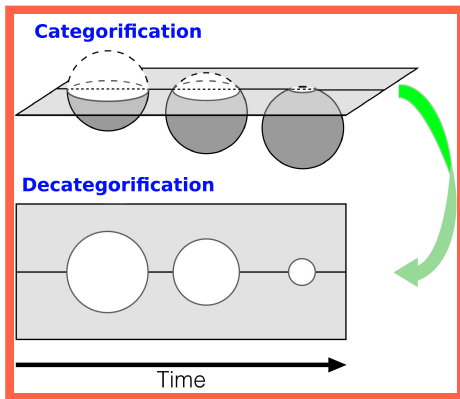


Categorical representation theory and applications

Or: Functors, not matrices

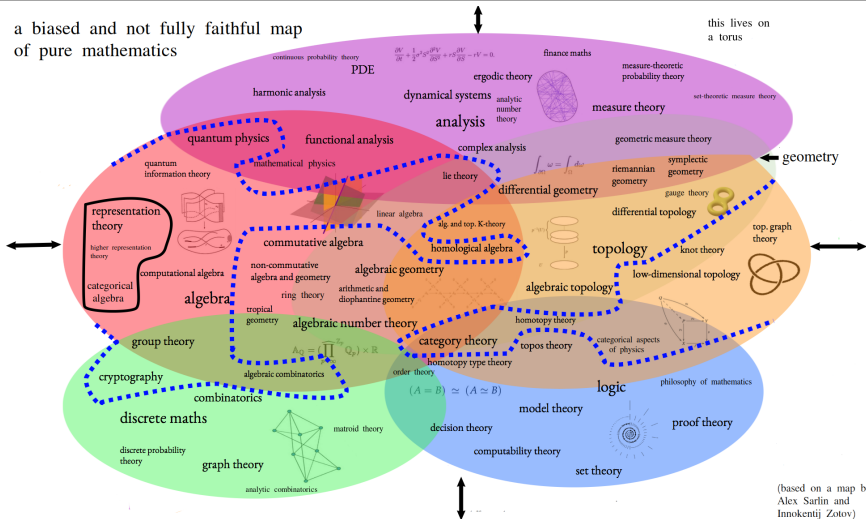
Daniel Tubbenhauer



Where are we?

a biased and not fully faithful map
of pure mathematics

this lives on
a torus



(based on a map by
Alex Sarlin and
Innokentij Zotov)

The six main fields of pure mathematics **algebra**, **analysis**, **geometry**,
topology, **logic**, **discrete mathematics**

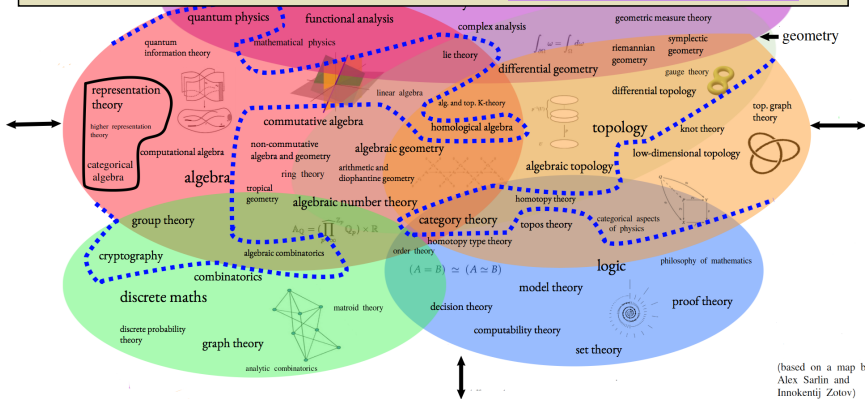
Wh

The map of (pure) mathematics

Black blob. Representation theory and its categorical analog My research area

Dashed blob. Where I usually apply them My research reach

Applications beyond my current research? The future (within TUM?)



(based on a map by Alex Sarlin and Innokentij Zotov)

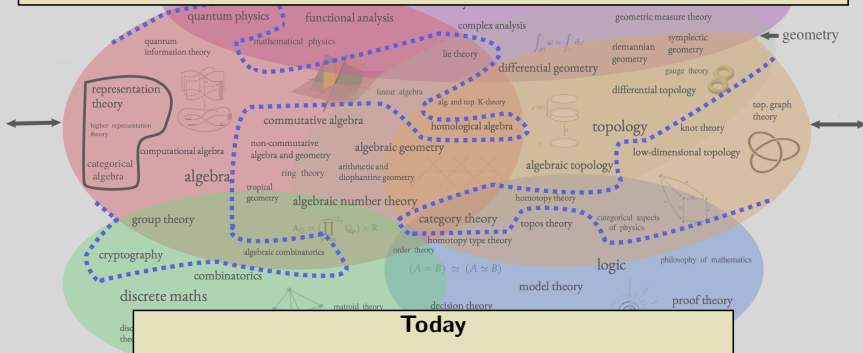
The six main fields of pure mathematics algebra, analysis, geometry, topology, logic, discrete mathematics

The map of (pure) mathematics

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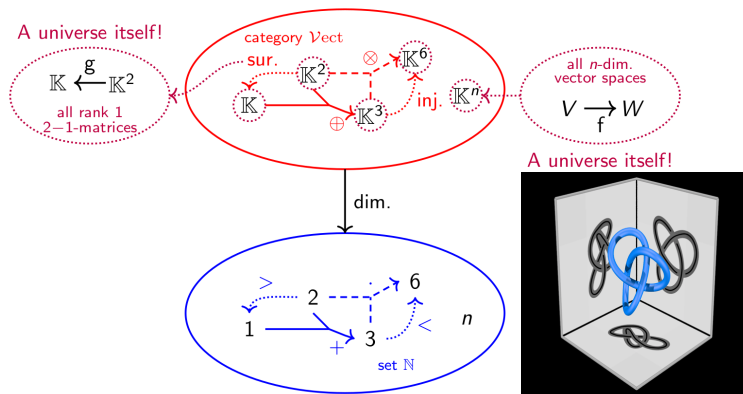
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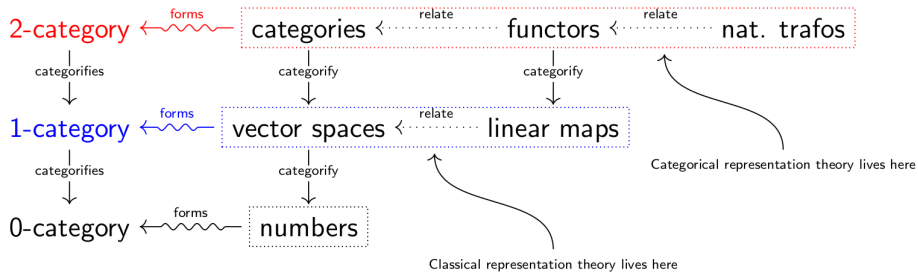
The six main
topology, lo

Categorical representation theory = representation theory + categories



- Categorification = replace set-theoretical structures by category-theoretical ones
- Categorification reveals hidden structures “Shadow vs. real object”
- **Goal** Combine categorification and representation theory

Categorical representation theory = representation theory + categories



Rep of a group: group elements \mapsto matrices

Cat rep of a group: group elements \mapsto functors, relations \mapsto nat trafos

- ▶ Representation theory = study of actions of groups/algebras/Lie algebras/etc.
- ▶ Cat representation theory = study of actions of (2-)categories

2-category

categorifies

1-category

categorifies

0-category

There are zillions of choices involved
and there are many way to categorify representation theory
There is no unique way of doing this!

categorify

categorify

vector spaces

relate

linear maps

categorify

numbers

forms

forms

Categorical representation theory lives here

Classical representation theory lives here

Rep of a group: group elements \mapsto matricesCat rep of a group: group elements \mapsto functors, relations \mapsto nat trafo

- ▶ Representation theory = study of actions of groups/algebras/Lie algebras/etc.
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2-category ←

There are zillions of choices involved and there are many way to categorify representation theory
There is no unique way of doing this!

trafos

categorifie

Some examples of categorical representation theory

1-catego

categorifie

Jones, Ocneanu, Popa, others ~1990

Categorical **group** rep theory on **semisimple** categories

teory lives here

0-catego

Etingof, Ostrik, others ~2005

Categorical **algebra** rep theory on **abelian** categories

Chuang, Rouquier, Khovanov, Lauda, others ~2005

Categorical **Lie algebra** representations on **additive** categories

Cat

Joint with Mackaay, Mazorchuk, Miemietz, Zhang, others ~2011

Categorical **algebra** rep theory on **additive** categories

fos

Today

An example instead of formal definitions and applications of the theory

► Representation th

bras/Lie algebras/etc.

► Cat representatio

egories

Instead of G -reps study (G -rep)-reps

Group Period	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	1 H																	2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	* 71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	* 103 Lr	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og
			* 57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb		
			* 89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No		

- ▶ Goal of chemistry Find the periodic table of elements
- ▶ Goal of group theory Find the periodic table of simple groups
- ▶ Goal of (cat) rep theory Find the periodic table of simple reps

Instead of G -reps study $(G\text{-rep})$ -reps

Classical

A rep is called simple (the “elements”)
if it has no stable ideals

We have the Jordan–Hölder theorem: every rep is built from simples

Goal Find the periodic table of simples

Categorical

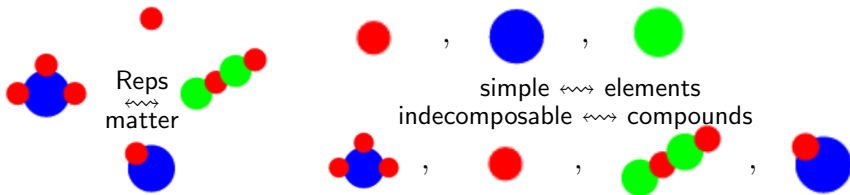
A cat rep is called simple (the “elements”)
if it has no stable 2-ideals

▶ We have the weak Jordan–Hölder theorem: every rep is built from simples

▶ **Goal** Find the periodic table of simples

▶ Goal of (cat) rep theory Find the periodic table of simple reps

Instead of G -reps study $(G\text{-rep})\text{-reps}$



- ▶ Let $\mathcal{S} = \mathcal{R}ep(G, \mathbb{C})$
- ▶ \mathcal{S} is a 2-cat ✓
- ▶ \mathcal{S} is \mathbb{K} -linear ✓
- ▶ \mathcal{S} is additive ✓
- ▶ \mathcal{S} is idempotent complete ✓
- ▶ \mathcal{S} has fin dim hom spaces ✓
- ▶ \mathcal{S} has finitely many indecomposable objects ✓
- ▶ \mathcal{S} has dualities ✓

The actors are finitary/flat 2-cats
They act on finitary cats

finitary

flat

Instead of G -reps study $(G\text{-rep})$ -reps

Examples instead of formal defs

- ▶ Let $\mathcal{C} = \mathcal{R}\text{ep}(G)$ (G a finite group)
- ▶ \mathcal{C} is part of the theory. For any $M, N \in \mathcal{C}$, we have $M \otimes N \in \mathcal{C}$:

$$g(m \otimes n) = gm \otimes gn$$

for all $g \in G, m \in M, n \in N$. There is a trivial representation $\mathbb{1}$

- ▶ The regular cat representation $\mathcal{M} : \mathcal{C} \rightarrow \mathcal{E}\text{nd}(\mathcal{C})$:

$$\begin{array}{ccc} M & \longrightarrow & M \otimes _ \\ \downarrow f & & \downarrow f \otimes _ \\ N & \longrightarrow & N \otimes _ \end{array}$$

- ▶ The decategorification is the regular representation

Instead of G -reps study $(G\text{-rep})\text{-reps}$

- ▶ Let $K \subset G$ be a subgroup
- ▶ $\mathcal{R}\text{ep}(K)$ is a cat representation of $\mathcal{R}\text{ep}(G)$, with action

$$\mathcal{R}\text{es}_K^G \otimes _ : \mathcal{R}\text{ep}(G) \rightarrow \mathcal{E}\text{nd}(\mathcal{R}\text{ep}(K)),$$

which is indeed a cat action because $\mathcal{R}\text{es}_K^G$ is a \otimes -functor

- ▶ The decategorifications are \mathbb{N} -representations

Instead of G -reps study $(G\text{-rep})\text{-reps}$

- ▶ Let $K \subset G$ be a subgroup
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- ▶ The decategorifications are \mathbb{N} -representations

Theorem

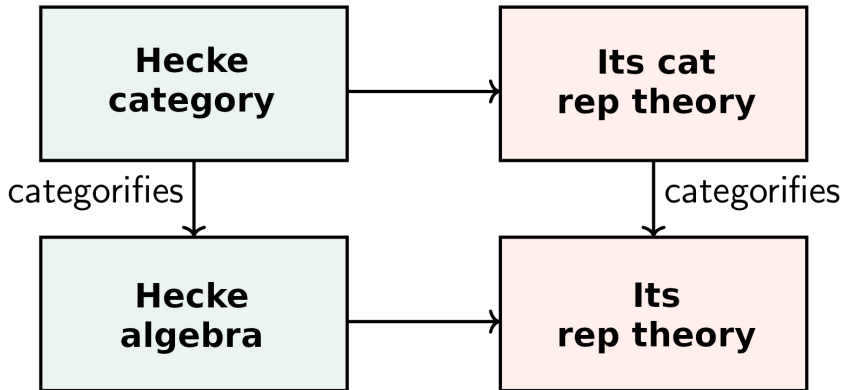
Completeness All simples of $\mathcal{R}ep(G, \mathbb{C})$ are of the form $\mathcal{V}(K, \textit{twist})$

Non-redundancy We have $\mathcal{V}(K, \textit{twist}) \cong \mathcal{V}(K', \textit{twist}')$

\Leftrightarrow

the subgroups and twists are conjugate

Instead of G -reps study $(G\text{-rep})$ -reps



- ▶ Symmetric group $\xleftarrow{\text{generalize}}$ Hecke algebras $\xleftarrow{\text{categorify}}$ Hecke categories
- ▶ We used the $\mathcal{R}\text{ep}(G, \mathbb{C})$ -result to classify simple reps of the Hecke categories
- ▶ This categorifies rep theory of Hecke algebras

Advancing the abstract theory over 8 years

Cell 2-representations of finitary 2-categories

~2011:

Volodymyr Mazorchuk and Vanessa Miemietz

Two-color Soergel Calculus and Simple Transitive 2-representations

~2016:

Marco Mackaaij and Daniel Tubbenhauer

Simple Transitive 2-Representations via (Co-)Algebra 1-Morphisms

~2017:

MARCO MACKAAY, VOLODYMYR MAZORCHUK, VANESSA MIEMIETZ & DANIEL TUBBENHAUER

Marco Mackaay, Volodymyr Mazorchuk, Vanessa Miemietz, Daniel Tubbenhauer and Xiaoting Zhang*

~2019:

Finitary birepresentations of finitary bicategories

~2019:

SIMPLE TRANSITIVE 2-REPRESENTATIONS OF SOERGEL BIMODULES FOR FINITE COXETER TYPES

MARCO MACKAAY, VOLODYMYR MAZORCHUK, VANESSA MIEMIETZ, DANIEL TUBBENHAUER AND XIAOTING ZHANG

Applications of categorical representation theory

From Burnside's book Theory of Groups of Finite Order

first
edition
~1897

It may then be asked why, in a book which professes to leave all applications on one side, a considerable space is devoted to substitution groups; while other particular modes of representation, such as groups of linear transformations, are not even referred to. My answer to this question is that while, in the present state of our knowledge, many results in the pure theory are arrived at most readily by dealing with properties of substitution groups, it would be difficult to find a result that could be most directly obtained by the consideration of groups of linear transformations.

second
edition
~1911

VERY considerable advances in the theory of groups of finite order have been made since the appearance of the first edition of this book. In particular the theory of groups of linear substitutions has been the subject of numerous and important investigations by several writers; and the reason given in the original preface for omitting any account of it no longer holds good.

In fact it is now more true to say that for further advances in the abstract theory one must look largely to the representation of a group as a group of linear substitutions. There is

-
- ▶ Rep theory is everywhere in math & the sciences but it took a while to get started
 - ▶ Short and long terms goal Find applications of categorical rep theory

There are many more applications, and not just by me
Here are three examples:

JOURNAL OF THE
AMERICAN MATHEMATICAL SOCIETY
Volume 15, Number 1, Pages 203–271
S 0894-0417(01)00374-5
Article electronically published on September 24, 2001

QUIVERS, FLOER COHOMOLOGY,
AND BRAID GROUP ACTIONS

MIKHAIL KHOVANOV AND PAUL SEIDEL

Faithful 2-reps of braid groups **Low dim top, symplectic geometry**

Annals of Mathematics, **167** (2008), 245–298

Derived equivalences for symmetric groups
and \mathfrak{sl}_2 -categorification

By JOSEPH CHUANG* and RAPHAËL ROUQUIER

Broué's abelian defect conjecture via 2-reps **Finite groups, rep theory**

Annals of Mathematics **180** (2014), 1089–1136
<http://dx.doi.org/10.4007/annals.2014.180.3.6>

The Hodge theory of Soergel bimodules

By BEN ELIAS and GEORDIE WILLIAMSON

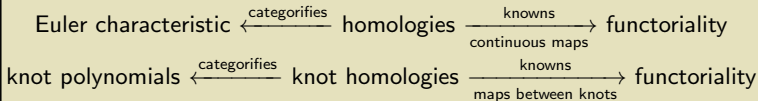
The Kazhdan–Lusztig conjecture using the Hecke category **Combinatorics, geometry**

A CATEGORIFICATION OF THE JONES POLYNOMIAL

MIKHAIL KHOVANOV

bedded in $\mathbb{R}^3 \times [0, 1]$. In the categorical language, we expect to get a functor from the category of $(\mathbb{Z}_2$ -extended) oriented link cobordisms to the category of bigraded R -modules and module homomorphisms.

Functoriality



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edition
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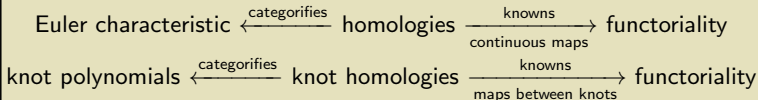
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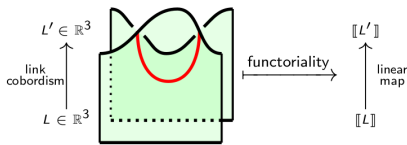
Functoriality



Proc. London Math. Soc. (3) 117 (2018) 996–1040

Functoriality of colored link homologies

Michael Ehrig, Daniel Tubbenhauer and Paul Wedrich



► Re

started

► S We proved Khovanov's "conjecture" using cat rep theory

Low dim top

for Z. By semi-infinite Ringel duality [BS18 Section 4], we have the following consequence of [Theorem A]

Corollary A. *There is an equivalence of additive, \mathbb{K} -linear categories*

$$\mathcal{F}' : \mathbf{Tilt} \xrightarrow{\cong} p\mathbf{Mod}\text{-}Z,$$

sending indecomposable tilting modules to indecomposable projectives.

Its about morphisms!

Transformation Groups ©Springer Science+Business Media New York (2016)
 Vol. 22, No. 1, 2017, pp. 29–89

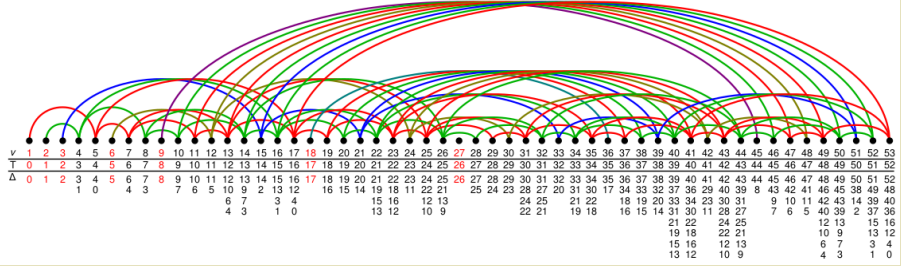
REPRESENTATION THEORY
 An Electronic Journal of the American Mathematical Society
 Volume 25, Pages 440–480 (June 3, 2021)
<https://doi.org/10.1090/ert/569>

DIAGRAM CATEGORIES FOR U_q -TILTING MODULES AT ROOTS OF UNITY

H. H. ANDERSEN D. TUBBENHAUER

QUIVERS FOR SL_2 TILTING MODULES

DANIEL TUBBENHAUER AND PAUL WEDRICH



We used cat rep theory of the Hecke category to study modular reps of SL_2 Lie theory

► Short and long terms goal Find applications of categorial rep theory

From Burnside's book *Theory of Groups of Finite Order*

Monoidal cats and their reps are a potential source of Diffie–Hellman-type-protocols

[Submitted on 5 Jan 2022]

Monoidal categories, representation gap and cryptography

Mikhail Khovanov, Maithreya Sitaraman, Daniel Tubbenhauer

Comments: 45 pages, many figures, comments welcome

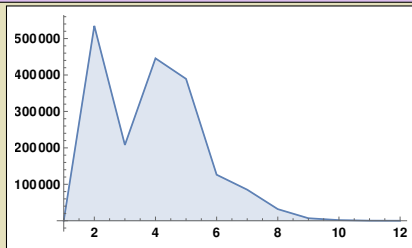
Subjects: **Representation Theory (math.RT)**; Cryptography and Security (cs.CR); Group Theory (math.GR); Quantum Algebra (math.QA)

MSC classes: 18M05 (20M30 94A60)

Cite as: [arXiv:2201.01805](https://arxiv.org/abs/2201.01805) [math.RT]

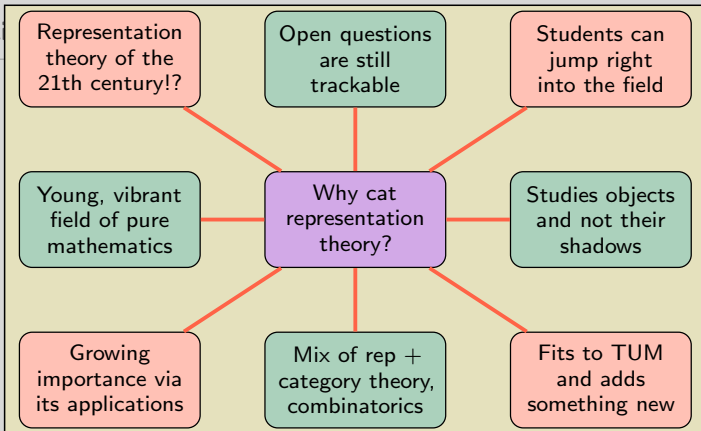
(or [arXiv:2201.01805v1](https://arxiv.org/abs/2201.01805v1) [math.RT] for this version)

<https://doi.org/10.48550/arXiv.2201.01805> 



We are currently investigating applications to **cryptography**

▶ **Short and long terms goal** Find applications of categorical rep theory



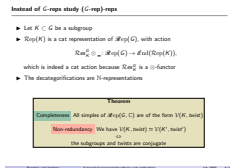
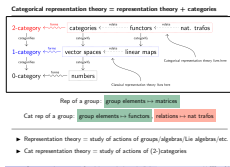
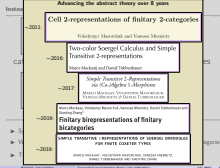
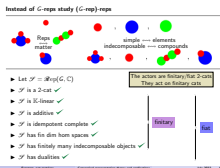
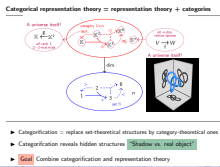
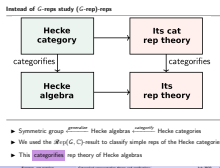
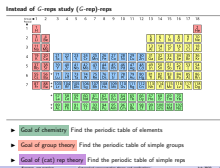
Future at TUM?!

Invariant theory \Leftrightarrow cat versions of Schur–Weyl duality \Leftrightarrow cat rep theory

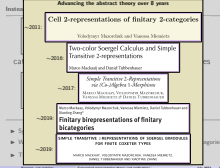
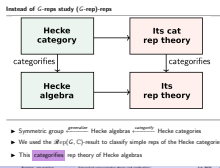
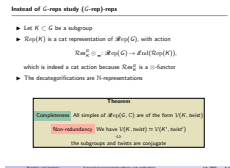
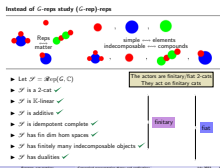
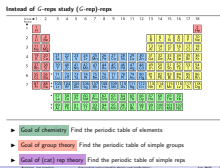
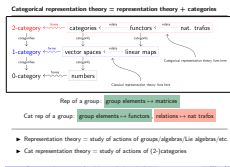
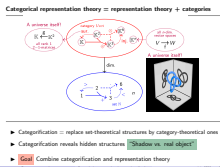
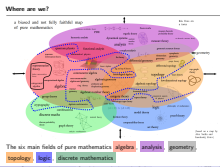
Algebraic geometry in char p \Leftrightarrow Satake equivalence \Leftrightarrow cat rep theory

Deligne–Lusztig varieties \Leftrightarrow cat actions of Kac–Moody algebras \Leftrightarrow cat rep theory

Higher categories \Leftrightarrow version of TQFTs \Leftrightarrow cat rep theory



There is still much to do...



Thanks for your attention!