

## Lecture 2 Monoidal Cuts I - Defs, Examples + graphs

What are the **correct axioms** to get a **planar** graphical calculus?

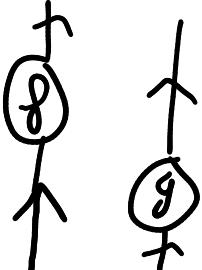
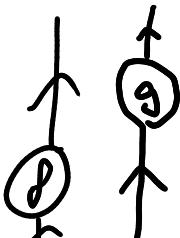
$$x_1 \otimes x_2 \rightsquigarrow \begin{array}{c} x_1 \\ \uparrow \\ \downarrow \end{array} \quad \begin{array}{c} x_2 \\ \uparrow \\ \downarrow \end{array}$$

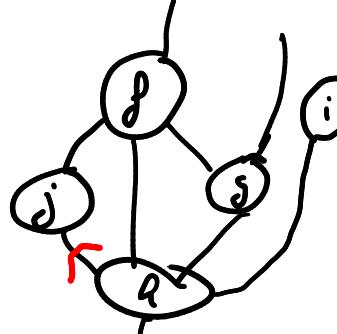
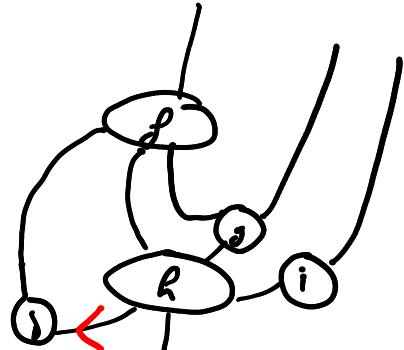
$$f: x_1 \otimes x_2 \otimes x_3 \rightarrow y_1 \otimes y_2$$

$$f \otimes g \rightsquigarrow \begin{array}{c} f \\ \uparrow \\ \circ \\ \downarrow \\ g \end{array} \quad \begin{array}{c} g \\ \uparrow \\ \circ \\ \downarrow \\ f \end{array}$$

$$\begin{array}{c} y_1 \\ \uparrow \\ \circ \\ \downarrow \\ y_2 \end{array} \quad \begin{array}{c} x_1 \\ \uparrow \\ \circ \\ \downarrow \\ x_2 \\ \uparrow \\ \circ \\ \downarrow \\ x_3 \end{array}$$

Respecting  $\dagger$ :

Ok  = 

Bad  = 

$\mathcal{C} \times \mathcal{C} \rightsquigarrow$  cat with objects  $(X,Y)$  morph.  $(f,g)$

## Motivating example

$\otimes : \text{Set} \times \text{Set} \rightarrow \text{Set}$

$$(X, Y) \mapsto X \times Y =: X \otimes Y$$

Note: -  $\{\cdot\} = \mathbb{1}$  is a unit object i.e.  $\mathbb{1} \otimes X \simeq X \simeq X \otimes \mathbb{1}$

- This is only **weakly** associative, i.e.

$$(X \otimes Y) \otimes Z \simeq X \otimes (Y \otimes Z)$$

$$((x,y),z) \neq (x,(y,z))$$

- Also the unit is only weak  $(\mathbb{1}, x) \neq x \neq (x, \mathbb{1})$

Def 2.1 A monoidal category  $\mathcal{C} = (\mathcal{C}, \otimes, \mathbf{1}, \alpha, \rho, \tau)$ <sup>3</sup> consists of

- a category  $\mathcal{C}$
- a bifunctor  $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$
- a unit  $\mathbf{1}$
- natural isomorphisms  $\alpha_{X,Y,Z} : (X \otimes Y) \otimes Z \xrightarrow{\sim} X \otimes (Y \otimes Z)$  called **associators**
- natural isomorphisms  $\ell_x : \mathbf{1} \otimes X \xrightarrow{\sim} X$  called **unitors**       $r_x : X \otimes \mathbf{1} \xrightarrow{\sim} X$

such that  $\Delta$ -equation and the  $\nabla$ -equation hold. (I.e. commutes)

Recall: naturally means that a  $\square$ -commute



"Unit"

$$(X \otimes 1) \otimes Y \xrightarrow{\alpha_{X, 1, Y}} X \otimes (1 \otimes Y)$$

$\downarrow r_{X \otimes Y}$

$$X \otimes Y \xleftarrow{id \otimes l_Y}$$

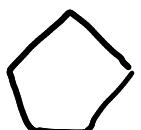


$$(U \otimes V) \otimes W \xrightarrow{f \otimes g \otimes h} (X \otimes Y) \otimes Z$$

$\downarrow \alpha_{U, V, W}$

$$U \otimes (V \otimes W) \xrightarrow{f \otimes (g \otimes h)} X \otimes (Y \otimes Z)$$

$\downarrow \alpha_{X, Y, Z}$



"Assoc"

$$(W \otimes X) \otimes (Y \otimes Z) \xrightarrow{\alpha_{W \otimes X, Y, Z}}$$

$\xleftarrow{\alpha_{W, X, Y \otimes Z}}$

$$(W \otimes (X \otimes Y)) \otimes Z \xrightarrow{\alpha_{W, X \otimes Y, Z}}$$

$\xleftarrow{id \otimes \alpha_{X, Y, Z}}$

$$W \otimes ((X \otimes Y) \otimes Z) \xleftarrow{\alpha_{W, X \otimes Y, Z}}$$

Why is this a bad Definition? 5

Wrong def / monoid

- A set  $M$
- multiplication  $\cdot : M \times M \rightarrow M$
- unit  $1 \in M$
- asso  $(k \cdot m) \cdot n = k \cdot (m \cdot n)$

Correct def / monoid

- Same
- Same
- Same
- asso: all bracketings  
are the same

Note:  $(k \cdot m) \cdot n = k \cdot (m \cdot n)$  is not associativity,  
but it is so well-known that

wrong  $\Rightarrow$  correct

that this is usually ignored...

## Theorem 2.2 (Coherence)

All ways to build associators and unitors  
are the same

### Proof (sketch)

Let analyze the 1-dim case:

$$(*) \quad \begin{array}{c} (x \ y) z \\ \diagdown \quad \diagup \\ x \ yz \end{array} = \begin{array}{c} x \ (y \ z) \\ \diagup \quad \diagdown \\ xy \ z \end{array} \Rightarrow \begin{array}{c} \diagup \diagdown \diagup \diagdown \\ \diagup \diagdown \diagup \diagdown \\ \diagup \diagdown \diagup \diagdown \\ \diagup \diagdown \diagup \diagdown \end{array} = \begin{array}{c} \diagup \diagdown \diagup \diagdown \\ \diagup \diagdown \diagup \diagdown \\ \diagup \diagdown \diagup \diagdown \\ \diagup \diagdown \diagup \diagdown \end{array} = \dots$$

Or said otherwise, the 1-dim (w complex k,  
which one gets by adding an edge  $\nwarrow$  "graph",  
for each  $(*)$  is connected i.e.  $\pi_0(k_a) = 1$ )

Play the same game:

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$$(A_1 A_2) A_3$$

$$A_1 (A_2 A_3)$$

$$(A_1 A_2) (A_3 A_4)$$

$$((A_1 A_2) A_3) A_4$$

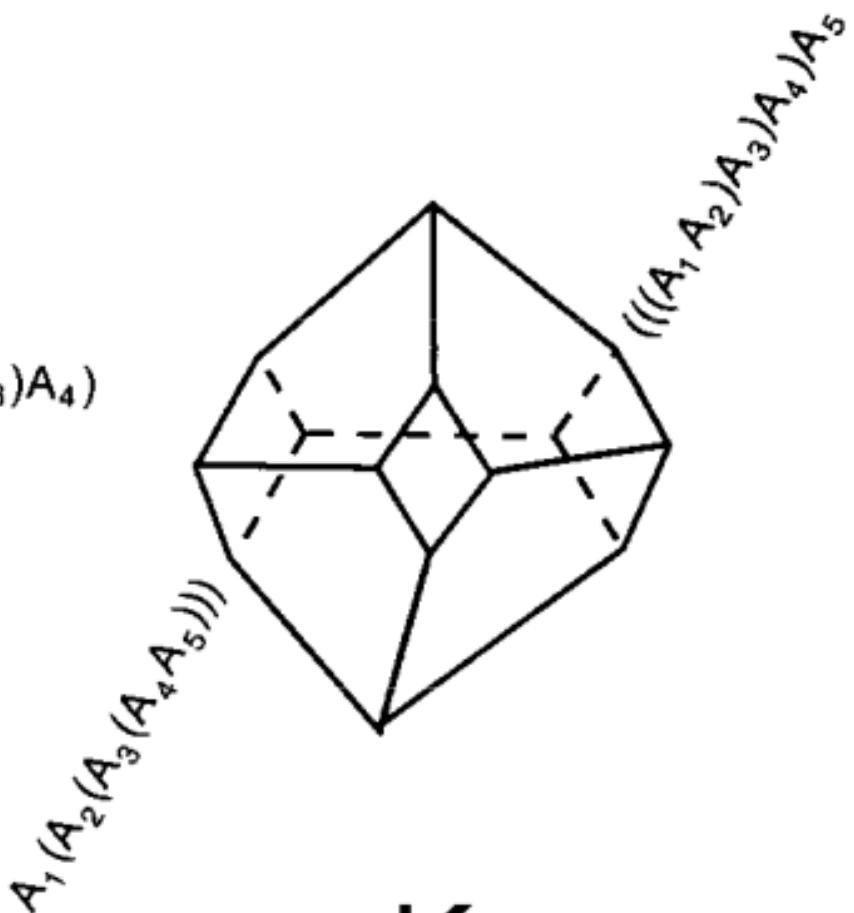
$$A_1 (A_2 (A_3 A_4))$$

$$(A_1 (A_2 A_3)) A_4$$

$K_3$

$K_4$

$K_5$



Then  $\pi_1(K_n) = 1$  implies somewhere. Similar for unions.

Def 2.3  $\mathcal{L}$  is called *strict* if  $\alpha, \ell, -$  are all identities <sup>8</sup>

*Examples* - Set with  $\otimes = \times$ ,  $\underline{1} = \{\cdot\}$  non-strict

- Set with  $\otimes = +$  (dis. union),  $\underline{1} = \emptyset$  non-strict

-  $\text{Vect}_{\mathbb{K}}$  with  $\otimes = \otimes_{\mathbb{K}}$ ,  $\underline{1} = \mathbb{K}$  non-strict

-  $\text{Vect}_{\mathbb{K}}$  with  $\otimes = \oplus$ ,  $\underline{1} = \{0\}$  non-strict

(Note that their skeletons are strict)

- 1 Col, 1 Tan, 15 like strict

$\otimes$  = juxtaposition,  $\underline{1} = \emptyset$

$$\cancel{H}^o \otimes \cancel{Y} = \cancel{H}^o Y$$

- Groups / Monoids as  $\otimes$ -cats

$\text{Vert}(M)$      $\text{Ob} = \text{elements of } M$

$\text{Mor} = \text{only } 1_K \cdot \text{id}$

$$m \otimes n = m \cdot n$$

$$\frac{1}{1} = 1$$

$$\begin{array}{cc} 1_K & \\ \textcirclearrowleft & \textcirclearrowleft \\ \circ & \circ \\ m_1 & m_2 \end{array}$$

$$\begin{array}{cc} 1_K & 1_K \\ \textcirclearrowleft & \textcirclearrowleft \\ \circ & \circ \\ m_3 & m_4 \end{array}$$

strict

3 couple  
 $\omega : M \times M \times M \rightarrow 1_K^*$   
 satisfying the  
 - axiom

- $\text{Vert}^\omega(M)$  same but

$\alpha = \{ \text{couple} \}$

$$l = \alpha(1, 1, \omega)^{-1}$$

$$r = \alpha(\omega, 1, 1)$$

Example:  $M = \mathbb{Z}/2 = \{1, \omega\}$

$$\alpha = 1 \text{ except } \alpha(\omega, \omega, \omega) = -1$$

non-strict  $w \neq 1$ , and skeletal

Question Can we ignore  $\alpha, \ell, r$ , i.e. is every  $\mathcal{E}$ <sup>10</sup> "strictifiable"?

The last example shows that one can not simply go to the skeleton.

However, the answer is "Yes" as we will see.

In particular, we will usually ignore  $\alpha, \ell, r$  and work with strict  $\otimes$ -cats.

First things first:

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$(F, \xi_1, \xi)$

Def 2.4 A  $\otimes$ -functor  $F: \mathcal{C} \rightarrow \mathcal{C}'$  consist of:

- a functor  $F$
- natural isomorphisms

$$\xi_{x,y}: F(x) \otimes' F(y) \xrightarrow{\sim} F(x \otimes y)$$

- natural isomorphism  $\xi_I: \mathbb{1}' \xrightarrow{\sim} F(\mathbb{1})$

such that one has commuting diagrams

$$(F(X) \otimes' F(Y)) \otimes' F(Z) \xrightarrow{\xi \otimes \text{id}} F(X \otimes Y) \otimes' F(Z) \xrightarrow{\xi} F((X \otimes Y) \otimes Z))$$

$$\alpha' \downarrow$$

$$F(X) \otimes' (F(Y) \otimes' F(Z)) \xrightarrow{\text{id} \otimes \xi} F(X) \otimes' F(Y \otimes Z) \xrightarrow{\xi} F(X \otimes (Y \otimes Z))$$



□-equation

$$I' \otimes F(X) \xrightarrow{\xi_0 \otimes \text{id}} F(I) \otimes' F(X) \quad \text{and}$$
$$\begin{array}{ccc} \downarrow \lambda' & & \downarrow \xi \\ F(X) & \xleftarrow{F(\lambda)} & F(I \otimes X) \end{array}$$

$$F(X) \otimes I' \xrightarrow{\text{id} \otimes \xi_0} F(X) \otimes' F(I) \quad \text{and}$$
$$\begin{array}{ccc} \downarrow \rho' & & \downarrow \xi \\ F(X) & \xleftarrow{F(\rho)} & F(X \otimes I) \end{array}$$

Def 2.5 A  $\otimes$ -nat trans  $\varphi: F \Rightarrow G$  is a nat<sup>12</sup> trans such that

$$\varphi_{1\sqcup} \{_0^F = \{_0^G , \quad \varphi_{xx} \{_{xx}^F = \{_{xx}^G \varphi_x \varphi_y$$

$\mathcal{C} \simeq \mathcal{D}$  as  $\otimes$ -cats if  $\exists F: \mathcal{C} \rightarrow \mathcal{D}$  which is an equivalence and a  $\otimes$ -functor

Example -  $\underset{\times}{\text{Set}} \simeq \underset{\times}{\text{Sh}}(\text{Set})$  as  $\otimes$ -cats

-  $\underset{\otimes_K}{\text{Vert}_K} \simeq \underset{\otimes_K}{\text{Nat}_K}$  as  $\otimes$ -cats

$$\otimes_K \qquad \otimes_K$$

-  $\underset{\otimes_M}{\text{Vert } M} \neq \underset{\otimes^{op} M}{\text{Vert}^{op} M}$  as  $\otimes$ -cats in general, but always a cats.

Similarly define  $\otimes$ -subcats etc.

Lemma 2.6

Composition of  $\oslash$ -functors are  $\otimes$ -functors

Composition of  $\otimes$ -nat trans are  $\otimes$ -nat trans

Theorem 2.7 Every  $\otimes$ -cat is  $\otimes$ -equi-

to a strict one. ↗  $\text{No } \alpha, \ell, \rhd, \circ^D$

Proof, sketch

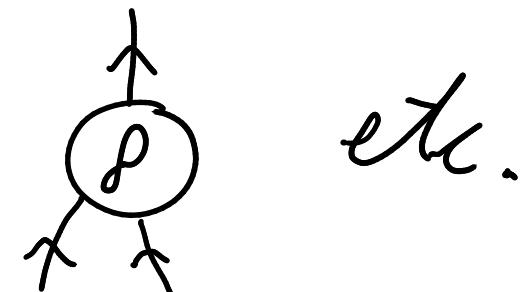
Use some  $\otimes$ -version of Yoneda.

Since we can wlg assume that  $\mathcal{C}$  is strict,<sup>14</sup>  
we can use graphical calculus?

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$$XY \rightsquigarrow X \uparrow \downarrow Y \quad f: XY \rightarrow Z$$

$$fg \rightsquigarrow \begin{array}{c} \uparrow \\ \circ f \\ \uparrow \\ \circ g \\ \uparrow \end{array}$$



etc.

Rules: Planar isotopies  
respecting  $\uparrow$ . (x)

### Theorem 2.8

The graphical calculus is consistent, i.e.  
two morphisms are the same iff their graphical repr.  
are related by a (\*)isotopy.

To wrap up, let me do some proofs using<sup>15</sup> graphical calculus.

First, note that  $\text{End}_\mathcal{C}(1) = \text{Hom}_\mathcal{C}(1, 1)$  is a monoid.

### Proposition 2.9

$\text{End}_\mathcal{C}(1)$  is commutative

### Proof

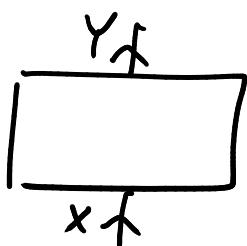
$$\begin{array}{c} g \\ f \end{array} = \begin{array}{c} f \\ g \end{array} = \begin{array}{c} g \\ f \end{array} = \begin{array}{c} g \\ g \end{array}$$

## Proposition 2.10

We have two commuting actions

$$\text{End}_\mathcal{C}(1) \cap \text{Hom}_\mathcal{C}(X, Y) \cap \text{End}_\mathcal{C}(1)$$

Thus,  $\text{Hom}_\mathcal{C}(X, Y)$  is a  $\text{End}_\mathcal{C}(1)$  bimodule

Proof:  = some morphism in  $\text{Hom}_\mathcal{C}(X, Y)$

$$\textcircled{g} \quad \begin{array}{c} Y \uparrow \\ \boxed{\phantom{X}} \\ x \uparrow \end{array} \quad = \quad \textcircled{f} \quad \begin{array}{c} \uparrow Y \\ \boxed{\phantom{X}} \\ \uparrow x \end{array} \quad \textcircled{g}$$

$$\textcircled{g}(\textcircled{f} \quad \begin{array}{c} \uparrow Y \\ \boxed{\phantom{X}} \\ \uparrow x \end{array}) = \textcircled{g} \textcircled{f} \quad \begin{array}{c} \uparrow Y \\ \boxed{\phantom{X}} \\ \uparrow x \end{array} + \text{mimor}$$

## Proposition 2.11

The actions of  $\text{End}_c(\mathbb{I})$  on  $\text{Hom}_c(X, Y)$  are compatible with  $\circ$  and  $\oplus$ .

## Proof

$$\oplus \quad \textcircled{d} \begin{array}{c} \nearrow \\ \boxed{\phantom{X}} \\ \downarrow \\ \end{array} \quad \begin{array}{c} \nearrow \\ \boxed{\phantom{X}} \\ \downarrow \\ \end{array} = \quad \textcircled{d} \quad \begin{array}{c} \nearrow \\ \boxed{\phantom{X}} \\ \downarrow \\ \end{array} \quad \begin{array}{c} \nearrow \\ \boxed{\phantom{X}} \\ \downarrow \\ \end{array}$$

$$\circ \quad \textcircled{d} \quad \begin{array}{c} \nearrow \\ \boxed{\phantom{X}} \\ \downarrow \\ \end{array} = \quad \textcircled{d} \quad \begin{array}{c} \nearrow \\ \boxed{\phantom{X}} \\ \downarrow \\ \end{array}$$