Topology – week 11 Math3061

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Map coloring assumptions

A map on a surface S is a polygonal subdivision such that:

- All vertices have degree at least 3
- No region (i.e. face or polygon) has a border with itself



No region contains a hole



No region is completely surrounded by another



No internal region has only two borders (i.e. edges)



The last three assumptions are purely for convenience because, in each case, we can color these maps using the same number of colors

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- ▶ $\partial_V \ge 3$ since vertices have degree at least 3
- $lackbox{0}_{\it F} \leq |{\it F}| 1$ because no region borders itself
- ▶ If M is a map on a closed surface S, then we proved that $\partial_F \leq 6\left(1-\frac{\chi(S)}{|F|}\right)$

Lemma

Let M be a map on a closed surface S with $\chi(S) \leq 0$. Then $\partial_F \leq \frac{1}{2} \Big(5 + \sqrt{49 - 24 \chi(S)} \Big)$

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Using the corollary from last lecture, and the fact that $\chi(S) \leq 0$,

$$\partial_F \le 6\left(1 - \frac{\chi(S)}{|F|}\right) \le 6\left(1 - \frac{\chi(S)}{1 + \partial_F}\right)$$

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 $y = x^2 - 5x + 6(\chi - 1)$



Maps on surfaces with $\chi(\mathcal{S}) \leq 0$

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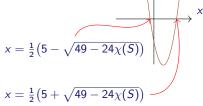
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$$\begin{split} \partial_F & \leq 6 \Big(1 - \frac{\chi(S)}{|F|} \Big) \leq 6 \Big(1 - \frac{\chi(S)}{1 + \partial_F} \Big) \\ \iff & \partial_F^2 - 5 \partial_F + 6 \Big(\chi(S) - 1 \Big) \leq 0 \\ \iff & \partial_F \leq \frac{1}{2} \Big(5 + \sqrt{49 - 24 \chi(S)} \Big) \\ & \text{as required} \end{split}$$

$$y = x^{2} - 5x + 6(\chi - 1)$$

$$x = \frac{1}{2} (5 - \sqrt{49 - 24\chi(S)})$$

$$x = \frac{1}{3} (5 + \sqrt{49 - 24\chi(S)})$$

Example Let $S = \#^2 \mathbb{T}$.

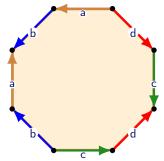
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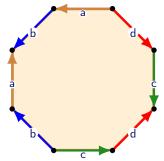
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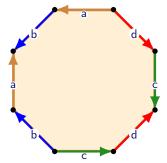


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This is **not** a contradiction because we are assuming that no region has a border with itself, which is never true for a polygonal decomposition that has only one face

<u>T</u>heorem

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$$C(S) \leq egin{cases} 6, & \textit{if } S = S^2 \textit{ or } S = \mathbb{P}^2, \ rac{7+\sqrt{49-24\chi(S)}}{2}, & \textit{otherwise} \end{cases}$$

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Since $\partial_F < c$ there is at least one face f with deg(f) < c

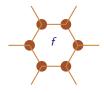
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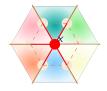
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As we used at most c-1 colors around x, we can color the map M with c colors $\implies C_M(S) \le c \implies C(S) \le c$

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This estimate is exactly right except when $S=S^2$ or $S=\mathbb{K}$

Surface	Heawood's bound	real $C(S)$
<i>S</i> ²	6	4
\mathbb{K}	7	6
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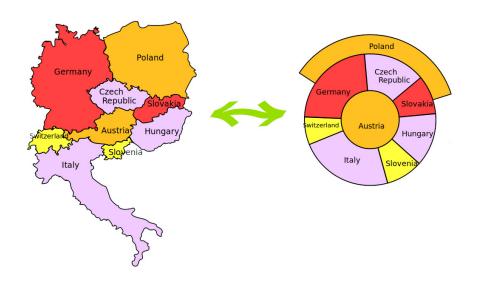
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- It is easy to see that $C(S^2) \ge 4$ but it is really hard to show that $C(S^2) = 4$: the first proofs of the Four color theorem used complicated reductions and then exceedingly long brute force computer calculations
- If $S = S^2$ then $\chi(S^2) = 2$ so $\frac{7 + \sqrt{49 24\chi(S)}}{2} = 4$!?

Why is $C(S^2) \ge 4$ easy to see? Well:

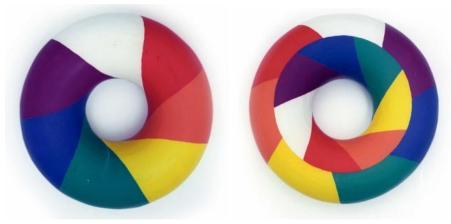


Heawood's estimate for the torus is $C(\mathbb{T}) \leq \frac{7+\sqrt{49-24\chi(\mathbb{T})}}{2} \leq 7$

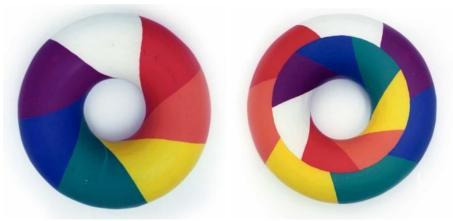
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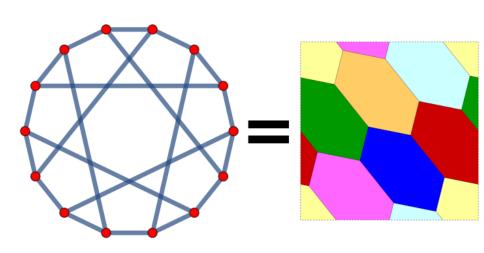


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Hence, $C(\mathbb{T}) = 7$ (see the tutorials)

Hexagons on the torus

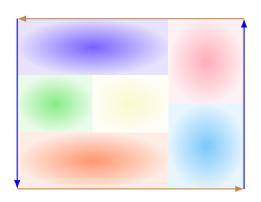


Coloring the projective plane

Heawood's estimate for the projective plane \mathbb{P}^2 is

$$C(\mathbb{P}^2) \le \frac{7+\sqrt{49-24\chi(\mathbb{P}^2)}}{2} \le 6$$

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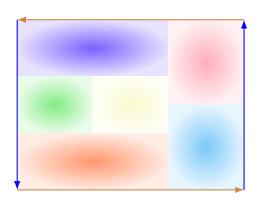


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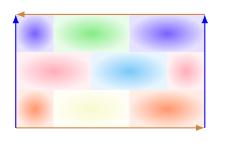
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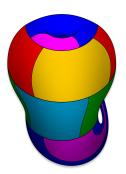
Coloring the Klein bottle

Heawood's estimate for the Klein bottle is

$$C(\mathbb{K}) \leq \frac{7+\sqrt{49-24\chi(\mathbb{K})}}{2} \leq 7$$

In fact, Franklin (1930) proved that $C(\mathbb{K})=6$





Using these maps you can show that $C(\mathbb{K}) \geq 6$

Theorem

Every map on \mathbb{D}^2 can be colored using four colors.

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By stereographic projection, it is enough to show that $C(S^2) \leq 5$

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Proof of Heawood's Five color Theorem

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As in the proof of Heawood's theorem, the idea is now to modify the 5-coloring on N to give a 5-coloring on M. This time the proof is more complicated and there are several cases to consider

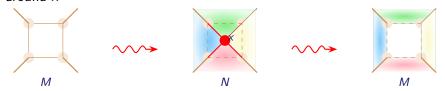
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If $\deg(f) < 5$ then the 5-coloring of N has at most 4 colors for the faces in N around x



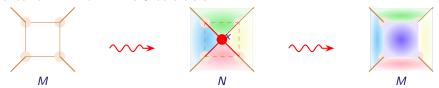
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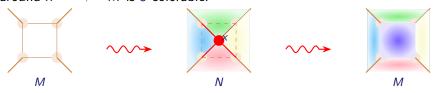
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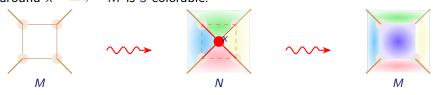


Case 2: deg(f) = 5 and the colors around x are not distinct

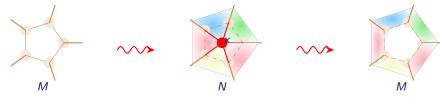


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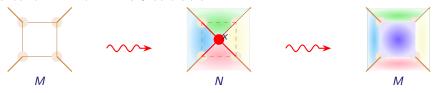


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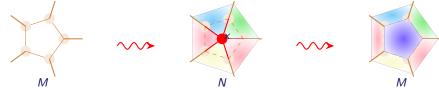


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As we have used at most 4 colors in N around x, it follows that M is 5-colorable

Case 3: deg(f) = 5 and all of the colors in N around x are different



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Label the regions A-E as shown.

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Consider the polygonal decomposition P contained in N that has these five faces together with all of the regions in N that have the same colors as the faces A and C

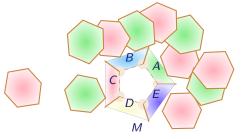
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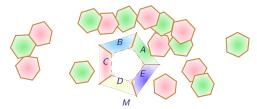
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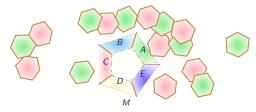
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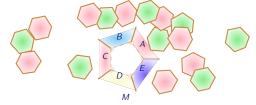
Case 3a: The regions A and C are not connected in P



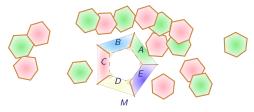
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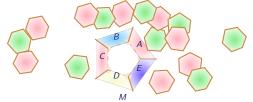
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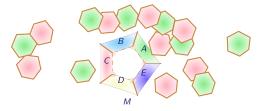


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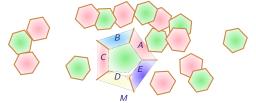


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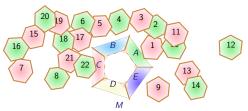


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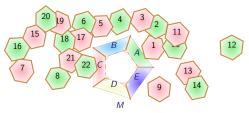


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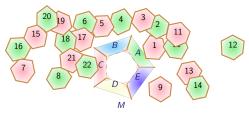


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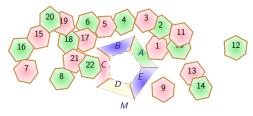


 \implies As A and C are connected, B and E cannot be connected!

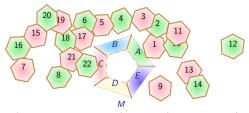
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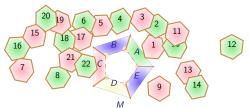
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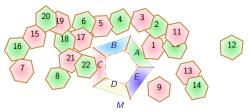


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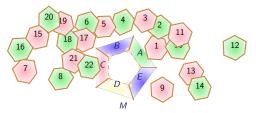


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Intuitive definition A knot is a piece of string with the ends tied together

Intuitive definition A knot is a piece of string with the ends tied together

Definition

A knot is the image of an injective continuous map from S^1 into \mathbb{R}^3 , where $S^1 = \{ (x,y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1 \}$ is the unit circle in \mathbb{R}^2

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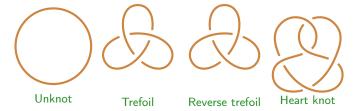
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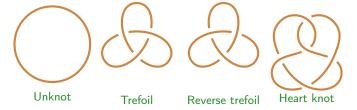


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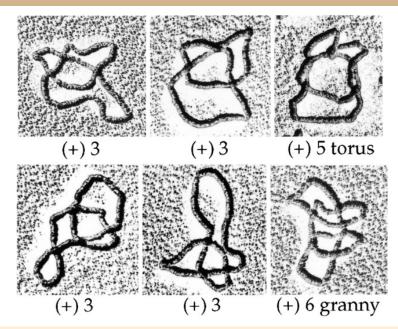
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Equivalently, a knot is a closed path in \mathbb{R}^3 that has no self-intersections Examples

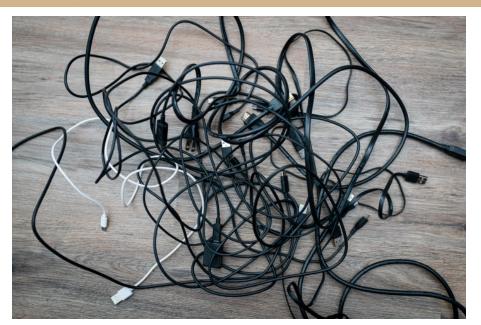


Knot theory is a beautiful mathematical subject with applications in mathematics, computer science, computer chip design, biology, . . .

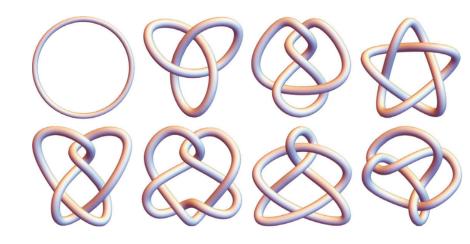
A picture of life



Another picture of life



More knots

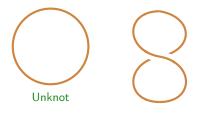


Question

Question



Question



Question







Question

When is a knot the unknot?



Another unknot

Question

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Another unknot

Basic question in knot theory

Question

When is a knot the unknot?







Another unknot

It is difficult to tell if a knot is the unknot



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— Topology – week 11

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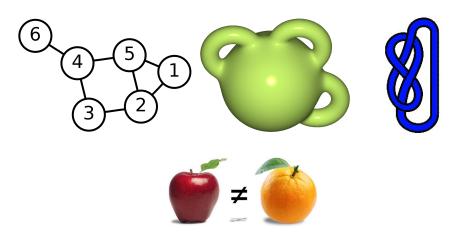
In practice, we will never use this definition but you should see it

A knot K is trivial if it is equivalent to the unknot otherwise it is non-trivial

Different notions of "equal"

Objects	Graphs	Surfaces	Knots
Equivalence	Isomorphism of graphs	Homeomorphism	Equivalence of knots

In other words, graphs, surfaces and knots should never be directly compared – they are different beasts



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Examples



Remark Two polygonal knots K and L are equivalent if they have a common subdivision

Only polygonal knots

From now on all knots are polygonal knots and we drop the adjective polygonal

Only polygonal knots

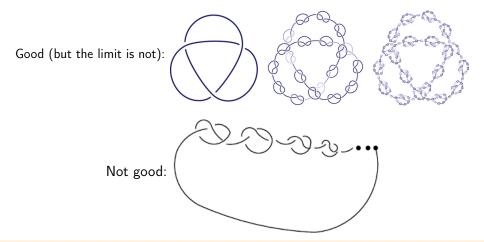
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This is not a huge restriction: anything you can draw is polygonal. Any "finite thing" is a polygonal knot, but "limits" are not so we ignore them

Only polygonal knots

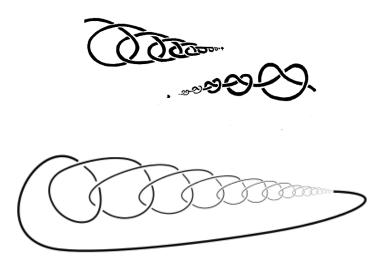
From now on all knots are polygonal knots and we drop the adjective polygonal

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Polygonal knots avoid pathologies

These are not polygonal knots:



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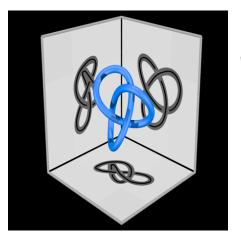


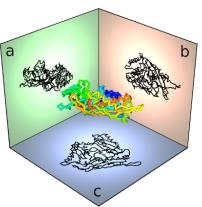
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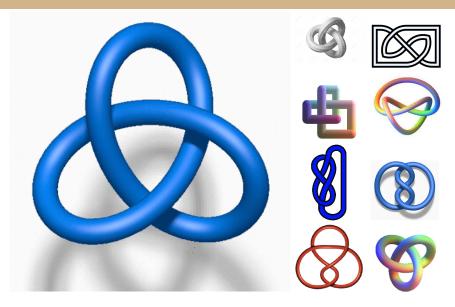
Knot projections can be misleading so we have to check that our constructions are independent of the choice of knot projection

$\mathsf{Projections} = \mathsf{shadows}$





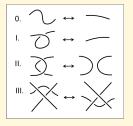
The trefoil knot times nine



Reidemeister's theorem

Theorem

Two knot diagrams represent the same knot if and only if they are related by a (finite) sequence of moves of the following three types



Here the 0th move is usually used silently

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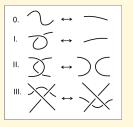
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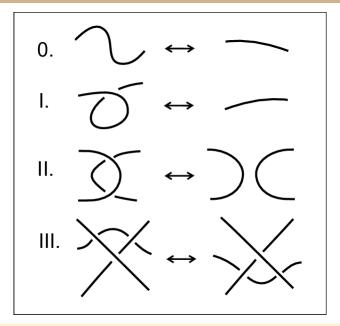


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The point: Reidemeister's theorem reduces topology to combinatorics of diagrams

The Reidemeister moves on one slide



The knotty trefoi

Question

Is the trefoil knot equivalent to the unknot?





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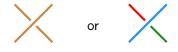
It seems clear that these two knots are different but, so far, we have not seen an easy way to distinguish between them

Definition

A coloring of a knot (projection) is the assignment of colors to the different segments, or connected components, so that at each crossing all segments have either the same color or they all have different colors and at least two colors are used

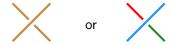
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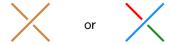
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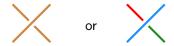


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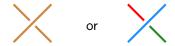
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Remark

- A knot can always be colored using a single color, so C₃(K) ≥ 3 for all knots K
- As soon as more than one color is used we must use all three colors, so K is 3 colorable if and only if $C_3(K) > 3$

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As the unknot has no crossings, it has only one segment that must always be colored using the same color

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Which of the following are knots are 3-colorable?









coloring the trefoil knot

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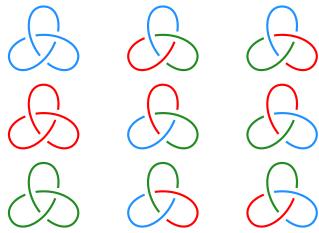


Claim $C_3(T)=9$ since the components of T can be colored independently

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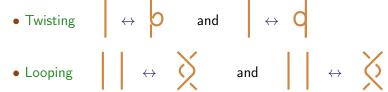
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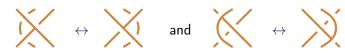
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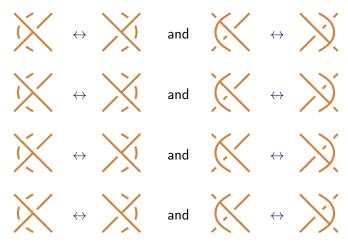


Three colorability 2

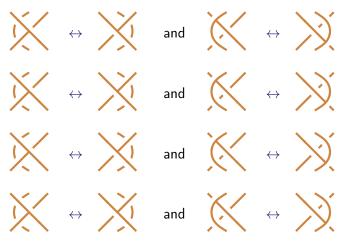
Braiding



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Key point For each Reidemeister move there is a unique way to complete any coloring given the existing colors of the segments going in and out