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Peter Koepke

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THE CONSISTENCY STRENGTH OF
THE FREE-SUBSET PROPERTY FOR ω_ω

PETER KOEPKE

A subset X of a structure S is called *free* in S if $\forall x \in X \ x \notin S[X - \{x\}]$; here, $S[Y]$ is the substructure of S generated from Y by the functions of S . For κ, λ, μ cardinals, let $\text{Fr}_\mu(\kappa, \lambda)$ be the assertion:

for every structure S with $\kappa \subset S$ which has at most μ functions and relations there is a subset $X \subset \kappa$ free in S of cardinality $\geq \lambda$.

We show that $\text{Fr}_\omega(\omega_\omega, \omega)$, the *free-subset property* for ω_ω , is equiconsistent with the existence of a measurable cardinal (2.2,4.4). This answers a question of Devlin [De].

In the first section of this paper we prove some combinatorial facts about $\text{Fr}_\mu(\kappa, \lambda)$; in particular the first cardinal κ such that $\text{Fr}_\omega(\kappa, \omega)$ is weakly inaccessible or of cofinality ω (1.2). The second section shows that, under $\text{Fr}_\omega(\omega_\omega, \omega)$, ω_ω is measurable in an inner model. For the convenience of readers not acquainted with the core model K , we first deduce the existence of $0^\#$ (2.1) using the inner model L . Then we adapt the proof to the core model and obtain that ω_ω is measurable in an inner model. For the reverse direction, we essentially apply a construction of Shelah [Sh] who forced $\text{Fr}_\omega(\omega_\omega, \omega)$ over a ground model which contains an ω -sequence of measurable cardinals. We show in §4 that indeed a *coherent sequence of Ramsey cardinals* suffices. In §3 we obtain such a sequence as an endsegment of a Prikry sequence.

The techniques of this paper can be applied to other situations. We may easily replace ω_ω by cardinals like $\omega_{\omega+\omega}, \omega_{\omega \cdot \omega}, \dots$ in the above. Using “higher” core models I could show that $\text{Fr}_\omega(\omega_{\omega_1}, \omega_1)$ is equiconsistent with the existence of ω_1 measurable cardinals. These results form part of my doctoral thesis.

§1. In the context of partition cardinals one often obtains better indiscernibility properties by choosing the homogeneous set in a minimal way. We use similar tricks to get “strongly free” sets.

1.1. LEMMA. *Let λ be an infinite cardinal and assume $\text{Fr}_\mu(\kappa, \lambda)$. Let S be a structure with $\kappa \subset S$ which has at most μ functions and relations. Then there is a subset $X \subset \kappa$ free in S with monotone enumeration $(x_i; i < \lambda)$ such that:*

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(i) $i < \lambda \rightarrow [x_i, x_i^+] \cap S[x_i \cup \{x_j: i < j < \lambda\}] = \emptyset$ (x_i^+ is the smallest cardinal $> x_i$); and, in particular,

(ii) $i < \lambda \rightarrow x_i \notin S[x_i \cup \{x_j: i < j < \lambda\}]$.

PROOF. We assume that S contains the relation $< \cap \kappa^2$ and possesses a set of Skolem functions for itself. Let $Y \subset \kappa$ be free in S of cardinality λ . Construct a sequence $(x_i: i < \lambda)$ of ordinals $< \kappa$ and a sequence $(W_i: i < \lambda)$ of finite subsets of Y by recursion: Let $i < \lambda$ and let $(x_j: j < i), (W_j: j < i)$ be defined. Then let x_i be the smallest $\alpha < \kappa$ such that for some finite $W \subset Y$:

- (*) if $j_1, \dots, j_m < i$ then $\{x_{j_1}, \dots, x_{j_m}\}, \{\alpha\}, (Y - W_{j_1} - \dots - W_{j_m} - W)$ are pairwise disjoint, and $\{x_{j_1}, \dots, x_{j_m}\} \cup \{\alpha\} \cup (Y - W_{j_1} - \dots - W_{j_m} - W)$ is a free subset in S .

Let W_i be such a W for x_i .

If $(x_j: j < i), (W_j: j < i)$ are constructed consider $\alpha \in Y - \bigcup \{W_j: j < i\}$ and $W = \{\alpha\}$. α and W satisfy (*) at i . Hence the recursion does not break down. $X := \{x_i: i < \lambda\}$ is free in S with monotone enumeration $(x_i: i < \lambda)$.

(ii) holds for X . Assume not. Let f be a function of S , $\tilde{\alpha} < x_i$, $i < k_1 \leq \dots \leq k_n < \lambda$, and $x_i = f(\tilde{\alpha}, x_{k_1}, \dots, x_{k_n})$. For convenience assume that $\tilde{\alpha} = \alpha$ has just one member. Assume also that α is minimal with $x_i = f(\alpha, x_{k_1}, \dots, x_{k_n})$. There is a function g of S such that $\alpha = g(x_i, x_{k_1}, \dots, x_{k_n})$. Let $W = W_i \cup W_{k_1} \cup \dots \cup W_{k_n}$.

Claim. α, W satisfy (*) at i .

PROOF. Let $j_1, \dots, j_m < i$ be pairwise distinct.

(a) By construction,

$$x_{j_1} \notin S[\{x_{j_2}, \dots, x_{j_m}\} \cup \{x_i, x_{k_1}, \dots, x_{k_n}\} \cup (Y - W_{j_1} - W_{j_2} - \dots - W_{j_m} - W_i - W_{k_1} - \dots - W_{k_n})];$$

and since $\alpha = g(x_i, x_{k_1}, \dots, x_{k_n})$,

$$x_{j_1} \notin S[\{x_{j_2}, \dots, x_{j_m}\} \cup \{\alpha\} \cup (Y - W_{j_1} - W_{j_2} - \dots - W_{j_m} - W)].$$

(b) By construction,

$$x_i \notin S[\{x_{j_1}, \dots, x_{j_m}\} \cup \{x_{k_1}, \dots, x_{k_n}\} \cup (Y - W_{j_1} - \dots - W_{j_m} - W_i - W_{k_1} - \dots - W_{k_n})].$$

Since $x_i = f(\alpha, x_{k_1}, \dots, x_{k_n})$,

$$\alpha \notin S[\{x_{j_1}, \dots, x_{j_m}\} \cup (Y - W_{j_1} - \dots - W_{j_m} - W)].$$

(c) Let $y \in Y - W_{j_1} - \dots - W_{j_m} - W$. By construction,

$$y \notin S[\{x_{j_1}, \dots, x_{j_m}\} \cup \{x_i, x_{k_1}, \dots, x_{k_n}\} \cup (Y - W_{j_1} - \dots - W_{j_m} - W_i - W_{k_1} - \dots - W_{k_n} - \{y\})].$$

Since $\alpha = g(x_i, x_{k_1}, \dots, x_{k_n})$,

$$y \notin S[\{x_{j_1}, \dots, x_{j_m}\} \cup \{\alpha\} \cup (Y - W_{j_1} - \dots - W_{j_m} - W - \{y\})].$$

QED (claim).

But the claim contradicts the minimal choice of x_i . Hence (ii) holds for X .

To obtain an X satisfying (i) as well we assume further that S possesses “cardinality”-functions $r, s: \kappa \times \kappa \rightarrow \kappa$ with the property

$$\alpha < \beta < \kappa \rightarrow (r(\alpha, \beta) < \text{card}(\beta) \ \& \ s(r(\alpha, \beta), \beta) = \alpha).$$

Then take $X \subset \kappa$ with monotone enumeration $(x_i: i < \lambda)$ which satisfies (ii).

(i) holds for X . Assume not; let $\beta \in [x_i, x_i^+) \cap S[x_i \cup \{x_j: i < j < \lambda\}]$. Of course $x_i < \beta$. Let $\gamma = r(x_i, \beta) < \text{card}(\beta) \leq x_i$, and we have $x_i \in S[x_i \cup \{x_j: i < j < \lambda\}]$, since $x_i = s(\gamma, \beta)$. This contradicts (ii). QED

1.2. LEMMA. *Let λ be an infinite cardinal and let κ be the least cardinal such that $\text{Fr}_\omega(\kappa, \lambda)$. Then:*

- (i) κ is a limit cardinal.
- (ii) For all $\mu < \kappa$, $\text{Fr}_\mu(\kappa, \lambda)$.
- (iii) κ is weakly inaccessible or $\text{cof}(\kappa) = \text{cof}(\lambda)$.
- (iv) $\kappa \geq \omega_\lambda$.

PROOF. (i) is standard; see [Sh].

(ii) Let $\mu < \kappa$, and let $A = (\kappa, (f_v: v < \mu))$ be an algebra. For $n < \omega$ define $F_n: \kappa^{n+1} \rightarrow \kappa$ by $F_n(v, x_1, \dots, x_n) = f_v(x_1, \dots, x_n)$, if $v < \mu$ and f_v is n -ary, and $F_n(v, x_1, \dots, x_n) = 0$ else.

Since not $\text{Fr}_\omega(\mu, \lambda)$, let $B = (\mu, (g_n: n < \omega))$ have no free subset of cardinality λ . Set $S = (\kappa, (F_n: n < \omega), (g_n: n < \omega))$, and let $X \subset \kappa$, $\text{card}(X) = \lambda$, be free in S satisfying 1.1(ii). Let $Y = X - \mu$. By the choice of B , $\text{card}(Y) = \lambda$. Y is free in A : if $y \in Y$ then

$$y \notin S[\mu \cup (Y - \{y\})] \supset A[Y - \{y\}].$$

(iii) Assume that κ is not weakly inaccessible and $\text{cof}(\kappa) \neq \text{cof}(\lambda)$. Let $\mu = \text{cof}(\kappa)$. Let $(\theta_v: v < \mu)$ be a sequence of cardinals cofinal in κ ; for $v < \mu$ let $S^v = (\theta_v, (f_i^v: i < \omega))$ be a structure with no free subset of size λ . Let $S = (\kappa, (f_i^v: i < \omega, v < \mu))$. By (ii), there is a free subset $X \subset \kappa$ in S , $\text{card}(X) = \lambda$. Since $\mu \neq \text{cof}(\lambda)$, $\text{card}(X \cap \theta_v) = \lambda$ for some $v < \mu$. But $X \cap \theta_v$ is free in S^v . Contradiction.

(iv) For λ regular this follows from (i) and (iii). If λ is a singular cardinal we have $\kappa \geq \omega_{\lambda'}$ for all regular $\lambda' < \lambda$; thus $\kappa \geq \omega_\lambda$. QED

§2.

2.1. THEOREM. *If $\text{Fr}_\omega(\omega_\omega, \omega)$ then $0^\#$ exists.*

PROOF. Assume $\text{Fr}_\omega(\omega_\omega, \omega)$ but that $0^\#$ does not exist. Set $\kappa = \omega_\omega$. By the Jensen covering theorem for L [De-Je], L “covers” V : for all $A \subset \text{On}$ there is $B \in L$ such that $A \subset B$ and $\text{card}(B) \leq \text{card}(A) + \omega_1$. So there is $E \in L$ with $\{\omega_i: i < \omega\} \subset E \subset \kappa$ and $\text{card}(E) = \omega_1$.

Let $S = (L_{\kappa^+}, E, (\alpha: \alpha < \omega_2))$ together with Skolem functions, where E and every $\alpha < \omega_2$ are constants of the structure. Take $X \subset \kappa$ with monotone enumeration $(x_i: i < \omega)$ free in S such that 1.1(i) holds.

For $i < \omega$, let $M_i = S[\{x_j: i \leq j < \omega\}]$ and let $\pi_i: M_i \simeq \bar{M}_i$, where \bar{M}_i is transitive. For $i \leq j < \omega$, let $\pi_{ji} = \pi_i \circ \pi_j^{-1}: \bar{M}_j \rightarrow \bar{M}_i$.

- (1) π_{ji} is an elementary embedding and $\pi_{ji} \upharpoonright \omega_2 = \text{id} \upharpoonright \omega_2$.

For $i < \omega$ let $E_i = \pi_i(E)$; $\pi_{j_i}(E_j) = E_i$. Let \leq_L be the canonical wellordering of L . Since \leq_L is absolute for transitive ZF^- -models we get $E_j \leq_L E_i$ for $i \leq j < \omega$. There is $i < \omega$ such that $E_{i+1} = E_i$. Since “ x is the α th element of E_i ” is uniformly definable in M_i and in M_{i+1} and since $\pi_{i+1,i}$ is the identity on ω_2 , we have:

$$(2) \pi_{i+1,i} \upharpoonright E_{i+1} = \text{id} \upharpoonright E_{i+1}.$$

Let $\delta = \pi_{i+1}(x_i^+) \in E_{i+1}$. Then

$$\begin{aligned} \pi_{i+1,i}(\delta) &= \pi_i(x_i^+) > \pi_i(x_i) = \text{otp}(M_i \cap x_i) \geq \text{otp}(M_{i+1} \cap x_i) \\ &= \text{otp}(M_{i+1} \cap x_i^+) [\text{by 1.1(i)}] = \pi_{i+1}(x_i^+) = \delta, \end{aligned}$$

contradicting (2). QED

2.2. THEOREM. *If $\text{Fr}_\omega(\omega_\omega, \omega)$ then there is an inner model with a measurable cardinal $\leq \omega_\omega$.*

PROOF. Set $\kappa = \omega_\omega$. Assume $\text{Fr}_\omega(\kappa, \omega)$ but that there is no inner model with a measurable cardinal $\leq \kappa$. Then 0^+ does not exist and by the Dodd-Jensen covering theorem [Do], V is covered either by the core model K , or by some $L[U] \models$ “ U is a normal measure on some cardinal $> \kappa$ ”, or by some $L[U, C]$ where C is a Prikry sequence for $L[U]$ and $L[U] \models$ “ U is a normal measure on some cardinal $> \kappa$ ”. In any case, since $P(\kappa) \cap K = P(\kappa) \cap L[U] = P(\kappa) \cap L[U, C]$, there is $E \in K$ such that $\{\omega_i; i < \omega\} \subset E < \kappa$ and $\text{card}(E) = \omega_1$. Let $S = (H_{\kappa^+}^K, E, (\alpha: \alpha < \omega_2))$ together with Skolem functions as in the proof of 2.1. As above we get $E_j \leq_K E_i$ for $i \leq j < \omega$, where \leq_K is the canonical wellordering of K . The remaining argument goes through unchanged. QED

§3. Assume κ is a measurable cardinal with normal ultrafilter U . Let

$$P = \{(a, X): a \in [\kappa]^{<\omega}, X \in U, \max a < \min X\}$$

be the set of Prikry conditions for κ, U with the usual order. Let G be P -generic over V ; let $(\kappa_i; i < \omega)$ be the Prikry sequence induced by G .

3.1. LEMMA. *In $V[G]$, the following principle holds: if $f: [\kappa]^{<\omega} \rightarrow \kappa$ is regressive, i.e. $f(x) < \min x$ for $x \in [\kappa]^{<\omega}$, then there are $m < \omega$ and $(A_i: m \leq i < \omega)$ such that*

(i) $A_i \subset \kappa_i$ is cofinal in κ_i , and

(ii) if $x, y \in [\kappa]^{<\omega}$, $x, y \in \bigcup \{A_i: m \leq i < \omega\}$ and if $\text{card}(x \cap A_i) = \text{card}(y \cap A_i)$ for $m \leq i < \omega$, then $f(x) = f(y)$.

PROOF. Assume $(a, X) \Vdash \check{f}: [\kappa]^{<\omega} \rightarrow \kappa$ is regressive”. It suffices to show that some extension of (a, X) forces the above property for \check{f} . Let H be a transitive structure containing $\kappa, U, P, (a, X)$, and \check{f} as constants, $\kappa \subset H$, and which reflects enough of V to make the following go through. Moreover we assume that H possesses Skolem functions for itself. Since U is a normal measure on κ there is $Y \in U$ which is a set of good indiscernibles for H , i.e.,

(1) for every H -formula ψ , $x, y \in [Y]^{<\omega}$, $\check{\alpha} < \min x \cup y$:

$$H \models \psi(\check{\alpha}, x) \quad \text{iff} \quad H \models \psi(\check{\alpha}, y).$$

Let $Z = \{v \in Y: Y \cap v \text{ is cofinal in } v\} \cap X$. We show that $(a, Z) \leq (a, X)$ is as desired.

Let G' be P -generic over V , $(a, Z) \in G'$; let $(\kappa'_i: i < \omega)$ be the induced Prikry sequence. Let $a = \{\kappa'_0, \dots, \kappa'_{m-1}\}$, and define $(A_i: m \leq i < \omega)$ by $A_i = Y \cap (\kappa'_i - \kappa'_{i-1})$.

Let $x, y \in [\kappa]^{<\omega}$, $x, y \subset \bigcup \{A_i: m \leq i < \omega\}$, and $\text{card}(x \cap A_i) = \text{card}(y \cap A_i)$ for $m \leq i < \omega$. Take n such that $x, y \subset \kappa'_n$. There are H -terms t, w such that

$$\begin{aligned} (\{\kappa'_0, \dots, \kappa'_{n-1}\}, t(\kappa'_0, \dots, \kappa'_{n-1}, x)) \Vdash \dot{f}(x) = w(\kappa'_0, \dots, \kappa'_{n-1}, x) < \min x, \\ (\{\kappa'_0, \dots, \kappa'_{n-1}\}, t(\kappa'_0, \dots, \kappa'_{n-1}, y)) \Vdash \dot{f}(y) = w(\kappa'_0, \dots, \kappa'_{n-1}, y) < \min y. \end{aligned}$$

(1) implies that $w(\kappa'_0, \dots, \kappa'_{n-1}, x) = w(\kappa'_0, \dots, \kappa'_{n-1}, y)$, and so

$$(\{\kappa'_0, \dots, \kappa'_{n-1}\}, t(\kappa'_0, \dots, \kappa'_{n-1}, x) \cap t(\kappa'_0, \dots, \kappa'_{n-1}, y)) \Vdash \dot{f}(x) = \dot{f}(y).$$

There is $z \in Z - \kappa'_n$ such that $z \in t(\kappa'_0, \dots, \kappa'_{n-1}, x) \cap t(\kappa'_0, \dots, \kappa'_{n-1}, y)$, and, by (1),

$$Z - \kappa'_n \subset t(\kappa'_0, \dots, \kappa'_{n-1}, x) \cap t(\kappa'_0, \dots, \kappa'_{n-1}, y).$$

Hence,

$$(\{\kappa'_0, \dots, \kappa'_{n-1}\}, Z - \kappa'_n) \Vdash \dot{f}(x) = \dot{f}(y),$$

and thus $\dot{f}(x) = \dot{f}(y)$ is true in $V[G']$ since $(\{\kappa'_0, \dots, \kappa'_{n-1}\}, Z - \kappa'_n) \in G'$. QED

3.2. THEOREM. In $V[G]$ there is an ascending sequence $(\lambda_i: i < \omega)$ of cardinals cofinal in κ which forms a coherent sequence of Ramsey cardinals, i.e., for every regressive $f: [\kappa]^{<\omega} \rightarrow \kappa$ there are $(A_i: i < \omega)$ such that:

- (i) $A_i \subset \lambda_i$ is cofinal in λ_i , and
- (ii) if $x, y \in [\kappa]^{<\omega}$, $x, y \subset \bigcup \{A_i: i < \omega\}$ and $\text{card}(x \cap A_i) = \text{card}(y \cap A_i)$ for $i < \omega$, then $f(x) = f(y)$.

PROOF. It is enough to see that 3.1 works with some fixed $m = m_0$ for all functions f . Assume not. For every $m < \omega$ take regressive $f^m: [\kappa]^{<\omega} \rightarrow \kappa$ with no homogeneous sequence $(A_i: m \leq i < \omega)$. Code the functions f^m into one regressive $f: [\kappa]^{<\omega} \rightarrow \kappa$ and apply 3.1. Then, if $(A_i: m \leq i < \omega)$ is homogeneous for f , it is also homogeneous for f^m . Contradiction. QED

§4. If $(\lambda_i: i < \omega)$ is a coherent sequence of Ramsey cardinals it satisfies a weak variant of property (3c) in Shelah [Sh]. We will employ the forcing technique of [Sh]. Since we assume a weaker indiscernibility property, we have to give more consideration to the organisation of the argument.

The following principle will hold in the generic extension:

4.1. DEFINITION. Let $(*)$ be the assertion: If $f: [\omega_\omega]^{<\omega} \rightarrow 2$ then there is $(C_i: i < \omega)$ such that:

- (i) C_i is a cofinal subset of ω_{2i+2} , and
- (ii) if $i_0 < \dots < i_{n-1} < \omega$ and $\alpha_0, \beta_0 \in C_{i_0}, \dots, \alpha_{n-1}, \beta_{n-1} \in C_{i_{n-1}}$ then

$$f(\alpha_0, \dots, \alpha_{n-1}) = f(\beta_0, \dots, \beta_{n-1}).$$

4.2. LEMMA. $(*)$ implies $\text{Fr}_\omega(\omega_\omega, \omega)$.

PROOF. Easy.

Fix a coherent sequence $(\kappa_i: i < \omega)$ of Ramsey cardinals with supremum κ . Let (P, \leq) be the following set of conditions:

$$P = \{(p_i: i < \omega): p_0 \in \text{Col}(\omega_1, \kappa_0), p_i \in \text{Col}(\kappa_i^+, \kappa_i) \text{ for } 1 \leq i < \omega\},$$

where $\text{Col}(\sigma, \rho)$ are the Levy conditions for collapsing the inaccessible ρ to σ^+ ; $(q_i: i < \omega) \leq (p_i: i < \omega)$ iff $\forall i q_i \supset p_i$. Let G be P -generic over V . In $V[G]: \kappa_0 = \omega_2, \kappa_1 = \omega_4, \dots, \kappa = \omega_\omega$.

4.3. THEOREM. (*) holds in $V[G]$.

PROOF. Let $p = (p_i: i < \omega) \in P$ and $p \Vdash \text{"}\dot{f}: [\kappa]^{<\omega} \rightarrow 2\text{"}$. It suffices to show that some extension of p forces (*) for \dot{f} . Let

$$R = \{(\alpha_0, \dots, \alpha_{m-1}): m < \omega \ \& \ \forall i < m \ \alpha_i < \kappa_i\}$$

and wellorder R by putting $(\alpha_0, \dots, \alpha_{m-1}) <' (\beta_0, \dots, \beta_{n-1})$ iff

(a) $m < n$, or

(b) $m = n$ and there is an $i < m$ with $\alpha_i < \beta_i, \alpha_{i+1} = \beta_{i+1}, \dots, \alpha_{m-1} = \beta_{m-1}$.

We construct by recursion on $<'$ a sequence $(p(r): r \in R), p(r) = (p_i(r): i < \omega) \in P$, and a sequence $(w(r): r \in R)$ such that:

(1) If $i < \omega, s = (\alpha_0, \dots, \alpha_{m-1}) <' r = (\beta_0, \dots, \beta_{n-1})$ and $\alpha_i = \beta_i, \dots, \alpha_{m-1} = \beta_{m-1}$, then $p_i \subset p_i(s) \subset p_i(r)$.

Assume that $r = (\beta_0, \dots, \beta_{n-1}) \in R$ and that for $s <' r$ $p(s)$ is constructed satisfying (1). Define $\tilde{p}(r) = (\tilde{p}_i(r): i < \omega)$:

$$\tilde{p}_i(r) = p_i \cup \bigcup \{p_i(s): s = (\alpha_0, \dots, \alpha_{m-1}) <' (\beta_0, \dots, \beta_{n-1}) \text{ and } \alpha_i = \beta_i, \dots, \alpha_{m-1} = \beta_{m-1}\}.$$

$\tilde{p}(r)$ is a condition since $\text{Col}(\kappa_{i-1}^+, \kappa_i)$ is closed under decreasing sequences of length κ_{i-1} (put $\kappa_{-1} = \omega$). Take $w(r) \in \{0, 1\}$ and $p(r) = (p_i(r): i < \omega) \leq \tilde{p}(r)$ such that $p(r) \Vdash \dot{f}(\beta_0, \dots, \beta_{n-1}) = w(r)$. The definition of $p(r)$ agrees with property (1), hence the recursion works.

For $i < n < \omega$ and $\beta_i < \kappa_i, \dots, \beta_{n-1} < \kappa_{n-1}$ put

$$p'_i(\beta_i, \dots, \beta_{n-1}) = \bigcup \{p_i(\alpha_0, \dots, \alpha_{m-1}): (\alpha_0, \dots, \alpha_{m-1}) \in R, m \leq n, \text{ and } \alpha_i = \beta_i, \dots, \alpha_{m-1} = \beta_{m-1}\}.$$

Since $(\kappa_i: i < \omega)$ is a coherent sequence of Ramsey cardinals there is $(A_i: i < \omega)$, each A_i is cofinal in κ_i , and the homogeneity properties (2) and (3) hold:

(2) If $\alpha_0, \beta_0 \in A_0, \dots, \alpha_{n-1}, \beta_{n-1} \in A_{n-1}$ then

$$w(\alpha_0, \dots, \alpha_{n-1}) = w(\beta_0, \dots, \beta_{n-1}).$$

(3) If $i < n < \omega$ and $\alpha_{i+1}, \beta_{i+1} \in A_{i+1}, \dots, \alpha_{n-1}, \beta_{n-1} \in A_{n-1}$ then

$$\forall \alpha_i < \kappa_i \ p'_i(\alpha_i, \alpha_{i+1}, \dots, \alpha_{n-1}) = p'_i(\alpha_i, \beta_{i+1}, \dots, \beta_{n-1}).$$

By (3), we may put:

$$p''_i(\alpha_i) = \bigcup \{p'_i(\alpha_i, \alpha_{i+1}, \dots, \alpha_{n-1}): i < n < \omega \text{ and } \alpha_{i+1} \in A_{i+1}, \dots, \alpha_{n-1} \in A_{n-1}\};$$

$p''_i(\alpha_i) \in \text{Col}(\kappa_{i-1}^+, \kappa_i)$. Let $i < \omega$. The standard proof of the κ_i -antichain condition for $\text{Col}(\kappa_{i-1}^+, \kappa_i)$ yields $B_i \subset A_i, B_i$ cofinal in κ_i such that $\{p''_i(\alpha_i): \alpha_i \in B_i\}$ forms a Δ -system of functions; let \bar{p}_i be its kernel. Put $\bar{p} = (\bar{p}_i: i < \omega); \bar{p} \leq p$.

We show that \bar{p} forces (*) for \dot{f} : Let \bar{G} be P -generic over $V, \bar{p} \in \bar{G}$. Let \bar{G}_i be the projection of \bar{G} onto the i th coordinate; \bar{G}_i is $\text{Col}(\kappa_{i-1}^+, \kappa_i)$ -generic over V . Put $C_i = \{\alpha_i \in B_i: p''_i(\alpha_i) \in \bar{G}_i\}$.

(4) C_i is cofinal in κ_i .

PROOF. It suffices to show that for $v < \kappa_i$, the set

$$D_v = \{q \in \text{Col}(\kappa_{i-1}^+, \kappa_i) : q \leq p_i''(\alpha_i) \text{ for some } \alpha_i \in B_i - v\}$$

is dense below \bar{p}_i in $\text{Col}(\kappa_{i-1}^+, \kappa_i)$. Let $q \leq \bar{p}_i$. Since \bar{p}_i is the kernel of $\{p_i''(\alpha_i) : \alpha_i \in B_i\}$ and $\text{card}(q) \leq \kappa_{i-1}$, q is incompatible with at most κ_{i-1} of the functions $p_i''(\alpha_i)$, $\alpha_i \in B_i$. So there is $\alpha_i \in B_i - v$ such that q and $p_i''(\alpha_i)$ are compatible; and then $q \cup p_i''(\alpha_i) \in D_v$. QED(4)

(5) Let $n < \omega$ and $\alpha_0, \beta_0 \in C_0, \dots, \alpha_{n-1}, \beta_{n-1} \in C_{n-1}$. Then, in $V[\bar{G}]$,

$$f^{V[\bar{G}]}(\alpha_0, \dots, \alpha_{n-1}) = f^{V[\bar{G}]}(\beta_0, \dots, \beta_{n-1}).$$

PROOF. By definition of the C_i and since the elements of the generic set are compatible, there is $\tilde{p} = (\tilde{p}_i : i < \omega) \in \bar{G}$ such that $\tilde{p} \leq \bar{p}$ and

$$\begin{aligned} p_0(\alpha_0, \alpha_1, \dots, \alpha_{n-1}) &\subset p_0''(\alpha_0) \subset \tilde{p}_0, \\ p_1(\alpha_0, \alpha_1, \dots, \alpha_{n-1}) &\subset p_1'(\alpha_1, \dots, \alpha_{n-1}) \subset p_1''(\alpha_1) \subset \tilde{p}_1, \\ &\vdots \\ p_{n-1}(\alpha_0, \dots, \alpha_{n-1}) &\subset p_{n-1}'(\alpha_{n-1}) \subset p_{n-1}''(\alpha_{n-1}) \subset \tilde{p}_{n-1}, \\ p_n(\alpha_0, \dots, \alpha_{n-1}) &\subset \bar{p}_n = \tilde{p}_n, \\ &\vdots \\ p_t(\alpha_0, \dots, \alpha_{n-1}) &\subset \bar{p}_t = \tilde{p}_t, \\ &\vdots \end{aligned}$$

We moreover assume that \tilde{p} satisfies the same relations for the sequence $(\beta_0, \dots, \beta_{n-1})$. Thus:

$$\begin{aligned} \tilde{p} &\leq p(\alpha_0, \dots, \alpha_{n-1}) \Vdash f^\circ(\alpha_0, \dots, \alpha_{n-1}) = w(\alpha_0, \dots, \alpha_{n-1}), \\ \tilde{p} &\leq p(\beta_0, \dots, \beta_{n-1}) \Vdash f^\circ(\beta_0, \dots, \beta_{n-1}) = w(\beta_0, \dots, \beta_{n-1}). \end{aligned}$$

By (2), $w(\alpha_0, \dots, \alpha_{n-1}) = w(\beta_0, \dots, \beta_{n-1})$. QED(5)

Using simple coding arguments we may assume that if (5) holds for f° then all instances of (*) hold for f° . Hence \bar{p} forces (*) for f° . QED

Combining 3.2, 4.3 and 4.2 we obtain:

4.4. THEOREM. *If κ is a measurable cardinal then there is a two-stage generic extension of V in which $\text{Fr}_\omega(\omega_\omega, \omega)$ holds.*

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