

**S4A3 - GRADUATE SEMINAR ON ADVANCED ALGEBRA:  
“HILBERT MODULAR SURFACES”**

Organizer: Johannes Anschütz<sup>1</sup>

Time and place: WS 17/18, Tuesdays, 16-18h, SR 0.003

Preliminary meeting: Wednesday, 26.07.2017, 16-18h, N0.003.

Let  $p$  be a prime congruent to 1 mod 4 and define the real quadratic number field  $K := \mathbb{Q}(\sqrt{p})$  with ring of integers  $\mathcal{O}_K = \mathbb{Z}[\frac{1+\sqrt{p}}{2}]$ . In [HVdV74] F. Hirzebruch and A. van de Ven studied the class of the Hilbert modular surfaces  $Y(p)$ . These surfaces are complex projective surfaces constructed via compactifying and then resolving singularities of quotients  $\mathbb{H} \times \mathbb{H}/\Gamma$  of two copies of the upper half plane

$$\mathbb{H} := \{\tau \in \mathbb{C} \mid \text{Im}(\tau) > 0\}$$

modulo the action of the discrete group  $\Gamma := \text{SL}_2(\mathcal{O}_K)$  via Möbius transformations<sup>2</sup>

They investigated where the surfaces  $Y(p)$  are placed within the Kodaira classification of algebraic surfaces and obtained the following theorem, which will be the main theorem of this seminar.

**Theorem 1** (cf. Theorem III.1 in [HVdV74]). *The surfaces  $Y(p)$  are*

- *rational surfaces for  $p = 5, 13, 17$ ;*
- *blown up elliptic K3 surfaces for  $p = 29, 37, 41$ ;*
- *honestly elliptic surfaces for  $p = 53, 61, 73$ ;*
- *surfaces of general type for  $p \geq 89$ .*

The aim of this seminar is to understand this theorem, both in formulation and verification. Thereby we will touch several interesting topics such as Serre’s GAGA, intersection theory, blow ups/blow downs, quotient singularities, Kodaira’s classification of surfaces, modular curves, modular forms, . . . In particular, we will not be able to discuss these topics in all their depth and richness, but we will focus on the aspects needed for the understanding of [HVdV74]. Additional literature is provided by [Bö1], [HvdG81], [vdG88] or [Hir73].

**1.Talk: GAGA (17.10.2017)**

Shortly introduce analytic spaces and coherent sheaves on them (cf. [Ser56]). Then present [Gro71, Exposé XII, Chapitre 1-4], mainly focusing on [Gro71, Corollaire 4.3.] and [Gro71, Corollaire 4.5.]. The speaker may choose to follow the classic

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<sup>2</sup>Let  $\sigma_i: K \rightarrow \mathbb{R}, i = 1, 2$ , be the two real embeddings of  $K$ . Then

$$A := \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$$

acts on  $(\tau_1, \tau_2) \in \mathbb{H} \times \mathbb{H}$  via

$$A.(\tau_1, \tau_2) := \left( \frac{\sigma_1(a)\tau_1 + \sigma_1(b)}{\sigma_1(c)\tau_1 + \sigma_1(d)}, \frac{\sigma_2(a)\tau_2 + \sigma_2(b)}{\sigma_2(c)\tau_2 + \sigma_2(d)} \right).$$

[Ser56] instead (or additionally). Finally, sketch the proof that compact Riemann surfaces are algebraic (cf. [GH94, Chapter 2.1]).

**2.Talk: Hilbert modular varieties (24.10.2017)**

Define Hilbert modular varieties, their cusps and fundamental domains for arbitrary totally real number fields  $K/\mathbb{Q}$  ([vdG88, Chapter I.1.,I.3.]). Then prove the structure of elliptic fixed points ([vdG88, Chapter I.5.]), introduce the quotients  $\mathbb{H}^n/\Gamma$  (as analytic spaces) and define Hilbert modular forms ([vdG88, Chapter I.6.]). Finally, identify Hilbert modular forms with sections of a suitable line bundle (cf. [vdG88, Chapter 2.7.]). Except in the case  $K = \mathbb{Q}$  you may always assume  $\Gamma = \mathrm{SL}_2(\mathcal{O}_K)$ .

**3.Talk: Algebraicity of Hilbert modular varieties and the genus of modular curves (31.10.2017)**

Provide details on the compactness of the quotients  $\overline{\mathbb{H}^n/\Gamma}$  (cf. [vdG88, Chapter 2.7.]). Then prove [vdG88, Theorem 7.1.] (in the reference to [BB66] do not treat the case of general reductive groups  $G/\mathbb{Q}$ , but only the case relevant for use, namely  $G = \mathrm{Res}_{K/\mathbb{Q}}(\mathrm{SL}_2)$ , the Weil restriction of scalars of  $\mathrm{SL}_2$  for  $K/\mathbb{Q}$  a totally real number field). Then compute the genus of modular curves [Shi94, Proposition 1.40].

**4.Talk: Intersection theory (7.11.2017)**

Follow [Bö1, Chapter 1] from the beginning and end before [Bö1, Chapter 1, Theorem 1.22].

**5.Talk: Invariants of algebraic surfaces (14.11.2017)**

Prove [Har77, Chapter V.1.5,1.6]. Then present [Bö1, Chapter 5] (a discussion of the Albanese variety can be omitted). Explain the Kodaira classification of algebraic surfaces as presented in [HVdV74, Theorem ROC].

**6.Talk: Quotient singularities (21.11.2017)**

Present [HVdV74, Chapter II."The Quotient Singularities"] (perhaps with the aid of [vdG88, Chapter II.6]). In particular, recall the definition of a blow up and compute some explicit resolutions of the quotient singularities (cf. exercise 3.3.-3.6. in [Bö1]).

**7.Talk: Castelnuovo's theorem (28.11.2017)**

Present a proof of Castelnuovo's theorem [Bö1, Theorem 3.30].

**8.Talk: Criteria for rationality (5.12.2017)**

Present the part "Rational Surfaces" in [HVdV74, Chapter I] including, if possible, a proof or parts of a proof for Castelnuovo's criterion (cf. [Bö1, Chapter 13]).

**9.Talk: Elliptic surfaces (12.12.2017)**

Present the part "Elliptic Surfaces" in [HVdV74, Chapter I]. More details about elliptic fibrations can be found in [Bö1], for example.

**10.Talk: Resolution of the cusp singularities (19.12.2017)**

Present the part "The Cusps" in [HVdV74, Chapter II] (cf. [vdG88, Chapter II]).

**11.Talk: The curves  $F_N$ , part I (09.01.2018)**

Talk 11 and 12 should cover the rest of [HVdV74, Chapter II]. Therefore the speakers have to decide where to split the material. Additional literature is provided by [HVdV74, Chapter V].

**12.Talk: The curves  $F_N$ , part II (16.01.2018)**

See talk 11.

**13.Talk: Proof of the main theorem (23.01.2018)**

Prove 1, i.e., [HVdV74, Theorem III.1]. Not every calculation has to be presented in detail, but present at least one argument for every case.

**14.Talk: Moduli interpretation of Hilbert modular varieties (30.01.2018)**

Present, starting with [vdG88, Chapter X.1], as much as possible of [vdG88, Chapter X].

## REFERENCES

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