

1 Plan

Hello, welcome to the first talk of the Weil conjectures. In this talk we will do the following:

- Give the statement of the 5 Weil conjectures: rationality, integrality, functional equation, Riemann hypothesis and Betti numbers.
- We then sketch the proofs of 3 of them: rationality, Poincaré duality and Betti numbers.
 - For rationality and Betti numbers we introduce the cohomological interpretation of L -functions, which follows from the Lefschetz trace formula (given as a black box).
 - For the functional equation we also recall (as black box) Poincaré duality.

Then in the next 4 talks, the remaining Riemann hypothesis Weil conjecture will be proven, which will also imply the integrality conjecture.

2 History

(Taken from ¹) We will start by giving some history of the Weil conjectures:

- In 1949, Weil formulated the Weil conjectures: formulated the rationality, integrality, functional equation, Betti numbers and Riemann hypothesis Weil conjectures.
- In 1960, Dwork proved the Rationality conjecture.
- In 1965, Artin, Grothendieck and Verdier proved the rationality, Betti numbers and functional equation part of the Weil conjectures by defining ℓ -adic cohomology and proving the Lefschetz trace formula. This we will sketch in the first talk.
- In 1974 Deligne proved the Riemann hypothesis and the integrality conjectures in his Weil I article.
- In 1980 Deligne improved the results in his Weil II article.
- In 1987 Laumon introduced the Fourier transform which simplifies a step in Deligne's proof. This last proof is the one we will follow in the next 4 talks.

¹https://en.wikipedia.org/wiki/Weil_conjectures#Background_and_history

3 The 5 conjectures

Let q be a power of a prime p and X/\mathbb{F}_q a smooth projective variety (variety = finite type geometrically integral scheme). Then the zeta function of X is defined as

$$\zeta_X(t) := \exp \left(\sum_{n \in \mathbb{Z}_{\geq 1}} \frac{\nu_n(X)t^n}{n} \right) \in \mathbb{Z}[[t]] \quad (1)$$

$$\nu_n(X) := \#X(\mathbb{F}_{q^n}).$$

3.1 The conjectures

The 5 Weil conjectures are as follows: let $d := \dim X$,

1. Rationality: $\zeta_X(t) \in \mathbb{Q}(T)$. More precisely, there is a characteristic 0 field K and $P_i(t) \in K[t]$ for $i = 0, \dots, 2d$ such that

$$\zeta_X(t) = \frac{P_1(t) \cdots P_{2d-1}(t)}{P_0(t) \cdots P_{2d}(t)}, \quad (2)$$

$$P_0(t) = 1 - t,$$

$$P_{2d}(t) = 1 - q^d t.$$

2. Integrality:

$$P_i(t) \in \mathbb{Z}[t] \text{ for } i = 0, \dots, 2d. \quad (3)$$

3. Functional equation:

$$\zeta_X(q^{-d}t^{-1}) = \pm q^{\frac{d-\chi}{2}} t^\chi \zeta_X(t) \quad (4)$$

$$\chi := \sum_{i=0}^{2d} (-1)^i \deg P_i.$$

4. Riemann hypothesis: for $i = 0, \dots, 2d$,

$$\text{write } P_i(t) = \prod_{j=1}^{\deg P_i} (1 - \alpha_{i,j}t) \text{ for some } \alpha_{i,j} \in \overline{K} \hookrightarrow \mathbb{C}, \quad (5)$$

$$\text{then } |\alpha_{i,j}| = q^{\frac{j}{2}} \text{ for } j = 1, \dots, \deg P_i.$$

5. Betti numbers: If there is a $Y/\mathbb{Z}_{(p)}$ a smooth projective good reduction mod p variety of X , so $X = Y \times_{\mathbb{Z}_{(p)}} \mathbb{F}_q$, then

$$\deg P_i = \dim_{\mathbb{C}} H_{sing}^i(Y_{\mathbb{C}}^{cx}, \mathbb{C}). \quad (6)$$

where $Y_{\mathbb{C}}^{cx}$ is the complex manifold associated to a variety.

3.2 Example: Calculation of $\zeta_{\mathbb{P}_{\mathbb{F}_q}^n}(t)$

We can write

$$\mathbb{P}_{\mathbb{F}_q}^n = \prod_{i=0}^n \mathbb{A}_{\mathbb{F}_q}^i = \prod_{i=0}^n \prod_{j=1}^i \mathbb{A}_{\mathbb{F}_q}^1 \quad (7)$$

and

$$\#\mathbb{A}_{\mathbb{F}_q}^1(\mathbb{F}_{q^m}) = \#\text{Mor}_{\mathbb{F}_q}(\mathbb{F}_q[x], \mathbb{F}_{q^m}) = q^m \quad (8)$$

so we get

$$\#\mathbb{P}_{\mathbb{F}_q}^n(\mathbb{F}_{q^m}) = \sum_{i=0}^n q^{im} \quad (9)$$

Then

$$\begin{aligned} \zeta_{\mathbb{P}_{\mathbb{F}_q}^n}(t) &= \exp\left(\sum_{m \in \mathbb{Z}_{\geq 1}} \sum_{i=0}^n q^{im} \frac{t^m}{m}\right) \\ &= \exp\left(\sum_{i=0}^n \sum_{m \in \mathbb{Z}_{\geq 1}} \frac{(q^i t)^m}{m}\right) \\ &= \exp\left(\sum_{i=0}^n \log\left(\frac{1}{1 - q^i t}\right)\right) \\ &= \prod_{i=0}^n \frac{1}{1 - q^i t} \end{aligned} \quad (10)$$

so $P_{2i}(t) = 1 - q^i t$, $P_{2i-1}(t) = 1$.

4 The cohomological interpretation of L -functions

4.1 Frobenius endomorphisms

(Reference: [7, XV §2]) Let (X, E) be a pair where X/\mathbb{F}_q is a scheme and $E \in \text{Sh}(X_{\text{ét}})$.

If we denote $\overline{X} := X \times_{\mathbb{F}_q} \overline{\mathbb{F}_p}$ and $\overline{E} := E \otimes_{\mathbb{F}_q} \overline{\mathbb{F}_p}$, then we want to define Frobenius endomorphisms in $\text{End}(H_c^i(\overline{X}, \overline{E}))$ and $\text{End}(i_{\overline{x}}^* \overline{E})$ for $\overline{x} \in \overline{X}^0$, to be able to state the definition of L -functions and its cohomological interpretation.

We start by giving an isomorphism $\text{Fr}_{E/X} : \text{fr}_X^* E \xrightarrow{\cong} E$ where $\text{fr}_X : X \rightarrow X$ is the absolute Frobenius.

We do this as follows: For U/X étale, we have the relative Frobenius $\text{Fr}_{U/X}$ defined by the following diagram:

$$\begin{array}{ccc}
 U & \xrightarrow{\text{fr}_U} & U \\
 \text{Fr}_{U/X} \searrow & & \downarrow \\
 \text{fr}_X^{-1} U := X \times_{\text{fr}_X, X} U & \xrightarrow{(\text{fr}_X)_U} & U \\
 \downarrow \text{pr}_X & & \downarrow \\
 X & \xrightarrow{\text{fr}_X} & X
 \end{array} \tag{11}$$

then we have:

- $\text{Fr}_{U/X}$ étale: as pr_X is the basechange of $U \rightarrow X$ étale, it is étale, thus $U \rightarrow X$ and pr_X being étale imply $\text{Fr}_{U/X}$ étale.
- $\text{Fr}_{U/X}$ universally bijective: as $(\text{fr}_X)_U$ is the base change of fr_X which is universally bijective, it also is universally bijective. Thus $(\text{fr}_X)_U$ and fr_U being universally bijective imply $\text{Fr}_{U/X}$ universally bijective.

So $\text{Fr}_{U/X}$ is étale and universally bijective so an isomorphism.

So this defines an isomorphism of sections

$$E(\text{Fr}_{U/X}) : (\text{fr}_{X,*} E)(U) = E(\text{fr}_X^{-1}(U)) \rightarrow E(U) \tag{12}$$

functorial in U , so an isomorphism $E(\text{Fr}_{\bullet/X}) : \text{fr}_{X,*} E \xrightarrow{\cong} E$. By adjunction we get an isomorphism

$$\text{Fr}_{E/X} : \text{fr}_X^* E \xrightarrow{\cong} E. \tag{13}$$

We can base change the maps fr_X and $\text{Fr}_{E/X}$ to $\overline{\mathbb{F}_p}$ to get maps

$$\begin{aligned}
 \overline{\text{fr}}_X &:= (\text{fr}_X \times_{\mathbb{F}_q} \text{id}_{\overline{\mathbb{F}_p}}) : \overline{X} \rightarrow \overline{X} \\
 \overline{\text{Fr}}_{E/X} &:= (\text{Fr}_{E/X} \otimes_{\mathbb{F}_q} \text{id}_{\overline{\mathbb{F}_p}}) : \overline{\text{fr}}_X^* \overline{E} \rightarrow \overline{E}
 \end{aligned} \tag{14}$$

We can now apply it as follows:

- On cohomology: we have a pullback map $H_c^i(\overline{X}, \overline{E}) \rightarrow H_c^i(\overline{X}, \overline{\text{fr}}_X^* \overline{E})$ which we can compose with $H_c^*(\overline{X}, \overline{\text{Fr}}_{E/X})$ to get

$$F : H_c^i(\overline{X}, \overline{E}) \rightarrow H_c^i(\overline{X}, \overline{\text{fr}}_X^* \overline{E}) \rightarrow H_c^i(\overline{X}, \overline{E}) \in \text{End}(H_c^i(\overline{X}, \overline{E})). \tag{15}$$

- On stalks: if we take stalks at $\overline{x} \in \overline{X}^0$ of $\overline{\text{Fr}}_{E/X}$, we get a map

$$i_{\overline{x}}^* \overline{\text{Fr}}_{E/X} : i_{\overline{\text{fr}_X(\overline{x})}}^* \overline{E} \rightarrow i_{\overline{x}}^* \overline{E}. \tag{16}$$

Now this is not yet an endomorphism of $i_{\bar{x}}^* \bar{E}$. as $\bar{\text{fr}}_X(\bar{x}) \neq \bar{x}$ in general. But if $x \in X^0$ is the image of $\bar{x} \in \bar{X}^0$, then if we take the $\text{deg}(x) := [\kappa(x) : \mathbb{F}_q]$ 'th power of this map, we get $\bar{\text{fr}}_X^{\text{deg}(x)}(\bar{x}) = \bar{x}$. So we define

$$F_x := (i_{\bar{x}}^* \bar{\text{Fr}}_{E/X})^{\text{deg}(x)} : i_{\bar{x}} \bar{E} \rightarrow i_{\bar{x}} \bar{E} \in \text{End}(i_{\bar{x}} \bar{E}). \quad (17)$$

4.2 Comparison with geometric Frobenius

Let $\sigma_q \in \text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_q)$ be the Frobenius map, recall the geometric and arithmetic Frobenius

$$\begin{aligned} 1 \times \sigma_q &:= \text{id}_X \times_{\mathbb{F}_q} \text{Spec}(\sigma_q) = \text{id}_X \times_{\mathbb{F}_q} \text{fr}_{\bar{\mathbb{F}}_p} : \bar{X} \rightarrow \bar{X} \quad (\text{arithmetic}) \\ 1 \times \sigma_q^{-1} &:= \text{id}_X \times_{\mathbb{F}_q} \text{Spec}(\sigma_q^{-1}) = \text{id}_X \times_{\mathbb{F}_q} \text{fr}_{\bar{\mathbb{F}}_p}^{-1} : \bar{X} \rightarrow \bar{X} \quad (\text{geometric}). \end{aligned} \quad (18)$$

If we compose $\bar{\text{fr}}_X$ and $\bar{\text{Fr}}_{E/X}$ with the arithmetic frobenius, we get the absolute Frobenius of \bar{X} :

$$\begin{aligned} (\text{fr}_X \times_{\mathbb{F}_q} \text{id}_{\bar{\mathbb{F}}_p}) \circ (\text{id}_X \times_{\mathbb{F}_q} \text{fr}_{\bar{\mathbb{F}}_p}) &= \text{fr}_{\bar{X}} \\ (\text{Fr}_{E/X} \otimes_{\mathbb{F}_q} \text{id}_{\bar{\mathbb{F}}_p}) \circ (\text{id}_E \otimes_{\mathbb{F}_q} \text{Fr}_{\bar{\mathbb{F}}_p/\mathbb{F}_q}) &= \text{Fr}_{\bar{E}/\bar{X}}. \end{aligned} \quad (19)$$

Then we claim that the morphism of sections induced by the absolute Frobenius of \bar{E} is the identity. This follows by functoriality, let U/\bar{X} étale. Then we have

$$\varphi_{\bar{E},U} : H^0(U, \bar{E}|_U) \rightarrow H^0(U, \text{fr}_X^* \bar{E}|_U) \rightarrow H^0(U, \bar{E}|_U) \quad (20)$$

is a morphism functorial in \bar{E} , so if take any section $s \in \bar{E}(U)$, which we consider as a map $s : h_U \rightarrow \bar{E}$, we get the commutative diagram

$$\begin{array}{ccc} H^0(U, h_U) & \xrightarrow{\varphi_{h_U,U}} & H^0(U, h_U) \\ \downarrow H^0(U,s) & & \downarrow H^0(U,s) \\ H^0(U, \bar{E}|_U) & \xrightarrow{\varphi_{\bar{E},U}} & H^0(U, \bar{E}|_U) \end{array} \quad (21)$$

Then because $H^0(U, h_U) = \{*\}$, we get that $\varphi_{h_U,U} = \text{id}_{\{*\}}$ and so $\varphi_{\bar{E},U} \circ H^0(U, s) = H^0(U, s)$ so $\varphi_{\bar{E},U}(s) = s$. Thus we conclude $\varphi_{\bar{E},U} = \text{id}_{H^0(U, \bar{E}|_U)}$.

- As U/\bar{X} was chosen arbitrarily, this implies that the absolute Frobenius acts as the identity on stalks as well. So we can identify F_x with the geometric Frobenius $1 \times \sigma_q^{-1}$ as elements of $\text{End}(\bar{E}_{\bar{x}})$.
- Furthermore by properties of derived functors it can be shown that the absolute Frobenius acts as the identity on $H^i(\bar{X}, \bar{E})$ for $i \geq 1$ as well, so we can identify F with the geometric frobenius $1 \times \sigma_q^{-1}$ as elements of $\text{End}(H_c^i(\bar{X}, \bar{E}))$.

So under this identification, in particular when $E = \mathbb{Q}_\ell(a)$ for some $a \in \mathbb{Z}$, we have that F_x acts on $\mathbb{Q}_\ell(a)_{\bar{x}} = \mathbb{Q}_\ell(a)$ by $\sigma_q^{-a \text{deg}(x)}$ with $\sigma \in \text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_q)$, so by multiplication by $q^{-a \text{deg}(x)}$. In particular when $a = 0$, F_x acts as the identity on $(\mathbb{Q}_\ell)_{\bar{x}}$.

4.3 L -functions

Definition 1 ([1, p.80 Def 1.6]). For X/\mathbb{F}_q a scheme of finite type and E a \mathbb{Q}_ℓ -sheaf, the L -function is defined as

$$L(X, E) := \prod_{x \in X^0} \frac{1}{\det(1 - F_x^{\deg(x)} t^{\deg(x)}, \overline{E}_x)}$$

where $X^0 := \{\text{closed points of } X\}$
 $\deg(x) := [\kappa(x) : \mathbb{F}_q]$.

(22)

The cohomological interpretation of L -functions is then:

Theorem 1 ([1, p.86 Thm 3.1]). Let X/\mathbb{F}_q be a separated scheme of finite type, E a constructible \mathbb{Q}_ℓ -sheaf, then

$$L(X, E) = \prod_{i=0}^{2 \dim X} \det(1 - Ft, H_c^i(\overline{X}, \overline{E}))^{(-1)^{i+1}}$$
(23)

This identity is obtained from the following trace formula

Theorem 2 ([1, p.86 Thm 3.2]). Let X/\mathbb{F}_q a separated scheme of finite type and E a constructible \mathbb{Q}_ℓ -sheaf on X . Then for $n \in \mathbb{Z}_{\geq 1}$:

$$\sum_{x \in X^{\overline{\mathbb{F}}_q^n}} \text{tr}(F_x^n, \overline{E}_x) = \sum_{i=0}^{2 \dim X} (-1)^i \text{tr}(F^n, H_c^i(\overline{X}, \overline{E}))$$
(24)

Proof of Thm 2 \Rightarrow Thm 1. [1, p.86,87] Apply Lemma 1 with $K = \mathbb{Q}_\ell$. So we get

$$\begin{aligned} t \frac{d}{dt} \log L(X, E) &= \sum_{x \in X^0} \sum_{n \in \mathbb{Z}_{\geq 1}} \deg(x) \text{tr}(F_x^{n \deg(x)} t^{n \deg(x)}) \\ &= \sum_{n \in \mathbb{Z}_{\geq 1}} \sum_{x \in X^0} \deg(x) \text{tr}(F_x^{n \deg(x)} t^{n \deg(x)}) \\ &= \sum_{m \in \mathbb{Z}_{\geq 1}} \sum_{\substack{x \in X^0 \\ \deg(x) | m}} \deg(x) \text{tr}(F_x^m t^m) \end{aligned}$$
(25)

Then we use the following combinatorial identity:

$$\sum_{\substack{x \in X^0 \\ \deg(x) | m}} \deg(x) \text{tr}(F_x^m) t^m = \sum_{x \in X(\mathbb{F}_{q^m})} \text{tr}(F_x^m) t^m$$
(26)

which follows because if we consider $x \in X^0$, then there are $\text{Gal}(\kappa(x)/\mathbb{F}_q)$ -many $\mathbb{F}_{q^m} \supset \kappa(x) \supset \mathbb{F}_q$ inclusions and we must have $\deg(x) \mid m$ for the inclusion $\mathbb{F}_{q^m} \supset \kappa(x)$ to hold. So we get

$$\begin{aligned} &= \sum_{m \in \mathbb{Z}_{\geq 1}} \sum_{x \in X(\mathbb{F}_{q^m})} \text{tr}(F_x^m) t^m \\ &= \sum_{m \in \mathbb{Z}_{\geq 1}} \sum_{x \in X^{\overline{\mathbb{F}}_q^m}} \text{tr}(F_x^m) t^m \end{aligned}$$
(27)

and

$$\begin{aligned}
& t \frac{d}{dt} \log \prod_{i=0}^{2 \dim X} \det(1 - Ft, H_c^i(\overline{X}, \overline{E}))^{(-1)^{i+1}} \\
&= \sum_{i=0}^{2 \dim X} (-1)^i \sum_{m \in \mathbb{Z}_{\geq 1}} \operatorname{tr}(F^m, H_c^i(\overline{X}, \overline{E})) t^m \\
&= \sum_{m \in \mathbb{Z}_{\geq 1}} \sum_{i=0}^{2 \dim X} (-1)^i \operatorname{tr}(F^m, H_c^i(\overline{X}, \overline{E})) t^m.
\end{aligned} \tag{28}$$

Then we have equality on the coefficients of t^m by the Lefschetz trace formula. \square

5 Proof of rationality

We start with the following combinatorial identity:

$$\begin{aligned} \sum_{m \in \mathbb{Z}_{\geq 1}} \#X(\mathbb{F}_{q^m})t^m &= \sum_{x \in X^0} \sum_{k \in \mathbb{Z}_{\geq 1}} |\mathrm{Gal}(\kappa(x)/\mathbb{F}_q)| t^{k \deg(x)} \\ &= \sum_{x \in X^0} \sum_{k \in \mathbb{Z}_{\geq 1}} \deg(x) t^{k \deg(x)}. \end{aligned} \quad (29)$$

We see this as follows: if $x \in X^0$ then the elements of $X(\mathbb{F}_{q^m})$ which have underlying set-point x are parametrised by maps

$$\begin{array}{ccc} \mathbb{F}_{q^m} & \longleftarrow & \kappa(x) & \longleftarrow & \mathbb{F}_q \\ & \swarrow & \downarrow \sigma & \searrow & \\ & & \kappa(x) & & \end{array} \quad (30)$$

which implies in particular that $\deg(x) \mid m$, so $m = k \deg(x)$ and that any two \mathbb{F}_q -linear maps $\mathbb{F}_{q^m} \leftarrow \kappa(x)$ differ by an automorphism $\sigma \in \mathrm{Gal}(\kappa(x)/\mathbb{F}_q)$.

So we get

$$\begin{aligned} t \frac{d}{dt} \log \zeta_X(t) &= \sum_{m \in \mathbb{Z}_{\geq 1}} \nu_m(X) t^m \\ t \frac{d}{dt} \prod_{x \in X^0} \frac{1}{1 - t^{\deg(x)}} &= \sum_{x \in X^0} \sum_{k \in \mathbb{Z}_{\geq 1}} \deg(x) t^{\deg(x)k} \end{aligned} \quad (31)$$

which implies

$$\zeta_X(t) = \prod_{x \in X^0} \frac{1}{1 - t^{\deg(x)}}. \quad (32)$$

We apply Theorem 1 with $E := \mathbb{Q}_\ell$. We have by definition that the $\mathrm{Gal}(\overline{\mathbb{F}_p}/\mathbb{F}_q)$ -action on $\mathbb{Q}_\ell = \mathbb{Q}_\ell(0)$ is trivial, so $F_x = \mathrm{id}_{\mathbb{Q}_\ell}$ on $(\mathbb{Q}_\ell)_{\overline{x}} = \mathbb{Q}_\ell$. We then obtain

$$\begin{aligned} \zeta_X(t) &= \exp \left(\sum_{m \in \mathbb{Z}_{\geq 0}} \frac{\nu_m(X)}{m} t^m \right) \\ &\stackrel{(32)}{=} \prod_{x \in X^0} \frac{1}{1 - t^{\deg(x)}} \\ &\stackrel{F_x = \mathrm{id}_{\mathbb{Q}_\ell}}{=} \prod_{x \in X^0} \frac{1}{\det(1 - F_x t^{\deg(x)}, \mathbb{Q}_\ell)} \\ &\stackrel{\mathrm{Thm} 1}{=} \prod_{i=0}^{2 \dim X} \det(1 - Ft, H_c^i(\overline{X}, \mathbb{Q}_\ell))^{(-1)^{i+1}} \\ &= \prod_{i=0}^{2 \dim X} \det(1 - Ft, H^i(\overline{X}, \mathbb{Q}_\ell))^{(-1)^{i+1}}. \end{aligned} \quad (33)$$

Then if we take $K = \mathbb{Q}_\ell$ and

$$P_i(t) := \det(1 - Ft, H^i(\overline{X}, \mathbb{Q}_\ell)) \in \mathbb{Q}_\ell[t] \quad (34)$$

we have shown rationality. Also by triviality of action of F on \mathbb{Q}_ℓ , we have that F is the identity on $H^0(\overline{X}, \mathbb{Q}_\ell)$ so $P_0(t) = 1 - t$.

6 Proof of Betti numbers

We have

$$\deg P_i = \deg \det(1 - Ft, H^i(\overline{X}, \mathbb{Q}_\ell)) = \dim_{\mathbb{Q}_\ell} H^i(\overline{X}, \mathbb{Q}_\ell). \quad (35)$$

Let $Y/\mathbb{Z}_{(p)}$ be the smooth projective good reduction mod p variety such that $Y \times_{\mathbb{Z}_{(p)}} \mathbb{F}_q = X$. Let $\pi : Y \rightarrow \text{Spec}(\mathbb{Z}_{(p)})$ be the structure map. Let

$$\begin{aligned} x_0 &:= (p) \in \text{Spec}(\mathbb{Z}_{(p)}), \\ x_1 &:= (0) \in \text{Spec}(\mathbb{Z}_{(p)}), \\ \overline{x_0} &:= \text{Spec}(\overline{\mathbb{F}}_p) \\ \overline{x_1} &:= \text{Spec}(\mathbb{C}), \\ x_0 &\in \overline{\{x_1\}} \text{ so } x_1 \rightsquigarrow x_0. \end{aligned} \quad (36)$$

By an inclusion of neighbourhood systems, we obtain a specialisation map

$$(R^i \pi_* \mathbb{Q}_\ell)_{\overline{x_0}} \rightarrow (R^i \pi_* \mathbb{Q}_\ell)_{\overline{x_1}} \quad (37)$$

then by a Leray spectral sequence argument, using the smooth base change theorem we get that this map is an isomorphism. So combined with the proper base change theorem we get

$$\begin{aligned} H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell) &= H^i(Y_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell) \\ &\stackrel{\text{proper}}{\cong} (R^i \pi_* \mathbb{Q}_\ell)_{\overline{x_0}} \\ &\stackrel{\text{smooth+proper}}{\cong} (R^i \pi_* \mathbb{Q}_\ell)_{\overline{x_1}} \\ &\stackrel{\text{proper}}{\cong} H^i(Y_{\mathbb{C}}, \mathbb{Q}_\ell). \end{aligned} \quad (38)$$

Then by the comparison theorem of cohomology:

Theorem 3 ([3, p.132 Thm 21.5]). *Let Y be a connected nonsingular variety over C . For any locally constant sheaf \mathcal{F} on X_{et} with finite stalks, $H^r(X_{et}, \mathcal{F}) \cong H^r(Y_{cx}, \mathcal{F}^{cx})$ for all $r \in \mathbb{Z}_{\geq 0}$*

applies to Y with $\mathcal{F} = \mathbb{Q}_\ell$ because Y is smooth and connected. So we have

$$H^i(Y_{\mathbb{C}}, \mathbb{Q}_\ell) \otimes_{\mathbb{Q}_\ell} \mathbb{C} \cong H^i(Y_{\mathbb{C}}, \mathbb{C}) \cong H_{sing}^i(Y(\mathbb{C})^{cx}, \mathbb{C}). \quad (39)$$

So we get

$$\begin{aligned} \deg P_i &= \dim_{\mathbb{Q}_\ell} H^i(\overline{X}, \mathbb{Q}_\ell) \\ &= \dim_{\mathbb{Q}_\ell} H^i(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell) \\ &= \dim_{\mathbb{Q}_\ell} H^i(Y_{\overline{\mathbb{F}}_p}, \mathbb{Q}_\ell) \\ &= \dim_{\mathbb{Q}_\ell} H^i(Y_{\mathbb{C}}, \mathbb{Q}_\ell) \\ &= \dim_{\mathbb{C}} H_{sing}^i(Y(\mathbb{C})^{cx}, \mathbb{C}). \end{aligned} \quad (40)$$

7 Proof of functional equation

Recall the Poincaré duality theorem:

Theorem 4 ([5, p. 4 Thm 2.6]). *Let X/K smooth proper and equidimensional of dimension d . There is a $\text{Gal}(K^{\text{sep}}/K)$ -equivariant homomorphism*

$$\text{tr} : H^{2d}(\overline{X}, \mathbb{Q}_\ell) \rightarrow \mathbb{Q}_\ell(-d) \quad (41)$$

so that the cup product pairing

$$H^i(\overline{X}, \mathbb{Q}_\ell) \times H^{2d-i}(\overline{X}, \mathbb{Q}_\ell) \xrightarrow{\cup} H^{2d}(\overline{X}, \mathbb{Q}_\ell) \xrightarrow{\text{tr}} \mathbb{Q}_\ell(-d) \quad (42)$$

is perfect.

We apply this theorem with $K = \mathbb{F}_q$ and X our smooth projective variety as before. Denote by $\langle a, b \rangle := \text{tr}(a \cup b)$ the pairing. As F is the geometric frobenius $1 \times \sigma_q^{-1}$, it acts as multiplication by q^{-1} on $\mathbb{Q}_\ell(1)$, so it acts by multiplication by q^d on $\mathbb{Q}_\ell(-d)$. So we have by $\text{Gal}(K^{\text{sep}}/K)$ -equivariance of the pairing that

$$\langle Fa, Fb \rangle = F \langle a, b \rangle = q^d \langle a, b \rangle \quad (43)$$

Side remark: We noted in Rationality that F is the identity on $H^0(\overline{X}, \mathbb{Q}_\ell)$. Now by this perfect pairing we have that F is q^d on $H^{2d}(\overline{X}, \mathbb{Q}_\ell)$, so we conclude that $P_{2d} = 1 - q^d t$.

Let $\beta_i := \dim_{\mathbb{Q}_\ell} H^i = \dim_{\mathbb{Q}_\ell} H^{2d-i}$.

- We can choose a basis e_1, \dots, e_{β_i} of $H^i \otimes_{\mathbb{Q}_\ell} \overline{\mathbb{Q}_\ell}$ such that F is upper triangular:

$$F(e_i) = \sum_{j=1}^{\beta_i} a_{i,j} e_j \quad a_{i,j} = 0 \text{ for } i > j. \quad (44)$$

- By perfectness of the pairing, we can choose a basis f_1, \dots, f_{β_i} of $H^{2d-i} \otimes_{\mathbb{Q}_\ell} \overline{\mathbb{Q}_\ell}$ such that $\langle e_i, f_j \rangle = \delta_{i,j}$.
- If we write F^{-1} on H^{2d-i} as a matrix with respect to the basis f_1, \dots, f_{β_i}

$$F^{-1}(f_i) = \sum_{j=1}^{\beta_i} b_{i,j} f_j \quad (45)$$

then we have

$$\begin{aligned} b_{i,j} &= \langle e_j, \sum_{k=1}^{\beta_i} b_{i,k} f_k \rangle \\ &= \langle e_j, F^{-1}(f_i) \rangle \\ &= F^{-1} \langle F e_j, f_i \rangle \\ &= q^{-d} \langle \sum_{k=1}^{\beta_i} a_{j,k} e_k, f_i \rangle \\ &= q^{-d} a_{j,i} = 0 \text{ if } j > i \end{aligned} \quad (46)$$

so F^{-1} has lower-triangular matrix with respect to the basis f_i .

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$$\begin{aligned}
\det(F; H^i) &= \prod_{i=1}^{\beta_i} a_{i,i} \\
&= \prod_{i=1}^{\beta_i} \langle F(e_i), f_i \rangle \\
&= \prod_{i=1}^{\beta_i} F \langle e_i, F^{-1}(f_i) \rangle \\
&= \prod_{i=1}^{\beta_i} q^d b_{i,i} \\
&= q^{d\beta_i} \det(F^{-1}; H^{2d-i})
\end{aligned} \tag{47}$$

so

$$\det(F; H^i) \det(F; H^{2d-i}) = q^{d\beta_i}. \tag{48}$$

•

$$\begin{aligned}
\det\left(1 - \frac{F}{q^d t}; H^i\right) &= \left(\frac{-1}{q^d t}\right)^{\beta_i} \det(F; H^i) \det(1 - F^{-1} q^d t; H^i) \\
&= \left(\frac{-1}{q^d t}\right)^{\beta_i} \det(F; H^i) \prod_{i=1}^{\beta_i} (1 - a_{i,i}^{-1} q^d t) \\
&= \left(\frac{-1}{q^d t}\right)^{\beta_i} \det(F; H^i) \prod_{i=1}^{\beta_i} (1 - b_{i,i}^{-1} t) \\
&= \left(\frac{-1}{q^d t}\right)^{\beta_i} \det(F; H^i) \det(1 - Ft; H^{2d-i})
\end{aligned} \tag{49}$$

Then using the cohomological interpretation of L -functions we get

$$\begin{aligned}
\zeta_X\left(\frac{1}{q^d t}\right) &= \prod_{i=0}^{2d} \det\left(1 - \frac{F}{q^d t}, H^i\right)^{(-1)^{i+1}} \\
&= \left(\prod_{i=0}^{2d} (-q^d t)^{(-1)^{i+2}\beta_i} \det(F; H^i)^{(-1)^{i+1}}\right) \cdot \prod_{i=0}^{2d} \det(1 - Ft; H^{2d-i})^{(-1)^{i+1}} \\
&= \left(\prod_{i=0}^{2d} (-q^d t)^{(-1)^{i+2}\beta_i} \det(F; H^i)^{(-1)^{i+1}}\right) \zeta_X(t).
\end{aligned} \tag{50}$$

Where the factor is

$$\begin{aligned}
&(-q^d t)^\chi \cdot \prod_{i=0}^{d-1} (\det(F; H^i) \det(F; H^{2d-i}))^{(-1)^{i+1}} \cdot \det(F; H^d)^{(-1)^{d+1}} \\
&= (-q^d t)^\chi \cdot \pm q^{-\frac{d\chi}{2}} \\
&= \pm q^{\frac{d\chi}{2}} t^\chi.
\end{aligned} \tag{51}$$

Here $\chi = \sum_{i=0}^{2d} (-1)^i \beta_i$, so the proof is complete.

8 Linear algebra lemma's

Lemma 1 ([2, p.186 Lem 2.7]). *Let $\alpha \in \text{End}_K(V)$ for V a finite dimensional K -vector space. Then*

$$t \frac{d}{dt} \log \det(1 - \alpha t)^{-1} = \sum_{n \in \mathbb{Z}_{\geq 1}} \text{tr}(\alpha^n) t^n. \quad (52)$$

Proof. Let \bar{K} an algebraic closure, and set $\bar{V} := V \otimes_K \bar{K}$. Any identity we find on \bar{V} will be valid in V , by faithfulness of the tensor functor $- \otimes_K \bar{K}$. So we may assume $K = \bar{K}$. As K is algebraically closed, we can find a Jordan normal basis of α , so in terms of this basis we can write α as an upper-triangular matrix $[\alpha]$ with diagonal entries $\alpha_1, \dots, \alpha_n$ with $n := \dim_K V$.

Then $\det(1 - \alpha t) = \prod_{i=1}^n (1 - \alpha_i t)$ and $\text{tr}(\alpha^k) = \sum_{i=1}^n \alpha_i^k$ so we have

$$\begin{aligned} t \frac{d}{dt} \log \det(1 - \alpha t)^{-1} &= t \frac{d}{dt} \left(- \sum_{i=1}^n \log(1 - \alpha_i t) \right) \\ &= \sum_{i=1}^n \frac{\alpha_i t}{1 - \alpha_i t} \\ &= \sum_{i=1}^n \sum_{k \in \mathbb{Z}_{\geq 1}} (\alpha_i t)^k \\ &= \sum_{k \in \mathbb{Z}_{\geq 1}} \text{tr}(\alpha^k) t^k. \end{aligned} \quad (53)$$

□

Lemma 2 ([4, p.33 Lem 4.15], [6, p.456 Lem 4.3]). *Let $\langle \cdot, \cdot \rangle : V \times W \rightarrow K$ be a perfect pairing of vector spaces V, W of dimension r over K . Let $\lambda \in K, \varphi \in \text{End}_K(V), \psi \in \text{End}_K(W)$ such that*

$$\langle \varphi(v), \psi(w) \rangle = \lambda \langle v, w \rangle \quad \forall v \in V, w \in W. \quad (54)$$

Then

$$\begin{aligned} \det(1 - \psi t; W) &= \frac{(-1)^r \lambda^r t^r}{\det(\varphi; V)} \det\left(1 - \frac{\varphi}{\lambda t}; V\right), \\ \det(\psi; W) &= \frac{\lambda^r}{\det(\varphi; V)}. \end{aligned} \quad (55)$$

Proof. Let \bar{K} an algebraic closure, and set $\bar{V} := V \otimes_K \bar{K}$. Any identity we find on \bar{V} will be valid in V , by faithfulness of the tensor functor $- \otimes_K \bar{K}$. So we may assume $K = \bar{K}$.

- Choose a basis v_1, \dots, v_r of V such that φ is upper-triangular with respect to this basis, so $\varphi(v_i) = \sum_{j=1}^r a_{i,j} e_j$ with $a_{i,j} = 0$ for $i > j$.
- By the pairing being perfect, we can choose a basis w_1, \dots, w_r of W such that $\langle v_i, w_j \rangle = \delta_{i,j}$.
- We have that ψ is injective, thus an isomorphism: if $\psi(w) = 0$, then for all $v \in V$:

$$0 = \langle \varphi(v), \psi(w) \rangle = \lambda \langle v, w \rangle \quad (56)$$

so by perfectness of the pairing we have $w = 0$.

- Then w_i is a basis for which ψ^{-1} is lower-triangular: if we write $\psi^{-1}(w_j) = \sum_{i=1}^r b_{j,i} w_i$, for $i > j$:

$$b_{j,i} = \langle v_i, \psi^{-1}(w_j) \rangle = \langle \varphi(v_i), w_j \rangle = a_{i,j} = 0. \quad (57)$$

- Thus we have

$$\begin{aligned}
\det(\varphi; V) &= \prod_{i=1}^r a_{i,i} \\
&= \prod_{i=1}^r \langle \varphi(v_i), w_i \rangle \\
&= \prod_{i=1}^r \lambda \langle v_i, \psi^{-1}(w_i) \rangle \\
&= \lambda^r \det(\psi^{-1}; W) \\
&= \frac{\lambda^r}{\det(\psi; W)}
\end{aligned} \tag{58}$$

and

$$\begin{aligned}
\det(1 - \psi t; W) &= \det(\psi; W) \det(\psi^{-1} - t; W) \\
&= \frac{\lambda^r}{\det(\varphi; V)} \cdot \prod_{i=1}^r (b_{i,i} - t) \\
&= \frac{\lambda^r}{\det(\varphi; V)} \cdot \prod_{i=1}^r \left(\frac{a_{i,i}}{\lambda} - t \right) \\
&= \frac{(-1)^r \lambda^r t^r}{\det(\varphi; V)} \cdot \prod_{i=1}^r \left(1 - \frac{a_{i,i}}{\lambda t} \right) \\
&= \frac{(-1)^r \lambda^r t^r}{\det(\varphi; V)} \det\left(1 - \frac{\varphi}{\lambda t}; V\right).
\end{aligned} \tag{59}$$

□

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