

# PCF

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## 1 Good points

*Convention.* In the whole section,  $X$  will stand for a set of cardinality  $\kappa$  and  $I$  will denote an ideal on  $X$ . If not stated otherwise,  $\vec{f} = \langle f_i \mid i < \lambda \rangle$  is an  $I$ -increasing sequence in  ${}^X\text{Ord}$ . Furthermore, we call  $\lambda = \text{lh}(\vec{f})$  the **length** of  $\vec{f}$ . If  $\alpha \leq \lambda$ , then we write  $\vec{f} \upharpoonright \alpha$  for the sequence  $\langle f_i \mid i < \alpha \rangle$ .

**Definition 1.1.** Two  $I$ -increasing sequences  $\vec{f}$  and  $\vec{g}$  of functions in  ${}^X\text{Ord}$  are said to be **cofinally interleaved**, if

1. for all  $i < \text{lh}(\vec{f})$  there exists  $j < \text{lh}(\vec{g})$  such that  $f_i <_I g_j$ ,
2. for all  $j < \text{lh}(\vec{g})$  there exists  $i < \text{lh}(\vec{f})$  such that  $g_j <_I f_i$ .

*Remark 1.2.* If  $\vec{f}$  and  $\vec{g}$  are cofinally interleaved, then every eub for  $\vec{f}$  is an eub for  $\vec{g}$  and vice versa. Conversely, if  $\vec{f}$  and  $\vec{g}$  have a common eub, then they are cofinally interleaved.

*Remark 1.3.* If  $\vec{f}$  and  $\vec{g}$  are cofinally interleaved, then  $\text{cf}(\text{lh}(\vec{f})) = \text{cf}(\text{lh}(\vec{g}))$ .

*Proof.* Assume that  $\vec{f}$  and  $\vec{g}$  are cofinally interleaved and let  $\lambda = \text{lh}(\vec{f})$  and  $\mu = \text{lh}(\vec{g})$ . By symmetry it is enough to show that  $\text{cf}(\mu) \leq \text{cf}(\lambda)$ . Let

$$\pi : \lambda \rightarrow \mu, i \mapsto \min\{j < \text{lh}(\vec{g}) \mid f_i <_I g_j\}.$$

By assumption,  $\pi$  is well-defined and it is cofinal in  $\text{lh}(\vec{g})$ : Let  $j < \mu$ . Then there is  $i < \lambda$  such that  $g_j <_I f_i$  and  $f_i <_I g_{\pi(i)}$ . Then  $j < \pi(i)$ . This shows that  $\text{cf}(\mu) \leq \text{cf}(\lambda)$ .  $\square$

**Definition 1.4.** Let  $|X| = \kappa$  and  $I$  an ideal on  $X$  and  $\vec{f}$  a  $<_I$ -increasing sequence of functions in  ${}^X\text{Ord}$ . Then  $\alpha \leq \text{lh}(\vec{f})$  is said to be a **good** point for  $\vec{f}$  if only if  $\text{cf}(\alpha) > \kappa$  and there is an eub  $h$  for  $\vec{f} \upharpoonright \alpha$  such that  $\text{cf}(h(x)) = \text{cf}(\alpha)$  for all  $x \in X$ .

*Remark 1.5.* By adjusting the eub  $h$  on an  $I$ -small set, in Definition 1.4 it is enough to assume that  $\text{cf}(h(x)) = \text{cf}(\alpha)$  for  $I$ -almost all  $x \in X$ .

*Remark 1.6.* If  $\text{cf}(\alpha) > \kappa$  and  $h$  is an eub for  $\vec{f} \upharpoonright \alpha$  and  $\mu > \kappa$  is a cardinal such that  $\text{cf}(h(x)) = \mu$  for all  $x \in X$ , then  $\mu = \text{cf}(\alpha)$ .

*Proof.* Let  $h$  be an eub for  $\vec{f} \upharpoonright \alpha$  such that  $\text{cf}(h(x)) = \mu$  for all  $x \in X$ . This means that for every  $x \in X$  there is a strictly increasing sequence  $\langle h_i(x) \mid i < \mu \rangle$  cofinal in  $h(x)$ . We show that  $\vec{h} = \langle h_i \mid i < \mu \rangle$  is cofinally interleaved with  $\vec{f} \upharpoonright \alpha$ . Then the claim follows from Remark 1.3.

Firstly, note that each  $h_i <_I h$ , so since  $h$  is an eub for  $\vec{f} \upharpoonright \alpha$ , there is  $j < \alpha$  such that  $h_i <_I f_j$ . Conversely, let  $i < \alpha$ . Without loss of generality (by adjusting  $f_i$  on an  $I$ -small set) we can assume that  $f_i < h$ . Then for every  $x \in X$  there is  $i_x < \mu$  such that  $f_i(x) < h_{i_x}(x)$ . Now consider  $\beta = \sup\{i_x \mid x \in X\}$ . Since  $|X| = \kappa < \mu$  and  $\mu$  is regular,  $\beta < \mu$ ; hence  $f_i < h_\beta$ .  $\square$

**Definition 1.7.** Let  $X$  be a set and  $I$  an ideal on  $X$ . A sequence  $\vec{f}$  of functions in  ${}^X\text{Ord}$  of length  $\lambda$  is said to be **strongly  $I$ -increasing**, if there exist  $I$ -small sets  $\langle Y_i \mid i < \lambda \rangle$  such that for all  $i < j < \lambda$  and for all  $x \in Y_i \cup Y_j$ ,  $f_i(x) < f_j(x)$ .

**Theorem 1.8.** Let  $|X| = \kappa$ , let  $I$  be an ideal on  $X$  and  $\vec{f}$  an  $<_I$ -increasing sequence. Then for any  $\alpha \leq \text{lh}(\vec{f})$  such that  $\text{cf}(\alpha) > \kappa$  the following are equivalent:

1.  $\alpha$  is good.
2. There is a sequence  $\langle h_i \mid i < \text{cf}(\alpha) \rangle$  of functions in  ${}^X\text{Ord}$  which is pointwise increasing and cofinally interleaved with  $\vec{f} \upharpoonright \alpha$ .
3. For every unbounded set  $B \subseteq \alpha$  there is an unbounded set  $A \subseteq B$  such that  $\langle f_i \mid i \in A \rangle$  is strongly  $I$ -increasing.
4. There is an unbounded set  $A \subseteq \alpha$  such that  $\langle f_i \mid i \in A \rangle$  is strongly  $I$ -increasing.

*Proof.*

(1.  $\Rightarrow$  2.) Construct  $\vec{h}$  as in Remark 1.6 and use the same arguments.

(2.  $\Rightarrow$  3.) Suppose that  $\vec{h} = \langle h_i \mid i < \text{cf}(\alpha) \rangle$  is pointwise increasing and cofinally interleaved with  $\vec{f} \upharpoonright \alpha$ . Let  $B \subseteq \alpha$  be unbounded. For every  $i < \text{cf}(\alpha)$  we choose  $\delta_i \in B$ ,  $k_i, k'_i < \text{cf}(\alpha)$  as follows:

- Let  $\delta_0 \in B$  be the least ordinal in  $B$  such that there exists  $k_0 < \text{cf}(\alpha)$  such that  $h_{k_0} <_I f_{\delta_0}$  and let  $k'_0 < \text{cf}(\alpha)$  such that  $f_{\delta_0} <_I h_{k'_0}$ .
- If for all  $j < i$ ,  $\delta_j, k_j, k'_j$  are defined, then let  $k_i > \sup_{j < i} k'_j$ ,  $\delta_i \in B$  such that  $h_{k_i} <_I f_{\delta_i}$  and  $k'_i > k_i$  such that  $f_{\delta_i} <_I h_{k'_i}$ .

Then let  $A = \{\delta_i \mid i < \text{cf}(\alpha)\}$ . Clearly,  $A \subseteq B$  is unbounded. Now for every  $\delta = \delta_i \in A$  we have

$$h_{k_i} <_I f_{\delta} <_I h_{k'_i}.$$

So for every  $\delta = \delta_i \in A$  we can find  $Y_{\delta} \in I$  such that for all  $x \in X \setminus Y_{\delta}$ ,  $h_{k_i}(x) < f_{\delta}(x) < h_{k'_i}(x)$ . Then for  $i < j < \text{cf}(\alpha)$  and for  $x \in X \setminus (Y_{\delta_i} \cup Y_{\delta_j})$  we have

$$f_{\delta_i}(x) < h_{k'_i}(x) < h_{k_j}(x) < f_{\delta_j}(x)$$

which proves the desired condition.

(3.  $\Rightarrow$  4.) is trivial.

(4.  $\Rightarrow$  1.) Assume that  $A \subseteq \alpha$  is unbounded such that  $\langle f_i \mid i \in A \rangle$  is strongly  $I$ -increasing and choose witnessing  $I$ -small sets  $Y_i$  for every  $i \in A$ . Without loss of generality assume that  $\text{otp}(A) = \text{cf}(\alpha)$ . Put

$$h(x) = \sup\{f_{\delta}(x) \mid \delta \in A \wedge x \in X \setminus Y_{\delta}\}.$$

Here we suppose without loss of generality that there is no  $x$  such that  $x \in Y_{\delta}$  for every  $\delta \in A$ . We show that  $h$  is an eub for  $\vec{f} \upharpoonright \alpha$ . Let  $g <_I h$  and  $Y \in I$  such that in  $X \setminus Y$   $g < h$ . Then for every  $x \notin Y$  there is some  $\delta_x \in A$  such that  $x \notin Y_{\delta_x}$  and  $g(x) < f_{\delta_x}(x)$ . Now since  $\text{cf}(\alpha) > \kappa$  and  $A$  is unbounded there is  $\delta \in A$  such that for all  $x \notin Y$ ,  $\delta_x < \delta$ . Then for  $x \notin (Y \cup Y_{\delta})$ ,  $g(x) < f_{\delta_x}(x) < f_{\delta}(x)$ . Hence  $g <_I f_{\delta}$ .

Last but not least, we have to verify that for every  $x \in X$ ,  $\text{cf}(h(x)) = \text{cf}(\alpha)$ . Let

$$Y = \{x \in X \mid |\{\delta \in A \mid x \notin Y_{\delta}\}| < \text{cf}(\alpha)\}.$$

Then for every  $x \in Y$  there is  $\delta_x \in A$  such that for every  $\delta > \delta_x$ ,  $x \in Y_{\delta}$ . Since  $\text{cf}(\alpha) > \kappa$ , there is  $\delta \in A$  such that for every  $x \in Y$ ,  $\delta_x < \delta$ . Then  $Y \subseteq Y_{\delta}$  and hence  $Y$  is  $I$ -small. We can change the values of  $h$  on  $Y$  such that

$\text{cf}(h(x)) = \text{cf}(\alpha)$  without affecting the property we have previously shown. On  $X \setminus Y$ , we clearly have  $\text{cf}(h(x)) = \text{cf}(\alpha)$ . This proves 1. □

**Definition 1.9.** For  $\delta < \lambda$  regular, define  $S_\delta^\lambda = \{\eta \in \lambda \mid \text{cf}(\eta) = \delta\}$ .

*Remark 1.10.* For  $\delta < \lambda$  regular infinite cardinals,  $S_\delta^\lambda \subseteq \lambda$  is stationary.

**Theorem 1.11.** Let  $|X| = \kappa < \delta = \text{cf}(\delta) < \lambda = \text{cf}(\lambda)$ , let  $I$  be an ideal on  $X$  and  $\vec{f}$  be an  $I$ -increasing sequence such that  $\text{lh}(\vec{f}) = \lambda$ . Then the following conditions are equivalent:

1. There are stationarily many good points in  $S_\delta^\lambda$ .
2. There is an eub  $h$  for  $\vec{f}$  such that for all  $x \in X$ ,  $\text{cf}(h(x)) > \delta$ .

*Proof.*

(1.  $\Rightarrow$  2.) We will use the Trichotomy Theorem to show that we are in the Good case by checking that both the Bad and the Ugly case fail.

- Assume we are in the Ugly case. Then there is  $g \in {}^X\text{Ord}$  such that the sequence  $\langle \{x \in X \mid f_i(x) < g(x)\} \mid i < \lambda \rangle$  is not eventually constant mod  $I$ . Equivalently, the sequence  $\langle X_i \mid i < \lambda \rangle$ , where  $X_i = \{x \in X \mid g(x) \leq f_i(x)\}$  does not stabilize mod  $I$ . Then we can find a club  $C \subseteq \lambda$  such that for every  $i < j$  in  $C$ ,  $X_i \not\subseteq_I X_j$ . By our assumption, there is a good point  $\alpha \in \text{Lim}(C) \cap \text{cof}(\delta)$ . By the previous theorem, there is a sequence  $\langle h_i \mid i < \delta \rangle$  which is pointwise increasing and cofinally interleaved with  $\vec{f} \upharpoonright \alpha$ . Now since  $\alpha \in \text{Lim}(C)$ , we can assume without loss of generality that for every  $i < \delta$  there are  $\beta < \gamma < \alpha$  in  $C$  such that

$$h_i <_I f_\beta <_I f_\gamma <_I h_{i+1}. \quad (1)$$

Now for  $i < \delta$  let  $Y_i = \{x \in X \mid g(x) \leq h_i(x)\}$ . By (1) we obtain that

$$Y_i \subseteq_I X_\beta \not\subseteq_I X_\gamma \subseteq_I Y_{i+1}. \quad (2)$$

In particular, (2) implies that for any  $i < j < \delta$ ,  $Y_i \not\subseteq_I Y_j$ , thus the sequence  $\langle Y_i \mid i < \delta \rangle$  is not eventually constant mod  $I$ . This contradicts the fact that  $\vec{h}$  has an eub.

- Assume now that we are in the Bad case. Take sets  $S_x \subseteq \text{Ord}$  for  $x \in X$  such that  $|S_x| < \delta$  and an ultrafilter  $U$  on  $X$  such that  $U \cap I = \emptyset$  and for every  $\alpha < \lambda$  there are  $g \in \prod_{x \in X} S_x$  and  $\beta < \lambda$  such that  $f_\alpha <_U g <_U f_\beta$ . Then there is a club set  $C \subseteq \lambda$  such that for every  $\alpha < \beta$  in  $C$  there is  $g \in \prod_{x \in X} S_x$  with  $f_\alpha <_U g <_U f_\beta$ . Choose a good point  $\alpha \in \text{Lim}(C) \cap \text{cof}(\delta)$  and an eub  $h$  for  $\vec{f} \upharpoonright \alpha$  such that for every  $x \in X$ ,  $\text{cf}(h(x)) = \delta$ . Now define  $g(x) = \sup S_x \cap h(x)$ . Since  $\text{cf}(g(x)) < \delta$  we obtain that  $g < h$ , thus there is  $\beta < \alpha$  such that  $g <_I f_\beta$ . But the Bad case allows us to find  $g' \in \prod_{x \in X} S_x$  and  $\gamma < \alpha$  with  $f_\beta <_U g' <_U f_\gamma$ . Since  $U \cap I = \emptyset$ , this yields

$$g <_U f_\beta <_U g' <_U f_\gamma <_U h.$$

which clearly contradicts the definition of  $g$ .

Since we are in the Good case, there is an eub  $h$  for  $\vec{f}$  such that  $\text{cf}(h(x)) \geq \delta$  for every  $x \in X$ . Let  $Y = \{x \in X \mid \text{cf}(h(x)) = \delta\}$ . Suppose for a contradiction that  $Y \in I^+$ . Then consider the ideal  $J = \{Z \cap Y \mid Z \in I\}$  on  $Y$ . Since  $Y \in I^+$ ,  $\bar{h} = h \upharpoonright Y$  is still an eub for  $\langle f_i \upharpoonright Y \mid i < \lambda \rangle$ . But then Remark 1.6 implies that  $\lambda = \delta$  which is absurd. By modifying  $h$  on an  $I$ -small set, we obtain 2.

- (2.  $\Rightarrow$  1.) Let  $h$  be an eub for  $\vec{f}$  such that  $\text{cf}(h(x)) = \delta$  for every  $x \in X$ . Without loss of generality we assume that  $f_i < h$  for every  $i < \lambda$ . Put

$$S = \{\alpha \in S_\delta^\lambda \mid \alpha \text{ is a good point}\}.$$

Let  $C \subseteq \lambda$  be a club set. Inductively, we construct an increasing sequence  $\langle \alpha_i \mid i < \delta \rangle$  of ordinals in  $C$  as follows: At stage  $i < \delta$  let  $g_i(x) = \sup\{f_{\alpha_j}(x) \mid j < i\}$  for every  $x \in X$ . Now since  $f_{\alpha_j}(x) < h(x)$  for every  $x \in X$  and every  $j < i$  and  $\text{cf}(h(x)) > \delta$ , we get that  $g_i(x) < h(x)$  for all  $x \in X$ . Hence there is  $\alpha_i \in C \setminus \bigcup_{j < i} (\alpha_j + 1)$  such that  $g_i <_I f_{\alpha_i}$ .

Now let  $\alpha = \sup\{\alpha_i \mid i < \delta\} \in S_\delta^\lambda \cap C$ . We show that  $\alpha \in S$ . Clearly,  $\vec{f} \upharpoonright \alpha$  is cofinally interleaved with  $\vec{g} = \langle g_i \mid i < \delta \rangle$  and since  $\vec{g}$  is  $\leq$ -increasing,  $g = \sup\{g_i \mid i < \delta\}$  is an eub for  $\vec{g}$  and by Remark 1.2 also for  $\vec{f} \upharpoonright \alpha$ .

□

**Definition 1.12.** Let  $S \subseteq \lambda$  be a stationary set. Then a sequence  $\langle C_\eta \mid \eta \in S \rangle$  is said to be a **club guessing sequence**, if it satisfies

1.  $C_\eta \subseteq \eta$  is club for every  $\eta \in S$ ,
2. For every club  $E \subseteq \lambda$  there is  $\eta \in S$  such that  $C_\eta \subseteq E$ .

**Theorem 1.13** (Shelah's Club Guessing Theorem). *Let  $\delta, \lambda$  be regular cardinals such that  $\delta^+ < \lambda$  and let  $S \subseteq S_\delta^\lambda$  be stationary. Then  $S$  has a club guessing sequence  $\langle C_\eta \mid \eta \in S \rangle$  such that  $\text{otp}(C_\eta) = \delta$  for every  $\eta \in S$ .*

*Proof.* Since we only need the case where  $\delta > \aleph_0$ , we will only prove this case. We start with any sequence  $\vec{C} = \langle C_\eta \mid \eta \in S \rangle$  of club sets  $C_\eta \subseteq \eta$  of order type  $\delta$ . For a club  $E \subseteq \lambda$  put

$$\vec{C} \upharpoonright E = \langle C_\eta \cap E \mid \eta \in S \cap \text{Lim}(E) \rangle.$$

Observe that if  $\eta \in S \cap \text{Lim}(E)$ , then  $C_\eta \cap E \subseteq \eta$  is club. We will construct some  $E \subseteq \lambda$  club such that  $\vec{C} \upharpoonright E$  is a club guessing sequence for  $S \cap \text{Lim}(E)$ . If such  $E$  exists, then we extend it to a club guessing sequence for  $S$  by taking  $C_\eta$  for any  $\eta \in S \setminus \text{Lim}(E)$ .

Now suppose for a contradiction that for every  $E \subseteq \lambda$  club there exists club  $D_E \subseteq \lambda$  such that for every  $\eta \in S \cap \text{Lim}(E)$ ,  $C_\eta \cap E \not\subseteq D_E$ . Inductively, construct a sequence  $\langle E_\xi \mid \xi < \delta^+ \rangle$  of club subsets of  $\lambda$  as follows:

- $E_0 = \lambda$ .
- If  $\xi < \delta^+$  is a limit ordinal and for every  $i < \xi$ ,  $E_i$  is defined, let  $E_\xi = \bigcap_{i < \xi} E_i$ . Since  $\xi < \lambda$ ,  $E_\xi \subseteq \lambda$  is club.
- Given  $E_\xi$ , define  $E_{\xi+1} = \text{Lim}(E_\xi \cap D_{E_\xi})$ .

By construction we obtain that for any  $\eta \in S \cap \text{Lim}(E_\xi)$ ,  $C_\eta \cap E_\xi \not\subseteq E_{\xi+1}$ . Finally, define  $E = \bigcap_{\xi < \delta^+} E_\xi$ . Since  $\delta^+ < \lambda$ ,  $E$  is a club subset of  $\lambda$ . Now consider some  $\eta \in S \cap E$ . Then the sequence  $\langle C_\eta \cap E_\xi \mid \xi < \delta^+ \rangle$  is decreasing with respect to  $\subseteq$ , but since every  $|C_\eta \cap E_\xi| = \delta$  for every  $\xi < \delta^+$  it must stabilize, i.e. there is  $\xi < \delta^+$  such that for every  $\zeta > \xi$ ,  $C_\eta \cap E_\zeta = C_\eta \cap E_\xi$ . But  $\eta \in S \cap E_{\xi+1}$ , hence  $C_\eta \cap E_\xi \not\subseteq E_{\xi+1}$  which is absurd.  $\square$

**Theorem 1.14** (Abraham, Magidor). *Let  $|X| = \kappa$ ,  $I$  an ideal on  $X$  and  $\kappa < \delta < \delta^+ < \rho < \lambda$  be regular cardinals. Let  $\vec{f}$  be an  $I$ -increasing sequence such that  $\text{lh}(\vec{f}) = \lambda$  and assume that for every  $\xi \in S_\rho^\lambda$  there is a club  $E_\xi \subseteq \xi$  such that  $\sup_{i \in E_\xi} f_i \leq_I f_\xi$ . Then  $\vec{f}$  has a eub  $h$  such that  $\text{cf}(h(x)) > \delta$  for all  $x \in X$ .*

*Proof.* By Theorem 1.11 it is enough to show that

$$S = \{\alpha \in S_\delta^\lambda \mid \alpha \text{ is a good point}\}$$

is stationary in  $\lambda$ .

Let  $E \subseteq \lambda$  be club. Since  $\delta^+ < \rho$ , there is a club guessing sequence  $\langle C_\eta \mid \eta \in S_\delta^\rho \rangle$  for  $S_\delta^\rho$ . We construct an increasing sequence  $\bar{\gamma} = \langle \gamma_i \mid i < \rho + 1 \rangle$  of elements of  $E$  as follows:

- Let  $\gamma_0 \in E$ .
- Suppose that  $\gamma_i \in E$  has already been defined. For every  $\eta \in S_\delta^\rho$  let  $h_\eta^i(x) = \sup\{f_{\gamma_j}(x) \mid j \in C_\eta \cap (i+1)\}$ ; furthermore, let  $i_\eta < \lambda$  such that  $h_\eta^i <_I f_{i_\eta}$ , if such  $i_\eta$  exists; else  $i_\eta = \gamma_i + 1$ . Since  $\rho < \lambda$  and  $E$  is club, there is  $\gamma_{i+1} \in E$  such that  $\gamma_{i+1} \geq \sup(\{i_\eta \mid \eta \in S_\delta^\rho\} \cup \{\gamma_i + 1\})$ .
- In the limit case, define  $\gamma_i = \sup_{j < i} \gamma_j \in E$ .

Now put  $D = \{i < \rho \mid \gamma_i \in E_{\gamma_\rho}\}$ .

*Claim.*  $D$  is closed unbounded in  $\rho$ .

*Proof.*  $D$  is closed since  $E_{\gamma_\rho}$  is closed. Clearly, the set  $C = \{\gamma_i \mid i < \rho\}$  is closed unbounded in  $\gamma_\rho$  and  $\gamma_\rho \in S_\delta^\lambda$ . Hence  $C \cap E_{\gamma_\rho}$  is club in  $\gamma_\rho$ . But this implies that  $D$  is club in  $\rho$ .  $\square$

Now pick  $\eta \in S_\delta^\rho$  such that  $C_\eta \subseteq D$ .

*Claim.* The sequence  $\langle f_{\gamma_i} \mid i \in C_\eta \setminus \text{Lim}(C_\eta) \rangle$  is strongly increasing.

*Proof.* For every  $i \in C_\eta$ ,  $\gamma_i \in E_{\gamma_\rho}$  which means that  $f_{\gamma_i} \leq_I f_{\gamma_\rho}$ . By construction of  $\gamma_i$ , this means that  $h_\eta^i <_I f_{\gamma_{i+1}} \leq_I f_{\gamma_j}$ , where  $j = \min\{k \in C_\eta \mid k > i\}$  is the  $C_\eta$ -successor of  $i$ . Now let  $Y_j \in I$  witness this. Now every  $i \in C_\eta \setminus \text{Lim}(C_\eta)$ , has a predecessor in  $C_\eta$ . Consider  $i \leq k < j$  in  $C_\eta \setminus \text{Lim}(C_\eta)$  such that  $k$  is the predecessor of  $j$  in  $C_\eta$ . Then for every  $x \in X \setminus Y_j$

$$f_{\gamma_i}(x) \leq h_\eta^k(x) < f_{\gamma_j}(x).$$

$\square$

Since  $\{\gamma_i \mid i \in C_\eta \setminus \text{Lim}(C_\eta)\}$  is unbounded in  $\gamma_\eta$ , Theorem 1.8 implies that  $\gamma_\eta$  is a good point and  $\text{cf}(\gamma_\eta) = \text{cf}(\eta) = \delta$ . Hence  $\gamma_\eta \in S \cap E$ .  $\square$

## 2 Applications

Recall our goal to prove the following result of Shelah:

**Theorem 2.1** (Shelah). *There is an infinite set  $A \subseteq \omega$  and a sequence  $\vec{f} = \langle f_i \mid i < \aleph_{\omega+1} \rangle$  such that  $\vec{f}$  is increasing and cofinal in  $\prod_{n \in A} \aleph_n$  under the eventual domination ordering.*

We have already reduced the proof of this result to

**Lemma 2.2.** *There is a sequence  $\vec{f} = \langle f_i \mid i < \aleph_{\omega+1} \rangle$  and a function  $h$  with  $f_i \in \prod_{n \in \omega} \aleph_n$  and  $h \in \prod_{n \in \omega} (\aleph_n + 1)$  such that the following properties hold:*

1. *The sequence  $\vec{f}$  is increasing and cofinal in  $\prod_{n \in \omega} h(n)$  under the eventual domination ordering.*
2. *For each  $m \in \omega$ , the set  $B_m = \{n \in \omega \mid \text{cf}(h(n)) = \aleph_m\}$  is finite.*

*Proof.* We are in the case  $|X| = \omega$  and  $I = \{Y \subseteq \omega \mid |Y| < \aleph_0\}$  and we want to find an  $I$ -increasing sequence  $\vec{f} \in \prod_{n \in \omega} \aleph_n$  and an eub  $h \in \prod_{n \in \omega} \aleph_{n+1}$ . We build  $\vec{f}$  inductively as follows:

- Let  $f_0$  be any function in  $\prod_{n \in \omega} \aleph_n$ .
- In the successor case, let  $f_{i+1}(n) = f_i(n) + 1$ .
- If  $\alpha < \aleph_{\omega+1}$  is a limit, let  $\delta = \text{cf}(\alpha) < \aleph_\omega$ . Now let  $E_\alpha \subseteq \alpha$  be closed unbounded of order type  $\text{cf}(\alpha)$ . Let  $\delta = \aleph_m$  for some  $m \in \omega$ , then put

$$f_\alpha(n) = \begin{cases} \sup\{f_i(n) \mid i \in E_\alpha\}, & n > m \\ 0 & \text{else.} \end{cases}$$

By construction, since  $\text{otp}(E_\alpha) = \aleph_m$ , for  $n > m$  we have  $\sup\{f_i(n) \mid i \in E_\alpha\} < \aleph_n$ . Thus  $f_\alpha \in \prod_{n \in \omega} \aleph_n$ .

*Claim.* The sequence  $\vec{f} = \langle f_i \mid i < \aleph_{\omega+1} \rangle$  is  $I$ -increasing.

*Proof.* Let  $\alpha < \aleph_{\omega+1}$  and assume that for every  $\beta < \alpha$  and for every  $i < \beta$ ,  $f_i <_I f_\beta$ . Let  $i < \alpha$ . We check that  $f_i <_I f_\alpha$ . If  $\alpha$  is a successor ordinal, this is trivial. Suppose that  $\alpha$  is a limit and choose  $\beta \in E_\alpha$  such that  $i < \beta$ . Then by construction  $f_\beta <_I f_\alpha$  and by induction hypothesis also  $f_i <_I f_\beta$ .  $\square$



Now we are ready to apply Theorem 1.14. For any  $n > 0$  in  $\omega$ , we obtain an eub  $h_n$  for  $\vec{f}$  such that  $\text{cf}(h_n(x)) > \aleph_n$  for all  $x \in X$ .

*Claim.*  $h = h_1$  already satisfies the desired properties.

*Proof.* Assume that  $m > 1$  such that  $B_m$  is infinite. Since both  $h$  and  $h_m$  are eubs, we have  $h =_I h_m$ . But  $\text{cf}(h_m(x)) > \aleph_m$  for every  $x \in X$ . Contradiction.  $\square$

$\square$

**Theorem 2.3.** *Let  $\mu$  be a singular cardinal with  $\text{cf}(\mu) = \kappa > \omega$  and let  $C \subseteq \mu$  be a club set of singular cardinals. Then there is a club subset  $D \subseteq C$  and a sequence  $\vec{f}$  of length  $\mu^+$  which is increasing and cofinal in  $\prod_{\lambda \in D} \lambda^+$  modulo the nonstationary ideal  $NS$ .*

*Proof.* Like in the previous theorem, we construct a sequence  $\vec{f} \in \prod_{\lambda \in C} \lambda^+$  with an eub  $h$  such that for every  $\delta < \mu$ ,  $\{\lambda \in C \mid \text{cf}(h(\lambda)) = \delta\}$  is non-stationary. We need to verify that  $h(\lambda) = \lambda^+$  for  $NS$ -almost all  $\lambda \in C$ . If not, then the set

$$S = \{\lambda \in C \mid \text{cf}(h(\lambda)) < \lambda\}$$

is stationary in  $\mu$ ; moreover, on  $S$  the function  $\lambda \mapsto \text{cf}(h(\lambda))$  is regressive and hence by Fodor there is some  $\delta \in \mu$  such that  $\{\lambda \in C \mid \text{cf}(h(\lambda)) = \delta\}$  is stationary. Contradiction.  $\square$

**Theorem 2.4 (Silver).** *Let  $\kappa$  be a singular cardinal such that  $\text{cf}(\kappa)$  is uncountable. Suppose that there is a stationary set  $S \subseteq \kappa$  such that for every  $\delta \in S$ ,  $\delta^{\text{cf}(\kappa)} = \delta^+$ . Then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ .*

*Proof.* Let  $S \subseteq \kappa$  be a stationary set of order type  $\text{cf}(\kappa)$  such that for all  $\delta \in S$  we have  $\delta^{\text{cf}(\kappa)} = \delta^+$ . By the previous theorem, there is a club set  $C \subseteq \kappa$  of singular cardinals and a sequence  $\vec{f} = \langle f_i \mid i < \kappa^+ \rangle$  which is  $NS$ -increasing and cofinal in  $\prod_{\delta \in C} \delta^+$ . Now by replacing  $S$  by  $S \cap C$  and  $f_\xi$  by  $f_\xi \upharpoonright S$  we obtain that  $\vec{f}$  is  $NS$ -increasing and cofinal in  $\prod_{\delta \in S} \delta^+$ .

Since every  $\delta \in S$  satisfies  $\delta^{\leq \text{cf}(\kappa)} = \delta^+$ , we can define bijective maps

$$c_\delta : [\delta]^{\leq \text{cf}(\kappa)} \rightarrow \delta^+$$

We use this to code sets  $X \in [\kappa]^{\text{cf}(\kappa)}$  by

$$h_X \in \prod_{\delta \in S} \delta^+, \delta \mapsto c_\delta(X \cap \delta).$$

*Claim.* If  $X \neq Y$ , then there is  $\delta \in S$  such that for all  $\lambda \geq \delta$ ,  $h_X(\lambda) \neq h_Y(\lambda)$ .

*Proof.* If  $X \neq Y$ , then there is a minimal  $\delta \in S$  such that  $X \cap \delta \neq Y \cap \delta$ . Then for every  $\lambda \geq \delta$ ,  $X \cap \lambda \neq Y \cap \lambda$  and hence  $h_X(\lambda) = c_\lambda(X \cap \lambda) \neq c_\lambda(Y \cap \lambda) = h_Y(\lambda)$ .  $\square$

*Claim.* For every  $g \in \prod_{\delta \in S} \delta^+$ , the set

$$F_g = \{X \in [\kappa]^{\text{cf}(\kappa)} \mid h_X <_{\text{NS}} g\}$$

has cardinality  $\leq \kappa$ .

*Proof.* Suppose that for some  $g$ ,  $|F_g| \geq \kappa^+$ . For  $\delta \in S$ ,  $g(\delta) < \delta^+$ , so there is an enumeration  $\langle g_i^\delta \mid i < i_\delta \rangle$  of order type  $i_\delta \leq \delta$ . Now define for  $X \in F_g$ ,  $S_X = \{\delta \in X \mid h_X(\delta) < g(\delta)\}$  and a function  $k_X$  on  $S_X$  by  $k_X(\delta) = i$  for the unique  $i < i_\delta$  such that  $h_X(\delta) = g_i^\delta$ . Then  $S_X$  is stationary in  $\kappa$  and  $k_X$  is regressive, so there is a stationary  $T_X \subseteq S_X$  and  $\delta_X < \kappa$  such that  $k_X(\delta) < \delta_X$  for all  $\delta \in T_X$ . Since there are at most  $2^{\text{cf}(\kappa)}$  subsets of  $S$  and  $2^{\text{cf}(\kappa)} < \kappa^+ \leq |F_g|$ , there is  $F_0 \subseteq F_g$  such that  $|F_0| = \kappa^+$ , a stationary set  $S_0 \subseteq S$  and  $\delta_0 < \kappa$  such that for all  $X \in F_0$ ,  $S_X = S_0$  and  $\delta_X = \delta_0$ . Without loss of generality, assume that  $\delta_0 \in S$ . Now there are at most  $\delta_0^{\text{cf}(\kappa)} = \delta_0^+$  many different possibilities for the functions  $k_X$ ,  $X \in F_0$ . So (by thinning out  $F_0$ ) we can also assume that for all  $X \in F_0$  the functions  $k_X$  are all the same  $k_0$ . But then for  $X \in F$  and  $\delta \in S_0$  we have  $h_X(\delta) = g_{k_0(\delta)}^\delta = g_{k_0(\delta)}^\delta$  which does not depend on  $X$ . This contradicts the previous claim.  $\square$

Now we obtain that for every  $g \in \prod_{\delta \in S} \delta^+$ ,  $|F_g| \leq \kappa$ . But since  $\vec{f}$  is cofinal in  $\prod_{\delta \in S} \delta^+$ , every  $X \in [\kappa]^{\text{cf}(\kappa)}$  is in some  $F_\xi$ ,  $\xi < \kappa^+$ . This implies

$$\kappa^{\text{cf}(\kappa)} = |[\kappa]^{\text{cf}(\kappa)}| \leq \left| \bigcup_{\xi < \kappa^+} F_\xi \right| \leq \kappa^+ \cdot \kappa = \kappa^+.$$

$\square$

**Corollary 2.5** (Silver). *Let  $\kappa$  be a singular cardinal of uncountable cardinality and assume that GCH holds below  $\kappa$ . Then  $2^\kappa = \kappa^+$ .*

*Proof.* First observe that the set  $S = \{\delta < \kappa \mid \text{cf}(\delta) < \text{cf}(\kappa) < \delta\}$  is stationary in  $\kappa$ . Now for every  $\delta \in S$  we have

- $\delta < \delta^{\text{cf}(\delta)} \leq \delta^{\text{cf}(\kappa)}$
- $\delta^{\text{cf}(\kappa)} \leq \delta^\delta = 2^\delta = \delta^+$ .

This clearly implies that if  $\delta \in S$ , then  $\delta^{\text{cf}(\kappa)} = 2^\delta = \delta^+$ . Thus the previous theorem implies that  $\kappa^{\text{cf}(\kappa)} = \kappa^+$  and thus in particular  $2^\kappa = \kappa^+$  since for  $\langle \kappa_i \mid i < \text{cf}(\kappa) \rangle$  cofinal in  $\kappa$ ,

$$2^\kappa = 2^{\sum_{i < \text{cf}(\kappa)} \kappa_i} = \prod_{i < \text{cf}(\kappa)} 2^{\kappa_i} \leq \kappa^{\text{cf}(\kappa)}.$$

□