  and  $K'_j \cap K_i \cap [i, j)$  is non-empty.

Now suppose that  $d \leq 0$ . Then all values of  $\alpha$  on the vectors  $\omega_0, \dots, \omega_{n-1}$  are  $\geq 0$  and at least one is  $> 0$ . Therefore  $\mathfrak{v}$  and the standard alcove lie on opposite sides of the wall if and only if there exists  $k$  in the range  $0 \leq k \leq n - 1$  such that

$$\alpha(v_k) = \xi_{[i,j]}(k) + \xi_{K_i}(k) - \xi_{K_j}(k) - d < 0,$$

and it is easy to see that this condition holds if and only if  $d = 0$  and  $K'_i \cap K_j \cap [i, j)'$  is non-empty.

5.4. *Intervals in  $\mathbf{Z}/n\mathbf{Z}$*

In order to simplify the conditions we derived in 5.3 we need a better understanding of the sets  $K_m$ . These subsets satisfy a very strong condition, which is best formulated when we identify the set  $\{0, 1, 2, \dots, n - 1\}$  with  $\mathbf{Z}/n\mathbf{Z}$ . First we need some definitions.

Let  $k, l$  be distinct elements of  $\mathbf{Z}/n\mathbf{Z}$ . We denote by  $[k, l)$  the following subset of  $\mathbf{Z}/n\mathbf{Z}$ : choose any representative  $k_1 \in \mathbf{Z}$  for  $k$ , let  $l_1 \in \mathbf{Z}$  be the unique representative for  $l$  satisfying  $k_1 < l_1 < k_1 + n$ , and then define  $[k, l)$  to be the image under canonical surjection  $\mathbf{Z} \rightarrow \mathbf{Z}/n\mathbf{Z}$  of the subset  $\{x \in \mathbf{Z} \mid k_1 \leq x < l_1\}$ . Here are two examples in case  $n = 5$ :  $[2, 4) = \{2, 3\}$  and  $[4, 2) = \{4, 0, 1\}$ . Note that  $[l, k)$  is always the complement to  $[k, l)$  in  $\mathbf{Z}/n\mathbf{Z}$ .

We refer to  $[k, l)$  as the *interval* in  $\mathbf{Z}/n\mathbf{Z}$  with *lower endpoint*  $k$  and *upper endpoint*  $l$ . Note that any interval in  $\mathbf{Z}/n\mathbf{Z}$  is non-empty and not equal to  $\mathbf{Z}/n\mathbf{Z}$ .

5.5. *A property of the set  $K_m$*

So far we have not taken into account the condition (3.2.1) satisfied by our alcove  $\mathbf{v}$ . Translating this condition into a condition on the vectors  $\mu_k$ , we find that for all  $k$  in the range  $0 \leq k \leq n - 1$ , if  $\mu_k(m) = 1$ , then either  $\mu_{k+1}(m) = 1$  or  $m = k + 1$ . Translating this into a condition on the sets  $K_m$  (now viewing each set  $K_m$  as a subset of  $\mathbf{Z}/n\mathbf{Z}$ ), we find that for all  $m$  the subset  $K_m$  of  $\mathbf{Z}/n\mathbf{Z}$  is either empty, or all of  $\mathbf{Z}/n\mathbf{Z}$ , or else an interval  $[?, m)$  in  $\mathbf{Z}/n\mathbf{Z}$  with upper endpoint  $m$ .

5.6. *Continuation of 5.3*

We return to the discussion in 5.3. First consider the case in which  $d \geq 1$ . Then  $\mathbf{v}$  and the standard alcove lie on opposite sides of the wall defined by  $\alpha$  if and only if  $d = 1$  and  $K'_j \cap K_i \cap [i, j)$  is non-empty. Suppose that this condition is satisfied. In particular both  $K'_j$  and  $K_i$  must be non-empty. From 5.5 we conclude that  $K_i$  is either all of  $\mathbf{Z}/n\mathbf{Z}$  or else an interval  $[?, i)$  with upper endpoint  $i$ , and we also conclude that  $K'_j$  is either all of  $\mathbf{Z}/n\mathbf{Z}$  or else an interval  $[j, ?)$  with lower endpoint  $j$ . Using that  $K_i$  meets  $[i, j)$ , we see that  $K_i$  contains  $[j, i)$ . Similarly, using that  $K'_j$  meets  $[i, j)$ , we see that  $K'_j$  also contains  $[j, i)$ . Thus the condition that  $K'_j \cap K_i \cap [i, j)$  be non-empty implies the condition that  $K'_j \cap K_i$  contain  $[j, i)$  and is moreover (trivially) equivalent to the condition that  $K'_j \cap K_i$  not be contained in the complement  $[j, i)$  of  $[i, j)$ . We conclude that the condition that  $K'_j \cap K_i \cap [i, j)$  be non-empty is equivalent to the condition  $[j, i) \subseteq K'_j \cap K_i$ .

At this point we have shown the following. In case  $d \geq 1$ ,  $\mathbf{v}$  and the standard alcove lie on opposite sides of the wall defined by  $\alpha$  if and only if  $d = 1$  and  $[j, i) \subseteq K'_j \cap K_i$ . In case  $d \leq 0$ , completely parallel reasoning shows that  $\mathbf{v}$  and the standard alcove lie on opposite sides of the wall defined by  $\alpha$  if and only if  $d = 0$  and  $[i, j) \subseteq K'_i \cap K_j$ .

**Lemma 5.7.** *Let  $\mathbf{v}$  be a minuscule alcove, and let  $w = w_{i,j;d}$  be the reflection in the affine Weyl group obtained from the affine root  $\alpha = \alpha_{i,j;d}$ , as in 5.2.*

- (1) *If  $\mathbf{v}$  and the standard alcove lie on opposite sides of the wall defined by  $\alpha$  (equivalently, if  $w\mathbf{v} \leq \mathbf{v}$ ), then  $w\mathbf{v}$  is minuscule.*
- (2) *Suppose either that  $d = 0$  and  $[i, j) = K'_i \cap K_j$  or else that  $d = 1$  and  $[j, i) = K'_j \cap K_i$ . Then  $\mathbf{v} \leq w\mathbf{v}$  and  $w\mathbf{v}$  is minuscule.*

*Proof.* This follows immediately from the results in 5.6 and 5.2 (see (5.2.3) and (5.2.4)).  $\square$

5.8. *Proof of Theorem 3.5*

First note that part (3) of Theorem 3.5 follows easily from parts (1) and (2) of the theorem. Next we prove part (2) of the theorem. Let  $v$  be a minuscule vector in  $\mathbf{Z}^n$ . We regard  $v$  as an element of the translation subgroup of  $\tilde{W}$  and consider the

corresponding alcove. It is obvious that this alcove, call it  $\mathbf{a}$ , is minuscule. Using part (1) of Lemma 5.7 repeatedly, we see that any alcove that is less than or equal to  $\mathbf{a}$  is also minuscule, and this proves (2).

It remains to prove part (1) of Theorem 3.5. So let  $\mathbf{v}$  be a minuscule alcove. Of course  $\mathbf{v}$  is actually a sequence of vectors  $v_0, \dots, v_{n-1}$ . It is obvious that  $v_0$  is a minuscule vector. Let  $\mathbf{a}$  be the alcove corresponding to the element  $v_0$ , viewed as an element of the translation subgroup of  $\tilde{W}$ ; of course  $\mathbf{a}$  is given by the sequence  $v_0 + \omega_0, \dots, v_0 + \omega_{n-1}$ . We must show that  $\mathbf{v} \leq \mathbf{a}$ .

If  $\mathbf{v} = \mathbf{a}$ , then we are done. Otherwise we will show that there exists a reflection  $w$  such that the following three conditions are satisfied: (i)  $\mathbf{v} \leq w\mathbf{v}$ , (ii)  $w\mathbf{v}$  is minuscule, and (iii)  $wv_0 = v_0$ . If  $w\mathbf{v} = \mathbf{a}$  we are done. Otherwise we repeat the process. Since there are only finitely many minuscule alcoves, this process must eventually stop, and when it does, we have produced a chain of inequalities showing that  $\mathbf{v} \leq \mathbf{a}$ .

Now we prove the existence of  $w$  (assuming that  $\mathbf{v} \neq \mathbf{a}$ ). We need another way to view the condition  $\mathbf{v} \neq \mathbf{a}$ , so we introduce the following terminology. Recall (see 5.5) that for any  $j \in \{1, \dots, n\}$  the set  $K_j$  is either empty, all of  $\mathbf{Z}/n\mathbf{Z}$  or else an interval  $[?, j]$  with upper endpoint  $j$  (these three possibilities are mutually exclusive). We say that  $j$  is *proper* if  $K_j$  is an interval. It follows immediately from the definitions that  $\mathbf{v} \neq \mathbf{a}$  if and only there exists  $j \in \{1, \dots, n\}$  such that  $j$  is proper.

For each proper  $j \in \{1, \dots, n\}$  we define a positive integer  $N_j$ , as follows. If  $0 \in K_j$  we put  $N_j = |K_j|$ , and if  $0 \notin K_j$  we put  $N_j = |K'_j|$ . We now choose a proper element  $j \in \{1, \dots, n\}$  for which the integer  $N_j$  is minimal. Since  $K_j$  is an interval with upper endpoint  $j$ , there exists a unique  $i \in \{1, \dots, n\}$  with  $i \neq j$  such that  $K_j = [i, j]$ . Of course  $i$  may or may not be less than  $j$ . We will show that if  $i < j$  (respectively,  $j < i$ ) there exists  $d \in \{0, 1\}$  such that the reflection  $w_{i,j;d}$  (respectively,  $w_{j,i;d}$ ) satisfies conditions (i), (ii), and (iii) above.

To prove this assertion we begin by noting that since  $K'_i$  is either empty,  $\mathbf{Z}/n\mathbf{Z}$ , or else an interval with lower endpoint  $i$ , one of the two sets  $K_j, K'_i$  is a subset of the other. Either  $0 \in K_j$  or  $0 \notin K_j$ , and either  $0 \in K_i$  or  $0 \notin K_i$ . Thus there are four cases, each of which must be examined separately.

Suppose first that  $0 \in K_j = [i, j]$ . Thus  $j < i$  and  $N_j = |K_j|$ . Suppose further that  $0 \in K_i$ . Since  $0$  lies in  $K_j$  but not in  $K'_i$ , it cannot be the case that  $K_j \subset K'_i$ . Therefore  $K'_i \subset K_j$ , which implies that  $K'_j \cap K_i = K'_j = [j, i]$ . Take  $w = w_{j,i;0}$ . It follows from Lemma 5.7(2) that (i) and (ii) hold (since  $j < i$ , one must switch the roles of  $i$  and  $j$  when applying the lemma). Since  $0 \in K_i$  and  $0 \in K_j$ , the  $i$ -th and  $j$ -th coordinates of  $v_0$  are both equal to 1. Since  $w$  simply interchanges the  $i$ -th and  $j$ -th coordinates of  $v_0$ , we see that (iii) holds.

We continue to suppose that  $0 \in K_j = [i, j]$ , but now we suppose that  $0 \notin K_i$ . In this case  $N_i = |K'_i|$ . By minimality of  $N_j$  we have  $N_j \leq N_i$ , and hence it cannot be the case that  $K'_i \subset K_j$ . Therefore  $K_j \subset K'_i$ . Therefore  $K'_i \cap K_j = K_j = [i, j]$ . Take  $w = w_{j,i;1}$ . It follows from Lemma 5.7(2) that (i) and (ii) hold. Since  $0 \notin K_i$  and  $0 \in K_j$ , the  $i$ -th and  $j$ -th coordinates of  $v_0$  are 0 and 1 respectively. Since  $(wv_0)(i) = v_0(j) - 1 = 0$  and  $(wv_0)(j) = v_0(i) + 1 = 1$ , we see that (iii) holds.

Now suppose that  $0 \notin K_j = [i, j)$ . Thus  $i < j$  and  $N_j = |K'_j|$ . Suppose further that  $0 \notin K_i$ . Then, just as in the first case, we see that  $w = w_{i,j;0}$  satisfies (i), (ii) and (iii). On the other hand, if  $0 \in K_i$ , then, just as in the second case, we see that  $w_{i,j;1}$  satisfies (i), (ii) and (iii). This completes the proof of Theorem 3.5.

### 6. Complement to Theorem 3.5

#### 6.1. Notation

In this section we use the notation of Sect. 3. Also we fix an integer  $r$  in the range  $0 \leq r \leq n$  and consider the dominant minuscule vector  $\omega_r$  defined in 3.2. As usual we also regard  $\omega_r$  as an element in the translation subgroup of the extended affine Weyl group  $\tilde{W}$  of  $GL_n$ .

**Lemma 6.2.** *Let  $\mathbf{v}$  be a minuscule alcove, given by a sequence  $v_0, v_1, \dots, v_{n-1}$  such that  $v_0 = \omega_r$ . Suppose that  $w$  is a reflection in  $W_d$  such that  $w\mathbf{v} \leq \mathbf{v}$ . Then  $wv_0 = v_0$ .*

*Proof.* Choose  $i, j, d$  as in 5.2 such that  $w = w_{i,j;d}$ . By 5.6 our hypothesis that  $w\mathbf{v} \leq \mathbf{v}$  implies that either  $d = 1$  and  $[j, i) \subsetneq K'_j \cap K_i$ , or else that  $d = 0$  and  $[i, j) \subsetneq K'_i \cap K_j$ .

In the first case  $0 \in [j, i)$  and therefore  $0 \notin K_j$  and  $0 \in K_i$ , which means that the  $i$ -th coordinate of  $v_0$  is 1 and the  $j$ -th coordinate of  $v_0$  is 0. It follows that  $w = w_{i,j;1}$  fixes  $v_0$ , as desired.

In the second case one sees easily that the condition  $[i, j) \subsetneq K'_i \cap K_j$  implies that  $K'_i \cup K_j = \mathbf{Z}/n\mathbf{Z}$  (use 5.5). In particular  $0 \in K'_i \cup K_j$ . If  $0 \in K'_i$ , then  $\omega_r(i) = v_0(i) = 0$ , and this implies that  $v_0(j) = \omega_r(j) = 0$ . On the other hand, if  $0 \in K_j$ , then  $\omega_r(j) = v_0(j) = 1$ , and this implies that  $v_0(i) = \omega_r(i) = 1$ . In any case we see that the  $i$ -th and  $j$ -th coordinates of  $v_0$  are equal, and therefore  $w = w_{i,j;0}$  fixes  $v_0$ , as desired.  $\square$

**Theorem 6.3.** *Let  $\mathbf{v} = v, \dots, v_{n-1}$  be an alcove and let  $x$  be the corresponding element of  $\tilde{W}$ . Then  $x$  is less than or equal to  $\omega_r$  in the Bruhat order on  $\tilde{W}$  if and only if  $\mathbf{v}$  is minuscule and  $v_0 = \omega_r$ .*

*Proof.* The implication  $\Leftarrow$  follows from Theorem 3.5(1). Now we prove the implication  $\Rightarrow$ . It follows from Theorem 3.5(2) that  $\mathbf{v}$  is minuscule. It follows from Lemma 6.2, applied repeatedly, that  $v_0 = \omega_r$ .  $\square$

*Remark 6.4.* It is essential for the truth of Theorem 6.3 that we only consider *dominant* minuscule vectors (all of which are of the form  $\omega_r$  for some  $r$ ). Indeed, consider an anti-dominant minuscule vector  $v$  (which necessarily has the form  $(0, \dots, 0, 1, \dots, 1)$ ). It is easy to see that there is exactly one minuscule alcove  $\mathbf{v} = v_0, \dots, v_{n-1}$  such that  $v_0 = v$ , namely the translate by  $v$  of the standard alcove.

## 7. Complement to Theorem 4.5

### 7.1. Notation

We use the notation of §4. Thus  $\tilde{W}$  now denotes the extended affine Weyl group of the group  $G = GSp_{2n}$ , and  $Y$  denotes its translation subgroup. We consider the dominant minuscule vector  $\omega_n = (1, \dots, 1, 0, \dots, 0) \in Y$  (both 1 and 0 are repeated  $n$  times).

**Theorem 7.2.** *Let  $\mathbf{v} = v_0, \dots, v_{2n-1}$  be a  $G$ -alcove and let  $x$  be the corresponding element of  $\tilde{W}$ . Then  $x \leq \omega_n$  in the Bruhat order on  $\tilde{W}$  if and only if  $\mathbf{v}$  is minuscule and  $v_0 = \omega_n$ .*

*Proof.* This follows from Theorem 4.5, Theorem 6.3 and the fact that the Bruhat order on  $\tilde{W}$  is inherited from the Bruhat order on the extended affine Weyl group of  $GL_{2n}$ .  $\square$

## 8. Review of Bruhat order on sets of cosets and double cosets

### 8.1. Double cosets

We use the notation of §1. In addition we fix two subsets  $I$  and  $J$  of the set  $S$  of simple reflections in  $W$  and denote by  $W_I$  and  $W_J$  the subgroups of  $W$  generated by  $I$  and  $J$  respectively. We consider the set  $W_I \backslash W / W_J$  of double cosets with respect to  $(W_I, W_J)$ . Of course this reduces to the set  $W / W_J$  of single cosets in case  $I$  is empty.

Recall from Bourbaki (Groupes et Algèbres de Lie, Ch. IV, §1, Exercise 3) that every double coset  $W_I y W_J$  in  $W$  contains a unique element  $x_0$  of minimal length, and that any element  $x \in W_I y W_J$  can be written in the form  $x = x_I x_0 x_J$ , with  $x_I \in W_I, x_J \in W_J$  and  $l(x) = l(x_I) + l(x_0) + l(x_J)$ .

### 8.2. Bruhat order on $W_I \backslash W / W_J$

Let  $W_I x W_J$  and  $W_I y W_J$  be double cosets, and let  $x_0$  and  $y_0$  be the unique elements of minimal length in  $W_I x W_J$  and  $W_I y W_J$  respectively. Recall that  $W_I x W_J \leq W_I y W_J$  in the Bruhat order on  $W_I \backslash W / W_J$  if and only if  $x_0 \leq y_0$  in the Bruhat order on  $W$ .

Recall that the Bruhat order on  $W_I \backslash W / W_J$  has the following two properties. First, if  $x \leq y$  in the Bruhat order on  $W$ , then  $W_I x W_J \leq W_I y W_J$ . Second, if  $W_I x W_J \leq W_I y W_J$  and  $x$  is the unique element of minimal length in  $W_I x W_J$ , then  $x \leq y$ . (The second property follows from the fact that  $y_0 \leq y$ , where  $y_0$  denotes the element of minimal length in  $W_I y W_J$ , and the first follows easily from Lemma 1.3.)



### 8.3. Bruhat order on $W_I \backslash \tilde{W} / W_J$

Now we return to the extended affine Weyl group  $\tilde{W}$  discussed in 1.8. As in 8.1 we consider subgroups  $W_I$  and  $W_J$  of  $W_a$  generated respectively by subsets  $I$  and  $J$  of the set of simple reflections in  $W_a$ . We now define the Bruhat order on the set  $W_I \backslash \tilde{W} / W_J$  of double cosets. Let  $W_I \tilde{x} W_J$  and  $W_I \tilde{y} W_J$  be double cosets, and let  $\tilde{x}_0$  and  $\tilde{y}_0$  be the unique elements of minimal length in  $W_I \tilde{x} W_J$  and  $W_I \tilde{y} W_J$  respectively. Then, by definition,  $W_I \tilde{x} W_J \leq W_I \tilde{y} W_J$  in the Bruhat order on  $W_I \backslash \tilde{W} / W_J$  if and only if  $\tilde{x}_0 \leq \tilde{y}_0$  in the Bruhat order on  $\tilde{W}$ .

The Bruhat order on  $W_I \backslash \tilde{W} / W_J$  has the following two properties. First, if  $\tilde{x} \leq \tilde{y}$  in the Bruhat order on  $\tilde{W}$ , then  $W_I \tilde{x} W_J \leq W_I \tilde{y} W_J$ . Second, if  $W_I \tilde{x} W_J \leq W_I \tilde{y} W_J$  and  $\tilde{x}$  is the unique element of minimal length in  $W_I \tilde{x} W_J$ , then  $\tilde{x} \leq \tilde{y}$ .

## 9. Replacing alcoves by general faces (for $GL_n$ )

The purpose of this section is to extend Theorem 3.5 by considering faces more general than alcoves. We use the notation and terminology of Sect. 3.

### 9.1. Faces of type $I$

Fix a non-empty subset  $\bar{I}$  of  $\mathbf{Z}/n\mathbf{Z}$  and let  $I \subset \mathbf{Z}$  denote the inverse image of  $\bar{I}$  under the canonical surjection  $\mathbf{Z} \rightarrow \mathbf{Z}/n\mathbf{Z}$ . We consider families  $\mathbf{v} = (v_i)_{i \in I}$ , indexed by  $I$ , of vectors  $v_i \in \mathbf{Z}^n$ . Such a family is called a *face of type  $I$*  if it satisfies the following three conditions:

$$v_{i+n} = v_i + (1, 1, \dots, 1) \text{ for all } i \in I, \tag{9.1.1}$$

$$v_i \leq v_j \text{ for all } i, j \in I \text{ such that } i \leq j, \tag{9.1.2}$$

$$\Sigma(v_i) - \Sigma(v_j) = i - j \text{ for all } i, j \in I. \tag{9.1.3}$$

Of course, in case  $\bar{I} = \mathbf{Z}/n\mathbf{Z}$  a face of type  $I$  is simply an alcove (associate to the family  $\mathbf{v} = (v_i)_{i \in I}$  the sequence  $v_0, \dots, v_{n-1}$ ). We denote by  $\omega$  our usual standard alcove. Thus  $\omega = (\omega_i)_{i \in \mathbf{Z}}$ , and for  $i$  in the range  $0 \leq i \leq n$  the vector  $\omega_i$  is the one defined in 3.2.

The group  $\tilde{W}$  acts transitively on the set  $\mathcal{F}_I$  of faces of type  $I$ . As base-point we take the unique face of type  $I$  such that  $v_i = \omega_i$  for all  $i \in I$ . We use this base-point to identify the set  $\mathcal{F}_I$  with the coset space  $\tilde{W} / W_I$ , where  $W_I$  is defined as the stabilizer in  $\tilde{W}$  of our base-point. Note that  $W_I$  is contained in  $W_a$  and is a parabolic subgroup of that Coxeter group.

Now suppose that  $\bar{J}$  is a non-empty subset of  $\bar{I}$  and let  $J$  be the inverse image of  $\bar{J}$  under  $\mathbf{Z} \rightarrow \mathbf{Z}/n\mathbf{Z}$ . Then there is a  $\tilde{W}$ -equivariant surjection

$$\mathcal{F}_I \rightarrow \mathcal{F}_J, \tag{9.1.4}$$

defined by  $(v_i)_{i \in I} \mapsto (v_i)_{i \in J}$ .

9.2. Minuscule faces

Let  $\mathbf{v} = (v_i)_{i \in I}$  be a face of type  $I$ . We say that  $\mathbf{v}$  is *minuscule* if

$$\omega_i \leq v_i \leq \omega_i + (1, 1, \dots, 1) \text{ for all } i \in I. \tag{9.2.1}$$

We denote by  $\mathcal{M}_I$  the set of minuscule faces of type  $I$ . Note that the subset  $\mathcal{M}_I$  of  $\mathcal{F}_I$  is preserved by the action of the subgroup  $W_I$  of  $\tilde{W}$ .

**Proposition 9.3.** *Let  $\bar{J}$  be a non-empty subset of  $\bar{I}$ . Then the restriction of the map (9.1.4) to  $\mathcal{M}_I$  is a surjection  $\mathcal{M}_I \rightarrow \mathcal{M}_J$ .*

*Proof.* It is obvious that  $\mathcal{M}_I$  maps into  $\mathcal{M}_J$ . What we must show is that the map  $\mathcal{M}_I \rightarrow \mathcal{M}_J$  is surjective. To prove this in general it suffices to prove it in the case in which  $\bar{I} = \mathbf{Z}/n\mathbf{Z}$ . Let  $(v_j)_{j \in J}$  be an element in  $\mathcal{M}_J$ . We need to complete  $(v_j)_{j \in J}$  to a minuscule alcove  $(v_j)_{j \in \mathbf{Z}}$  by defining suitable additional vectors  $v_{j'}$  for integers  $j'$  in the range  $0 \leq j' \leq n - 1$  with  $j' \notin J$ . We can do this step-by-step, starting with an integer  $j'$  such that  $j' - 1 \in J$ . These considerations show that the proposition is a consequence of the following lemma.  $\square$

**Lemma 9.4.** *Let  $k, l$  be integers such that  $k < l \leq k + n$  and let  $v_k, v_l \in \mathbf{Z}^n$ . Suppose that the vectors  $v_k - \omega_k, v_l - \omega_l$  and  $v_l - v_k$  are minuscule and suppose further that  $\Sigma(v_l) - \Sigma(v) = l - k$ . Then there exists a vector  $v_{k+1} \in \mathbf{Z}^n$  such that  $v_{k+1} - \omega_{k+1}, v_{k+1} - v_k$  and  $v_l - v_{k+1}$  are minuscule and  $\Sigma(v_{k+1}) - \Sigma(v_k) = 1$ .*

*Proof.* Recall from 3.2 the standard basis vectors  $e_m$  in  $\mathbf{Z}^n$ . For any  $m \in \mathbf{Z}$  we let  $r$  be the unique integer congruent to  $m$  modulo  $n$  and in the range  $1 \leq r \leq n$ , and we define  $e_m$  to be the basis vector  $e_r$ . Note that with our extended definitions of  $\omega_i$  and  $e_i$ , the equality  $\omega_{i+1} - \omega_i = e_{i+1}$  holds for all  $i \in \mathbf{Z}$ .

We are going to take  $v_{k+1}$  of the form  $v_{k+1} = v_k + e_m$  for suitable  $m$  with  $1 \leq m \leq n$ . For any choice of  $m$  the vector  $v_{k+1}$  satisfies the conditions that  $v_{k+1} - v_k$  be minuscule and that  $\Sigma(v_{k+1}) - \Sigma(v_k) = 1$ . It is clear that  $v_l - v_{k+1}$  is minuscule if and only if  $m$  satisfies

$$v_l(m) - v_k(m) = 1. \tag{9.4.1}$$

Let  $k'$  be the unique integer in the range  $1 \leq k' \leq n$  that is congruent to  $k + 1$  modulo  $n$ . Then  $v_{k+1} - \omega_{k+1}$  is equal to  $(v_k - \omega_k) + e_m - e_{k'}$ , and this vector is minuscule (recall that  $v_k - \omega_k$  is minuscule by hypothesis) if and only if one of the following two conditions holds:

$$m = k', \tag{9.4.2}$$

$$m \neq k' \text{ and } v_k(m) - \omega_k(m) = 0 \text{ and } v_k(k') - \omega_k(k') = 1. \tag{9.4.3}$$

Since  $v_l - v_k$  is minuscule and  $\Sigma(v_l) - \Sigma(v_k) = l - k$ , exactly  $l - k$  of the entries of  $v_l - v_k$  are 1 and the remaining entries are all 0. There are two cases. In the first case  $v_l(k') - v_k(k') = 1$ . Then  $m = k'$  satisfies both (9.4.1) and (9.4.2), and we are done.

In the second case  $v_l(k') - v_k(k') = 0$ . The vectors  $v_l - v_k$  and  $\omega_l - \omega_k$  are both minuscule of size  $l - k$ , and they are distinct (since their  $k'$ -th entries are different). Therefore we can choose an integer  $m$  in the range  $1 \leq m \leq n$  such that  $v_l(m) - v_k(m) = 1$  (in other words,  $m$  satisfies (9.4.1)) and such that

$$\omega_l(m) - \omega_k(m) = 0. \tag{9.4.4}$$

We claim that  $m$  satisfies (9.4.3) as well; this will conclude the proof. It is obvious that  $m \neq k'$  (since  $m$  satisfies (9.4.1) and  $k'$  does not). Next we check that  $v_k(m) = \omega_k(m)$ . Since  $v_l - \omega_l$  is minuscule, we have  $v_l(m) \leq \omega_l(m) + 1$ . But  $\omega_l(m) = \omega_k(m)$  (by (9.4.4)) and  $v_l(m) = v_k(m) + 1$  (by (9.4.1)). Therefore  $v_k(m) \leq \omega_k(m)$ . Since  $v_k - \omega_k$  is minuscule, we also have  $\omega_k(m) \leq v_k(m)$ . Therefore  $v_k(m) = \omega_k(m)$ , as desired.

Finally we check that  $v_k(k') - \omega_k(k') = 1$ . Since  $v_l - \omega_l$  and  $v_k - \omega_k$  are minuscule, we have  $\omega_l(k') \leq v_l(k')$  and  $v_k(k') \leq \omega_k(k') + 1$ . Moreover  $v_l(k') = v_k(k')$  in the case under consideration, and  $\omega_l(k') = \omega_k(k') + 1$  (obvious). Combining these inequalities yields  $v_k(k') - \omega_k(k') = 1$ , as desired.  $\square$

### 9.5. Size of a face of type $I$

Let  $\mathbf{v} = (v_i)_{i \in I}$  be a face of type  $I$ . Then the integer  $r = \Sigma(v_i) - \Sigma(\omega_i)$  remains constant as  $i$  varies through the set  $I$ , and we call this integer  $r$  the size of  $\mathbf{v}$ .

**Theorem 9.6.** *Let  $\mathbf{v} = (v_i)_{i \in I}$  be a face of type  $I$ , and let  $xW_I$  be the corresponding element of  $\tilde{W}/W_I$ .*

- (1) *Suppose that  $\mathbf{v}$  is minuscule. Let  $i \in I$  and put  $\mu_i := v_i - \omega_i$ . Then  $\mu_i$  is a minuscule vector, and when we regard  $\mu_i$  as an element in the translation subgroup of  $\tilde{W}$ , we have the inequalities  $xW_I \leq \mu_i W_I$  and  $W_I xW_I \leq W_I \mu_i W_I$ .*
- (2) *Let  $\mu$  be a minuscule vector in  $\mathbf{Z}^n$  and regard  $\mu$  as an element in the translation subgroup of  $\tilde{W}$ . Suppose that  $W_I xW_I \leq W_I \mu W_I$  in the Bruhat order on  $W_I \backslash \tilde{W} / W_I$ . Then the face  $\mathbf{v}$  is minuscule.*
- (3) *Let  $0 \leq r \leq n$ . The face  $\mathbf{v}$  is minuscule of size  $r$  if and only if there exists a permutation  $\mu$  of  $\omega_r$  such that  $W_I xW_I \leq W_I \mu W_I$  in the Bruhat order on  $W_I \backslash \tilde{W} / W_I$ .*

*Proof.* First we prove (1). It follows from Proposition 9.3 that by changing  $x$  within its coset  $xW_I$ , we may assume that the alcove determined by  $x$  is minuscule. Then by Corollary 3.6 the vector  $\mu_i$  is minuscule and  $x \leq \mu_i$ . From 8.3 it follows that  $xW_I \leq \mu_i W_I$  and  $W_I xW_I \leq W_I \mu_i W_I$ .

Next we prove (2). Our assumption that  $W_I xW_I \leq W_I \mu W_I$  implies (use 8.3) that  $x_0 \leq \mu$ , where  $x_0$  denotes the element of minimal length in the double coset  $W_I xW_I$ . Since  $\mu$  is minuscule by hypothesis, Theorem 3.5 implies that the alcove determined by  $x_0$  is minuscule. Therefore the face of type  $I$  corresponding to  $x_0 W_I$  is minuscule. But  $\mathbf{v}$  lies in the  $W_I$ -orbit of  $x_0 W_I$ , and  $W_I$  preserves the subset  $\mathcal{M}_I$  of  $\mathcal{F}_I$ . Therefore  $\mathbf{v}$  is minuscule.

Finally we note that (3) follows from (1) and (2).  $\square$

**10. Replacing alcoves by general faces (for  $GS_{p_{2n}}$ )**

The purpose of this section is to extend Theorem 4.5 by considering faces more general than alcoves.

*10.1. G-faces of type I*

Let  $\bar{I}$  be a non-empty subset of  $\mathbf{Z}/2n\mathbf{Z}$ , and let  $\mathbf{v} = (v_i)_{i \in I}$  be a face of type  $I$  in the sense of 9.1. Let  $-I$  denote the set of integers  $-i$  such that  $i \in I$ . Then for any  $d \in \mathbf{Z}$  we define a face  $\Theta_d(\mathbf{v})$  of type  $-I$  by  $\Theta_d(\mathbf{v})_{-i} = \theta(v_i) + d \cdot \mathbf{1}$ . Here we have denoted by  $\mathbf{1}$  the vector  $\omega_{2n} = (1, 1, \dots, 1, 1)$ , and  $\theta$  is the automorphism of  $\mathbf{Z}^{2n}$  defined in 4.1. The operations  $\Theta_d$  are of order 2.

We suppose from now on that  $I$  is symmetric in the sense that  $I = -I$ . Then a  $G$ -face of type  $(I, d)$  is a face  $\mathbf{v}$  of type  $I$  in the sense of 9.1 such that

$$\mathbf{v} = \Theta_d(\mathbf{v}). \tag{10.1.1}$$

A  $G$ -face of type  $I$  is a face of type  $I$  that is a  $G$ -face of type  $(I, d)$  for some  $d \in \mathbf{Z}$ . (The value of  $d$  is uniquely determined by the face.) Of course, in case  $\bar{I} = \mathbf{Z}/2n\mathbf{Z}$  a  $G$ -face of type  $I$  is simply a  $G$ -alcove (associate to  $\mathbf{v} = (v_i)_{i \in \mathbf{Z}}$  the sequence  $v_0, \dots, v_{2n-1}$ ). Let  $\mathcal{F}_I^G$  be the set of  $G$ -faces of type  $I$ .

For any non-empty symmetric subset  $\bar{J}$  of  $\bar{I}$  there is an obvious map (comp. 9.1)

$$\mathcal{F}_I^G \longrightarrow \mathcal{F}_J^G \tag{10.1.2}$$

which is equivariant for the action of the extended affine Weyl group  $\tilde{W}$  of  $GS_{p_{2n}}$ . It is well-known that this map is surjective, but this will also follow from the next lemma.

As base point for the action of  $\tilde{W}$  on  $\mathcal{F}_I^G$  we take the unique  $G$ -face of type  $I$  such that  $v_i = \omega_i$  for all  $i \in I$ . Using this base point we identify  $\mathcal{F}_I^G$  with  $\tilde{W}/W_I$  where  $W_I$  is the stabilizer in  $\tilde{W}$  of the base point. Then  $W_I$  is a parabolic subgroup of the affine Weyl group  $W_a$  of  $Sp_{2n}$ .

*10.2. Extending G-faces*

Let  $\bar{J}$  be a non-empty symmetric subset of  $\mathbf{Z}/2n\mathbf{Z}$ , and let  $J$  be its inverse image under the canonical surjection  $\mathbf{Z} \rightarrow \mathbf{Z}/2n\mathbf{Z}$ . Let  $k \in J$  and suppose that  $k + 1 \notin J$ . We let  $l$  be the smallest integer in  $J$  such that  $l > k$ ; thus  $k < l \leq k + 2n$ . Define  $\bar{I}$  by  $\bar{I} := \bar{J} \cup \{\bar{k} + \bar{1}, -(\bar{k} + \bar{1})\}$ . (For  $m \in \mathbf{Z}$  we write  $\bar{m}$  for the class of  $m$  modulo  $2n$ .) Thus  $\bar{I}$  is symmetric, contains  $\bar{J}$ , and has either 1 or 2 more elements than  $\bar{J}$  does.

We consider the canonical map (10.1.2)

$$\pi : \mathcal{F}_I^G \rightarrow \mathcal{F}_J^G.$$

We are interested in the fiber  $\pi^{-1}(\mathbf{v})$  of  $\pi$  over an element  $\mathbf{v} = (v_j)_{j \in J}$  in  $\mathcal{F}_J$ . We associate to an element  $\mathbf{w} = (w_i)_{i \in I}$  in  $\pi^{-1}(\mathbf{v})$  the vector  $w := w_{k+1}$ . Clearly  $w$  satisfies

$$v_k \leq w \leq v_l \tag{10.2.1}$$

and

$$\Sigma(w) = 1 + \Sigma(v_k). \tag{10.2.2}$$

**Lemma 10.3.** *The map  $\mathbf{w} \mapsto w$  is a bijection from  $\pi^{-1}(\mathbf{v})$  to the set of vectors  $w \in \mathbf{Z}^{2n}$  satisfying (10.2.1) and (10.2.2).*

*Proof.* The injectivity of our map is immediate from (9.1.1) and (10.1.1). To prove surjectivity we start with  $w \in \mathbf{Z}^{2n}$  satisfying (10.2.1) and (10.2.2), and we must construct  $\mathbf{w} \in \pi^{-1}(\mathbf{v})$  such that  $\mathbf{w} \mapsto w$ .

Let  $\bar{P} = \bar{J} \cup \{\bar{k} + \bar{1}\}$  and let  $\bar{Q} = -\bar{P}$ , so that  $\bar{I} = \bar{P} \cup \bar{Q}$  and  $P = -Q$ . Since  $P, Q$  are not symmetric unless  $P = Q$ , it does not make sense to consider  $G$ -faces of type  $P$  or  $Q$ , but it does make sense to consider faces of type  $P$  or  $Q$ , and it is clear that there exists a unique face  $\mathbf{x}$  of type  $P$  such that  $x_{k+1} = w$  and  $x_j = v_j$  for all  $j \in J$ .

Let  $d$  be the unique integer such that  $\Theta_d(\mathbf{v}) = \mathbf{v}$ . Define a face  $\mathbf{y}$  of type  $Q$  by  $\mathbf{y} := \Theta_d(\mathbf{x})$ . Clearly  $y_j = v_j$  for all  $j \in J$ .

We claim that

$$p \in P, q \in Q, p \leq q \implies x_p \leq y_q. \tag{10.3.1}$$

This is clear if there exists  $j \in J$  such that  $p \leq j \leq q$ , so we now assume the contrary. By (9.1.1) it is harmless to assume that  $p = k + 1$ , and then it is necessarily the case that  $q = l - 1$  and  $\bar{k} = -\bar{l}$ . By (10.2.1) and (10.2.2) there exists a basis vector  $e_m$  such that  $x_p = w = v_k + e_m$  and  $e_m \leq v_l - v_k$ . Since  $\bar{k} = -\bar{l}$ , the vector  $v_l - v_k$  is symmetric under the operation of reversing its entries, and therefore  $e_m + e_{m'} \leq v_l - v_k$ , where  $e_{m'}$  is the unique basis vector such that  $e_{m'} = -\theta(e_m)$ . Since  $y_q = v_{q+1} - e_{m'}$ , we conclude that  $x_p \leq y_q$ , as desired.

We also claim that

$$p \in P, q \in Q, q < p \implies y_q \leq x_p. \tag{10.3.2}$$

This is clear since there always exists  $j \in J$  such that  $q \leq j \leq p$ . (Either  $j = p$  or  $j = p - 1$  will do.)

Suppose that  $p \in P, q \in Q$  and  $p = q$ . Then  $x_p \leq y_q$  from (10.3.1). Moreover the size of  $\mathbf{x}$  and the size of  $\mathbf{y}$  are both equal to the size of  $\mathbf{v}$ . Therefore  $x_p = y_p$ . Thus, without ambiguity, we may define  $w_i$  for  $i \in I$  by putting  $w_i = x_i$  if  $i \in P$  and  $w_i = y_i$  if  $i \in Q$ . It is immediate from (10.3.1) and (10.3.2) that  $\mathbf{w} = (w_i)_{i \in I}$  is a  $G$ -face. By construction  $\mathbf{w} \in \pi^{-1}(\mathbf{v})$  and  $\mathbf{w} \mapsto w$ .  $\square$

10.4. *Size of a G-face of type (I, d)*

Let  $\mathbf{v} = (v_i)_{i \in I}$  be a  $G$ -face of type  $(I, d)$ . Then the *size* of  $\mathbf{v}$  is defined to be its size considered as a face for  $GL_{2n}$ , see 9.5. Using (10.1.1) one sees easily that the size of  $\mathbf{v}$  is  $nd$ .

10.5. *Minuscule G-faces*

Let  $\mathbf{v} = (v_i)_{i \in I}$  be a  $G$ -face of type  $(I, d)$ . Then  $\mathbf{v}$  is called *minuscule* if it is minuscule in the sense of 9.2, i.e. when considered as a face of type  $I$  for  $GL_{2n}$ . We denote by  $\mathcal{M}_I^G$  the set of minuscule  $G$ -faces of type  $I$ . Suppose  $\mathbf{v}$  is minuscule. Then the vector  $v_0$  is minuscule, and using (10.1.1) we see that  $d \in \{0, 1, 2\}$ . If  $d = 0$ , then  $\mathbf{v}$  has size 0; therefore for all  $i$  the vector  $v_i - \omega_i$  is minuscule and the sum of its entries is 0, which implies that  $\mathbf{v} = (\omega_i)_{i \in I}$ . If  $d = 2$ , then  $\mathbf{v}$  has size  $2n$ , which is the same as the size of  $\boldsymbol{\omega} + \mathbf{1}$ ; therefore for all  $i$  the vector  $\omega_i + \mathbf{1} - v_i$  is minuscule and the sum of its entries is 0, which implies that  $\mathbf{v} = (\omega_i + \mathbf{1})_{i \in I}$ . Therefore  $d = 1$  for all minuscule  $G$ -faces other than the two we just described.

**Proposition 10.6.** *Let  $\bar{J} \subset \bar{I}$  be a non-empty symmetric subset of  $\bar{I}$ . Then the natural map*

$$\mathcal{M}_I^G \longrightarrow \mathcal{M}_J^G$$

*is surjective.*

*Proof.* It is enough to prove this in case  $\bar{I} = \mathbf{Z}/2n\mathbf{Z}$ . Enlarging  $\bar{J}$  one step at a time, we then reduce to the case in which  $\bar{J} \subset \bar{I}$  are as in 10.2. Now let  $\mathbf{v} = (v_j)_{j \in J}$  be the minuscule  $G$ -face of type  $J$  that we wish to extend to a minuscule  $G$ -face of type  $I$ . From 10.5 it follows that  $\mathbf{v}$  is of type  $(I, d)$  for  $d \in \{0, 1, 2\}$  and also that our extension problem is trivial if  $d = 0$  or  $d = 2$ . So we now assume that  $d = 1$ .

From Lemmas 9.4 and 10.3 it follows that there is a  $G$ -face  $\mathbf{w}$  of type  $I$  such that  $\mathbf{w} \mapsto \mathbf{v}$  and such that  $u_1 := w_{k+1} - \omega_{k+1}$  is minuscule. We claim that  $\mathbf{w}$  is in fact minuscule. By (9.1.1) it is enough to show that  $u_2 := w_{-(k+1)} - \omega_{-(k+1)}$  is minuscule. Since the  $d$ -value for  $\mathbf{w}$  is 1 and that for  $\boldsymbol{\omega}$  is 0, we see that  $u_2 = \mathbf{1} - r(u_1)$ , where  $r(u_1)$  is the vector obtained from  $u_1$  by reversing the order of its entries. Therefore  $u_2$  is indeed minuscule (since  $u_1$  is).  $\square$

**Theorem 10.7.** *Let  $\mathbf{v} = (v_i)_{i \in I}$  be a  $G$ -face of type  $I$  and of size  $n$ . Let  $x \cdot W_I$  be the corresponding element of  $\bar{W}/W_I$ . Then  $\mathbf{v}$  is minuscule if and only if there exists an element  $\tau$  of the Weyl group  $W_n$  of  $Sp_{2n}$  such that  $W_I x W_I \leq W_I \tau(\omega_n) W_I$  in the Bruhat order on  $W_I \setminus \bar{W}/W_I$ , where as usual  $\omega_n$  is the vector  $(1, \dots, 1, 0, \dots, 0)$ .*

*Proof.* Suppose that  $\mathbf{v}$  is minuscule. Then it follows from Proposition 10.6 that by changing  $x$  within its coset  $x \cdot W_I$  we may assume that  $x$  is minuscule of size  $n$ . It follows from Theorem 4.5 that  $x \leq \tau(\omega_n)$  for some  $\tau \in W_n$ . From 8.3 it follows that  $x \cdot W_I \leq \tau(\omega_n) W_I$  and  $W_I x W_I \leq W_I \tau(\omega_n) W_I$ . Conversely assume this last condition. Then  $x_0 \leq \tau(\omega_n)$  where  $x_0$  denotes the element of minimal length in  $W_I x W_I$ . By Theorem 4.5  $x_0$  is minuscule of size  $n$  and therefore the  $G$ -face of type  $I$  corresponding to  $x_0 W_I$  is also minuscule. But  $\mathbf{v}$  lies in the  $W_I$ -orbit of  $x_0 W_I$  and  $W_I$  preserves the subset  $\mathcal{M}_I^G$  of  $\mathcal{F}_I^G$ . Hence  $\mathbf{v}$  is minuscule, of size  $n$ .  $\square$

### 11. Admissibility implies permissibility

#### 11.1. Notation

As in 1.8 we consider a general extended affine Weyl group  $\tilde{W}$ . As in the introduction we abbreviate  $X_*(A)$  to  $X$  and we denote by  $c$  the canonical homomorphism (1.8.1) from  $\tilde{W}$  to  $X_*(A)/X_*(A_{sc})$ . When we regard  $v \in X$  as an element of the translation subgroup of  $\tilde{W}$  we denote it by  $t_v$ ; thus  $t_{v_1+v_2} = t_{v_1}t_{v_2}$ .

#### 11.2. Goal

Let  $\mu \in X$ . Our goal is prove that if  $x \in \tilde{W}$  is  $\mu$ -admissible, then it is also  $\mu$ -permissible. (These terms were defined in the introduction.)

Let  $v \in X$ . It is immediate from the definition that  $t_v$  is  $\mu$ -permissible if and only if  $v$  lies in  $P_\mu$  (= the convex hull of the  $W$ -orbit of  $\mu$  in  $X_{\mathbf{R}}$ ) and  $v - \mu \in X_*(A_{sc})$ . In particular  $t_{\mu'}$  is  $\mu$ -permissible for every  $\mu'$  in the  $W$ -orbit of  $\mu$  in  $X$ . Therefore, in order to check that  $\mu$ -admissibility implies  $\mu$ -permissibility, we just need to prove the following lemma.

**Lemma 11.3.** *If  $x \in \tilde{W}$  is  $\mu$ -permissible and  $y \leq x$  in the Bruhat order on  $\tilde{W}$ , then  $y$  is  $\mu$ -permissible.*

*Proof.* By an obvious induction argument it is enough to prove the lemma in the special case in which  $y = s_{\tilde{\alpha}}x$  for an affine root  $\tilde{\alpha}$  which separates  $x\mathbf{a}$  from  $\mathbf{a}$ . (As in the introduction  $\mathbf{a}$  is the base alcove in  $X_{\mathbf{R}}$ .) Clearly  $c(y) = c(x) = c(t_\mu)$ , so all we must show is that for any  $v$  in the closure  $\tilde{\mathbf{a}}$  of  $\mathbf{a}$ , the point  $s_{\tilde{\alpha}}xv - v$  lies in  $P_\mu$ . Since  $x$  is  $\mu$ -permissible, the point  $xv - v$  lies in  $P_\mu$ . Moreover  $P_\mu$  is  $W$ -stable, and hence  $s_\alpha(xv - v)$  lies in  $P_\mu$ , where  $\alpha$  denotes the vector part of the affine root  $\tilde{\alpha}$ . Therefore (by convexity of  $P_\mu$ ) it is enough to check that  $s_{\tilde{\alpha}}xv - v$  lies on the line segment joining  $xv - v$  and  $s_\alpha(xv - v)$ . This follows from the next lemma.  $\square$

**Lemma 11.4.** *Let  $x \in \tilde{W}$  and let  $\tilde{\alpha}$  be an affine root, with vector part  $\alpha$ . Then  $\tilde{\alpha}$  separates  $x\mathbf{a}$  and  $\mathbf{a}$  if and only if for all  $v \in \tilde{\mathbf{a}}$  the point  $s_{\tilde{\alpha}}xv - v$  lies on the line segment joining  $xv - v$  and  $s_\alpha(xv - v)$ .*

*Proof.* Let  $v \in \tilde{\mathbf{a}}$ . Note that

$$\begin{aligned} s_{\tilde{\alpha}}xv - v &= (xv - v) - \tilde{\alpha}(xv)\alpha^\vee \\ s_\alpha(xv - v) &= (xv - v) - [\tilde{\alpha}(xv) - \tilde{\alpha}(v)]\alpha^\vee. \end{aligned}$$

Therefore both  $s_{\tilde{\alpha}}xv - v$  and  $s_\alpha(xv - v)$  lie on the line through  $xv - v$  in the direction  $\alpha^\vee$ . Moreover  $s_{\tilde{\alpha}}xv - v$  lies between the other two points  $\iff 0$  lies between  $\tilde{\alpha}(xv)$  and  $\tilde{\alpha}(v) \iff \tilde{\alpha}$  (weakly) separates  $xv$  and  $v$ .  $\square$

**12.  $\omega_n$ -permissibility for  $GSp_{2n}$**

The introduction stated that  $\mu$ -admissibility is equivalent to  $\mu$ -permissibility for any minuscule coweight  $\mu$  for  $G = GSp_{2n}$ . This is obvious if  $\mu = 0$ , and is true for  $\mu$  if and only if it is true for  $\mu + \mathbf{1}$ . Thus it suffices to consider the case  $\mu = \omega_n$ . In view of Theorem 4.5(3) we just need to check that an element  $x$  in the affine Weyl group  $\tilde{W}$  for  $G$  is  $\omega_n$ -permissible if and only if the associated  $G$ -alcove  $\mathfrak{v} = (v_i)_{i \in \mathbf{Z}}$  is minuscule of size  $n$ . (As usual  $v_i = x \cdot \omega_i$ .)

*12.1. The convex hull  $P_{\omega_n}$*

For any vector  $u \in \mathbf{R}^{2n}$  we define  $r(u)$  to be the vector obtained from  $u$  by reversing the order of its entries:  $r(u_1, \dots, u_{2n}) = (u_{2n}, \dots, u_1)$ . It is easy to see that the convex hull  $P_{\omega_n}$  is given by

$$P_{\omega_n} = \{u \in \mathbf{R}^{2n} : u + r(u) = \mathbf{1} \text{ and } 0 \leq u \leq \mathbf{1}\}.$$

Note that  $\Sigma(u) = n$  for any  $u \in P_{\omega_n}$ .

*12.2. The base alcove*

For  $G$  the closure  $\bar{\mathfrak{a}}$  of the base alcove  $\mathfrak{a}$  is the set of points  $u \in \mathbf{R}^{2n}$  such that  $u_1 + u_{2n} = u_2 + u_{2n-1} = \dots = u_n + u_{n+1}$  and

$$1 + u_{2n} \geq u_1 \geq u_2 \geq \dots \geq u_n \geq u_{n+1}.$$

Put  $\eta_i := (\omega_i + \omega_{2n-i})/2$ ; note that  $\Sigma(\eta_i) = n$ . The points  $\eta_0, \eta_1, \dots, \eta_n$  serve as ‘‘vertices’’ for  $\bar{\mathfrak{a}}$ ; in other words each face of  $\bar{\mathfrak{a}}$  of minimal dimension (namely 1) contains a unique point  $\eta_i$ .

*12.3.  $\omega_n$ -permissibility*

Our element  $x \in \tilde{W}$  (with associated  $G$ -alcove  $\mathfrak{v}$ ) is  $\omega_n$ -permissible if and only if

$$y_i \in P_{\omega_n} \quad \forall i \in \mathbf{Z}, \tag{12.3.1}$$

where

$$y_i := x\eta_i - \eta_i = (v_i + v_{2n-i} - \omega_i - \omega_{2n-i})/2.$$

(Since  $X_*(A)/X_*(A_{sc})$  is torsion free for  $G = GSp_{2n}$ , the condition  $c(x) = c(t_{\omega_n})$  is redundant.)

**Lemma 12.4.** *The element  $x \in \tilde{W}$  is  $\omega_n$ -permissible if and only if the associated  $G$ -alcove  $\mathfrak{v}$  is minuscule of size  $n$ .*



*Proof.* The alcove  $\mathfrak{v}$  is minuscule of size  $n$  if and only if

$$v_i + r(v_{2n-i}) = 2 \cdot \mathbf{1} \quad \forall i \in \mathbf{Z} \tag{12.4.1}$$

and

$$0 \leq v_i - \omega_i \leq \mathbf{1} \quad \forall i \in \mathbf{Z}. \tag{12.4.2}$$

On the other hand the condition (12.3.1) for  $\omega_n$ -permissibility holds if and only if

$$y_i + r(y_i) = \mathbf{1} \quad \forall i \in \mathbf{Z} \tag{12.4.3}$$

and

$$0 \leq y_i \leq \mathbf{1} \quad \forall i \in \mathbf{Z}. \tag{12.4.4}$$

Note that (12.4.1) is equivalent to (12.4.3). Indeed, there exists  $k \in \mathbf{Z}$  such that  $v_i + r(v_{2n-i}) = k \cdot \mathbf{1}$ , and it is clear that  $y_i + r(y_i) = (k - 1) \cdot \mathbf{1}$ . We now assume that (12.4.1) and (12.4.3) hold, and we show that under this assumption (12.4.2) and (12.4.4) are equivalent. First note that (12.4.4) follows immediately from (12.4.2) (by using (12.4.2) for both  $i$  and  $2n - i$ ).

It remains to show that (12.4.4) implies (12.4.2). Using (12.4.1) together with (12.4.4), we see that

$$-\mathbf{1} \leq (v_i - \omega_i) - r(v_i - \omega_i) \leq \mathbf{1}. \tag{12.4.5}$$

It is enough to prove (12.4.2) for all  $i$  such that  $0 \leq i \leq n$ . We now fix  $i$  in this range. Then we have  $v_{-i} \leq v_i \leq v_{2n-i}$ , which (using (12.4.1)) can be rewritten as

$$\mathbf{1} \leq v_i + r(v_i) \leq 2 \cdot \mathbf{1}. \tag{12.4.6}$$

Fix  $m$  with  $1 \leq m \leq n$ . Put  $a := v_i(m) - \omega_i(m)$  and  $b := r(v_i)(m) - r(\omega_i)(m)$ . Then (12.4.5) implies

$$-1 \leq a - b \leq 1 \tag{12.4.7}$$

while (12.4.6) implies

$$1 \leq a + b + \omega_i(m) + r(\omega_i)(m) \leq 2. \tag{12.4.8}$$

Since  $\omega_i(m)$  is 0 or 1 and  $r(\omega_i)(m)$  is 0, the inequalities (12.4.7) and (12.4.8) imply that both  $a$  and  $b$  belong to  $\{0, 1\}$ ; since this is true for all  $m$  between 1 and  $n$ , we see that  $0 \leq v_i - \omega_i \leq \mathbf{1}$ , as desired.  $\square$

## References

- [1] I. N. Bernstein, I. M. Gelfand and S. I. Gelfand: Differential operators on the base affine space and a study of  $\mathfrak{g}$ -modules In: Lie groups and their representations. Proc. Summer School in Group Representations. Bolyai Janos Math. Soc., Budapest 1971, New York: Halsted, 1975, pp. 21–64
- [2] I. N. Bernstein, I. M. Gelfand and S. I. Gelfand: Schubert cells and cohomology of the spaces  $G/P$ . Uspekhi Mat. Nauk. **28**, 3–26 (1973); English translation. Russ. Math. Surv. **28**, 1–26 (1973)
- [3] R. Steinberg: Endomorphisms of linear algebraic groups. Mem. Amer. Math. Soc. **80**, 1–108 (1968)
- [4] D.-N. Verma: Möbius inversion for the Bruhat ordering on a Weyl group. Ann. Sci. École Norm. Sup. (4) **4**, 393–398 (1971)

## Additional references

- [D] V. Deodhar: On Bruhat ordering and weight-lattice ordering for a Weyl group. Nederl. Akad. Wetensch. Indag. Math. **40**, 423–435 (1978)
- [G1] U. Görtz: On the flatness of models of certain Shimura varieties of PEL-type. Preprint, Köln, 1999
- [G2] U. Görtz: Computing the alternating trace of Frobenius on the sheaves of nearby cycles on local models for  $GL_4$  and  $GL_5$ . Preprint, Köln, 1999
- [H1] T. Haines: The combinatorics of Bernstein functions. Preprint, Max-Planck-Institut für Mathematik, Bonn, 1999
- [H2] T. Haines: Test functions for Shimura varieties: the Drinfeld case. Preprint, Max-Planck-Institut für Mathematik, Bonn, 1999
- [HN] T. Haines and B.C. Ngô: Nearby cycles for local models of some Shimura varieties. Preprint, 1999
- [RZ] M. Rapoport and T. Zink: *Period spaces for  $p$ -divisible groups*. Annals of Math. Studies No. **141**, Princeton University Press, 1996