

# Topology – week 9

## Math3061

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# Classifying surfaces using invariants

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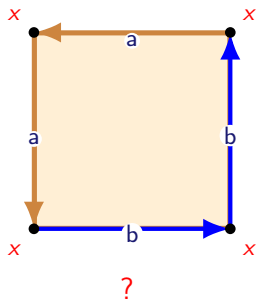
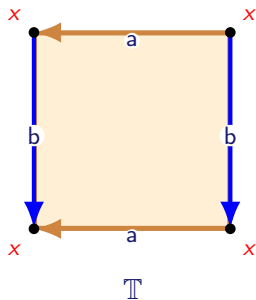
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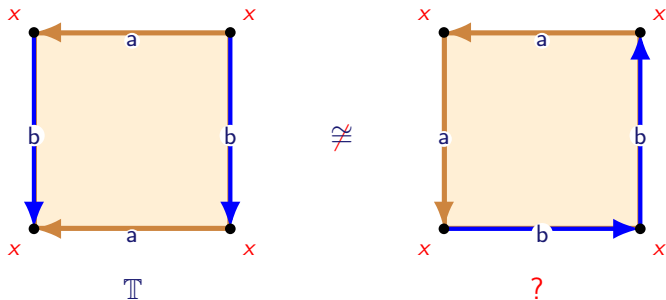
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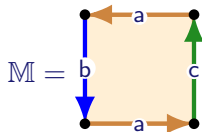
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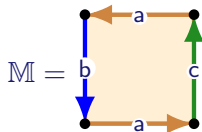


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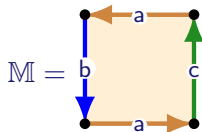
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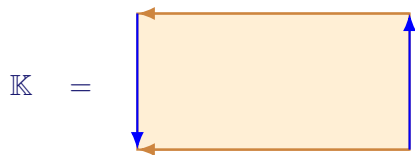
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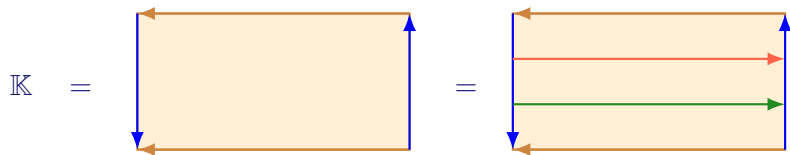


- Are  $S^2$ ,  $\mathbb{A}$ ,  $\mathbb{D}^2$ ,  $\mathbb{T}$ ,  $\mathbb{P}^2$ ,  $\mathbb{K}$ , ... orientable or non-orientable?
- Can a surface be orientable and non-orientable for different polygonal decompositions? (That would be bad!)

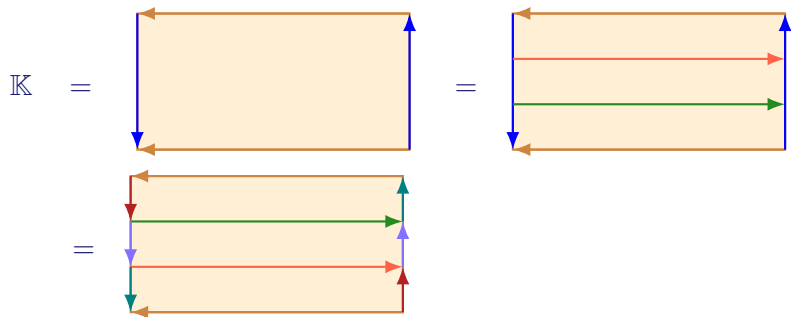
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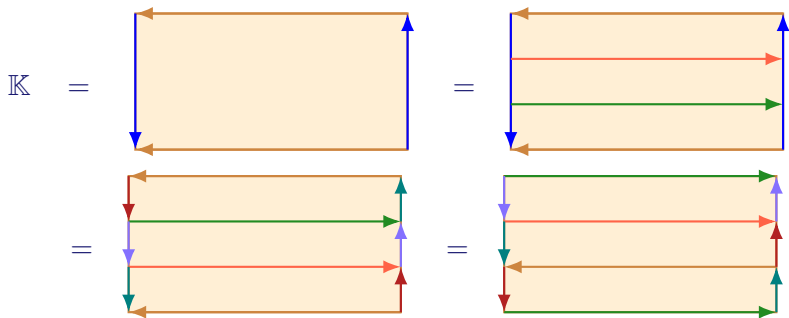
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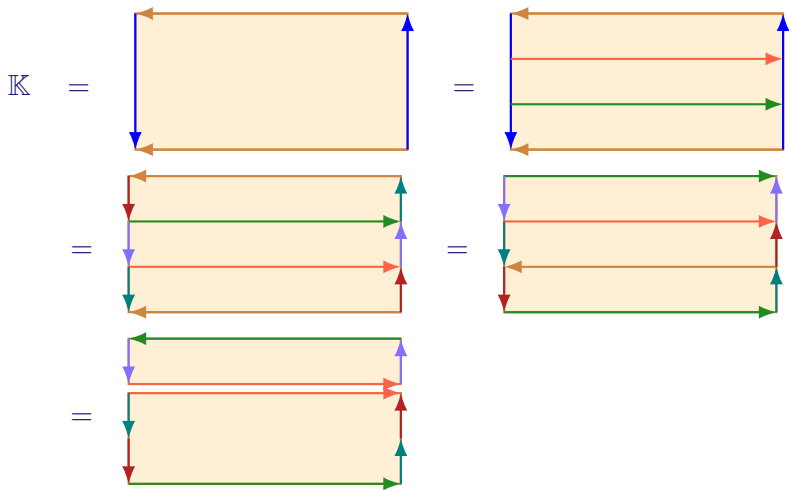
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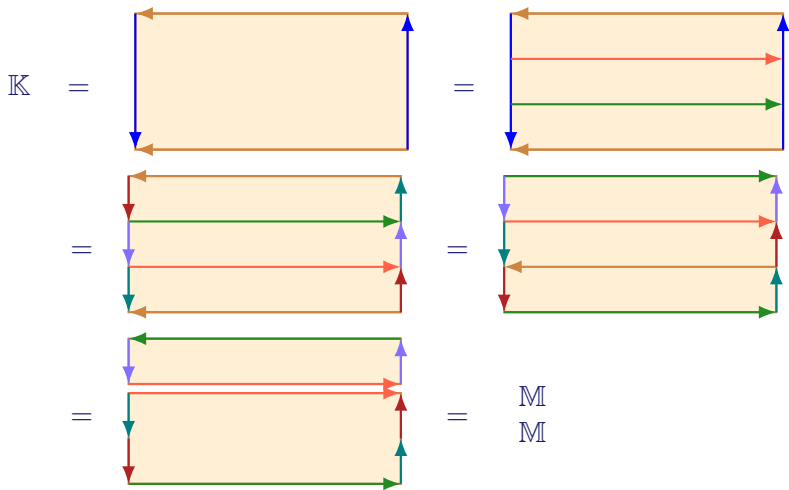
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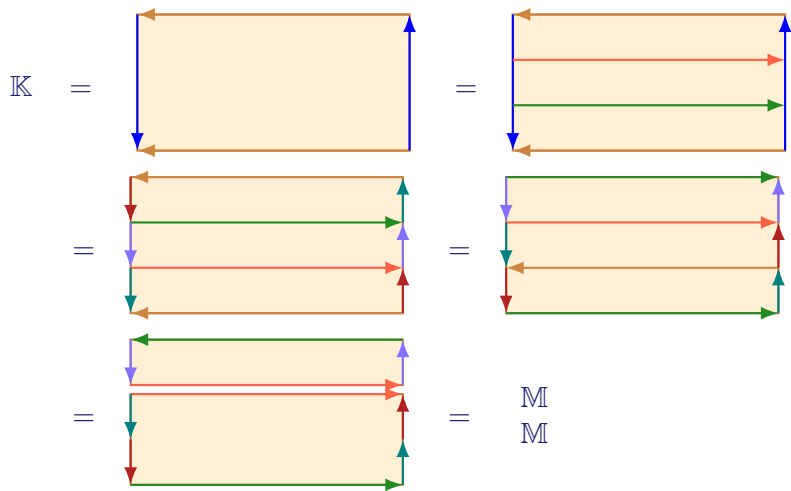


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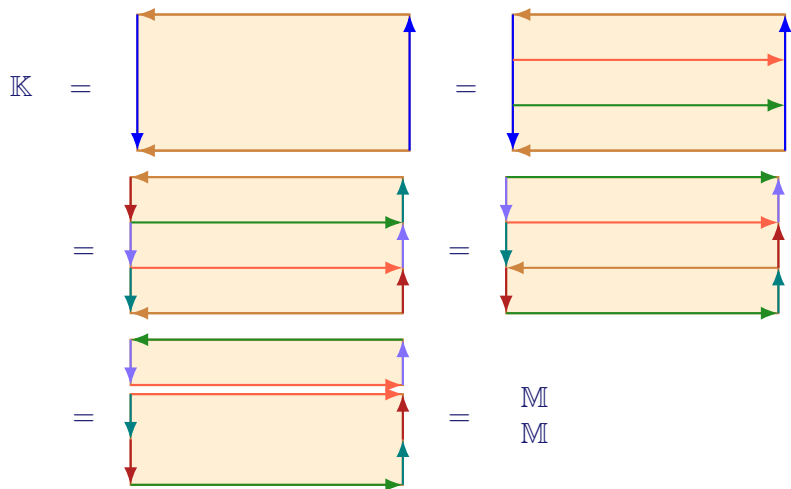


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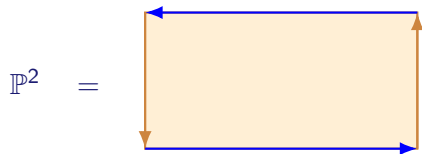
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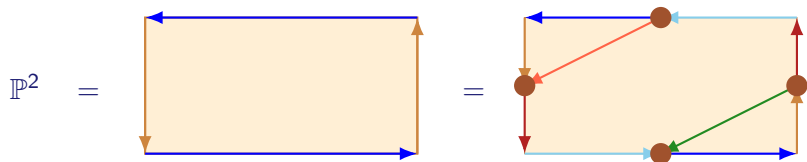
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... although it might be more accurate to say that the Klein bottle is a Möbius strip without boundary

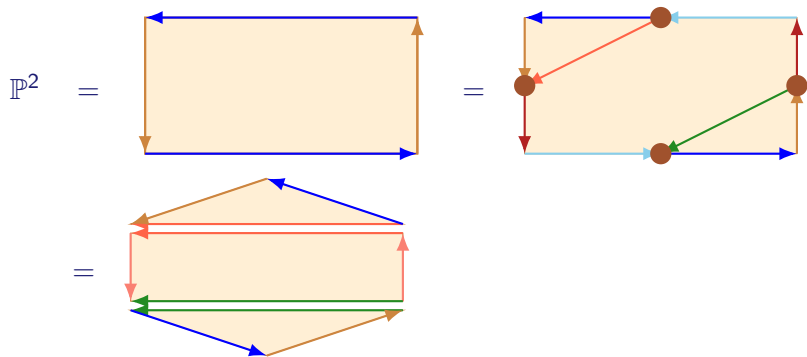
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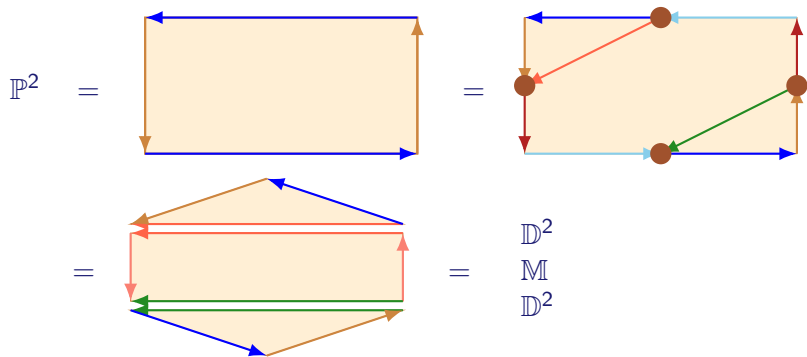
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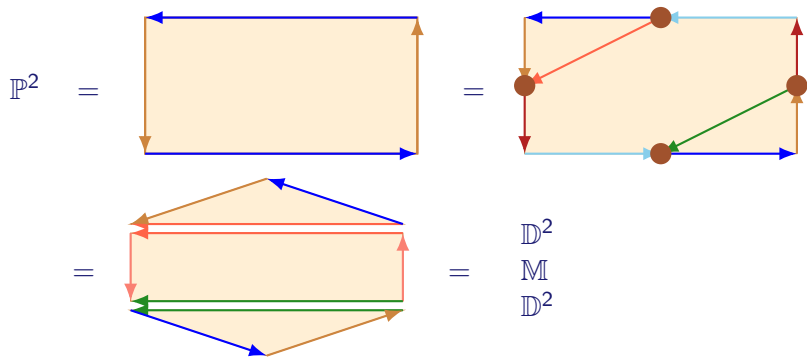
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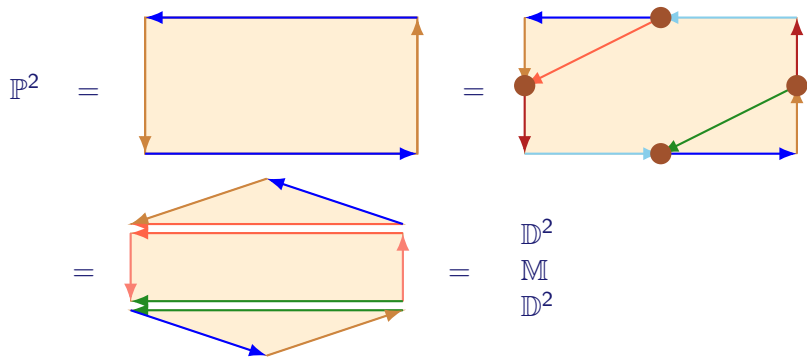


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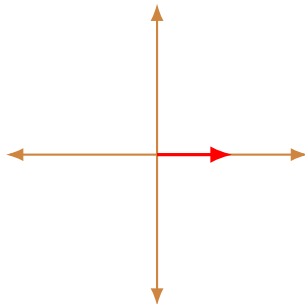
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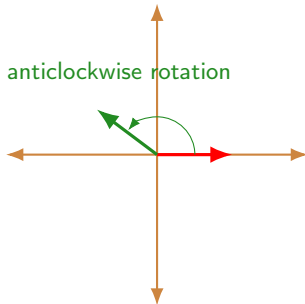
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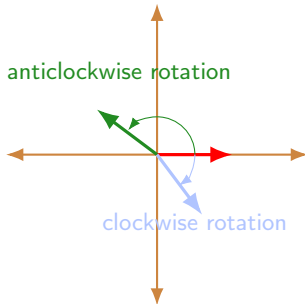
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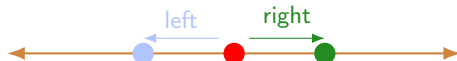
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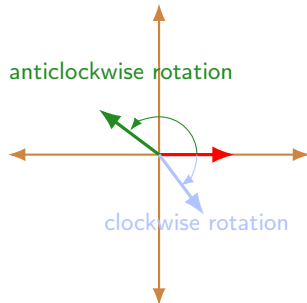
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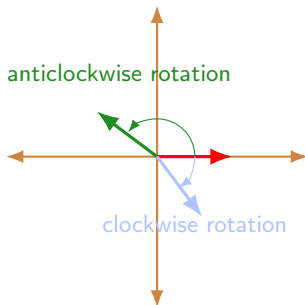
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- Higher dimensions  $\mathbb{R}^n$ , for  $n \geq 3$  ???



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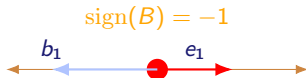
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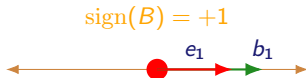
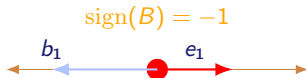
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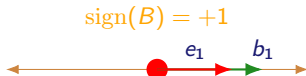
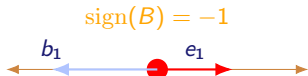
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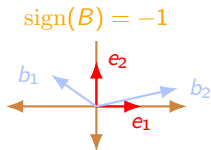
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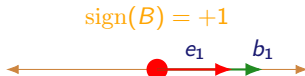
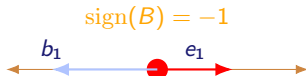
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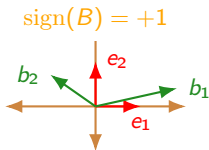
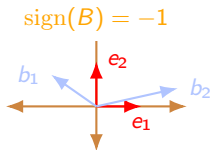
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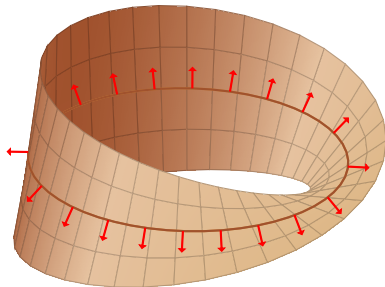
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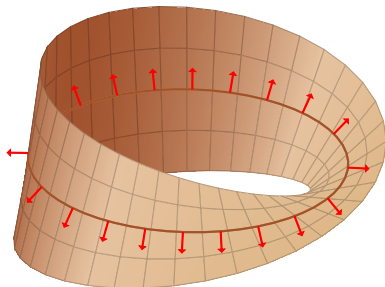
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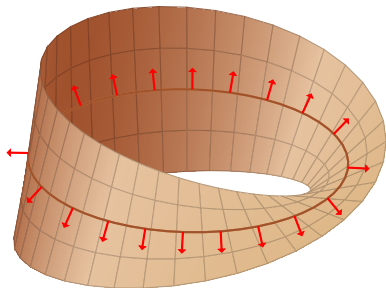


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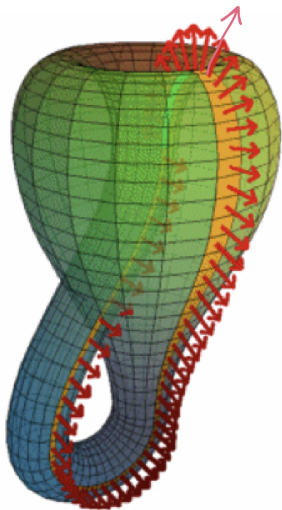
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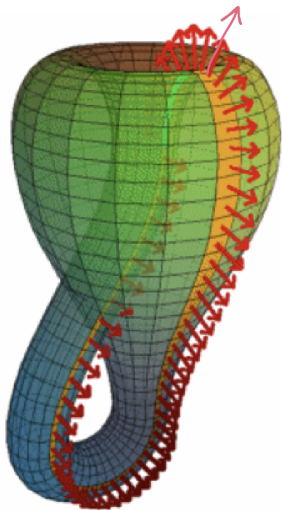
The vector  $b_3$  is always normal to the surface of the Möbius strip. The direction of  $b_3$  can change from pointing outside to inside because the Möbius strip is a surface with a boundary that only has one side

# Direction on the Klein bottle $\mathbb{K}$



We can do the same experiment with the Klein bottle and we see the same phenomenon: the vector  $b_3$  changes from pointing **outside** to pointing **inside** the surface

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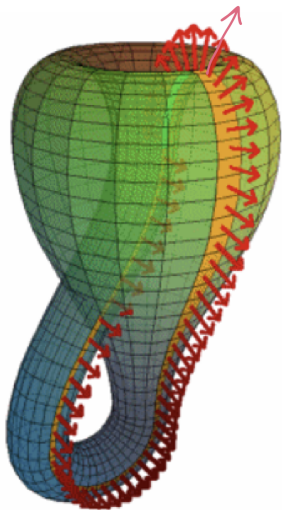


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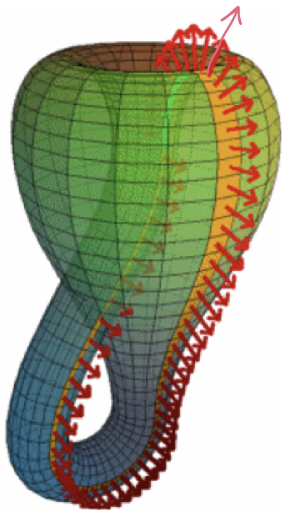
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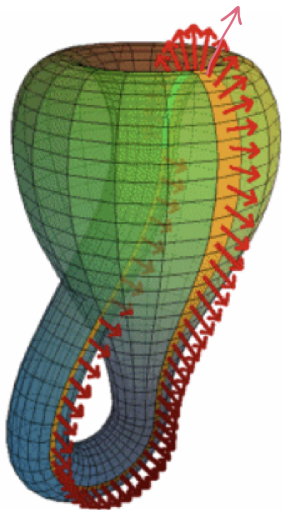
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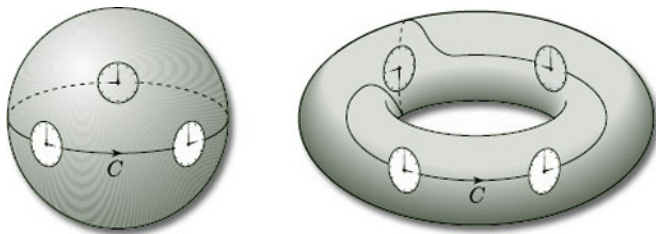
Warning: this is a drawing of  $\mathbb{K}$  in  $\mathbb{R}^3$  but it is **not** the actual Klein bottle! Similarly, the pictures of the sphere  $S^2$  in  $\mathbb{R}^3$  are not really the sphere!

## Alternative description

Alternatively, think of an orientation as a consistent of a coordinate system for each point:

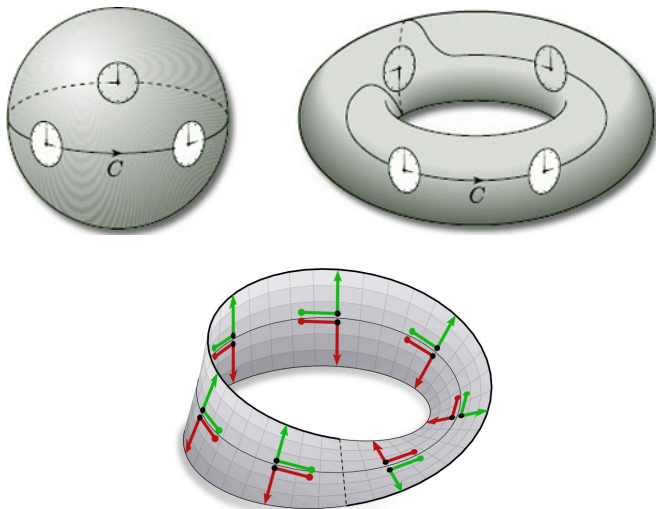
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## Theorem

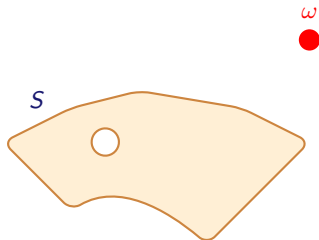
*Suppose that  $S$  is a connected surface without boundary that embeds in  $\mathbb{R}^3$ . Then  $S$  is orientable.*

# Orientable surfaces

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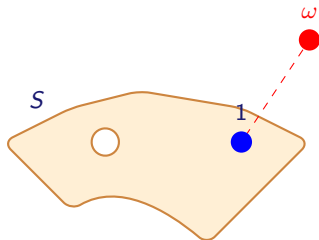
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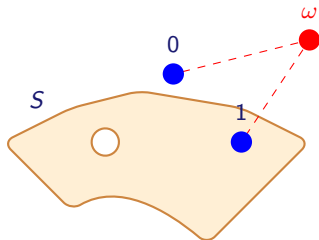
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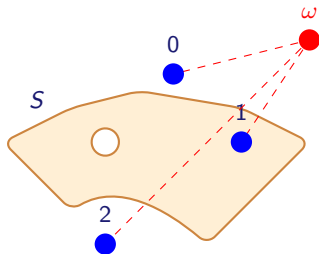
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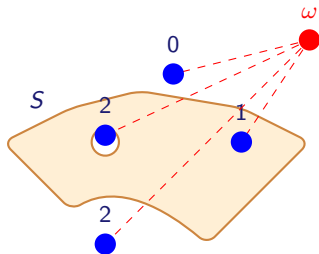
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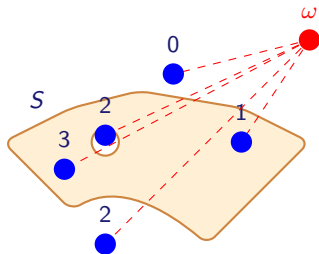
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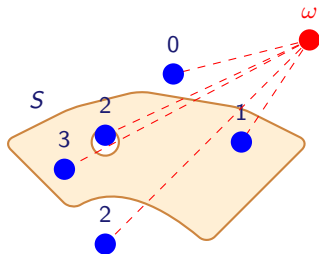
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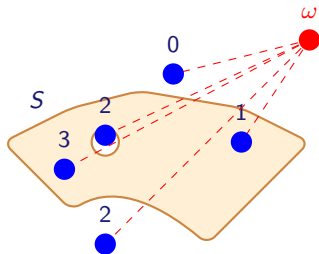
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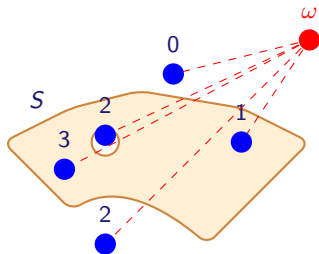
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Notice that since  $S$  is a **closed surface** it does not have boundary, so the “circle” in the picture, which contains a point  $x$  with  $s(x) = 2$ , should be interpreted as a tube through the surface



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### Corollary

*Let  $S$  be a non-orientable closed surface. Then  $S$  does not embed in  $\mathbb{R}^3$ .*

# You can't fill a liquid into the Klein bottle



Strictly speaking the liquid is neither in- nor outside

## Jordan curve theorem

This argument used to prove theorem can be made rigorous for surfaces with **finite** polygonal decompositions but for “general surfaces” it is difficult to prove that  $\mathbb{R}^3 = S \cup V_{\text{in}} \cup V_{\text{out}}$ .



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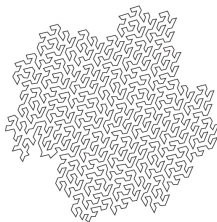
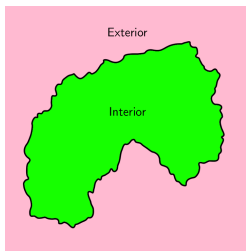
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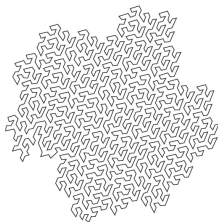
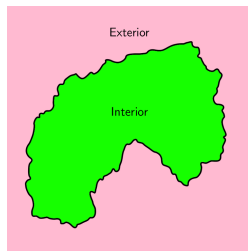
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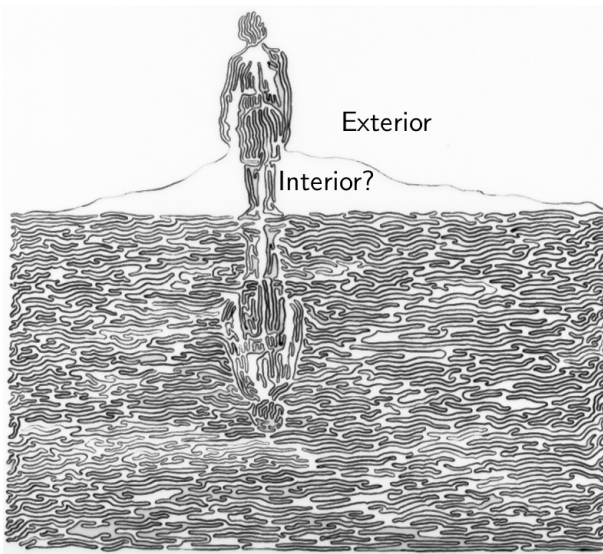
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The left is easy, but can you tell for the right what is “in” or “out”?

# Jordan curve theorem - 2

The main meat is that one needs to deal with “crazy” curves:



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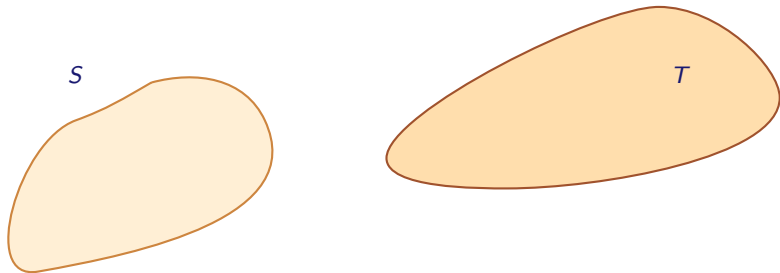
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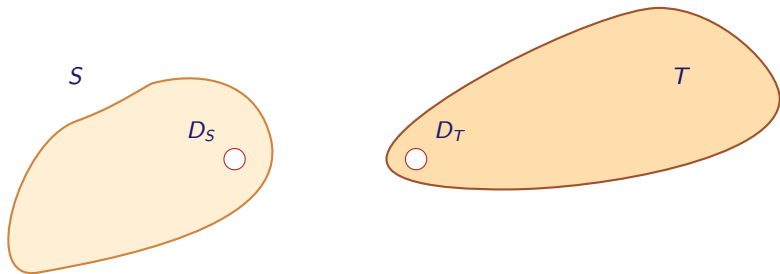
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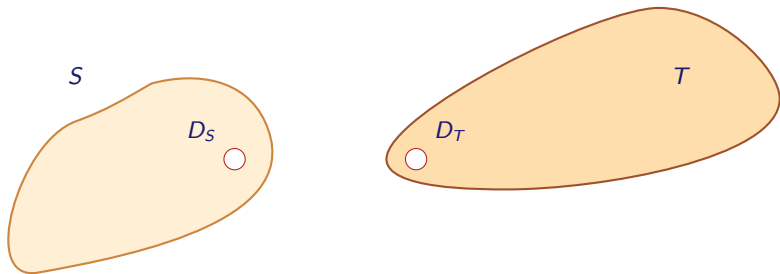
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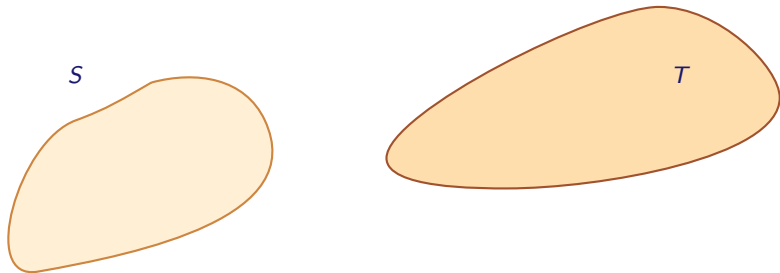
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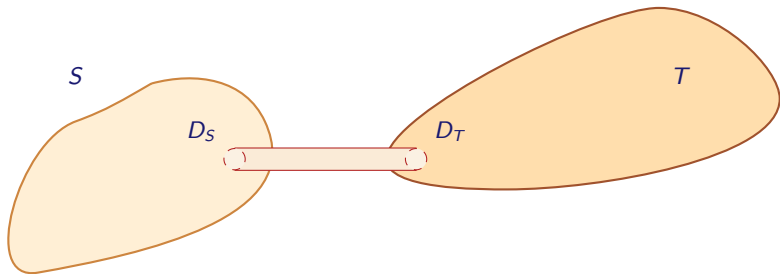
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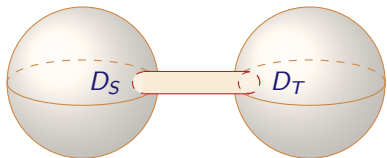
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# Connected sums with spheres

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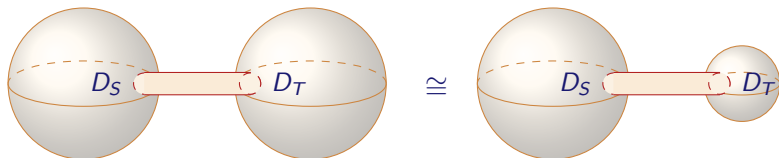
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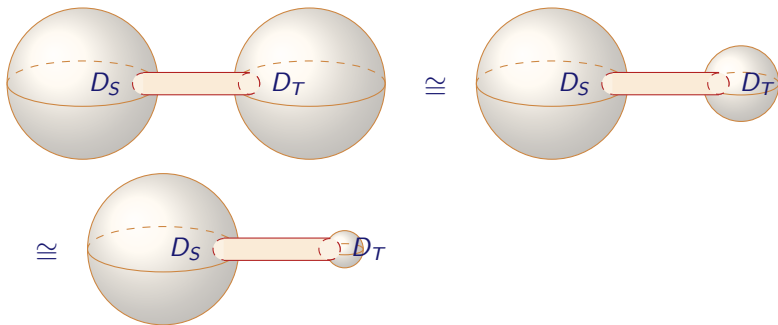
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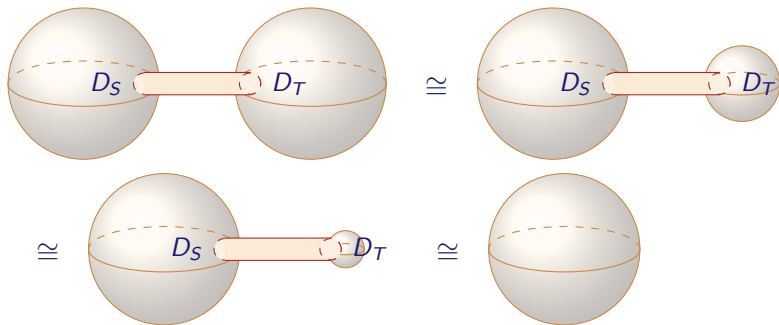
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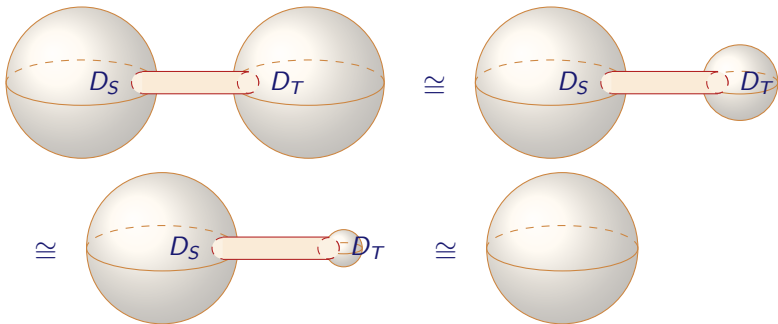
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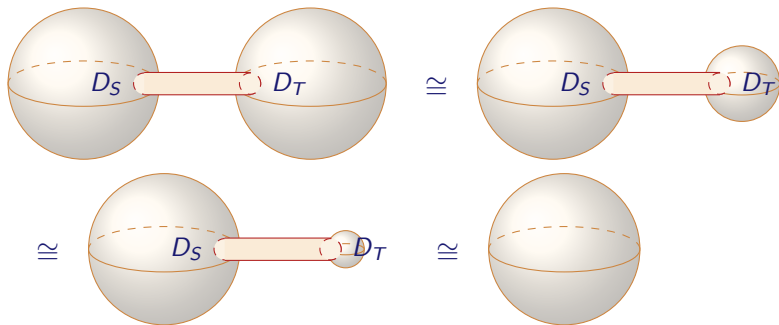
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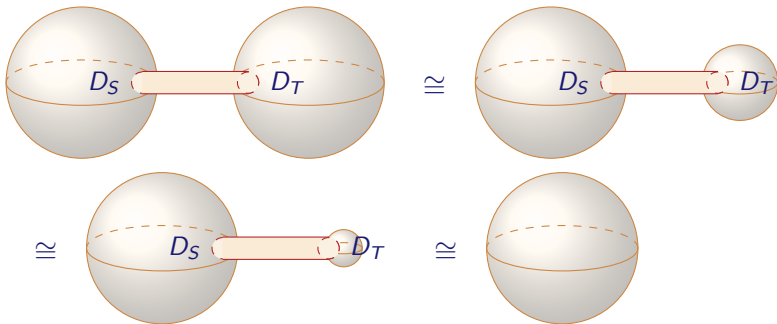
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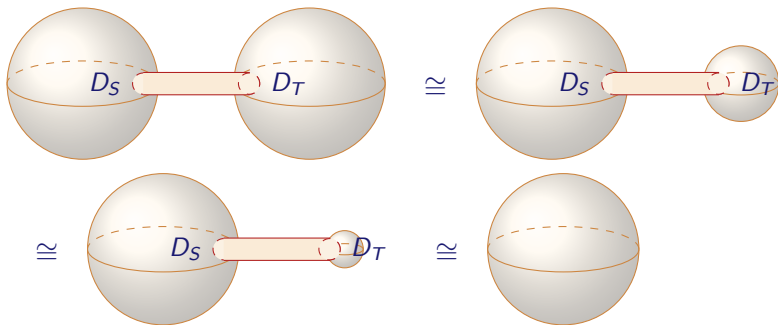
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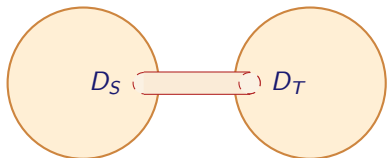
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So  $S^2$  is the unit under the operation  $\#$

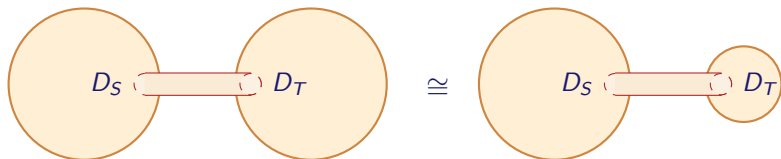
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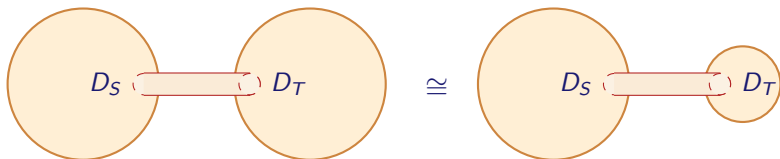
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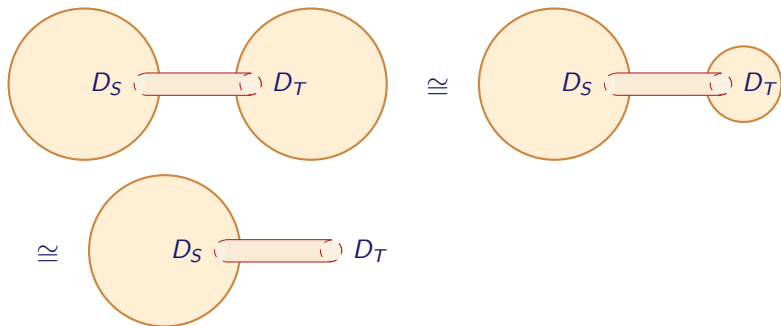
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This is not the same as collapsing a sphere, which closes up the hole, because the disk has a **boundary**!

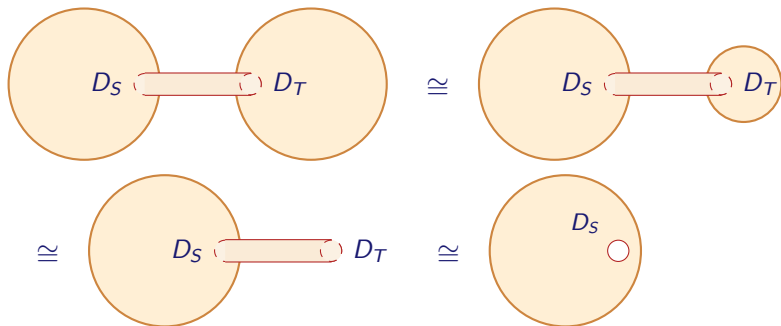
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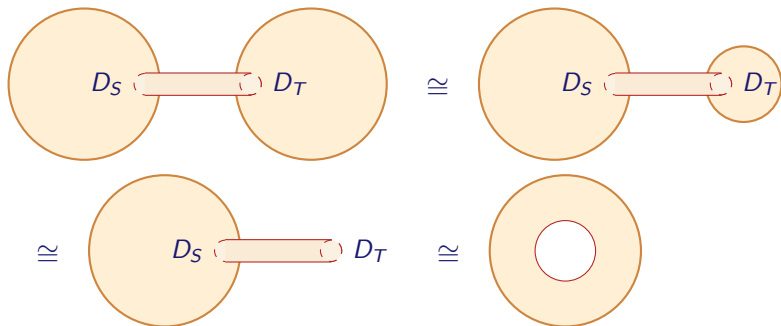
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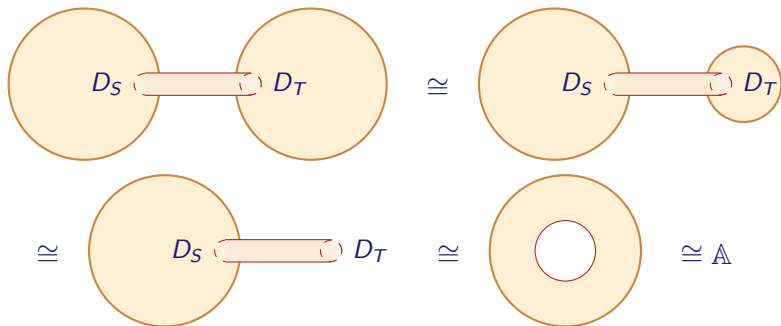
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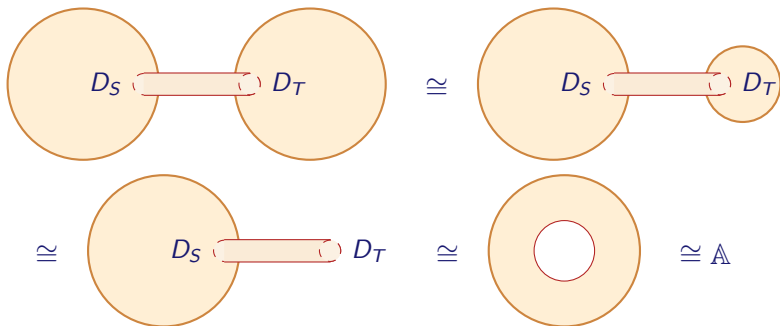
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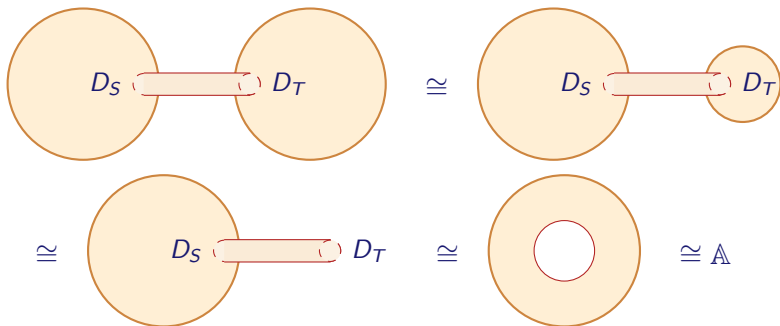
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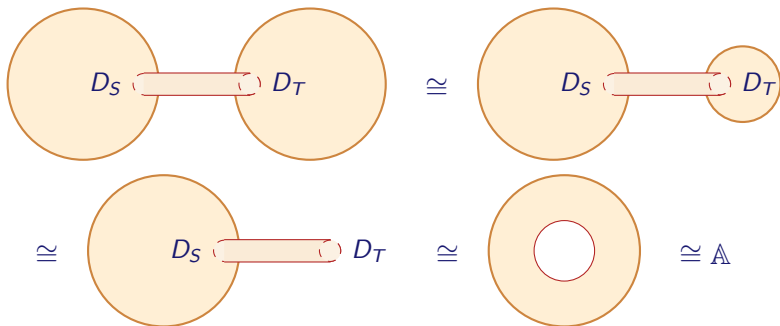


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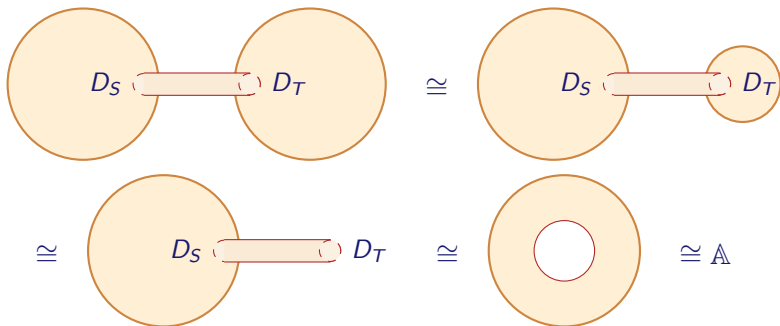


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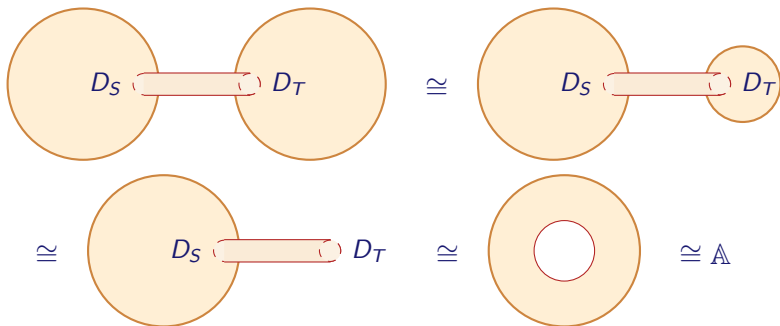
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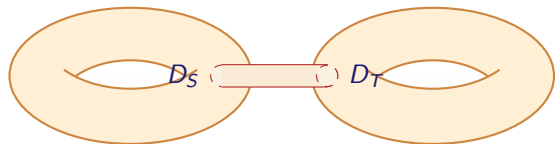
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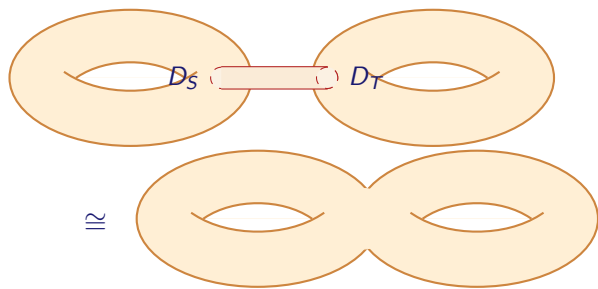
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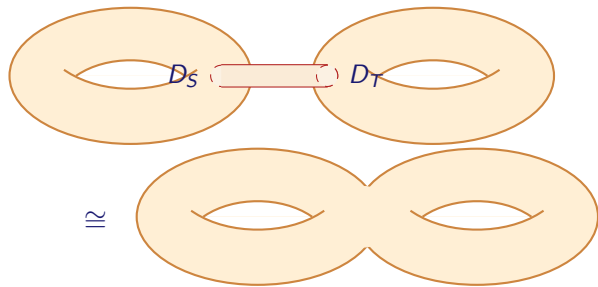


The double torus  
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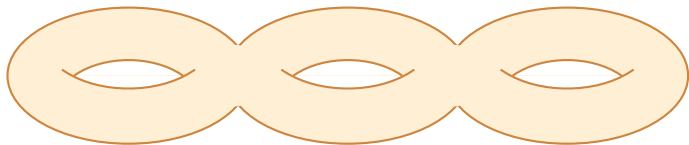
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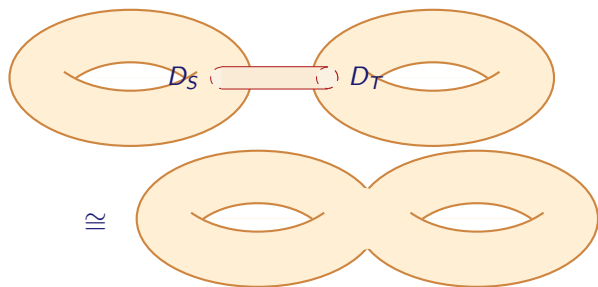
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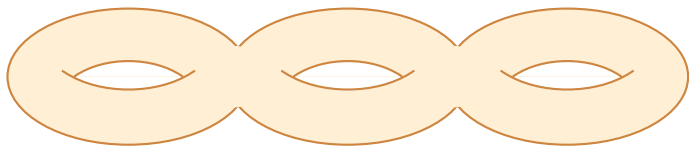
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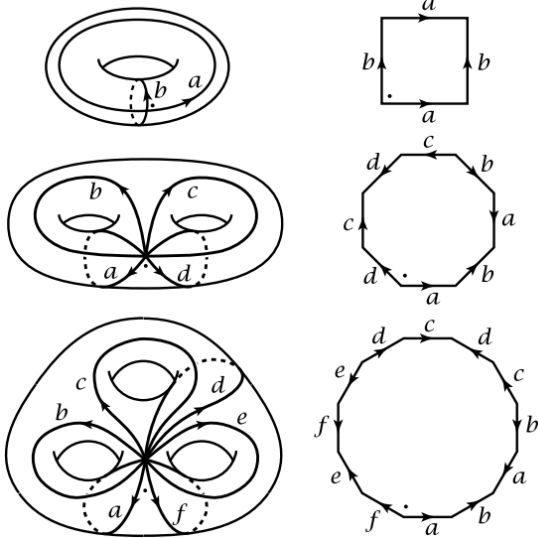
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... and, more generally,  $t$ -tori  $\#^t \mathbb{T}$

# We already know $t$ -tori

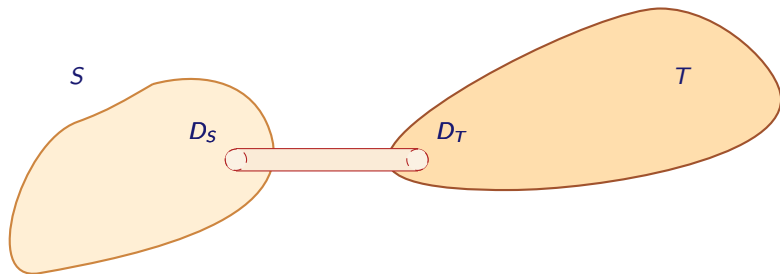


## Properties of connected sums

- $S \# T$  is independent of the location of the disks  $D_S$  and  $D_T$

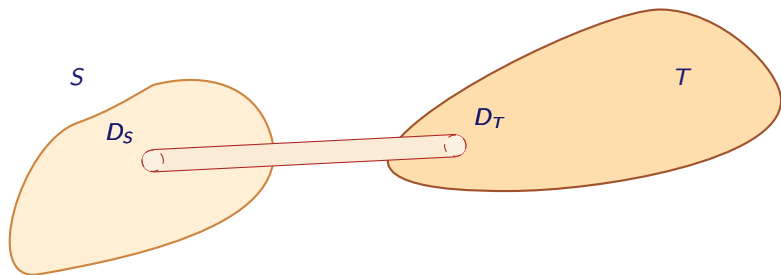
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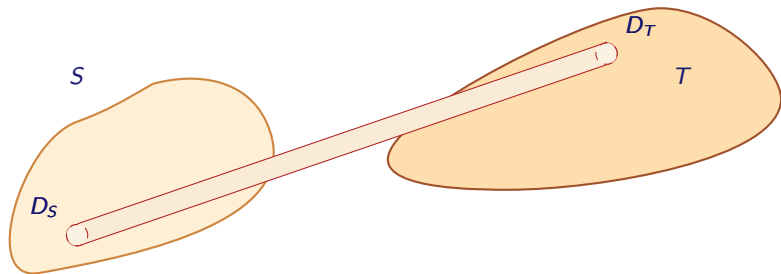
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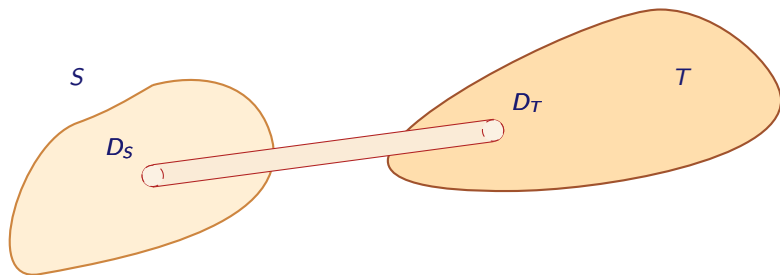
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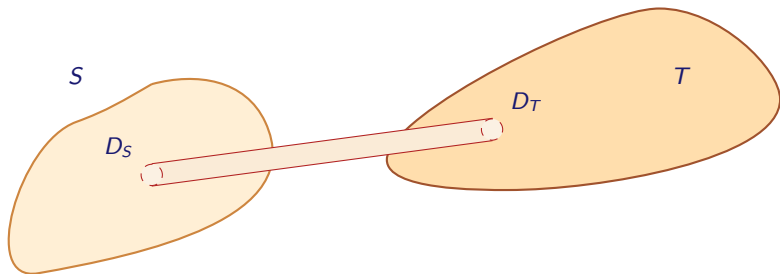
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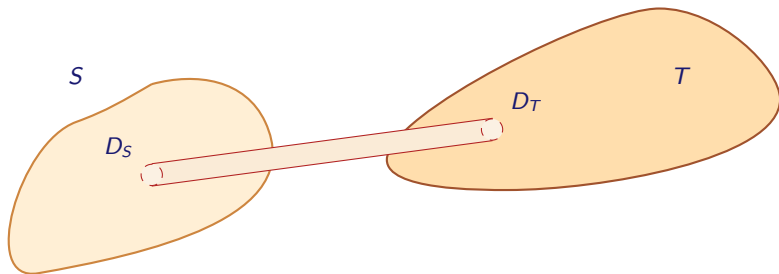
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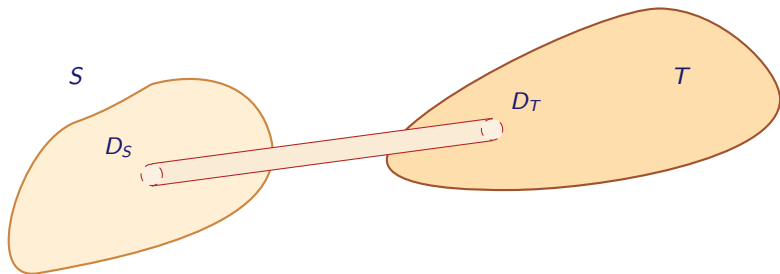


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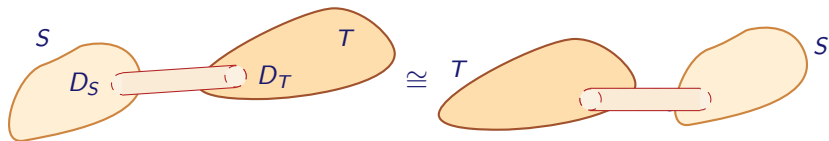
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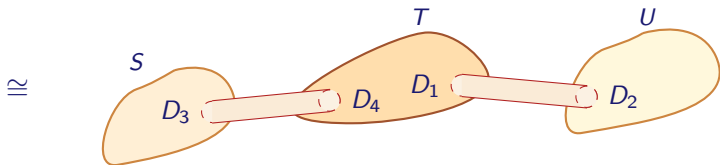
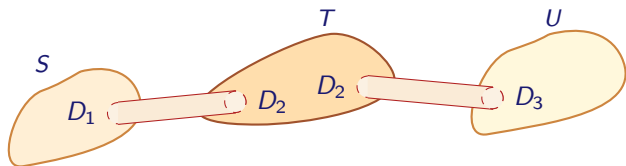


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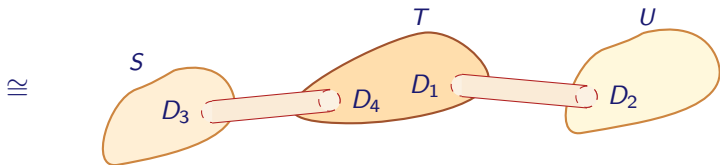
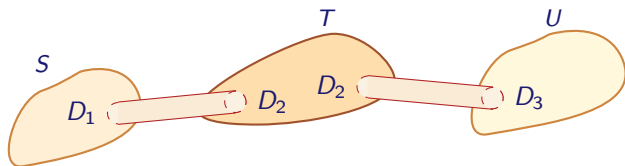
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In these diagrams,  $D_1$  and  $D_2$  are cut first and then  $D_3$  and  $D_4$

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$\implies \#$  is a “surface addition or multiplication”

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## Theorem

Let  $S$  and  $T$  be surfaces with polygonal decompositions. Then

$$\chi(S \# T) = \chi(S) + \chi(T) - 2$$

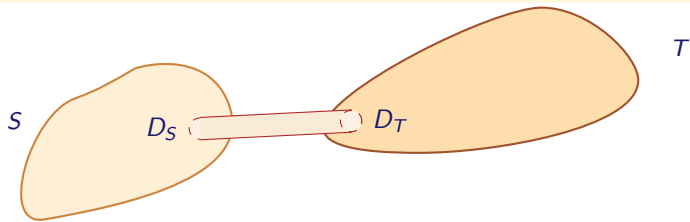
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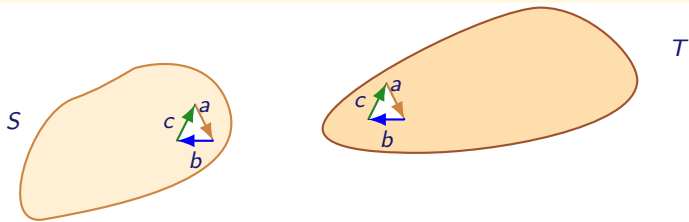
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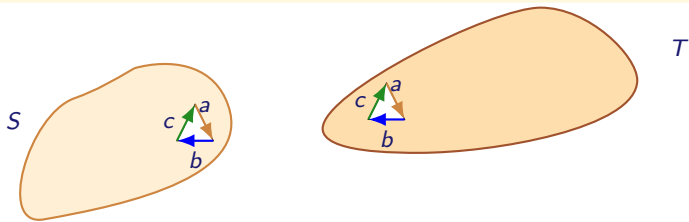
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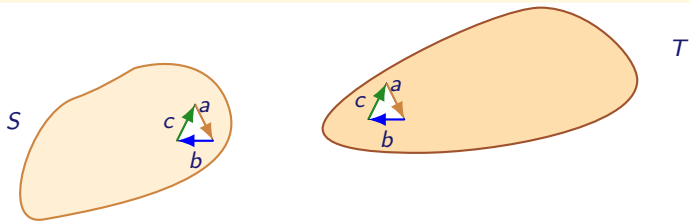
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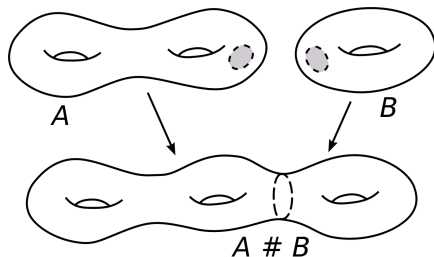


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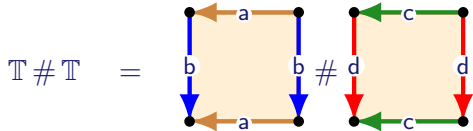
**Moral** The  $-2$  comes from cutting out **two** disks

# Examples

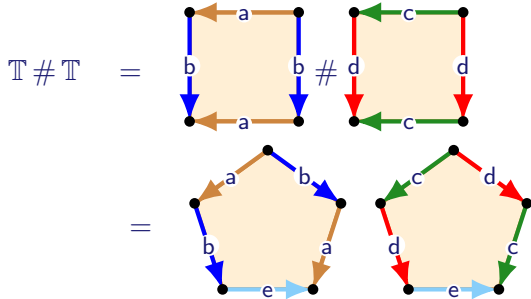
- If  $S$  is any surface then  $S \cong S \# S^2$   
 $\implies \chi(S) = \chi(S) + \underbrace{\chi(S^2)}_{=2} - 2 = \chi(S)$
- $\mathbb{A} \cong \mathbb{D}^2 \# \mathbb{D}^2 \implies \chi(\mathbb{A}) = \chi(\mathbb{D}^2) + \chi(\mathbb{D}^2) - 2 = 1 + 1 - 2 = 0$
- $\chi(\mathbb{T} \# \mathbb{T} \# \mathbb{T}) = (\chi(\mathbb{T}) + \chi(\mathbb{T}) - 2) + \chi(\mathbb{T}) - 2 = -4$



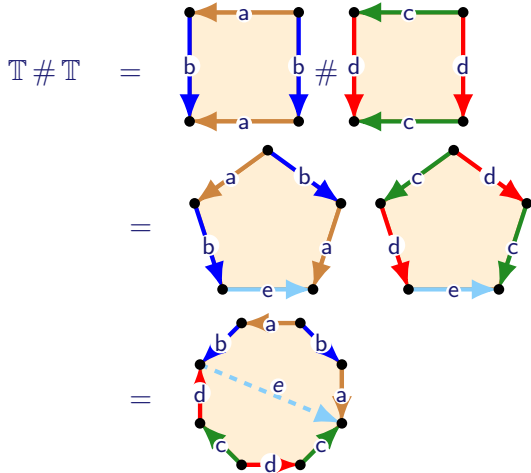
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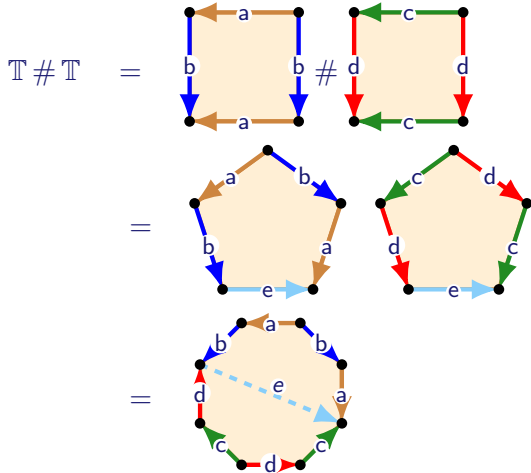
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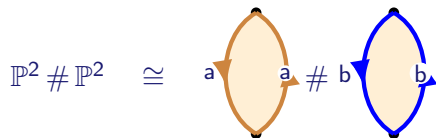


$\implies$  For surfaces without a boundary you can cut the disks anywhere!



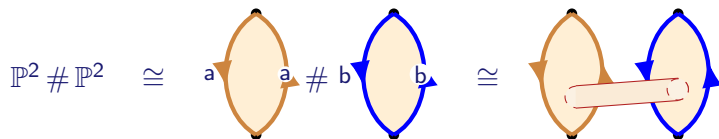
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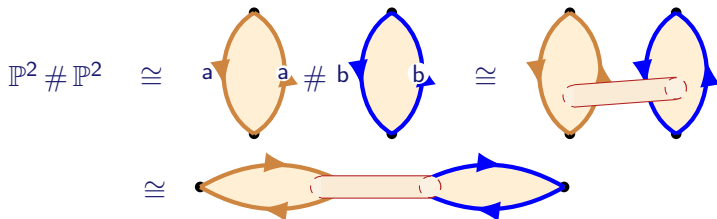
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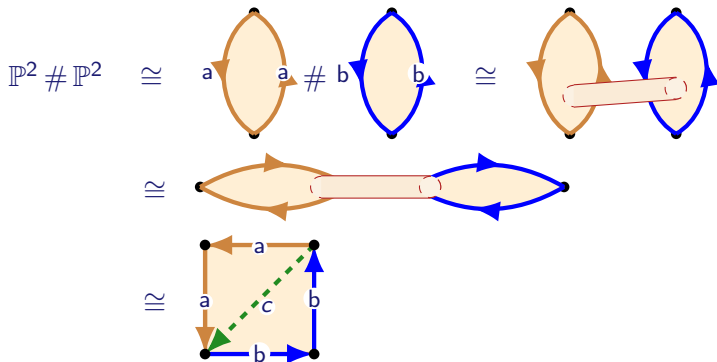
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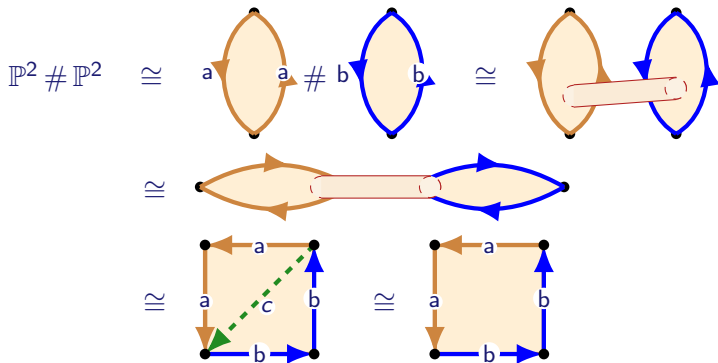
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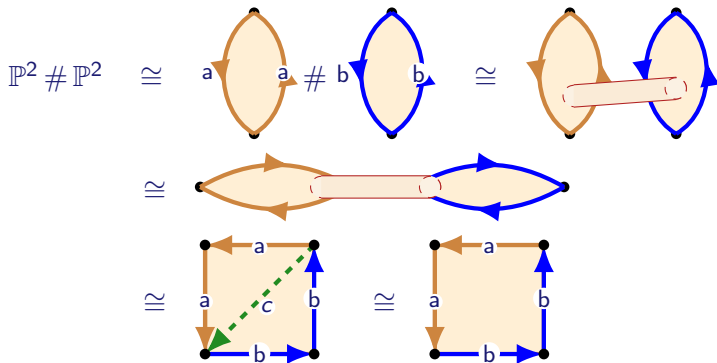
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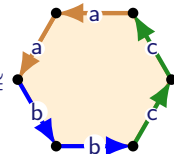
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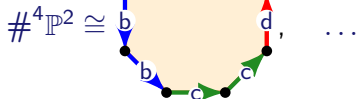
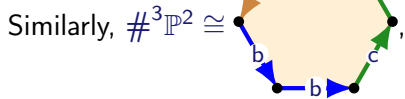
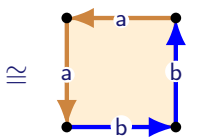
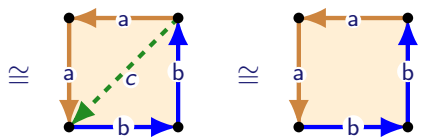
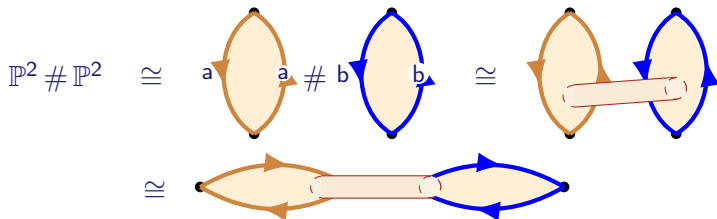
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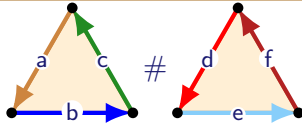
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# Connected sums and polygonal decompositions...

$\mathbb{D}^2 \# \mathbb{D}^2$

$\cong$

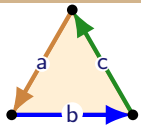




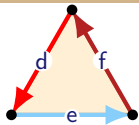
# Connected sums and polygonal decompositions...

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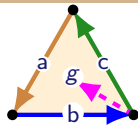
$\cong$



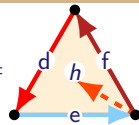
$\#$



$\cong$



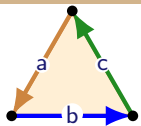
$\#$



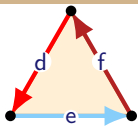
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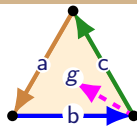
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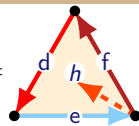
$\#$



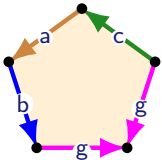
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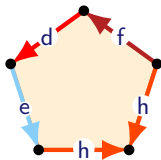
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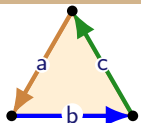
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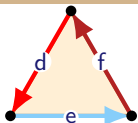
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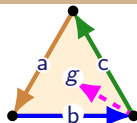
$\cong$



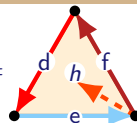
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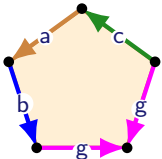
$\cong$



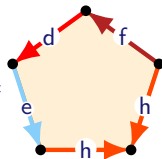
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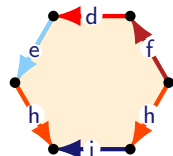
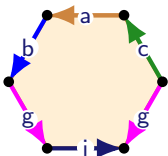
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$\#$



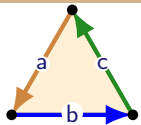
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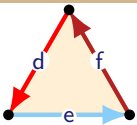
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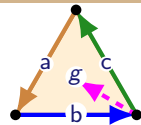
$\cong$



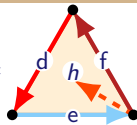
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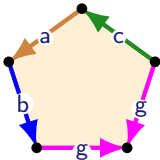
$\cong$



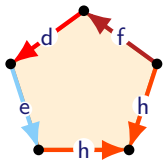
$\#$



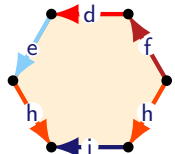
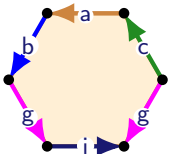
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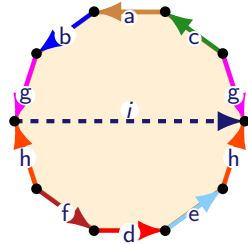
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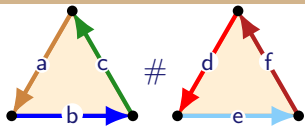
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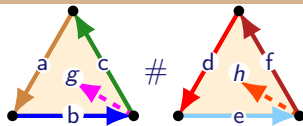
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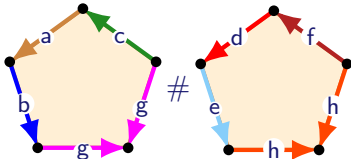
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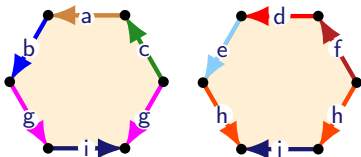
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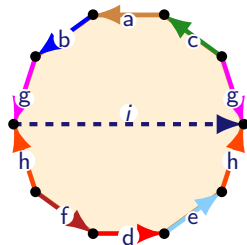
$=$



$=$



$=$



$\implies$  For surfaces with a boundary, you can cut into the interior, if necessary, to form the connected sum

# Surgery

We have already seen that it is possible to change one polygonal decomposition into another using **surgery**

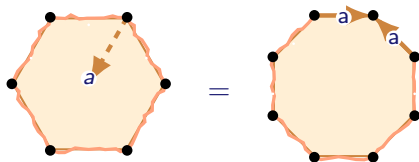
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# Surgery

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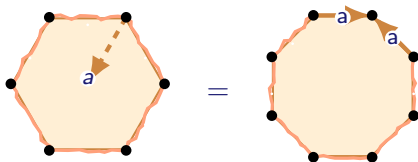


# Surgery

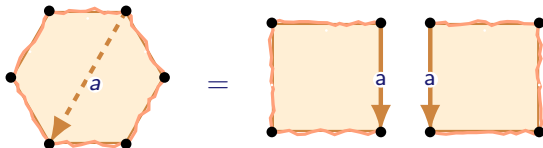
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There are two basic operations:

- Adding and removing edges:



- Cutting and gluing



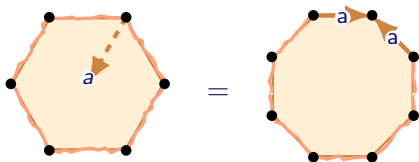


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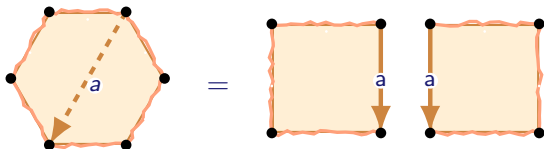
We have already seen that it is possible to change one polygonal decomposition into another using **surgery**

There are two basic operations:

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Perhaps surprisingly, these two operations and subdivision are all that we need

# Surgery on the Möbius strip

## Lemma

$$M \cong \mathbb{D}^2 \# \mathbb{P}^2 \quad (= \text{a punctured projective plane})$$

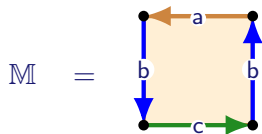
## Proof

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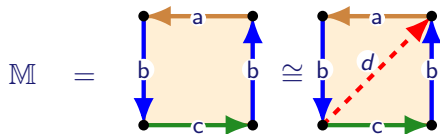


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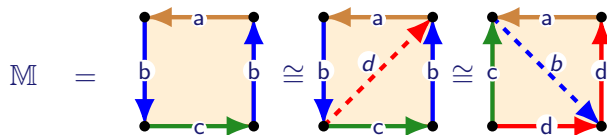


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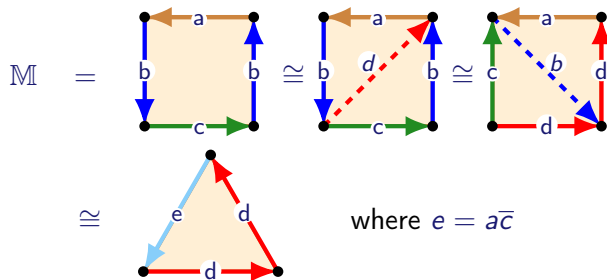


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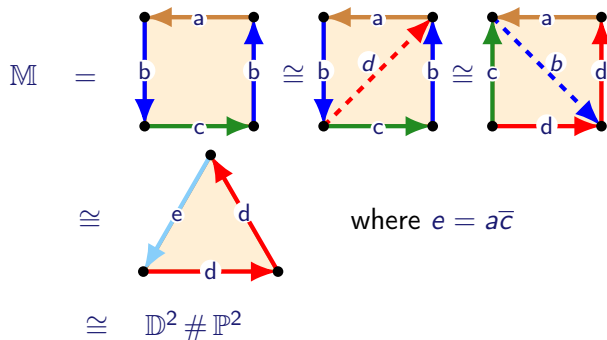
where  $e = a\bar{c}$

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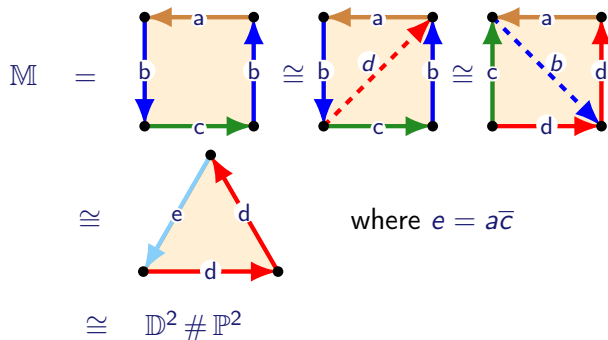


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$\implies$  A Möbius strip is a punctured projective plane

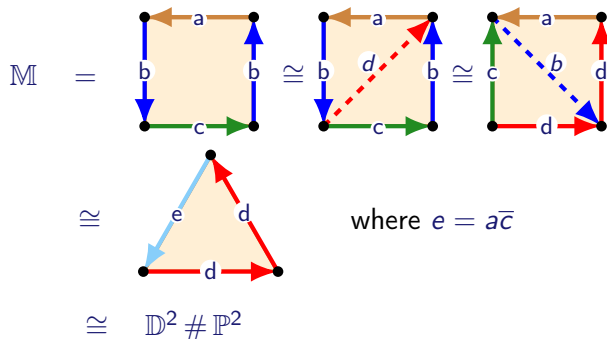


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$$\mathbb{M} \cong \mathbb{D}^2 \# \mathbb{P}^2 \quad (= a \text{ punctured projective plane})$$

## Proof



$\implies$  A Möbius strip is a punctured projective plane

$\implies$  Every non-orientable surface contains the projective plane

# Surgery on the Klein bottle

## Lemma

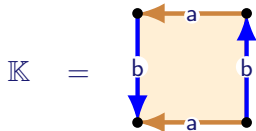
$$\mathbb{K} \cong \mathbb{P}^2 \# \mathbb{P}^2 \cong \#^2 \mathbb{P}^2$$

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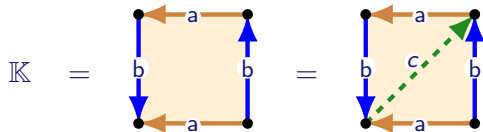


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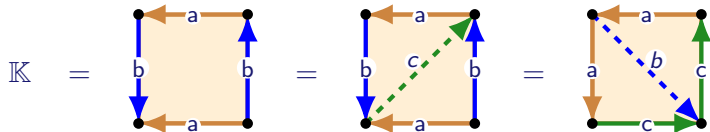


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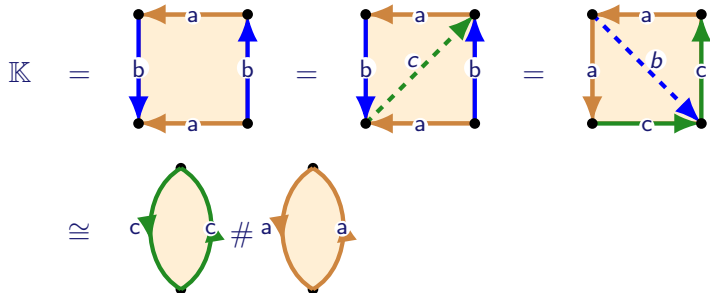


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$$\begin{aligned} \mathbb{K} &= \begin{array}{c} \bullet \xleftarrow{a} \bullet \\ \downarrow b \quad \uparrow b \\ \bullet \xleftarrow{a} \bullet \end{array} = \begin{array}{c} \bullet \xleftarrow{a} \bullet \\ \downarrow b \quad \nearrow c \\ \bullet \xleftarrow{a} \bullet \end{array} = \begin{array}{c} \bullet \xleftarrow{a} \bullet \\ \downarrow a \quad \searrow b \\ \bullet \xleftarrow{c} \bullet \end{array} \\ &\cong \begin{array}{c} \bullet \xleftarrow{c} \bullet \\ \downarrow a \quad \uparrow a \\ \bullet \xleftarrow{c} \bullet \end{array} \# \begin{array}{c} \bullet \xleftarrow{a} \bullet \\ \downarrow a \quad \uparrow a \\ \bullet \xleftarrow{a} \bullet \end{array} \\ &\cong \mathbb{P}^2 \# \mathbb{P}^2 \end{aligned}$$

# Surgery on a torus and projective plane

## Theorem

$$\mathbb{T} \# \mathbb{P}^2 \cong \mathbb{K} \# \mathbb{P}^2$$



# Surgery on a torus and projective plane

## Theorem

$$\mathbb{T} \# \mathbb{P}^2 \cong \mathbb{K} \# \mathbb{P}^2$$

## Proof

$$\mathbb{T} \# \mathbb{P}^2 = \text{[Square with boundary labels } a, b, a, b \text{]} \# \text{[Lens with boundary labels } c, c \text{]}$$

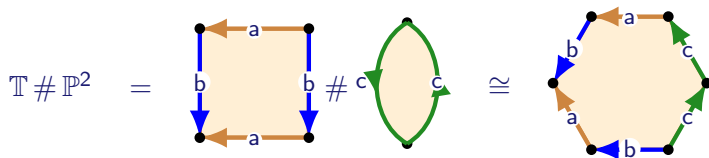
The diagram illustrates the decomposition of the connected sum of a torus and a projective plane. On the left, a square represents the torus part, with boundary segments labeled 'a' (horizontal) and 'b' (vertical). On the right, a lens-shaped region represents the projective plane part, with boundary segments labeled 'c'. The two shapes are separated by a '#' symbol, indicating their connected sum.

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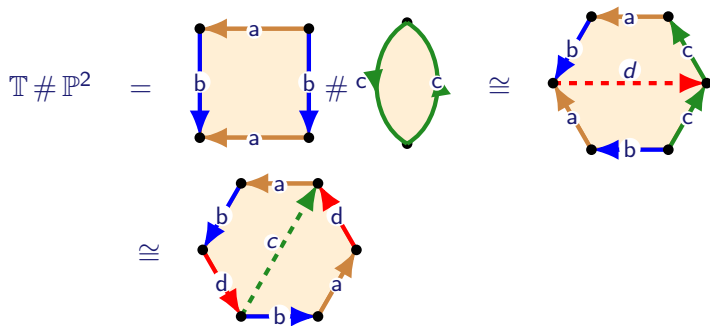


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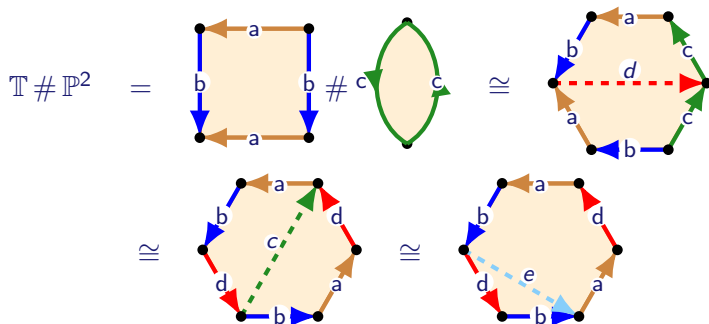


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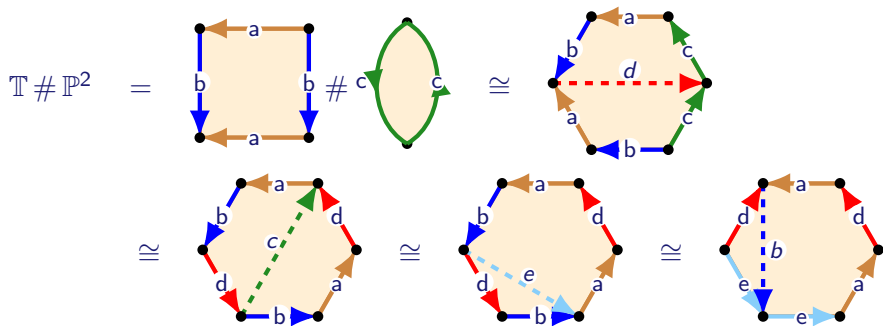


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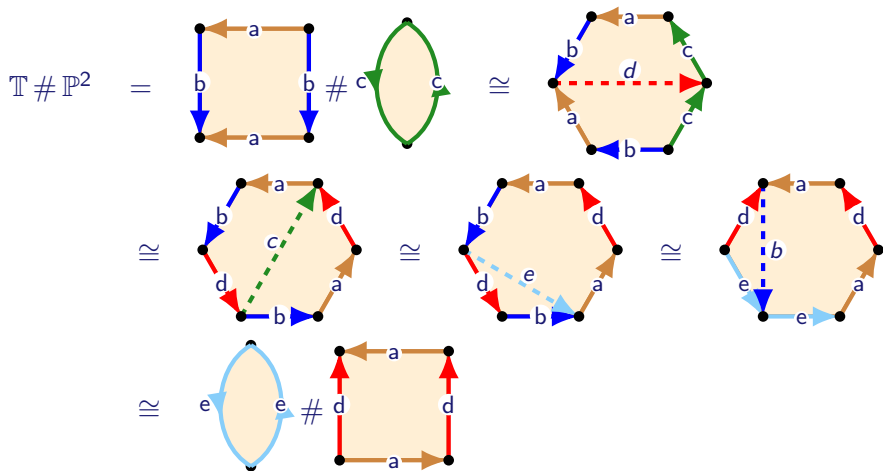


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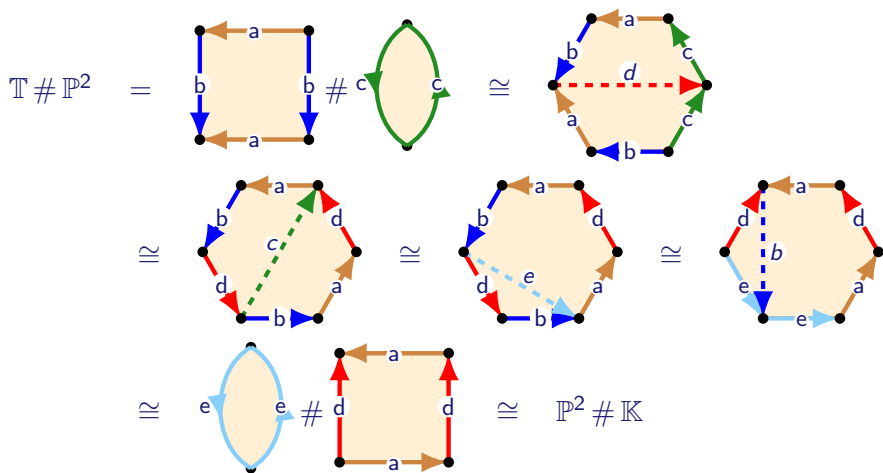


# Surgery on a torus and projective plane

## Theorem

$$\mathbb{T} \# \mathbb{P}^2 \cong \mathbb{K} \# \mathbb{P}^2$$

## Proof



## Projective planes dominate

On the last slide we saw that

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$$\implies \mathbb{T} \# \mathbb{P}^2 \cong \#^3 \mathbb{P}^2 \text{ since } \mathbb{K} \cong \#^2 \mathbb{P}^2$$

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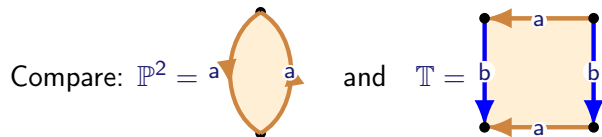
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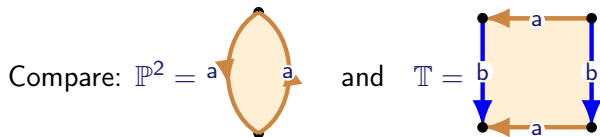
**Warning** Connected sums do **not** cancel since  $\mathbb{T} \not\cong \mathbb{K}$

**Why?**  $\mathbb{T}$  embeds in  $\mathbb{R}^3$  but  $\mathbb{K}$  does not!

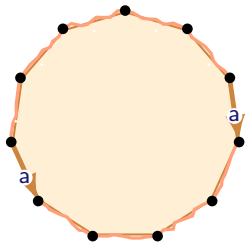
# Oriented and unoriented edges



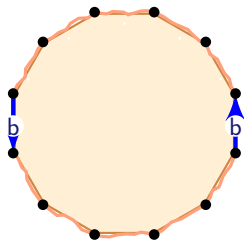
# Oriented and unoriented edges



Paired edges on a polygon are **oriented** if they point in **opposite** directions and **unoriented** if they point in the same direction

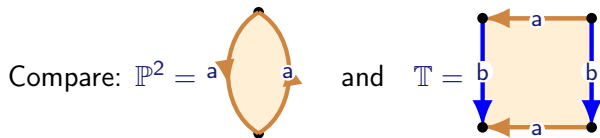


Oriented

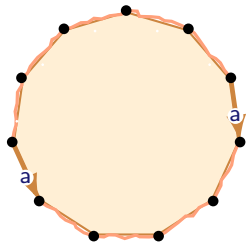


Unoriented

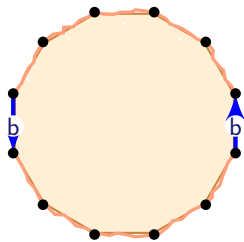
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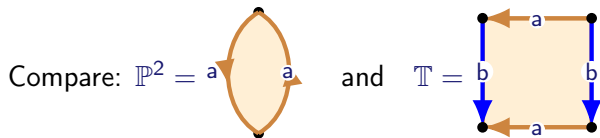
Oriented



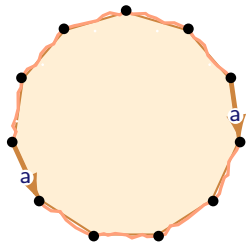
Unoriented

Oriented edges can be folded together without twisting whereas unoriented edges can only be brought together if the surface is twisted

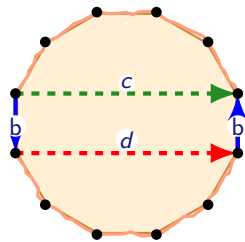
# Oriented and unoriented edges



Paired edges on a polygon are **oriented** if they point in **opposite** directions and **unoriented** if they point in the same direction



Oriented



Unoriented

$\mathbb{M}$

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# Classification of connected surfaces

## Theorem

Let  $S$  be a connected surface. Then there exist non-negative integers  $d$ ,  $p$  and  $t$  such that

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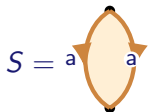
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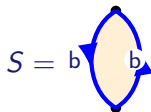
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$$S = a \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} a \cong S^2 \quad \text{or} \quad S = b \begin{array}{c} \curvearrowright \\ \curvearrowright \end{array} b \cong \mathbb{P}^2$$

$\implies$  The theorem is true in this case

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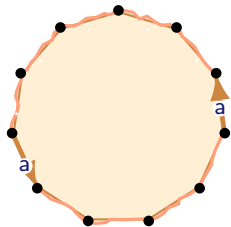
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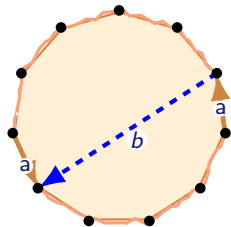
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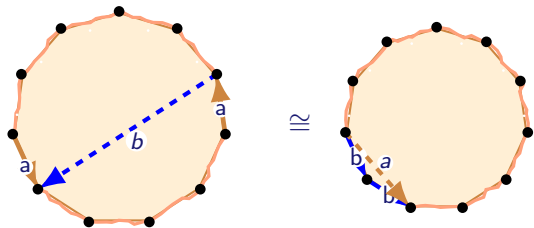
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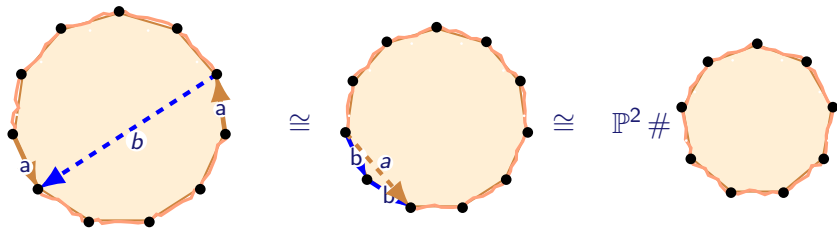
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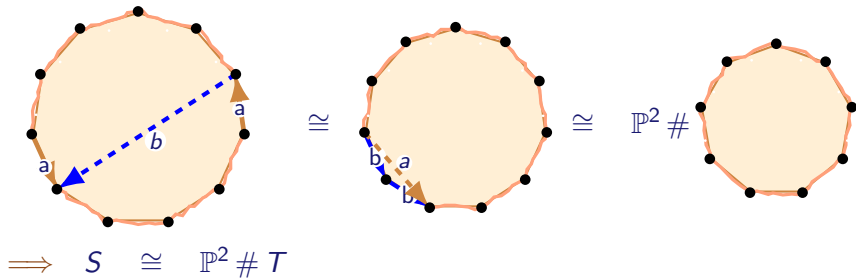
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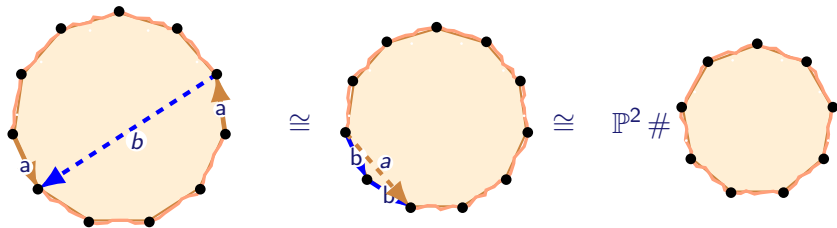
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$$\implies S \cong \mathbb{P}^2 \# T$$

By induction,  $T \cong S^2 \# \#^d \mathbb{D}^2 \# \#^p \mathbb{P}^2 \# \#^t \mathbb{T}$  since  $T$  has fewer edges



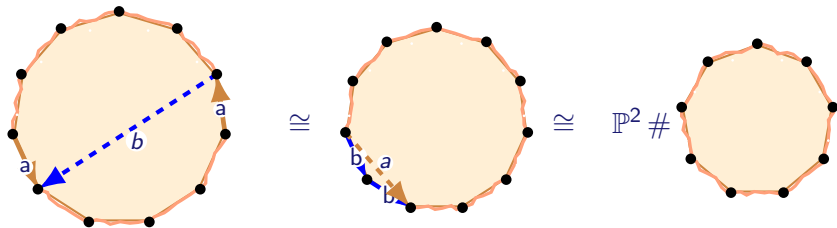
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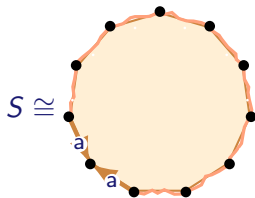
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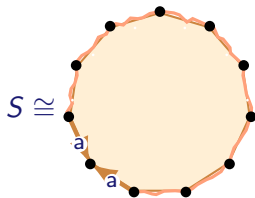
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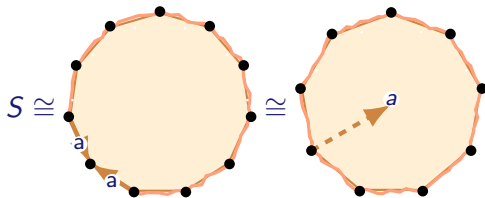
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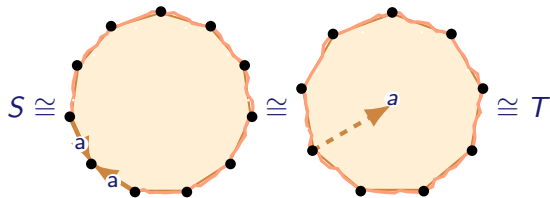
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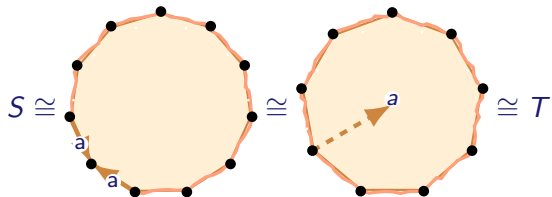
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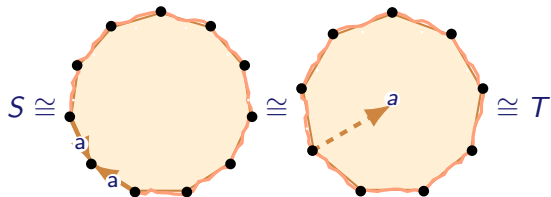


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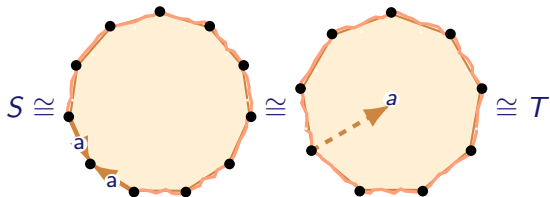
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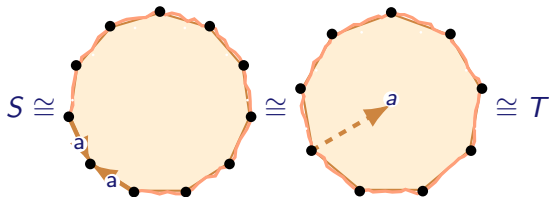
Similarly, we can assume that  $S$  does not have any adjacent free edges as such edges can be replaced with a single free edge



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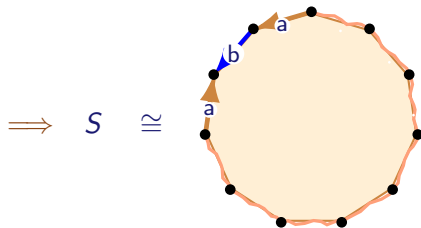
Fix an (oriented) paired edge  $a$  such that the number of edges between the two copies of  $a$  is **minimal**

## Proof of the classification theorem...

Case IIa: All edges on one side of  $a$  are free

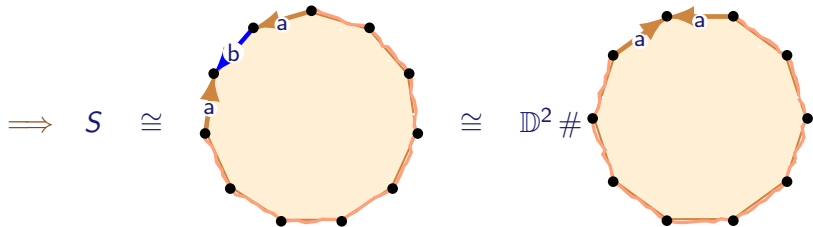
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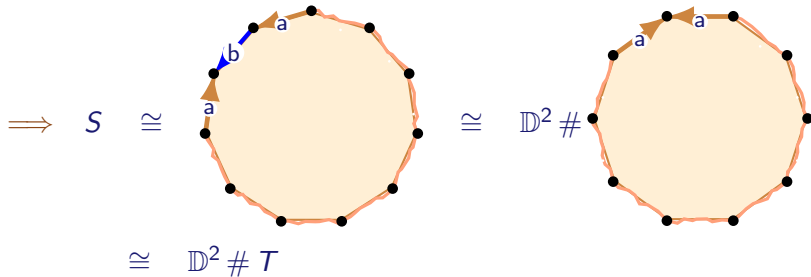
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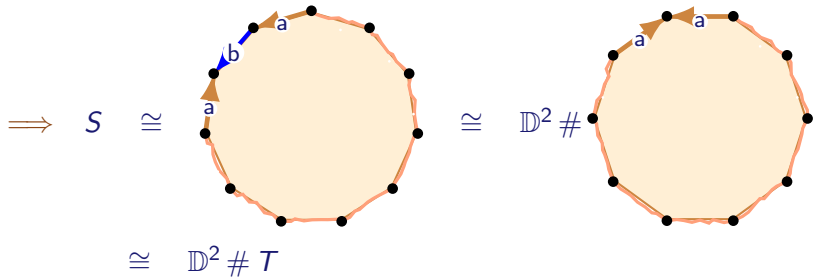
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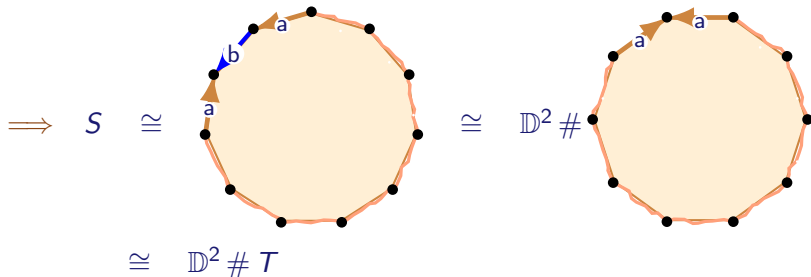
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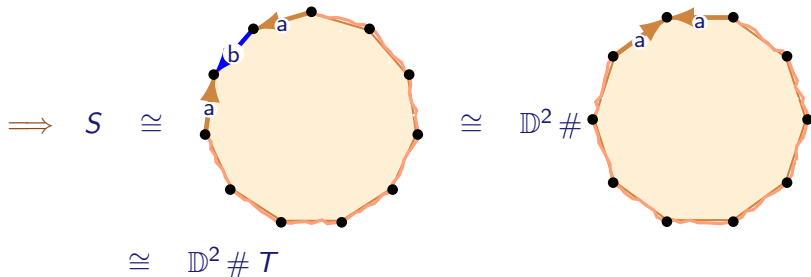


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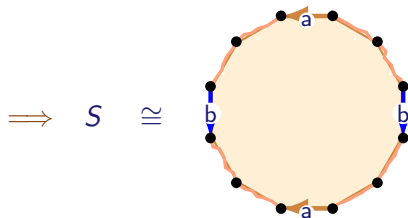
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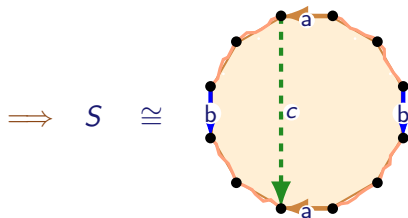
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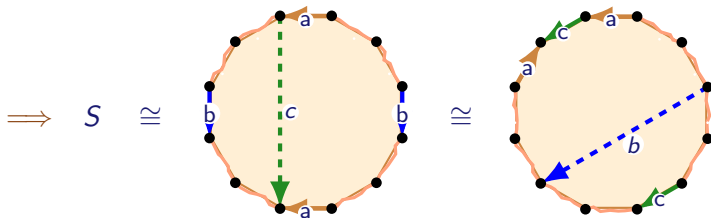
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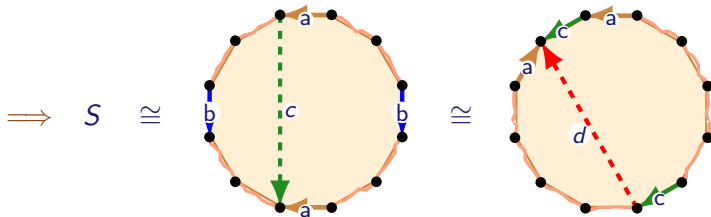
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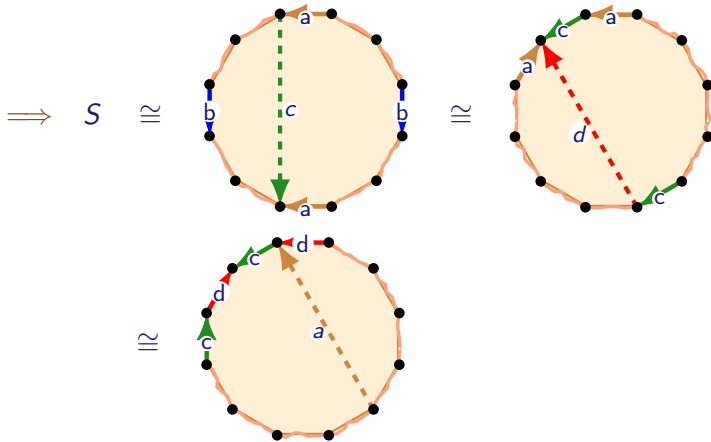
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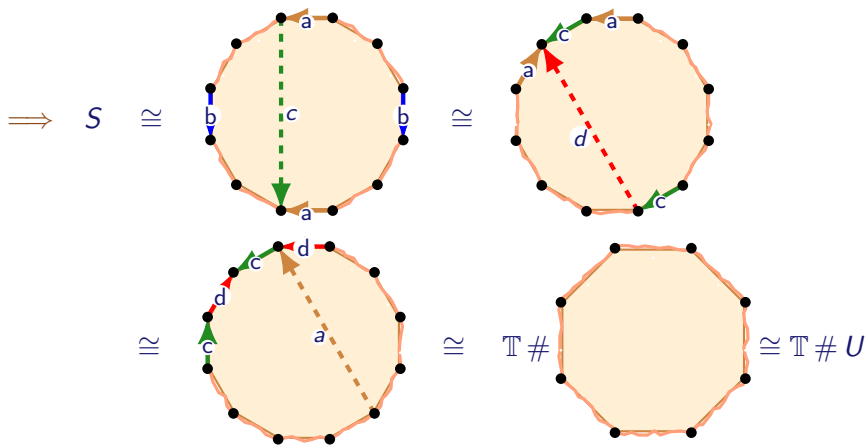
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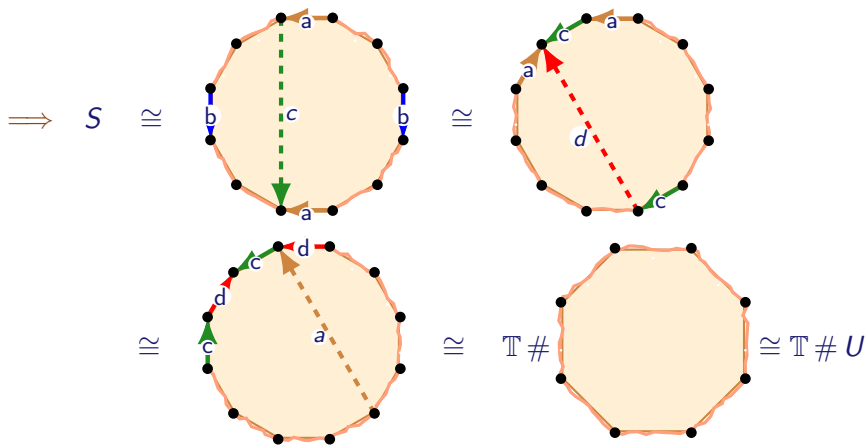
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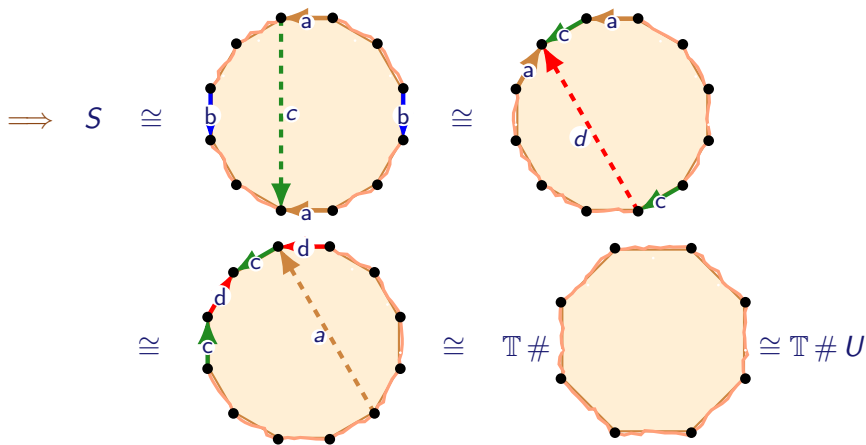
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All parts of the classification theorem are now proved!!

Hence, we now know **all** surfaces up to homeomorphism!

## Corollary

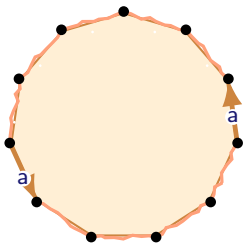
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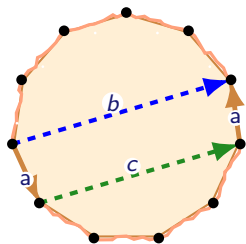
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Conversely,  $S = S^2 \# \#^d \mathbb{D}^2 \# \#^t \mathbb{T}$  embeds in  $\mathbb{R}^3$ , so it is orientable. Hence, a polygonal decomposition of  $S$  can only contain oriented edges

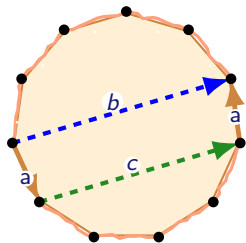


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It is now not hard to find an explicit polygonal decomposition of

$$S = S^2 \# \#^d \mathbb{D}^2 \# \#^t \mathbb{T}$$

and check that surgery cannot create unoriented edges in  $S$

## Theorem

Let  $S$  be a connected surface. Then there exist non-negative integers  $d$ ,  $p$  and  $t$  with  $pt = 0$  such that

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The standard form of a surface that is not connected has each component in standard form



# Corollary of classification

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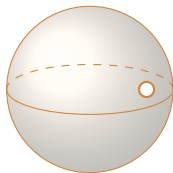
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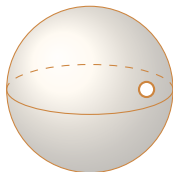
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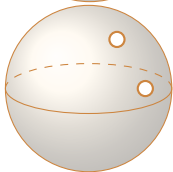
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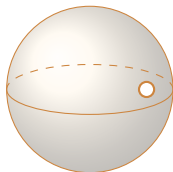
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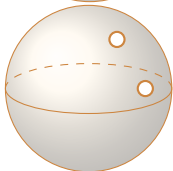
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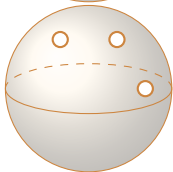
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$$S^2 \# \#^3 \mathbb{D}^2 =$$

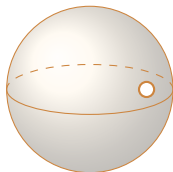




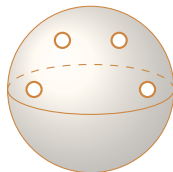
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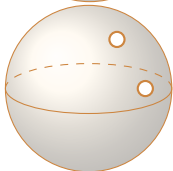
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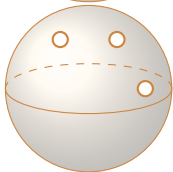
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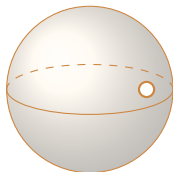
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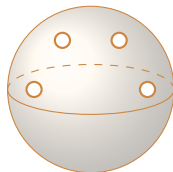
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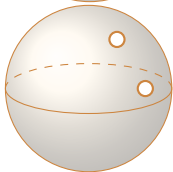
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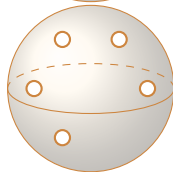
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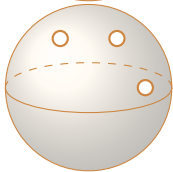
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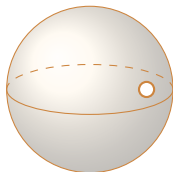
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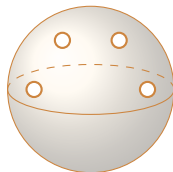
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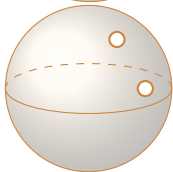
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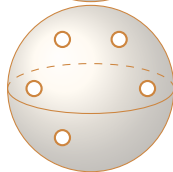
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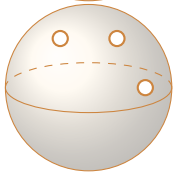
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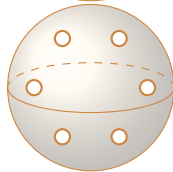
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More generally,  $S \# \#^d \mathbb{D}^2$  is  $S$  with  $d$  punctures

# A spheres with zero and one puncture



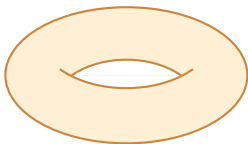
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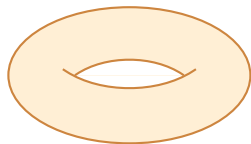
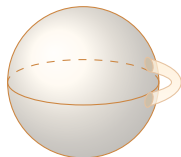
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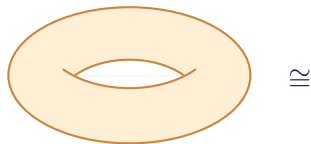
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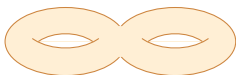
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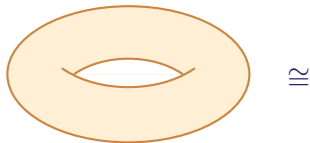
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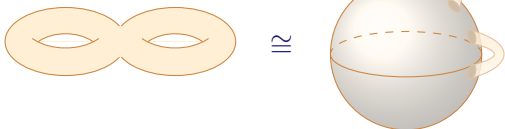
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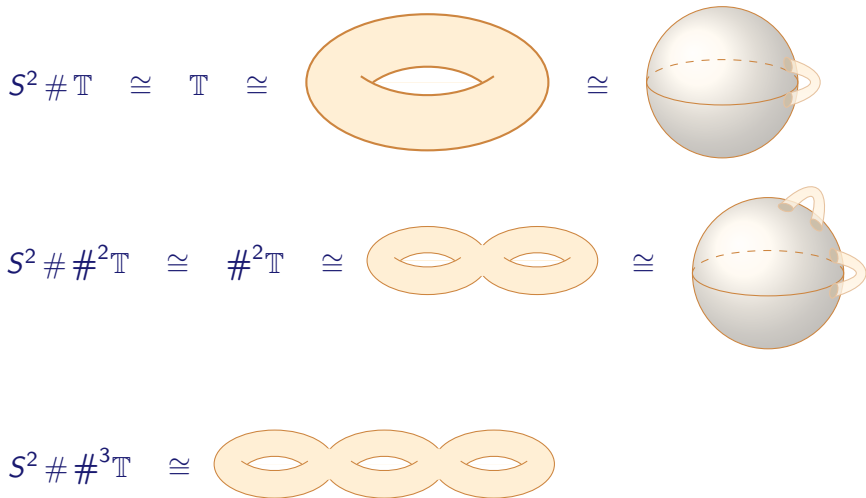


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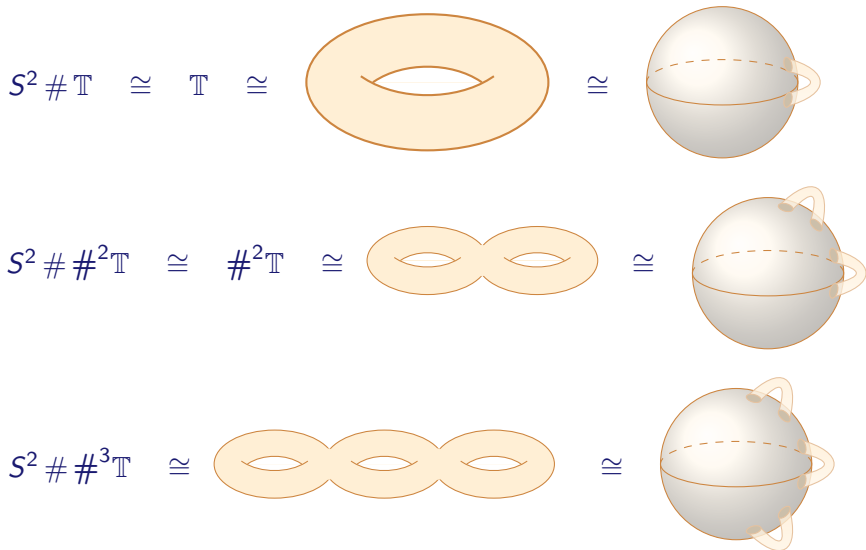
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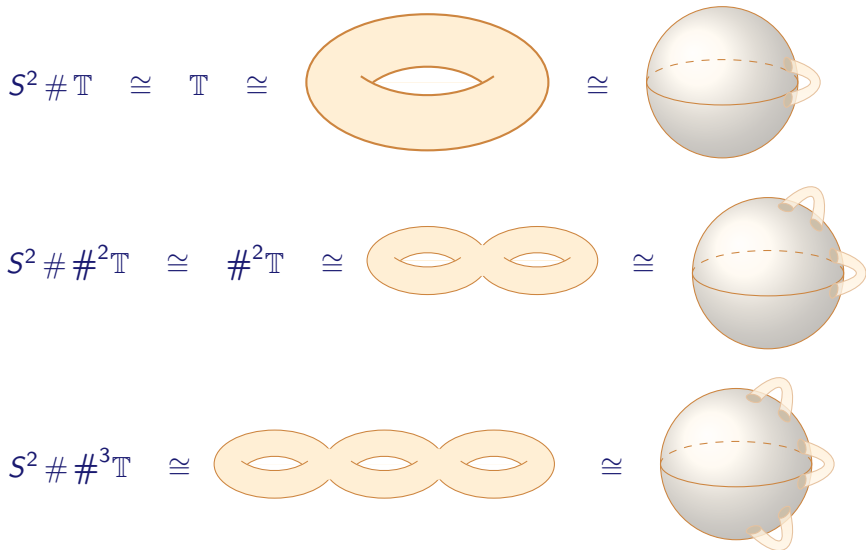
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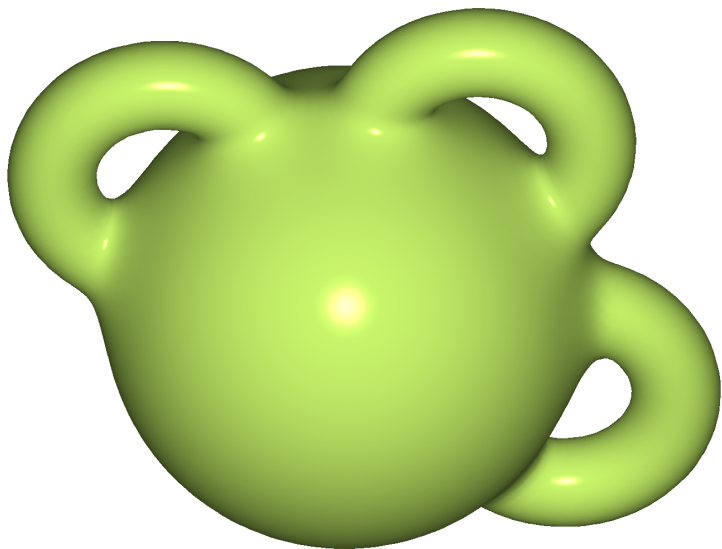


# Spheres with handles

- $S^2 \# \#^t \mathbb{T}$  is a sphere with  $t$  handles



Continuing like this constructs a sphere with  $t$ -handles  $\#^t \mathbb{T}$



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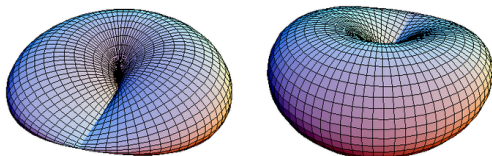
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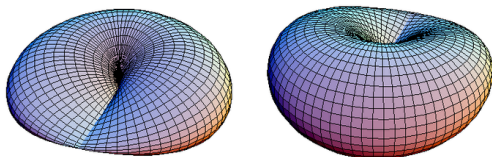
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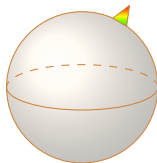
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In  $\mathbb{R}^3$  this surface self-intersects. We draw surfaces with cross caps as:

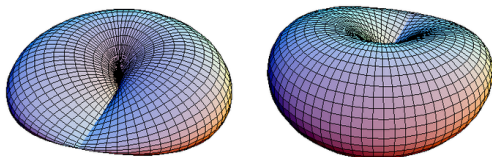
$$S^2 \# \#^1 \mathbb{P}^2 \cong$$



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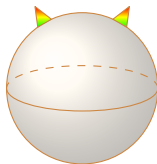
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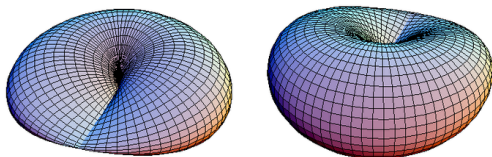
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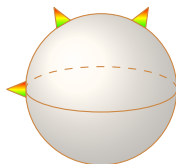
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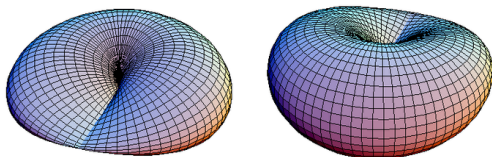
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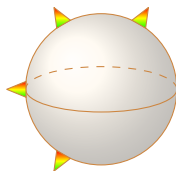
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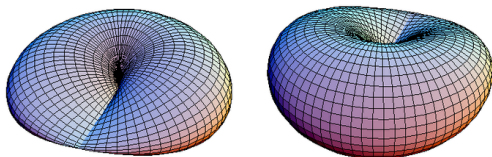
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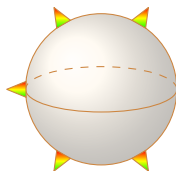
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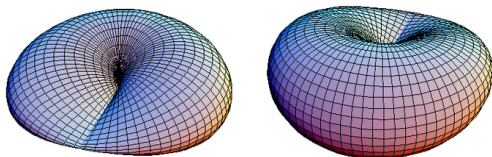
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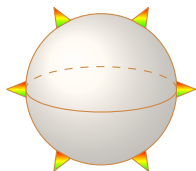
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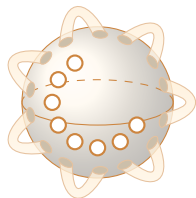
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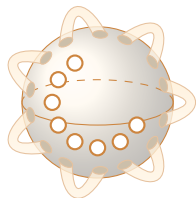
$$\#^8 \mathbb{D}^2 \# \#^7 \mathbb{T} \cong$$



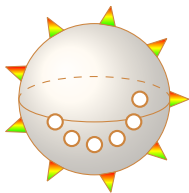
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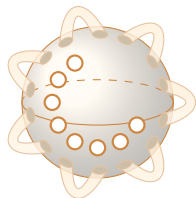
$$\#^6 \mathbb{D}^2 \# \#^9 \mathbb{P}^2 \cong$$



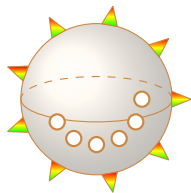
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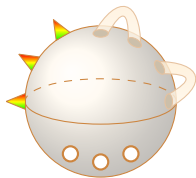
$$\#^8 \mathbb{D}^2 \# \#^7 \mathbb{T} \cong$$



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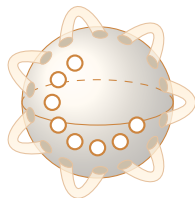
$$\#^3 \mathbb{D}^2 \# \#^2 \mathbb{T} \# \#^3 \mathbb{P}^2 \cong$$



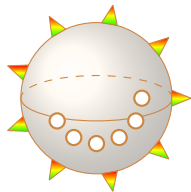
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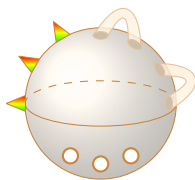
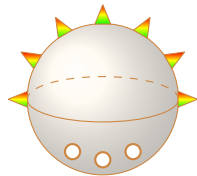
$$\#^8 \mathbb{D}^2 \# \#^7 \mathbb{T} \cong \mathbb{R}^3$$



$$\#^6 \mathbb{D}^2 \# \#^9 \mathbb{P}^2 \cong \mathbb{R}^3$$



$$\#^3 \mathbb{D}^2 \# \#^2 \mathbb{T} \# \#^3 \mathbb{P}^2 \cong \mathbb{R}^3$$

 $\cong \mathbb{R}^3$ 

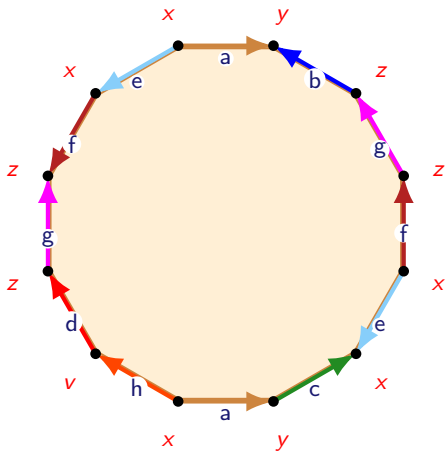
## Putting a surface in standard form

Given a polygonal decomposition for a surface we can put it in standard form by:

- Find all of the vertices (identified edges implicitly identify vertices)
- Count the number  $d$  of boundary circles
- $S$  is orientable ( $p = 0$ ) if all edges are oriented otherwise it is non-orientable ( $t = 0$ )
- Compute  $\chi(S) = 2 - d - p - 2t$  to determine the missing variable, which is  $t$  if  $S$  is orientable and or  $p$  if non-orientable

# Example 1

What is the surface with the below polygonal decomposition?

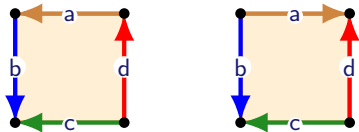


$a c \bar{e} f g b \bar{a} e f \bar{g} d \bar{h}$  (overline=opposite direction)

$\implies$  This is  $\#^1 \mathbb{D}^2 \# \#^0 \mathbb{T} \# \#^4 \mathbb{P}^2$

## Example 2

What is the standard form of the surface with polygonal decomposition?





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