

## Exercise Sheet 7

For the following exercise, you may use the well-known fact from set theory that the set of real numbers can be constructed as the set of all Dedekind cuts on  $\mathbb{Q}$ .

### Problem 7.1 (4 points)

Let  $k$  be an Archimedean-ordered field. Then there exists a unique, order-preserving homomorphism  $k \rightarrow \mathbb{R}$ .

### Problem 7.1 (2 points)

Every non-Archimedean-ordered field has a free Dedekind cut.

#### Definition 7.1

Let  $k[[^P X]]$  be the ring of formal series of the form

$$\sum_{l \in \mathbb{Q}, l \geq 0} f_l X^l$$

such that there is a natural number  $n \in \mathbb{N}$  with  $f_l = 0$  whenever  $n \cdot l \notin \mathbb{N}$ . We call this ring the ring of **Puiseux series**.

Similarly, let  $k[[^P X]][X^{-1}]$  be the ring of formal series of the form

$$\sum_{l \in \mathbb{Q}} f_l X^l$$

such that there are natural numbers  $m, n \in \mathbb{N}$  with  $f_l = 0$  whenever  $n \cdot l \notin \mathbb{N}$  or  $l \leq m$ .

The aim of the rest of this sheet is to prove the following theorem.

#### Theorem 7.2

Let  $K$  be an algebraically closed field of characteristic 0, then  $K[[^P X]][X^{-1}]$  is algebraically closed.

#### Definition 7.3

We can define a valuation on  $k[[^P X]][X^{-1}]$ . Namely, let  $f \in k[[^P X]][X^{-1}]$ , then

$$v(f) = \min\{l \mid f_l \neq 0\}.$$

Having this we can define the Newton polygons.

#### Definition 7.4

The **Newton-Polygon** of  $P \in k[[^P X]][X^{-1}][T] \setminus \{0\}$  with  $P(0) \in k[[^P X]][X^{-1}] \setminus \{0\}$  and  $\deg_T(P) := d$  is the (unique) function  $n_P : [0, d] \rightarrow \mathbb{R}$  with the following properties

1. There are integers  $0 = i_0 < \dots < i_k = d$  s.t.  $n_P(t) = n_P(i_j) + s_{j+1} \cdot (t - i_j)$  for  $t \in [i_j, i_{j+1}]$  with rational numbers  $s_0 < \dots < s_k$ .
2.  $v(P_i) \geq n_P(i)$  for  $i \in \mathbb{N} \cap [0, d]$  with equality whenever  $i \in \{i_0, \dots, i_k\}$ .

Here  $P_i$  is the  $i$ -th coefficient of  $P$ . The numbers  $s_i$  are called the slope and  $w_j = i_j - i_{j-1}$  the width of the  $j$ -th segment.  $[i_0, i_1]$  is called the initial segment.

### Problem 7.3 (2 points)

Show that for all  $P \in k[[^P X]][X^{-1}][T] \setminus \{0\}$  with  $P(0) \in k[[^P X]][X^{-1}] \setminus \{0\}$  the Newton-Polygon exists and is unique.

### Problem 7.4 (3 points)

Assume  $0 < w := i_1 < i_2 < \dots < i_k = l$  and  $\sigma < s_2 < s_3 < \dots < s_k$ .

1.  $v(p_j) \geq v(p_{i_{k-1}}) + s_k(j - i_{k-1})$  for  $2 \leq j \leq k$  and  $i_{l-1} \leq l \leq i_j$ .
2.  $v(p_l) \geq v(p_w) - \sigma(l - w)$  for  $0 \leq l \leq w$ .

Then

1. The width of the initial segment of  $n_p$  is  $\leq w$ , its slope is  $\leq \sigma$ .
2. On  $[i_{k-1}, i_k]$ ,  $n_p$  is given by the RHS in 1. and  $n_p(d) = r(p_d)$ .

### Problem 7.5 (3 points)

Let  $P \in k[[X]][X^{-1}, T]$  of degree  $d > 0$  such that the slope  $\sigma$  of the initial segment of  $n_p$  is an integer. Furthermore, write  $P(T, P) = \sum_{i=0}^d \sum_{j=r(p_i)}^{\infty} p_{i,j} T^i X^j$ . Define

$$\widehat{P}(T) = \sum_{i=0}^w p_{i, r(p_i) + \sigma i} T^i \in k[T].$$

Let  $l/k$  be a field extension and let  $\lambda \in l$  be a root of  $\widehat{P}$  of multiplicity  $v$ . Let  $Q(T, X) = P(T + \lambda T^{-s}, X) \in l[[X]][X^{-1}, T]$ . Then the width of the initial segment of the Newton polygon of  $Q$  is  $\leq v$  and its slope is  $< d$ .

### Problem 7.6 (3 points)

Let  $w \in \mathbb{N}$  such that for any field  $k$  of characteristic 0, any  $Q \in k[[^P X]][X^{-1}, T]$  for which the width of the initial slope of the  $n_Q$  is  $< w$  has a zero in  $l[[^P X]][X^{-1}, T]$  for a finite extension  $l/k$ . Let  $k$  have characteristic 0 and  $P \in k[[X]][X^{-1}, T]$  such that the initial segment of  $n_P$  has slope  $s \in \mathbb{Z}$  and width  $w$ . Assume that there is no finite field extension  $l$  of  $k$  such that  $P$  has a zero in  $l[[^P X]][X^{-1}]$ .

1. All  $(v(p_i))_{i=0}^w$  are on segment:  $v(p_i) = v(p_0) + si = n_P(i)$ .
2. There is  $\lambda \in k$  such that the width of the initial segment of  $n_Q$ , where  $Q(T, X) = P(T + \lambda X^{-s}, X)$ , is equal to  $w$ , the slope of that segment is an integer  $< s$ , and  $n_Q = n_P$  on  $[w, d]$ ,  $d = \deg_T P = \deg_T Q$ .

**Problem 7.7 (3 points)**

Let  $k$  be a field of characteristic 0 and  $P \in k[[^pX]][X^{-1}, T]$  be a polynomial of  $T$ -degree  $d > 0$ . Then there is a field extension  $l$  of  $k$  such that  $P$  has a zero in  $l[[^pX]][X^{-1}]$ .

**Problem 7.8 (1 point)**

Prove Theorem 7.2.

Solutions should be submitted in the exercise session on Wednesday, November 29. One of the 21 possible points from this sheet is a bonus point, which does not count in the calculation of the  $\geq 50\%$  lower bound of points needed to pass the exercises.