

## Lecture 4 Pivotal cats - defs, examples + diagrams

**Recall** A monoidal category  $\mathcal{C}$  admits a graphical calculus with upwards planar isotopies.

**However** in some examples we have seen that we want to allow "change of orientation" eg. in TL

$$\curvearrowright = | = \curvearrowleft$$

**Next**

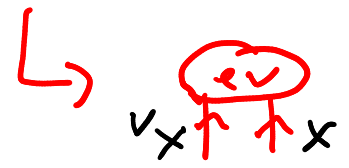
How to do this  
in general

Def 4.1 A **left dual**  ${}^{\vee}X \in \mathcal{C}$  of  $X \in \mathcal{C}$  is an object together with two morphisms

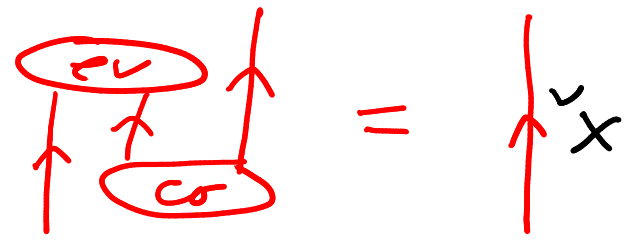
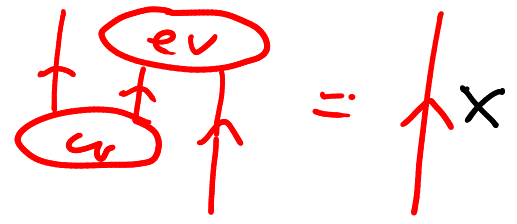
*This is a choice: Beware*

$$ev_X : {}^{\vee}X X \rightarrow \mathbb{1}$$

$$coev_X : \mathbb{1} \rightarrow X X^{\vee}$$



such that they are non-degenerate i.e.



- left duals are unique, if they exist

- similarly right duals  $X^{\vee}$ ,  $\widetilde{ev}_X : X X^{\vee} \rightarrow \mathbb{1}$   
 $\widetilde{coev}_X : \mathbb{1} \rightarrow X^{\vee} X$

Def 4.2 A  $\otimes$ -cat  $\mathcal{C}$  is called **rigid** if every<sup>3</sup> object has a left and a right dual

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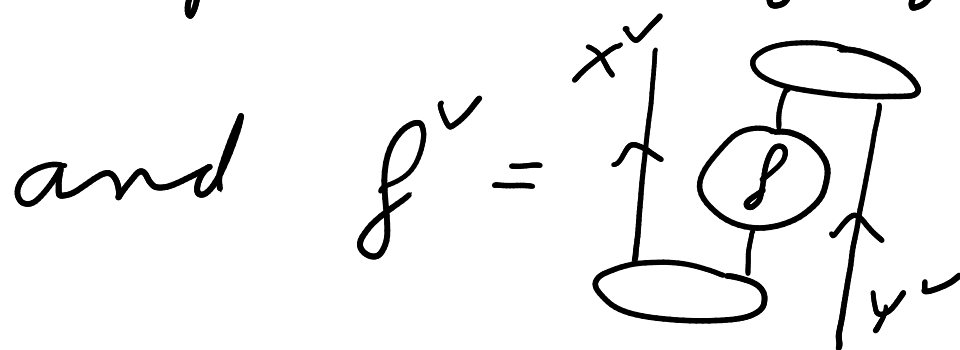
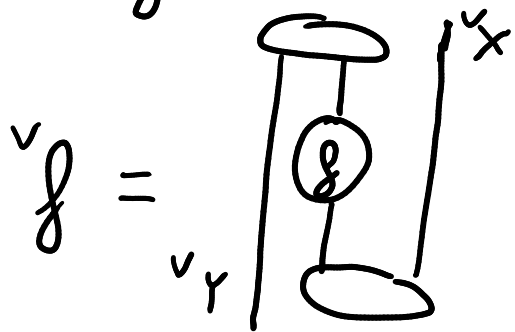
**Examples** -  $(\text{Vect}_{\mathbb{K}}, \otimes)$  is not rigid, but its  $\otimes$ -subcategory  $\text{fdVect}_{\mathbb{K}}$  is. The left = right dual is the dual vector space

-  $\text{Vect}^u(M)$  is rigid iff  $M = \text{a group}$  and  $\forall m = m^v = m^{-1}$

- TL rigid with  $\forall \bullet = \bullet^v = \bullet$  From now on  $\mathcal{C}$  is rigid

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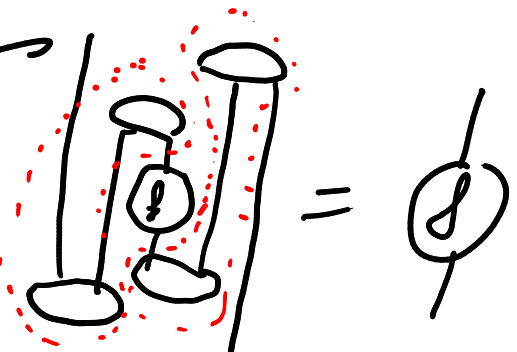
For  $f: X \rightarrow Y$  we can define its **mates**  ${}^v f, f^v$  via



One can check that  $\vee(g \circ f) = \vee f \circ \vee g$  and  $(g \circ f)^\vee = f^\vee \circ g^\vee$  4  
 which gives:  $\vee(gf) = \vee f \vee g$  and  $(gf)^\vee = f^\vee g^\vee$

Proposition 4.3 There are **duality functors**  $\vee, -^\vee: \mathcal{C} \rightarrow \mathcal{C}^{\text{cop}}$

These are monoidal equivalences

Proof: Observe  $(\vee f)^\vee = f = \vee(f^\vee)$ , eg 

So we have quasi-inverses  $\vee, -^\vee: \mathcal{C}^{\text{cop}} \rightarrow \mathcal{C}$

In particular,  $\vee(X^\vee) \simeq X \simeq (\vee X)^\vee$

**Example | Warning** We do not have  $\vee X \simeq X^\vee$  in general, which would imply  $X^{\vee\vee} \simeq X \simeq \vee\vee X$

Examples of such need some background (or are trivial...), eg.  $\mathfrak{g}$ -d comodules over  $U_{\mathfrak{g}}(r(\mathfrak{g}))$  is an example

Def 4.4 A **pivotal** cat  $\mathcal{C}$  is a rigid cat such that  $\langle -, - \rangle$  are isomorphic. If we fix such an isomorphism, then we call this a pivotal structure.

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In other words  $\langle X \cong X^{\vee} \rangle$  fixed, or  $\langle X \cong X^{\vee\vee} \rangle$  fixed or  $\langle X \cong X^{\vee\vee} \rangle$  fixed. Thus, we can write  $X^*$  without ambiguity. We will see  $\cong$  diagrammatically later.

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Graphical:  $X \uparrow$ ,  $\uparrow X^* =: \psi X$ ,  $\cup, \cup, \cap, \cap$

and  $\mu = \uparrow = \cup$  and  $\beta = \downarrow = \cap$

$$f^* = \downarrow \circlearrowleft \downarrow = \downarrow \circlearrowright \downarrow$$

let  $\ell$  now be pivotal.

Proposition 4.5 We have the sliding rules

$$\begin{array}{c} \circlearrowleft \\ | \end{array} = \begin{array}{c} \circlearrowright \\ | \end{array}, \quad \begin{array}{c} \circlearrowright \\ | \end{array} = \begin{array}{c} \circlearrowleft \\ | \end{array} + \text{orientation reversal}$$

Proof:  $\curvearrowright, \curvearrowleft, \downarrow, \uparrow$  are invertible operations eg.

$$\begin{array}{c} \downarrow \\ \circlearrowleft \\ \downarrow \end{array} \xrightarrow{\curvearrowright} \begin{array}{c} \circlearrowleft \\ | \end{array}$$

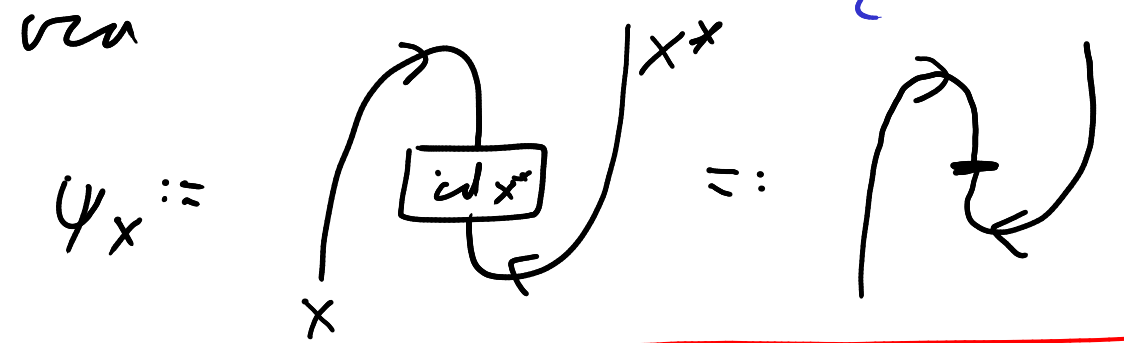
$$\begin{array}{c} \downarrow \\ \circlearrowright \\ \downarrow \end{array} \xrightarrow{\curvearrowleft} \begin{array}{c} \circlearrowright \\ | \end{array}$$

Proposition 4.6 We have  $\text{Hom}_\ell(X, Y, Z) \cong \text{Hom}_\ell(X, Z, Y)$  etc.

Proof Exactly the same argument.

Define  $\psi_X : X \rightarrow X^{**}$

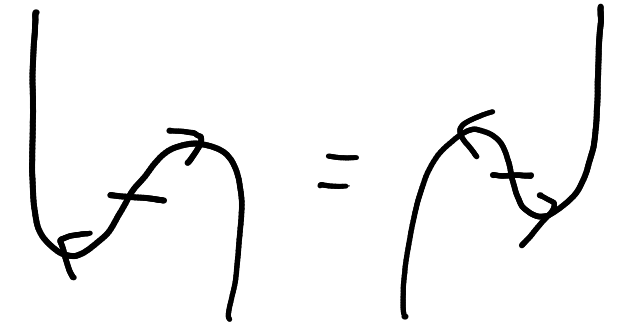
A pivotal structure



$\psi_{X^{**}}$  is the dual of  $\psi_X$ , or  $X^{***}$

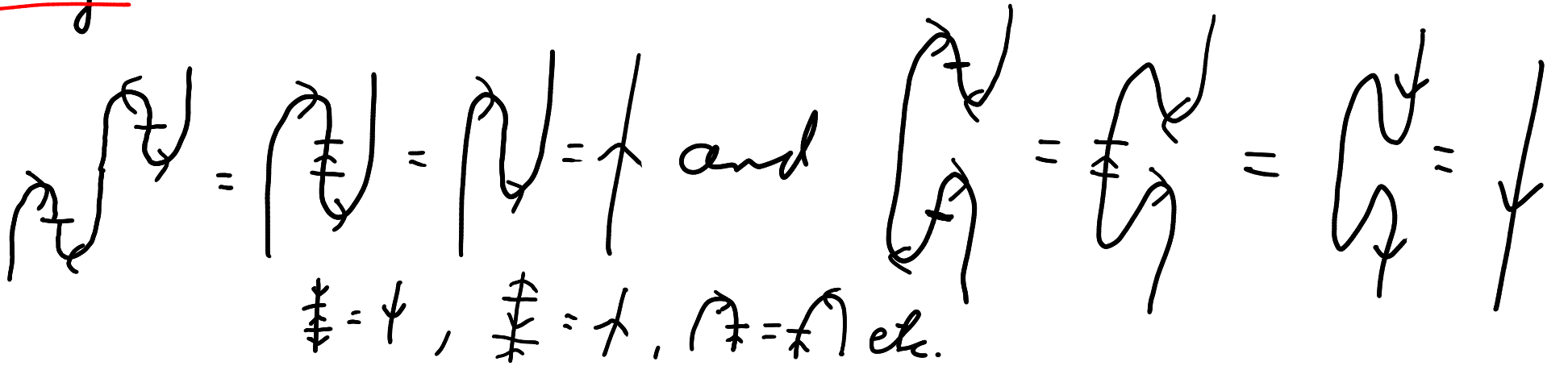
Lemma 4.6

$\psi_X$  is invertible with inverse



Thus, also

Proof



# Free examples

- The free rigid category generated by one object  $\bullet$  has two additional objects  $\vee \bullet$ ,  $\bullet \vee$  which satisfy  $(\vee \bullet) \vee = \bullet = \vee (\bullet \vee)$ , but nothing else. In particular  $\vee \vee \bullet \neq \bullet \neq \bullet \vee \vee$

- The free pivotal category generated by one object  $\bullet =: +$  has its dual  $\bullet^* =: -$  and morphisms

gens  $\begin{matrix} \cap \\ + & - \\ \cup \end{matrix}$   $\begin{matrix} \cap \\ - & + \\ \cup \end{matrix}$   $\begin{matrix} + & - \\ \cup \\ \cap \end{matrix}$   $\begin{matrix} - & + \\ \cup \\ \cap \end{matrix}$

- The free pivotal category generated by one self-dual object  $\bullet = \bullet^*$  is TL

no orientation  $\nearrow$



Def 4.7 The **left**  $\text{tr}_\mathcal{L}^l(f)$  and **right**  $\text{tr}_\mathcal{L}^r(f)$  trace of  $g$   
 $f: X \rightarrow X$  are

$$\text{tr}_\mathcal{L}^l(f) = \begin{array}{c} \uparrow \\ \textcircled{f} \\ \uparrow \end{array} \in \text{End}_\mathcal{L}(\mathbb{1})$$

$$\text{tr}_\mathcal{L}^r(f) = \begin{array}{c} \textcircled{f} \\ \downarrow \end{array} \in \text{End}_\mathcal{L}(\mathbb{1})$$

This is a vast generalization of the case  $\mathcal{L} = \text{for } \mathbb{K} \text{ over } \mathbb{K}$ :

$$X = \mathbb{K} \{x_1, \dots, x_n\}$$

$$X^* = \mathbb{K} \{x_1^*, \dots, x_n^*\}$$

$$\mathbb{A}: X^* X \rightarrow \mathbb{K}$$

$$x_i^* x_j \rightarrow \delta_{ij}$$

$$\mathbb{U}: \mathbb{K} \rightarrow X^* X$$

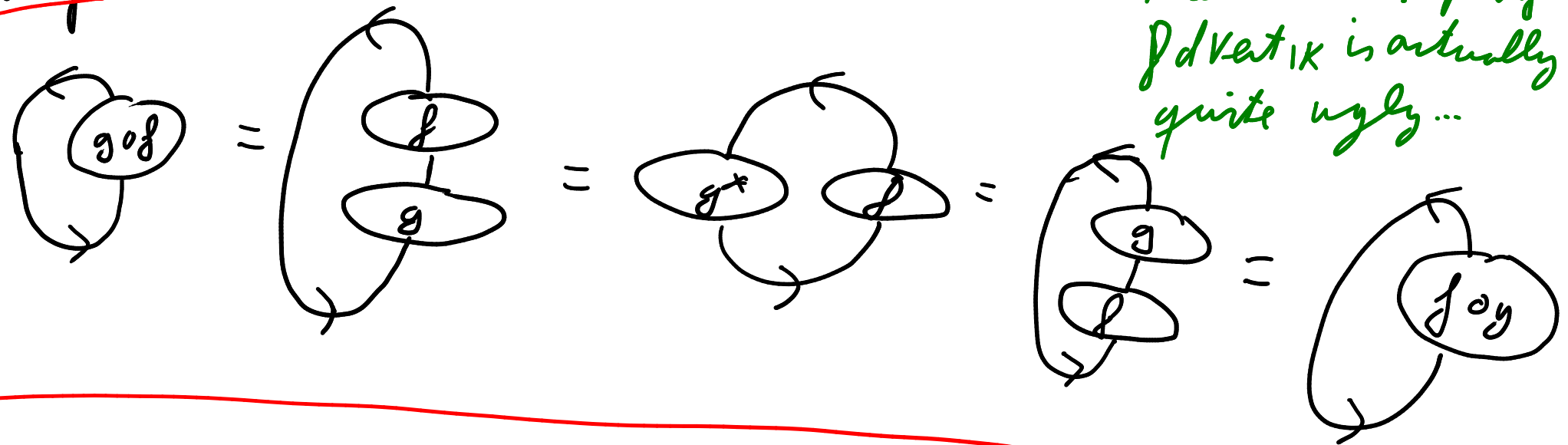
$$\mathbb{1} \mapsto \sum x_i^* x_i$$

$$\text{Then } \text{tr}_\mathcal{L}^l(f) = \text{tr}(f) = \text{tr}_\mathcal{L}^r(f)$$

$\mathbb{L}$  classical case  $f = (\emptyset)$

Lemma 4.8 Traces are symmetric, eg.  $\text{tr}_E^L(gof) = \text{tr}_E^L(fog)$

Proof

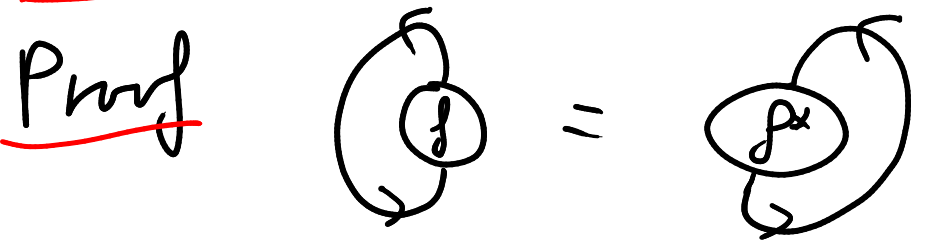


The matrix proof in  $\mathcal{P}dVect_K$  is actually quite ugly...

Lemma 4.9 Traces are linear, i.e.  $\text{tr}_E^L(\alpha) = \text{tr}_E^V(\alpha)$  and  $\text{tr}_E^L(\alpha \cdot f) = \alpha \cdot \text{tr}_E^L(f)$  etc., where  $\alpha \in \text{End}_E(\mathbb{1})$

Proof  $\alpha$  is a fixing bubble

Lemma 4.10 We have  $\text{tr}_E^L(f) = \text{tr}_E^V(f^*)$  etc.



Motivated by  $\text{tr} \begin{pmatrix} 1 & & 0 \\ & \ddots & \\ 0 & & 1 \end{pmatrix} = \text{tr}(\text{id}_X) = \dim X$  we also have <sup>11</sup>

Def 4.11  $\dim_{\mathcal{C}}^L(X) = \text{tr}_{\mathcal{C}}^L(\text{id}_X)$  and  $\dim_{\mathcal{C}}^R(X) = \text{tr}_{\mathcal{C}}^R(\text{id}_X)$   
*left dimension* *right dimension*

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Clearly  $\dim_{\mathcal{C}}^L(X) = \dim_{\mathcal{C}}^R(X^*) = \dim_{\mathcal{C}}^L(X^{**})$

$\dim_{\mathcal{C}}^R(X) = \dim_{\mathcal{C}}^L(X^*) = \dim_{\mathcal{C}}^R(X^{**})$

$\dim_{\mathcal{C}}^L(\mathbb{1}) = \dim_{\mathcal{C}}^R(\mathbb{1}) = \text{id}_{\mathbb{1}} = \emptyset$

*Picture*

$\dim_{\mathcal{C}}^L = \emptyset$

$\dim_{\mathcal{C}}^R = \emptyset$

A pivotal category (with  $\text{tr}_{\mathcal{C}}^L(f) = \text{tr}_{\mathcal{C}}^R(f) =: \text{tr}_{\mathcal{C}}(f) \forall f$ ) is called *spherical* because its diagrams live on a sphere  $\Rightarrow$

$$\text{tr}_{\mathcal{C}}(gf) = \text{tr}_{\mathcal{C}}(gf) = \text{tr}_{\mathcal{C}}(g) \text{tr}_{\mathcal{C}}(f) = \text{tr}_{\mathcal{C}}(g) \text{tr}_{\mathcal{C}}(f)$$

Examples - of course,  $\mathcal{C} = \text{fd Vert}_{\mathbb{K}}$  is spherical and  $\text{tr}_{\mathcal{C}} = \text{tr}$ ,  $\dim_{\mathcal{C}} = \dim$

- in the generic TL, which is spherical, we have  $\dim_{\mathcal{C}}(\bullet) = \bigcirc \in \text{End}_{\mathcal{C}}(\mathbb{1})$

-  $\text{Vert}^{\vee}(G)$  is pivotal.

- For any Hopf algebra  $H$ ,  $H\text{-Rep}$  is rigid

$H = \mathbb{K}$  is the example above

fd  $\uparrow$   
left module

can be chosen to be

- A special case of the above,  $H = \mathbb{K}(G)$  is spherical and  $\dim_{\mathcal{C}}(V)$  is the usual dim, but in  $\text{End}_{\mathcal{C}}(\mathbb{1}) = \mathbb{K}$ .

and that

In particular,  $\dim_{\mathcal{C}}(V) = 0$  is entirely possible.

- For quantum enveloping algebras  $\dim_{\mathcal{C}}$  is a quantum number  $\leftarrow$  will give a link invariant

can be chosen to be

**Remark** By def. rigid  $\Leftrightarrow$  pivotal  $\Leftrightarrow$  spherical 13

The converses are not true in general.

However, as I will discuss in a later lecture, under certain reasonable assumptions,  $\bullet \Rightarrow \bullet \Rightarrow \bullet$  are conjectured to hold.

$\rightarrow$  Still, after  $\sim 50$  years

So it should be too easy to find examples of  $\bullet \neq \bullet$ .

We have already seen that rigid  $\neq$  pivotal.

Also pivotal  $\neq$  spherical:

One can choose a pivotal structure on  $\text{Vect}^u(G)$  which is in general not spherical (More later)

Observation: Any  $\otimes$ -functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  carries an object  $X$  having a left dual to an object having a left dual. Similarly, of course for right duals. However, the following is the correct notion of a rigid functor:

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Def 4.12 Let  $\mathcal{C}, \mathcal{D}$  be rigid cats.

A monoidal functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is called **rigid**

if  $F(ev_x^{\mathcal{C}}) = ev_x^{\mathcal{D}}$  etc. hold.

*recall that we fixed these.*

$\leadsto$  We get the notion of rigid/pivotal/spherical cats to be expi.

# Bigger example

15

Take  $G = \mathbb{Z}/2\mathbb{Z} = \langle \sigma \rangle$  w the non-trivial 3-cycle.

Then  $\text{Vect}(G)$  is pivotal with two different choices of  $ev, coev$ , but always  $\sigma^* = \sigma$ :

- We can let  $ev_\sigma = 1$ ,  $\tilde{ev}_\sigma = -1$

$coev_\sigma = -1$  and  $\tilde{coev}_\sigma = 1$

So we get  $\dim_\mathbb{C}^l(\sigma) = 1 = \dim_\mathbb{C}^r(\sigma)$

- We can let  $ev_\sigma = 1$ ,  $\tilde{ev}_\sigma = 1$ ,  $coev_\sigma = -1$   
and  $\tilde{coev}_\sigma = -1$ .

Hence,  $\dim_\mathbb{C}^l(\sigma) = -1 = \dim_\mathbb{C}^r(\sigma)$

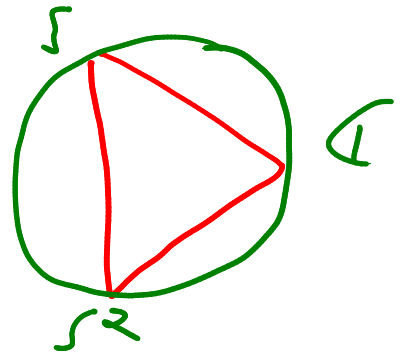
Biggs example 2

Take eg  $w = \text{triv}$

Take  $G = \mathbb{Z}/3\mathbb{Z} = \langle 1, \sigma, \sigma^2 \rangle$ , w any cycle and

let  $\lambda_g = w(1, 1, g) w(g, g^{-1}, g) w(g, 1, 1) \in K = \mathbb{C}$

let  $d: G \rightarrow \mathbb{C}^*$ ,  $d(1) = 1$ ,  $d(\sigma) = \zeta$ ,  $d(\sigma^2) = \zeta^2$



Then one can check that  $e_{V_\sigma} = 1$ ,  $\tilde{e}_{V_\sigma} = d(\sigma) \lambda_\sigma$

$\text{cov}_{V_\sigma} = \lambda_\sigma^{-1}$ ,  $\tilde{\text{cov}}_{V_\sigma} = d(\sigma)^{-1}$ .

This gives

$$\dim_{\mathbb{C}}^{\ell}(\sigma) = 1 \cdot d(\sigma)^{-1} = \zeta^2 \neq \zeta = d(\sigma) \lambda_\sigma \lambda_\sigma^{-1} = \dim_{\mathbb{C}}^r(\sigma)$$

$\Rightarrow$  not spherical



Lemma 4.13 Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a rigid functor  $F$  between pivotal categories. Then:

$$F(\text{tr}_{\mathcal{C}}^{\ell}(f)) = \text{tr}_{\mathcal{D}}^{\ell}(F(f))$$

$$F(\text{tr}_{\mathcal{C}}^{\vee}(f)) = \text{tr}_{\mathcal{D}}^{\vee}(F(f))$$

Proof An omitted diagrammatic argument, eg:

$$\begin{aligned}
 F_0^{-1} F(\text{tr}_l(f)) F_0 &= \begin{array}{c} \text{---} F(X) \text{---} \\ \boxed{F^1(X)} \\ \downarrow \\ \boxed{F_2(X^*, X)^{-1}} \\ \downarrow \\ \boxed{F(\text{id}_{X^*} \otimes f)} \\ \downarrow \\ \boxed{F_2(X^*, X)} \\ \downarrow \\ \boxed{F^1(X)^{-1}} \\ \text{---} F(X) \text{---} \end{array} = \begin{array}{c} \text{---} F(X) \text{---} \\ \boxed{F^1(X)} \quad \boxed{F(f)} \\ \downarrow \quad \downarrow \\ \boxed{F_2(X^*, X)^{-1}} \\ \downarrow \\ \boxed{F_2(X^*, X)} \\ \downarrow \\ \boxed{F^1(X)^{-1}} \\ \text{---} F(X) \text{---} \end{array} = \begin{array}{c} \text{---} F(X) \text{---} \\ \boxed{F(f)} \\ \text{---} F(X) \text{---} \end{array} = \text{tr}_l(F(f)).
 \end{aligned}$$

lemma 4.14

The object  $XX^*$  is a Frobenius object in  $\mathcal{C}$ . Similarly for  $X^*X$ .

Proof

$$m : XX^*XX^* \rightarrow XX^* \quad \Delta = \begin{array}{c} \uparrow \downarrow \\ \uparrow \downarrow \end{array}$$

$$\iota : \mathcal{C} \quad \varepsilon : \mathcal{A}$$

Checking the Frobenius condition is easy, by

$$\begin{array}{c} \downarrow \\ \uparrow \downarrow \\ \uparrow \downarrow \end{array} = \begin{array}{c} \uparrow \downarrow \\ \uparrow \downarrow \\ \uparrow \downarrow \end{array} = \begin{array}{c} \uparrow \downarrow \\ \uparrow \downarrow \\ \uparrow \downarrow \end{array}$$