

facts from the 2nd talk

Support of a section $s \in \Gamma(U, F)$ is a closed set and the proper pushforward is a subfunctor of usual pushforward consisting of sections $s \in F(f^{-1}(U)) : \text{supp}(s) \rightarrow U$ is proper.

For a locally closed immersion $f : Y \rightarrow X$ the functor ${}^\circ f_!$ admits a right adjoint ${}^\circ f^!$ "restrictions with support in Y ". In general $f^!$ doesn't come from abelian level.

Fact 0.0.1. *If $f : Y \rightarrow X$ is proper then $f_* = f_!$, in particular this holds if $Y \rightarrow X$ is a closed embedding. If $U \rightarrow X$ is an open embedding then $f^! = f^*$. This is almost by definitions of the functors and proper map.*

Fact 0.0.2. *Take $F \in D_c^b(X)$. For a closed embedding $i : Z \rightarrow X$ and a complementary open embedding $j : U \rightarrow X$ we have distinguished triangles*

$$(j_! j^! F =) j_! j^* F \rightarrow F \rightarrow i_* i^* F (= i_! i^* F) \quad (1)$$

and

$$(i_! i^! F =) i_* i^! F \rightarrow F \rightarrow j_* j^* F (= j_* j^! F). \quad (2)$$

recollection from the 6th talk and more

Fact 0.0.3. *Let $f : Y \rightarrow X$ be a locally closed immersion. Then there is a stratification of X such that Y is a union of strata. In particular if F is a constructible sheaf on Y then $f_!(F)$ is constructible: this can be shown by using common refinement of stratifications of X and Y . In fact if $u : U \rightarrow X$ is a stratum not meeting Y then $u^* f_!(F)$ is a zero sheaf on U . If $v : V \rightarrow X$ and $v' : V \rightarrow Y$ is a stratum contained in Y then $v^* f_!(F) = v'^*(F)$, for example by proper base change.*

Combining the above fact with closed-open distinguished triangles from fact 0.0.2 one gets a pleasant corollary:

Corollary 0.0.4 (constructibility is local). *If $F \in D_c^b(X)$ and fix closed embedding $Z \rightarrow X$ with complement U . Then F is constructible if and only if $F|_Z$ and $F|_U$ are constructible. More generally for a cover of X by locally closed subsets, F is constructible if and only if F restricted to every element of the cover is constructible.*

Definition 0.0.5. *Recall that a **differentiable locally trivial fibration** with fiber F is a map $f : M \rightarrow N$ between smooth manifolds such that every $n \in N$ has a neighbourhood $U = U_n$ such that we have a diffeomorphism $g : f^{-1}(U) \simeq F \times U$ such that the following diagram commutes*

$$\begin{array}{ccc}
f^{-1}(U) & \xrightarrow{g} & F \times U \\
& \searrow f|_{f^{-1}(U)} & \swarrow \pi_U \\
& U &
\end{array}$$

We state a result from Differential Topology:

Theorem 0.0.6 (Ehresmann's fibration theorem). *Let $f : X \rightarrow Y$ be a smooth, surjective, proper morphism of smooth varieties. Then f is a differentiable locally trivial fibration.*

Another classical and important theorem from Algebraic Geometry:

Theorem 0.0.7 (Nagata compactification theorem). *Let $f : X \rightarrow Y$ be a morphism between varieties. Then there exists a variety \tilde{X} such that f can be factored as*

$$\begin{array}{ccc}
& \tilde{X} & \\
j \nearrow & & \searrow \tilde{f} \\
X & \xrightarrow{f} & Y
\end{array}$$

where j comes from a Zariski-open immersion and \tilde{f} is a proper map.

Now we define what a simple normal crossing divisor is.

Definition 0.0.8. *Let X be a smooth variety of dimension n (in particular we assume that X is equidimensional). Let $Z \subset X$ be a closed subvariety of dimension $n - 1$, with irreducible components Z_1, \dots, Z_k . The variety Z is said to be a **divisor with simple normal crossings** if the following conditions hold:*

1. *Each Z_i is smooth.*
2. *For any $x \in X$ define $I_x \subset \{1, 2, \dots, k\}$ consisting of indices i such that x belongs to Z_i . Then we require that there exists an affine neighbourhood U of x and regular functions $\{f_i\}_{i \in I}$ on U such that*

- (a) $V(f_i) = Z_i \cap U$ for all $i \in I$.
- (b) Differentials $\{df_i(x)\}_{i \in I}$ are linearly independent.

Definition 0.0.9 (notation). *Let $Z \subset X$ be a divisor with irreducible components Z_1, \dots, Z_k . For any $x \in X$ we define $I_x = \{i \in \{1, 2, \dots, k\} : x \in Z_i\}$. For any $I \subset \{1, 2, \dots, k\}$ denote*

$$Z_I = \bigcap_{i \in I} Z_i$$

and

$$X_I = \{x \in X : I_x = I\}.$$

Definition 0.0.10. Let us keep notation as in 0.0.9. Then

$$\{X_I\}_{I \subset \{1,2,\dots,k\}}$$

forms a stratification of X called **normal crossing stratification** (associated to a divisor Z with simple normal crossings).

Definition 0.0.11. 1. Let $f : X \rightarrow Y$ be a map between smooth varieties and $\bigcup_{i=1}^k Z_i = Z \subset X$ be a divisor. We say that f is **transverse to Z** if for all $I \subset \{1,2,\dots,k\}$ such that $Z_I \neq \emptyset$ we have that $f|_{Z_I}$ is smooth and surjective.

2. Moreover, in the setting as above, we say that f is a **transverse (to Z) locally trivial fibration** if every point $y \in Y$ admits an analytic neighbourhood V and a diffeomorphism

$$b : f^{-1}(U) \rightarrow f^{-1}(y) \times U$$

such that

$$\begin{array}{ccc} f^{-1}(U) & \xrightarrow{b} & F \times U \\ & \searrow f|_{f^{-1}(U)} & \swarrow \pi_U \\ & U & \end{array}$$

commute. We require that b restricts to a diffeomorphism

$$b|_{f^{-1}(U) \cap Z_I} : f^{-1}(U) \cap Z_I \rightarrow (f^{-1}(y) \cap Z_I) \times U$$

for each subset $I \subset \{1,2,\dots,k\}$, and that makes

$$\begin{array}{ccc} f^{-1}(U) \cap Z_I & \xrightarrow{b} & (f^{-1}(y) \cap Z_I) \times U \\ & \searrow f|_{f^{-1}(U) \cap Z_I} & \swarrow \pi_U \\ & U & \end{array}$$

commute. In particular $f|_{X \setminus Z}$ is a locally trivial fibration.

Remark 0.0.12 ("proper map vs proper morphism"). Note that if we start with a proper morphism of varieties in the language of Algebraic Geometry (over complex numbers) then it gives us a proper map if we pass to analytic topology.

Let us recall an algebraic analog of Sard's theorem:

Theorem 0.0.13 (generic smoothness). Let $f : X \rightarrow Y$ be a morphism between varieties and assume that X is smooth. Then there exists an open set $U \subset Y$ such that $f|_{f^{-1}(U)}$ is smooth.

Theorem 0.0.14. *Let X be an irreducible variety with dense, open and smooth subset U . There exists a smooth variety \tilde{X} and a proper map $\pi : \tilde{X} \rightarrow X$ such that $\pi^{-1}(U) \rightarrow U$ is an isomorphism and $\pi^{-1}(X \setminus U)$ is a divisor with simple normal crossings.*

Lemma 0.0.15 (Lemma 2.4.2. in Achar). *Let X be a smooth variety and let $Z \subset X$ be a divisor with simple normal crossing with irreducible components Z_1, \dots, Z_k . Consider $\{X_I\}_{I \subset \{1,2,\dots,k\}}$ (see 0.0.9 and 0.0.10 for the definition of X_I). Then for any $I : X_I \rightarrow X$ and any local system \mathcal{L} on X_I we have that $i_*\mathcal{L}$ is constructible with respect to the normal crossing stratification.*

proof sketch. $Z_I \subset X$ locally looks like $\mathbb{A}^n \subset \mathbb{A}^m$ and it is enough to consider the last case which is not hard. \square

Lemma 0.0.16 (Lemma 2.4.5. in Achar). *Let $f : X \rightarrow Y$ be a smooth morphism of smooth varieties, and let $Z \subset X$ be a divisor with simple normal crossings. Assume that f is a transverse locally trivial fibration with respect to Z . If $F \in D^+(X)$ is (weakly) constructible with respect to the normal crossings stratification, then $f_*F \in D_{loc}^+$.*

Claim 0.0.17. *f^* preserves constructibility. Indeed take $f : Y \rightarrow X$, take a sheaf F on X constructible with respect to a stratification L . In order to see the claim take preimages of strata of L , refine it to a stratification L' on Y . The stratification L' witnesses constructibility of f^*F .*

0.1 f_* and $f_!$ preserve constructibility

Theorem 0.1.1. *Let $f : X \rightarrow Y$ be a map between varieties and let F be an element of $D_c^b(X)$. Then $f_*(F)$ and $f_!(F)$ belong to $D_c^b(Y)$.*

Before giving a proof we reduce it to a special case:

Lemma 0.1.2. *It is enough to treat the case of f_* . We can without loss of generality assume that F is just a sheaf (not a complex of sheaves). We can furthermore assume that X is irreducible and f is dominant.*

Proof of the Lemma 0.1.2. 1. Reduction to treating f_* only: by Nagata compactification 0.0.7 it is enough to consider cases of f being proper and open embedding. For f proper we have $f_* = f_!$. Also, for f open embedding we know that $f_!$ preserves constructibility (see 0.0.3). Thus it is enough to prove that constructibility is preserved under f_* .

2. Reduction to F being a sheaf: this is achieved in the similar manner as during talk 6 namely by using truncations, boundedness of F and the fact that D_c^b is a triangulated category.
3. X is irreducible: Suppose X is arbitrary, not necessarily irreducible, and suppose we have the theorem for any f with an irreducible domain. We

will show by induction on number irreducible components X (X is the domain of f) that we have the theorem for all f . Indeed if X is not irreducible then we can consider inclusions

$$i_1 : X_1 \hookrightarrow X \hookleftarrow U_1 : j$$

where X_1 is an irreducible component and U_1 is the complement. Then we can form a distinguished triangle

$$j_! j^* F \rightarrow F \rightarrow i_{1*} i_1^* F$$

(see (1)) and we can apply f_* yielding

$$f_* j_! j^* F \rightarrow f_* F \rightarrow f_* i_{1*} i_1^* F.$$

Note that $j^* F$ is constructible by Claim 0.0.17 and, as j is in particular locally closed, $j_! j^* F$ is constructible by Lemma 0.0.3. Now we will chase F along the diagram

$$\begin{array}{ccccc} U_1 & \xrightarrow{j_1} & X & & \\ & \searrow j'_1 & \nearrow k & \searrow f & \\ & & \overline{U_1} & \xrightarrow{f'} & Y \end{array}$$

Note that $f_* j_{1!} j_1^* F = f_*(k_! j_{1!}') j_1^* F = (f_* k_*) j_{1!}' j_1^* F = f'_* j_{1!}' j_1^*$, we simply use functoriality of proper and usual pushforwards and the fact that k is proper being a closed embedding. We see that $j_{1!}' j_1^* F$ is constructible by 0.0.3 and 0.0.17. Finally, as $\overline{U_1}$ has less irreducible components than X we deduce that $f'_*(j_{1!}' j_1^* F)$ is constructible.

4. f is dominant: we can factor f through its image: $X \rightarrow \overline{f(X)} \rightarrow Y$. We know that pushforward along the last map preserves constructibility as it is a closed immersion (again, see 0.0.3). Thus it is enough to treat dominant maps.

□

proof of Theorem 0.1.1

Take a stratification S trivializing F , and take the stratum U of S which is dense in X (the "biggest" stratum). Recall that U is smooth and $\mathcal{L} := F|_U$ is a local system by construction. Take $Z = X \setminus U$. Using (2) we see that the maps

$$U \xrightarrow{h} X \xleftarrow{i} Z$$

induce a distinguished triangle

$$i_* i^! F \rightarrow F \rightarrow h_* \mathcal{L} \quad (3)$$

and after applying f_* we get

$$f_* i_* i^! F \rightarrow f_* F \rightarrow f_* h_* \mathcal{L} \quad (4)$$

We will study the last triangle in detail. Using 0.0.4 we see that in order to prove that $f_* F$ is constructible it is enough to prove that $f_* i_* i^! F$ and $f_* h_* \mathcal{L}$ are constructible. The proof proceeds as follows:

step (A) We first prove that $f_* h_* \mathcal{L}$ is constructible in case of f being an open embedding. By 0.0.14 we can find a proper map $p : \tilde{Y} \rightarrow Y$ such that it is isomorphism over $p^{-1}(U)$ and $\tilde{Z}' := \tilde{Y} \setminus U$ is a divisor with simple normal crossings. Denote $\tilde{h} : U \rightarrow \tilde{Y}$ and $Z := p(\tilde{Z}')$. We will use 0.0.4 again; it is clear that $(f_* h_* \mathcal{L})|_U$ is constructible being local system and we only have to investigate $(f_* h_* \mathcal{L})|_{Z'}$. For this look at the following diagram:

$$\begin{array}{ccc} \tilde{Z}' & \xrightarrow{q'} & \tilde{Y} \\ p' \downarrow & & \downarrow p \\ Z' & \xrightarrow{q} & Y \end{array}$$

Using proper basechange we see that $p'_* q'^* (\tilde{h}_* \mathcal{L}) = q_* p_* (\tilde{h}_* \mathcal{L})$. Naturality of pushforward gives us $q^* f_* h_* \mathcal{L} = (q^* p_*) (\tilde{h}_* \mathcal{L})$. And so $p'_* q'^* (\tilde{h}_* \mathcal{L}) = q^* f_* h_* \mathcal{L}$ (we can use a notation $(f_* h_* \mathcal{L})|_{Z'}$ for the last expression) is constructible by the following:

1. $\tilde{h}_* \mathcal{L}$ is constructible by Lemma 0.0.15.
2. $q'^* (\tilde{h}_* \mathcal{L})$ is constructible by 0.0.17
3. By induction on the dimension of the domain of f we know that p'_* preserves constructibility and therefore $p'_* q'^* (\tilde{h}_* \mathcal{L})$ is constructible.

step (B) Now we will prove that, **for a general map f , $f_* h_* \mathcal{L}$ being constructible implies $f_* F$ being constructible**. By the step (A) we know that $h_* F$ is constructible. The middle and the last term in the triangle

$$i_* i^! F \rightarrow F \rightarrow h_* \mathcal{L}$$

are constructible and therefore $i_* i^! F$ is constructible as well. As i^* preserves constructibility and counit $i^* i_*$ is the identity on $D^b(Z)$ we see that $i^! F$ is itself constructible. Using induction hypothesis we get that $(fi)_*$ preserves constructibility (as $\dim(Z) < \dim(X)$).

Remark 0.1.3. note that combining steps (A) and (B) we see that f_*F is constructible whenever f is an open embedding.

step (C) **Case of f being proper and $\dim(Y) = 0$** (so Y is just a point). In other words we want global sections to be finitely generated. The second statement of theorem 2.6.2 in Acher (Artin's vanishing theorem) claims precisely that.

step (D) We proceed with showing that $f_*h_*\mathcal{L}$ is constructible. We assume f to be proper and $\dim(Y) > 0$. Using 0.0.14 we find a map $p : \tilde{X} \rightarrow X$ such that $p^{-1}(U) \rightarrow U$ is an isomorphism and $\tilde{Z} := p^{-1}(Z) = \tilde{X} \setminus U$ is a divisor with simple normal crossings. Let $\tilde{Z}_1, \dots, \tilde{Z}_k$ be irreducible components of \tilde{Z} .

For all $I \subset \{1, 2, \dots, k\}$ recall notation from 0.0.9. Take I such that

$$\tilde{f}|_{\tilde{Z}_I} : \tilde{Z}_I \rightarrow Y \quad (5)$$

is not dominant and define V_I to be the complement of $\pi(\overline{\tilde{Z}_I})$. If I is such that the map (5) is dominant then we use Generic Smoothness 0.0.13 to find an open, nonempty $V_I \subset Y$ such that

$$\tilde{f}|_{\tilde{f}^{-1}(V_I)} : \tilde{f}^{-1}(V_I) \cap \tilde{Z}_I \rightarrow V_I$$

is smooth. We define

$$V := \bigcap_{I \subset \{1, 2, \dots, k\}} V_I.$$

and

$$\tilde{V} := \tilde{f}^{-1}(V).$$

By Ehresmann's fibration theorem 0.0.6 we see that $\tilde{f}|_{\tilde{V}}$ is a locally trivial differentiable fibration. By construction it is transverse to Z (see Def. 0.0.11 for a definition of a transverse (to a divisor Z) locally trivial fibration).

We have that

$$(f_*h_*\mathcal{L})|_V = (\tilde{f}_*\tilde{h}_*\mathcal{L})|_V = (\tilde{f}|_{\tilde{V}})_*[(\tilde{h}_*\mathcal{L})|_{\tilde{V}}], \quad (6)$$

the first equality by naturality, the second by proper (or smooth, since the map is smooth when restricted to \tilde{V} by construction) base change. We use Lemma 0.0.15 again to see that $\tilde{h}_*\mathcal{L}$ is constructible. By 0.0.17 we get that $(\tilde{h}_*\mathcal{L})|_{\tilde{V}}$ is constructible as well. By Lemma 0.0.16 we get that $\tilde{f}|_{\tilde{V}*}((\tilde{h}_*\mathcal{L})|_{\tilde{V}})$ belongs to $D_{\text{loc}}^+(V)$. To show that it is constructible it is enough that a stalk at any point $y \in V$ is constructible (complex

with bounded cohomology being finite dimensional vector spaces). By base change we get that

$$[(\tilde{f}|_{\tilde{V}})_*((\tilde{h}_*\mathcal{L})|_{\tilde{V}})]_y = (\tilde{f}_{\tilde{f}^{-1}(y)})_*((\tilde{h}_*\mathcal{L})|_{\tilde{f}^{-1}(y)}).$$

Since dimension of a fiber $\tilde{f}^{-1}(y)$ is equal to $\dim(\tilde{X}) - \dim(V) = \dim(X) - \dim(Y) < \dim(X)$ as $\dim(Y) > 0$ and, since we proceed by induction, we see that $(\tilde{f}_{\tilde{f}^{-1}(y)})_*((\tilde{h}_*\mathcal{L})|_{\tilde{f}^{-1}(y)})$. Define $W := Y \setminus V$ and $\tilde{W} := \tilde{f}^{-1}(W)$. We just showed that $(f_*h_*\mathcal{L})|_V$ is constructible and, by 0.0.4, it is enough to check that $(f_*h_*\mathcal{L})|_W$ is constructible (let me remind you that the goal of this last step is to show that $f_*h_*\mathcal{L}$ is constructible, and, by step (B) we know that $f_*h_*\mathcal{L}$ being constructible implies f_*F is constructible. The goal of this note is to see constructibility of f_*F). Again, we have

$$(f_*h_*\mathcal{L})|_W = (\tilde{f}_*\tilde{h}_*\mathcal{L})|_W = (\tilde{f}|_{\tilde{W}})_*((\tilde{h}_*\mathcal{L})|_{\tilde{W}}),$$

the first equality by naturality, the second by proper basechange. We have $\dim(\tilde{W}) < \dim(\tilde{X})$, so we are done by induction again.

0.2 $i^!$ and $R\mathcal{H}om$ preserve constructibility

We state two Corollaries of our main result 0.1.1.

Corollary 0.2.1. Say we have a closed embedding $i : Z \rightarrow X$ and a complementary embedding $j : U \rightarrow X$. Take $F \in D_c^b$. We can look at a distinguished triangle

$$i_*i^!F \rightarrow F \rightarrow j_*j^*F.$$

The last and middle terms are constructible and so is the first. As i is a closed embedding we have $i^*i_*i^!F = i^!F$. Clearly $i^*i_*i^!F$ is constructible and so is $i^!F$.

Corollary 0.2.2. Let $F, G \in D_c^b(X)$. Then $R\mathcal{H}om(F, G)$ is constructible again.

Proof. We proceed by Noetherian induction on X . Choose smooth, connected and open $j : U \rightarrow X$ such that $F|_U \in D_{loc}^b(U)$ and let $i : Z \rightarrow X$ be a complementary embedding. Now look at a distinguished triangle

$$i_*R\mathcal{H}om(i^*F, i^!G) \rightarrow R\mathcal{H}om(F, G) \rightarrow j_*R\mathcal{H}om(j^*F, j^*G).$$

Now, $i^!G$ is constructible by Corollary 0.2.1, i^*F is constructible by 0.0.17, therefore $R\mathcal{H}om(i^*F, i^!G)$ is constructible by induction, and $R\mathcal{H}om(j^*F, j^*G)$ is constructible (even local system) because both j^*F, j^*G are local systems and local systems are closed under $R\mathcal{H}om$. Thus the middle term is constructible as well. \square