

THE AFFINE VW SUPERCATEGORY

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ABSTRACT. We define the affine VW supercategory $s\mathbb{W}$, which arises from studying the action of the periplectic Lie superalgebra $\mathfrak{p}(n)$ on the tensor product $M \otimes V^{\otimes a}$ of an arbitrary representation M with several copies of the vector representation V of $\mathfrak{p}(n)$. It plays a role analogous to that of the degenerate affine Hecke algebras in the context of representations of the general linear group; the main obstacle was the lack of a quadratic Casimir element in $\mathfrak{p}(n) \otimes \mathfrak{p}(n)$. When M is the trivial representation, the action factors through the Brauer supercategory $s\mathcal{B}r$. Our main result is an explicit basis theorem for the morphism spaces of $s\mathbb{W}$ and, as a consequence, of $s\mathcal{B}r$. The proof utilises the close connection with the representation theory of $\mathfrak{p}(n)$. As an application we explicitly describe the centre of all endomorphism algebras, and show that it behaves well under the passage to the associated graded and under deformation.

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INTRODUCTION

Classical and higher Schur-Weyl duality. Classical and higher Schur-Weyl dualities are important tools in representation theory. Working over the fixed ground field \mathbb{C} , the classical *Schur-Weyl duality* for the general linear Lie algebra \mathfrak{gl}_n refers to the double centralizer theorem applied to the commuting actions of \mathfrak{gl}_n and the symmetric group S_a

$$\mathfrak{gl}_n \curvearrowright V^{\otimes a} \curvearrowleft S_a \tag{0.1}$$

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on the tensor product of a copies of the vector representation V . By (*higher Schur-Weyl duality*) (see [1], [7]) we mean the existence of commuting actions

$$\mathfrak{gl}_n \curvearrowright M \otimes V^{\otimes a} \curvearrowleft H_a \quad (0.2)$$

of \mathfrak{gl}_n and the degenerate affine Hecke algebra H_a on the tensor product of an arbitrary \mathfrak{gl}_n -representation M with $V^{\otimes a}$. The degenerate affine Hecke algebra H_a , introduced by Drinfeld [18] and Lusztig [29], contains the group algebra $\mathbb{C}[S_a]$ and the polynomial algebra $\mathbb{C}[y_1, \dots, y_a]$ as subalgebras, and is isomorphic as vector space to $\mathbb{C}[S_a] \otimes \mathbb{C}[y_1, \dots, y_a]$. In particular it has a basis

$$\mathcal{B} = \{wy_1^{k_1} \dots y_a^{k_a} \mid w \in S_a, k_i \in \mathbb{N}_0\}.$$

The action of the symmetric group on $M \otimes V^{\otimes a}$ is given by permuting the tensor factors of $V^{\otimes a}$. To get the action of the polynomial generators y_i , one additionally considers the Casimir element

$$\Omega^{\mathfrak{gl}_n} = \sum_{1 \leq i, j \leq n} E_{ij} \otimes E_{ji} \in \mathfrak{gl}_n \otimes \mathfrak{gl}_n, \quad (0.3)$$

labels the tensor factors of $M \otimes V^{\otimes a}$ by $0, 1, \dots, a$, and then sets

$$y_i = \sum_{j=0}^{i-1} \Omega_{ji}^{\mathfrak{gl}_n}, \quad (0.4)$$

with Ω_{ji} denoting the action of Ω on the j -th and i -th tensor factors of $M \otimes V^{\otimes a}$. These operators satisfy $y_{i+1} = s_i y_i s_i + s_i$ for $s_i = (i, i+1) \in S_a$, and define an action of H_a . When M is the trivial representation, this action factors through the quotient $H_a \rightarrow \mathbb{C}[S_a]$, and (0.2) reduces to (0.1). The quotient map $H_a \rightarrow \mathbb{C}[S_a]$ sends y_1, \dots, y_a to the Jucys-Murphy elements of $\mathbb{C}[S_a]$.

The existence of (0.1) and (0.2) allows one to pass knowledge about the representation theory between the two sides of the duality, and can be used to construct 2-Kac-Moody representations in the sense of Rouquier, [35].

Commuting actions for the periplectic Lie superalgebras $\mathfrak{p}(n)$. We aim to establish a duality analogous to (0.2) in a situation where \mathfrak{gl}_n is replaced by the *periplectic Lie superalgebra* $\mathfrak{p}(n)$. The family $\mathfrak{p}(n)$, $n \geq 2$, is the first family of so-called “strange” Lie superalgebras in the classification of reductive Lie superalgebras [24]. The hope is to use a duality like (0.2) as a tool in understanding the representation theory of $\mathfrak{p}(n)$.

The superalgebra $\mathfrak{p}(n)$ is defined as the subalgebra of the general linear superalgebra $\mathfrak{gl}(n|n)$, consisting of all elements preserving a certain bilinear form β on the vector representation V of $\mathfrak{gl}(n|n)$ (see Section 3 for the definition). The duality analogous to (0.1) has been established in [31], where it was shown that the centralizer algebra $\text{End}_{\mathfrak{p}(n)}(V^{\otimes a})$ is a certain *Brauer superalgebra*, a signed version of the Brauer algebra. One would like to add polynomial generators y_1, \dots, y_a to the Brauer superalgebra, and define their action on the tensor product $M \otimes V^{\otimes a}$ of an arbitrary $\mathfrak{p}(n)$ -representation M with a copies of the vector representation V using an analogue of (0.4) for some suitably defined element $\Omega \in \mathfrak{p}(n) \otimes \mathfrak{p}(n)$,

which centralizes the action of $\mathfrak{p}(n)$ on tensor products. Unfortunately, such an element Ω does not exist in $\mathfrak{p}(n) \otimes \mathfrak{p}(n)$.

The main idea is to instead consider a *fake* Casimir element (see also [3])

$$\Omega = \sum_{x \in \mathcal{X}} x \otimes x^* \in \mathfrak{p}(n) \otimes \mathfrak{gl}(n|n).$$

Here \mathcal{X} is a basis of $\mathfrak{p}(n)$, and $\{x^* \mid x \in \mathcal{X}\}$ is the dual basis with respect to the supertrace form on $\mathfrak{gl}(n|n)$. This element does not act on a tensor product $M \otimes N$ of arbitrary $\mathfrak{p}(n)$ -representations, but does act on the tensor product $M \otimes V$ of an arbitrary $\mathfrak{p}(n)$ -representation M and the vector representation V for $\mathfrak{gl}(n|n)$. A formula analogous to (0.4) defines the action of commuting elements y_1, \dots, y_a on $M \otimes V^{\otimes a}$, centralizing the $\mathfrak{p}(n)$ -action. We thus obtain, see Proposition 22, commuting actions

$$\mathfrak{p}(n) \curvearrowright M \otimes V^{\otimes a} \curvearrowleft s\mathbb{W}_a, \quad (0.5)$$

of $\mathfrak{p}(n)$ and a certain *affine VW superalgebra* $s\mathbb{W}_a$. More generally, we establish an action of the affine VW supercategory $s\mathbb{W}$, which we introduce in Section 1.4, on the category of modules of the form $M \otimes V^{\otimes a}$ obtained by varying a . Our main result (Theorem 2) gives an explicit basis of all the morphism spaces in $s\mathbb{W}$. The linear independence is proved hereby using the duality (0.5) for a specific choice for M , namely a Verma module of highest weight 0.

We verify that the PBW filtration on M is compatible with a filtration on the algebras $s\mathbb{W}_a$, which we build to mimic the filtration by the degree of the polynomials in $\mathbb{C}[y_1, \dots, y_a]$ in case (0.2). We explicitly describe the associated graded algebra and deduce the basis theorem from there. As an application we give a description of the centre of all endomorphism algebras involved. The arguments involve the concept of PBW-deformations and (noncommutative) Rees algebras.

Links to other results of this type. A special feature of the periplectic Lie superalgebras is that the $s\mathbb{W}_a$ are *superalgebras*, since the involved endomorphism algebra has odd generators. This does not occur in the context of higher Schur-Weyl dualities of the classical Lie superalgebras (see e.g. [12], [41] for a general treatment, [8], [20], [27] for different cases with $M = \mathbb{C}$, and [9], [16], [19], [36], [37] for higher dualities).

The superalgebra $s\mathbb{W}_a$ is a super (or signed) version of the affine VW algebra, defined in [33] and studied further in [19] in the context of higher Schur-Weyl dualities for classical Lie algebras in type *BCD*. In other words, it is a super version of the degenerate BMW algebras, see e.g. [16]. This means that, in addition to involving superalgebras, the duality (0.5) also has flavours of type *BCD*. In diagrammatic terms, this means working with dotted Brauer diagrams with height moves involving signs.

A basis theorem for the endomorphism algebras of objects in $s\mathbb{W}$ was obtained independently in [11] by an algebraic method developed in [33], also using the fake Casimir operator. The Brauer superalgebras recently appeared in the literature

under the names *odd Brauer algebras*, *marked Brauer algebras* or *periplectic Brauer algebras*, indicating the slightly different points of view on the subject.

Brauer supercategories can be realized as subcategories, as well as quotients, of the VW supercategories. They are a super version of the classical Brauer categories as defined e.g. in [28]. As a direct consequence of our basis theorem we thus obtain a basis theorem for the Brauer supercategories, and hence reprove results from [6], [26] and [31]. Under this quotient, the elements y_1, \dots, y_a of the superalgebra $s\mathbb{W}_a$ specialise to Jucys-Murphy elements in the Brauer superalgebras. This allows one to apply the Cherednik [13] and Okounkov-Vershik [10], [34] approaches in this context. First steps in this direction were already successfully taken in [3] and [14] from different perspectives to determine the blocks and decomposition numbers in the category of finite dimensional representations of $\mathfrak{p}(n)$ and of the Brauer superalgebra, and further developed in [15]. A thorough treatment of the corresponding category \mathcal{O} is so far missing and will be deferred to subsequent work.

The roadmap of the paper. In Section 1 we define the Brauer supercategory $s\mathcal{B}r$, the VW supercategory $s\mathbb{W}$, and their endomorphism algebras $s\mathcal{B}r_a$ and $s\mathbb{W}_a$, and state the main results, Theorems 1 and 2. In particular, Theorem 2 gives bases $S_{a,b}^\bullet$ of the endomorphism spaces of $s\mathbb{W}$. In Section 2 we prove that $S_{a,b}^\bullet$ are spanning sets using a topological argument. In Section 3 we discuss the Lie superalgebra $\mathfrak{p}(n)$ and its representations, the fake Casimir Ω , and prove the existence of the commuting action (0.5). In Section 4 we prove linear independence of the sets $S_{a,b}^\bullet$ by finding large n and large enough $\mathfrak{p}(n)$ -representations M , so that the set $S_{a,b}^\bullet$ maps into a set of linearly independent operators on $M \otimes V^{\otimes a}$. This proves Theorem 2, and Theorem 1 follows as a corollary. As an application, in Section 5 we describe the presentation, the centre, and a certain deformation of the endomorphism algebras $s\mathbb{W}_a = \text{End}_{s\mathbb{W}}(a)$.

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1. DEFINITIONS AND MAIN RESULTS

In this section we define the Brauer supercategory $s\mathcal{B}r$ and the affine VW supercategory $s\mathbb{W}$ as monoidal supercategories, and state Theorems 1 and 2, which give diagrammatic bases for the morphism spaces in these categories.

We fix \mathbb{C} as the ground field for the whole paper.

1.1. Monoidal supercategories. We start by recalling some basic facts about monoidal supercategories. For a thorough discussion, see e.g. [6].

A *superspace* is a vector space V with a $\mathbb{Z}/2\mathbb{Z}$ grading, $V = V_{\bar{0}} \oplus V_{\bar{1}}$. Homogeneous vectors $v \in V_{\bar{0}}$ are said to be *even* or *of parity* $\bar{v} = \bar{0}$, and $v \in V_{\bar{1}}$ are said to be *odd* or *of parity* $\bar{v} = \bar{1}$. Linear maps between superspaces inherit the grading; homogeneous linear maps are called *even* or *odd*, respectively, depending on whether they preserve or change the parity of homogeneous vectors. Formulas involving parity are usually written for homogeneous elements and extended linearly. A tensor product of superspaces is again a superspace. For f, g homogeneous linear maps of superspaces, $f \otimes g$ is defined as

$$(f \otimes g)(v \otimes w) = (-1)^{\bar{g}\bar{v}} f(v) \otimes g(w)$$

on homogeneous vectors $v \otimes w$. The following Koszul sign rule holds for compositions

$$(f \otimes g) \circ (h \otimes k) = (-1)^{\bar{g}\bar{h}} (f \circ h) \otimes (g \circ k). \quad (1.1)$$

A *supercategory* is a category enriched in superspaces; this means all morphism sets are superspaces, and composition preserves parity. A *superfunctor* between two supercategories is a functor enriched in superspaces, namely the corresponding map on each morphism superspace is linear and even. We will be using the usual string calculus for morphisms in strict monoidal supercategories, see e.g. [25, Definition XI.2.1] (for instance, the object $a \otimes b$ is depicted by drawing b to the right of a , and similar for morphisms $f \otimes g$ while keeping in mind formula (1.1)).

We will define strict monoidal supercategories (namely $s\mathcal{B}r$ and $s\mathbb{W}$) using generators and relations by

- (i) specifying a set of generating objects; all objects in the category are obtained as finite tensor products $a_1 \otimes \cdots \otimes a_r$ of generating objects a_i (including the empty tensor product, which is defined to be the unit object $\mathbb{1}$);
- (ii) specifying a set of generating morphisms; all morphisms in the category are then obtained as linear combinations of *horizontal* (using the tensor product $f \otimes g$) and *vertical* (using the composition $f \circ g$) stackings of compatible generating morphisms and the identity morphisms. Diagrammatically, $f \otimes g$ is presented as placing f to the left of g , whereas $f \circ g$ is presented as stacking f on top of g ; in particular, morphisms are read from bottom to top;
- (iii) specifying a set of generating relations for morphisms; the full set of relations is obtained as the two sided tensor ideal generated by the specified generating relations. This means that the relations can be applied locally in a diagram. Implicitly, we also require the morphisms to respect the sign rule (1.1); these are sometimes called the *height moves* in string calculus.


1.2. The Brauer supercategory $s\mathcal{B}r$. The *Brauer supercategory* is the \mathbb{C} -linear strict monoidal supercategory $s\mathcal{B}r$, generated as a monoidal supercategory by a

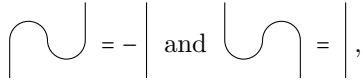
single object \star and morphisms

$$s = \begin{array}{c} \diagup \\ \diagdown \end{array} : \star \otimes \star \longrightarrow \star \otimes \star,$$

$$\flat = \frown : \star \otimes \star \longrightarrow \mathbb{1}, \quad \text{and} \quad \flat^* = \smile : \mathbb{1} \longrightarrow \star \otimes \star,$$

with parities $\bar{s} = \bar{0}$, $\bar{\flat} = \bar{\flat}^* = \bar{1}$, subject to the following defining relations:

(R1) The *braid relations*: 

(R2) The *snake relations* or *adjunctions*: 

(R3) The *untwisting* relations: 

The supercategory structure means the *height moves* via (1.1) are also satisfied, e.g. $\frown \smile = \flat \circ (1 \otimes 1 \otimes \flat) = \flat \otimes \flat = \smile \frown$ or $\smile \frown = \flat \circ (\flat \otimes 1 \otimes 1) = -\flat \otimes \flat = -\smile \frown$.

The objects of $s\mathcal{B}r$ are sometimes written as natural numbers \mathbb{N}_0 , identifying $a \in \mathbb{N}_0$ with $\star^{\otimes a}$, where $\star^{\otimes 0} = \mathbb{1}$. A *diagram* is a finite composition (horizontally or vertically) of generating morphisms and identity morphisms. It consists of lines, connecting pairs of points among the bottom and top ones, which we call *strings*. Call a diagram an (a, b) -*diagram* if it consists of strings connecting a points at the bottom and b points at the top. Elements of $\text{Hom}_{s\mathcal{B}r}(a, b)$ are then equivalence classes of linear combinations of (a, b) -diagrams modulo the relations (R1)-(R3). For an (a, b) -diagram d we write $[[d]]$ or just $d \in \text{Hom}_{s\mathcal{B}r}(a, b)$ for its equivalence class in $\text{Hom}_{s\mathcal{B}r}(a, b)$.

We let $1_a \in \text{Hom}_{s\mathcal{B}r}(a, a)$ denote the identity morphism, and let

$$\flat_i = 1_{i-1} \otimes \flat \otimes 1_{a-i+1} \in \text{Hom}_{s\mathcal{B}r}(a+2, a), \quad \flat_i^* = 1_{i-1} \otimes \flat^* \otimes 1_{a-i+1} \in \text{Hom}_{s\mathcal{B}r}(a, a+2),$$

$$s_i = 1_{i-1} \otimes s \otimes 1_{a-i-1} \in \text{Hom}_{s\mathcal{B}r}(a, a)$$

denote the morphisms obtained by applying \flat, \flat^* and s on the i -th and $(i+1)$ -st tensor factors. The supercategory $s\mathcal{B}r$ can alternatively be generated as a supercategory (as opposed to a monoidal supercategory) by vertically stacking compatible \flat_i, \flat_i^*, s_i .

1.3. Normal diagrams. We call a string with both ends at the top of the diagram a *cup*, a string with both ends at the bottom of the diagram a *cap*, a string with one end at the top and one at the bottom a *through string*, and a string with no endpoints a *loop*.

Call an (a, b) -diagram d *normal* if all of the following hold:

- any two strings intersect at most once;
- no string intersects itself;
- no two cups or caps are at the same height;
- all cups are above all caps;
- the height of caps decreases when the caps are ordered from left to right with respect to their left ends;
- the height of cups increases when the cups are ordered from left to right with respect to their left ends.

As a consequence, every string in a normal diagram has either one cup, or one cap, or no cups and caps, and there are no closed loops. An (a, b) -diagram with no loops has $\frac{a+b}{2}$ strings. In particular, if $a + b$ is odd then this set is empty, and then $\text{Hom}_{s\mathcal{B}r}(a, b) = \{0\}$.

Each (a, b) -diagram d gives rise to a partition $P(d)$ of the set of $a + b$ points into 2-element subsets given by the endpoints of the strings in d ; which in fact only depends on $d \in \text{Hom}_{s\mathcal{B}r}(a, b)$. We call such a partition a *connector* and let $\text{Conn}(a, b)$ denote the set of all such connectors; its size is $(a + b - 1)!!$. For each connector $c \in \text{Conn}(a, b)$, we pick a normal diagram $d_c \in P^{-1}(c)$. Viewed as an element in $\text{Hom}_{s\mathcal{B}r}(a, b)$, this choice is unique up to a sign, see Lemma 10.

Theorem 1. The set $S_{a,b} = \{[d_c] \mid c \in \text{Conn}(a, b)\}$ is a basis of $\text{Hom}_{s\mathcal{B}r}(a, b)$.

We show that it is a spanning set using topology in Section 2. Linear independence can also be seen directly using topology, since the defining relations of $s\mathcal{B}r$ do not change the underlying connector of a diagram. However, we obtain it using representation theory in Section 4 as a direct consequence of the more general Theorem 2. For the special case of $a = b$, this theorem appears as a basis theorem for the algebra \mathcal{A}_a in [31].

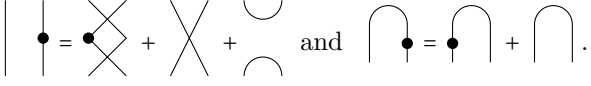
Let us also remark that the above choice of normal diagrams for basis vectors is for convenience only. It is enough to choose one diagram d'_c with no loops in every fibre $P^{-1}(c)$; the set $\{[d'_c] \mid c \in \text{Conn}(a, b)\}$ is then also a basis. This choice of basis differs from $S_{a,b}$ by signs only, meaning it is a subset of $\{\pm[d_c] \mid c \in \text{Conn}(a, b)\}$ with exactly one choice of sign for each d_c , see Proposition 11.

1.4. The affine VW supercategory $s\mathbb{W}$. The *affine VW supercategory*, or *affine Nazarov-Wenzl supercategory*, is the \mathbb{C} -linear strict monoidal supercategory $s\mathbb{W}$,

generated as a monoidal supercategory by a single object \star , morphisms $s = \times : \star \otimes \star \rightarrow \star \otimes \star$, $\flat = \cap : \star \otimes \star \rightarrow \mathbb{1}$ and $\flat^* = \cup : \mathbb{1} \rightarrow \star \otimes \star$ as above, and an additional morphism

$$y = \bullet : \star \rightarrow \star$$

with parity $\bar{y} = 0$, subject to relations (R1)-(R3) above, and additional relations

(R4) The *dot relations*: 

The objects in $s\mathbb{W}$ can be identified with integers $a \in \mathbb{N}_0$, and the morphisms are linear combinations of dotted diagrams modulo the above relations. The category can alternatively be generated by vertically stacking b_i, b_i^*, s_i and $y_i = 1_{i-1} \otimes y \otimes 1_{a-i} \in \text{Hom}_{s\mathbb{W}}(a, a)$. It is a filtered category, in the sense that the spaces $\text{Hom}_{s\mathbb{W}}(a, b)$ have a filtration with $\text{Hom}_{s\mathbb{W}}(a, b)^{\leq k}$ being the span of all (equivalence classes of) dotted (a, b) -diagrams with at most k dots.

1.5. **Normal dotted diagrams.** Call a dotted diagram d *normal* if:

- the underlying diagram obtained by erasing the dots is normal;
- all dots on cups and caps are on the leftmost end, and all dots on the through strings are at the bottom.

Let $dS_{a,b}^\bullet$ be the set of normal dotted (a, b) -diagrams obtained by taking all normal (a, b) -diagrams d_c as in Theorem 1 and adding dots to them in all possible ways. Let $dS_{a,b}^k \subset dS_{a,b}^\bullet$ and $dS_{a,b}^{\leq k} = \bigcup_{l=0}^k dS_{a,b}^l$ be the sets of such diagrams with exactly k dots, respectively at most k dots. In particular, $dS_{a,b}^0 = dS_{a,b}^{\leq 0} = \{d_c \mid c \in \text{Conn}(a, b)\}$. Note that if $a \equiv b \pmod{2}$ then the cardinality of $dS_{a,b}^k$ is $\binom{\frac{a+b}{2}+k-1}{k} \cdot (a+b-1)!!$, and if $a \not\equiv b \pmod{2}$ then the cardinality of $dS_{a,b}^k$ is 0.

The main result of the paper states that the images of normal dotted diagrams in $s\mathbb{W}$ form a basis of the morphism spaces in $s\mathbb{W}$:

Theorem 2 (Basis Theorem). The set $S_{a,b}^{\leq k} = \{[d] \mid d \in dS_{a,b}^{\leq k}\}$ is a basis of $\text{Hom}_{s\mathbb{W}}(a, b)^{\leq k}$, and consequently $S_{a,b}^\bullet = \{[d] \mid d \in dS_{a,b}^\bullet\}$ is a basis of $\text{Hom}_{s\mathbb{W}}(a, b)$.

The proof will be given in Sections 2 and 4. The identification $S_{a,b} = S_{a,b}^0$ defines an embedding of categories $s\mathcal{B}r \rightarrow s\mathbb{W}$ and hence Theorem 2 directly implies Theorem 1.

As an immediate consequence of Theorem 2 we obtain the following:

Corollary 3. For any $a \in \mathbb{N}_0$, the equivalence classes of (a, a) -diagrams without dots span a supersubalgebra $\text{Hom}_{s\mathcal{B}r}(a, a)$ of the superalgebra $\text{Hom}_{s\mathbb{W}}(a, a)$. The dotted diagrams whose underlying undotted diagram is the identity morphism 1_a give rise to a polynomial subalgebra $\mathbb{C}[y_1, \dots, y_a]$ of $\text{Hom}_{s\mathbb{W}}(a, a)$. The subalgebras $\mathbb{C}[y_1, \dots, y_a]$ and $\text{Hom}_{s\mathcal{B}r}(a, a)$ together generate $\text{Hom}_{s\mathbb{W}}(a, a)$ as a superalgebra.

1.6. **The affine VW superalgebra $s\mathbb{W}_a$.** For any $a \in \mathbb{N}_0$, the endomorphism space $s\mathbb{W}_a = \text{Hom}_{s\mathbb{W}}(a, a)$ has the structure of a superalgebra. It is the signed version of the affine VW algebra (see [19, Section 2] for the setup we use), and the affine version of the Brauer superalgebra $\text{Hom}_{s\mathcal{B}r}(a, a)$. These algebras have an interesting structure, and allow an \hbar -deformation. For more details, including a presentation and a description of the centre, see Section 5.

One can also define *cyclotomic quotients* of the algebras $s\mathbb{W}_a$ by mimicking the constructions in [2] for affine VW algebras, see also [11]. We expect Lemma 8

(the vanishing of loop values) to simplify the necessary admissibility conditions from [2] and more explicitly [19] drastically, but do not pursue this here.

2. SPANNING SETS FOR $s\mathcal{B}r$ AND $s\mathbb{W}$

In this section we show that the sets $S_{a,b}$ and $S_{a,b}^\bullet$ span the corresponding morphism spaces in the categories $s\mathcal{B}r$ and $s\mathbb{W}$ (Propositions 11 and 12).

2.1. Some diagrammatic relations. First, we establish some additional relations in these categories. Note that these relations are local and hold wherever they are defined within a bigger expression. We indicate how the local diagram fits into the larger one by specifying the position ($i \in \mathbb{N}$) of a string (always counted from the left).

The first lemma shows that in $s\mathcal{B}r$ (and consequently in $s\mathbb{W}$), similar untwisting relations to (R3) hold for caps as they do for cups, and that any isolated loops are zero.

Lemma 4 (Untwisting relations). The following equalities hold in $s\mathcal{B}r$ and $s\mathbb{W}$:

$$\begin{array}{ccc}
 (a) \quad \text{Diagram 1} = \text{Diagram 2} & (b) \quad \text{Diagram 3} = \text{Diagram 4} & (c) \quad \text{Diagram 5} = 0 \\
 b_i s_{i+1} = b_{i+1} s_i & b_i s_i = b_i & b_i b_i^* = 0
 \end{array}$$

Proof. (a) Using the defining relations in $s\mathcal{B}r$ and (1.1), the morphism s equals

$$\times \stackrel{(R2)}{=} - \text{Diagram 6} = - \text{Diagram 7} \stackrel{(R3)}{=} - \text{Diagram 8}$$

and therefore

$$\times \cap = - \text{Diagram 9} = \text{Diagram 10} \stackrel{(R2)}{=} \text{Diagram 11} .$$

(b) We use part (a), the relations in $s\mathcal{B}r$ and the Koszul sign rule to show

$$\begin{array}{c}
 \text{Diagram 12} \stackrel{(R2)}{=} \text{Diagram 13} \stackrel{(1.1)}{=} - \text{Diagram 14} \stackrel{(R3)}{=} - \text{Diagram 15} = \\
 \stackrel{(a)}{=} - \text{Diagram 16} \stackrel{(R3)}{=} \text{Diagram 17} \stackrel{(R2)}{=} \text{Diagram 18}
 \end{array}$$

(c) The equalities $\cap = \text{crossing}$ and $-\cup = \text{crossing}$, imply finally the vanishing of isolated loops $\bigcirc = \frac{1}{2}\bigcirc + \frac{1}{2}\bigcirc = \frac{1}{2}\text{figure-eight} - \frac{1}{2}\text{figure-eight} = 0$. \square

The next lemma explains how a dot can be moved within a dotted diagram in $s\mathbb{W}$. In particular, it can slide through crossings and cups, modulo some diagrams with a smaller number of dots.

Lemma 5 (Dot sliding relations). The following equalities hold in $s\mathbb{W}$:

$$(a) \quad \begin{array}{c} \diagdown \\ \bullet \\ \diagup \end{array} = \begin{array}{c} \bullet \\ \diagdown \\ \diagup \end{array} + \begin{array}{c} | \\ | \\ | \end{array} - \begin{array}{c} \cup \\ \cup \end{array} \quad (b) \quad \begin{array}{c} \diagdown \\ \bullet \\ \diagup \end{array} = \begin{array}{c} \diagdown \\ \diagup \\ \bullet \end{array} - \begin{array}{c} | \\ | \\ | \end{array} - \begin{array}{c} \cup \\ \cup \end{array} \quad (c) \quad \begin{array}{c} \cup \\ \bullet \end{array} = \begin{array}{c} \bullet \\ \cup \end{array} - \begin{array}{c} \cup \end{array}$$

$$s_i y_{i+1} = y_i s_i + 1 - b_i^* b_i \quad s_i y_i = y_{i+1} s_i - 1 - b_i^* b_i \quad y_{i+1} b_i^* = y_i b_i^* - b_i^*$$

Proof. To obtain the equalities (a) and (b), we multiply the first relation in (R4) by s_i on the left, respectively on the right, and then use the braid and untwisting relations (R1), (R3) together with Lemma 4(b) to simplify. For (c) we compute:

$$\begin{array}{c} \cup \\ \bullet \end{array} \stackrel{(R2)}{=} - \begin{array}{c} \cup \\ \bullet \end{array} = \begin{array}{c} \bullet \\ \cup \end{array} \stackrel{(R4)}{=} \begin{array}{c} \cup \\ \bullet \end{array} - \begin{array}{c} \cup \end{array} \stackrel{(R2)}{=} \begin{array}{c} \cup \\ \bullet \end{array} - \begin{array}{c} \cup \end{array}. \quad \square$$

By induction, we obtain formulas for sliding multiple dots along cups or caps:

Lemma 6. The following equalities hold in $s\mathbb{W}$ for any $k \geq 1$.

$$(a) \quad \begin{array}{c} \cap \\ \bullet k \end{array} = \sum_{j=0}^k \binom{k}{j} \begin{array}{c} \cap \\ \bullet j \end{array}, \quad (b) \quad \begin{array}{c} \cap \\ \bullet k \end{array} = \sum_{j=0}^k (-1)^{k+j} \binom{k}{j} \begin{array}{c} \cap \\ \bullet j \end{array},$$

$$(c) \quad \begin{array}{c} \cup \\ \bullet k \end{array} = \sum_{j=0}^k \binom{k}{j} \begin{array}{c} \cup \\ \bullet j \end{array}, \quad (d) \quad \begin{array}{c} \cup \\ \bullet k \end{array} = \sum_{j=0}^k (-1)^{k+j} \binom{k}{j} \begin{array}{c} \cup \\ \bullet j \end{array},$$

where the integers attached to the dots indicate the number of dots on the strand.

The following dot sliding formulas can also be verified in a straightforward way using induction, and should be compared with [2, Lemma 2.3].

Lemma 7 (Generalized dot sliding). For any $k \in \mathbb{N}_0$ we have the following equalities in $s\mathbb{W}$:

$$(a) \quad \begin{array}{c} \diagdown \\ \bullet k \\ \diagup \end{array} = \begin{array}{c} \bullet k \\ \diagdown \\ \diagup \end{array} + \sum_{j=0}^{k-1} \left(\begin{array}{c} \cap \\ \bullet k-1-j \end{array} \begin{array}{c} | \\ \bullet j \end{array} - \begin{array}{c} \cup \\ \bullet k-1-j \end{array} \begin{array}{c} \cup \\ \bullet j \end{array} \right)$$

$$(b) \quad \begin{array}{c} \diagdown \\ \bullet k \\ \diagup \end{array} = \begin{array}{c} \diagdown \\ \diagup \\ \bullet k \end{array} - \sum_{j=0}^{k-1} \left(\begin{array}{c} \cap \\ \bullet k-1-j \end{array} \begin{array}{c} | \\ \bullet j \end{array} + \begin{array}{c} \cup \\ \bullet k-1-j \end{array} \begin{array}{c} \cup \\ \bullet j \end{array} \right)$$

Furthermore, as we show next, as a generalization of Lemma 4(c), isolated loops in $s\mathbb{W}$ with any number of dots are zero. All calculations with diagrams take place inside $s\mathbb{W}$.

Lemma 8 (Loop values). For any $k, \ell \in \mathbb{N}_0$, the following equalities hold in $s\mathbb{W}$:

$$k \begin{array}{c} \circlearrowleft \\ \bullet \\ \bullet \\ \ell \end{array} = 0, \quad \text{that is, } b_i y_i^k y_{i+1}^\ell b_i^* = 0 \text{ for any } i \geq 1.$$

Proof. Using Relation (R4) to consecutively slide dots from the right side of the loop to the left, any loop with dots as above can be written as a linear combination of loops with dots on the left only. Hence, without loss of generality, we can assume $\ell = 0$. Applying Relation (R4) and Lemma 5(c), we can rewrite a loop with $k+1$ dots on the left in two different ways (where the integers always indicate the number of dots on the strand):

$$k \begin{array}{c} \circlearrowleft \\ \bullet \\ \bullet \end{array} + k \begin{array}{c} \circlearrowleft \\ \bullet \end{array} = k \begin{array}{c} \circlearrowleft \\ \bullet \\ \bullet \end{array} = k+1 \begin{array}{c} \circlearrowleft \\ \bullet \end{array} = k \begin{array}{c} \bullet \\ \circlearrowleft \\ \bullet \end{array} = k \begin{array}{c} \circlearrowleft \\ \bullet \end{array} - k \begin{array}{c} \circlearrowleft \\ \bullet \end{array}.$$

Subtracting $k \begin{array}{c} \circlearrowleft \\ \bullet \end{array}$ from both sides, we get $2 \left(k \begin{array}{c} \circlearrowleft \\ \bullet \end{array} \right) = 0$. □

Example 9. Lemma 8 shows that all *isolated* loops, i.e. all loops (with or without dots) that do not intersect any other strands, are equal to zero in $s\mathbb{W}$. This does not mean that all dotted diagrams involving (non-isolated) loops are equal to zero in $s\mathbb{W}$, as the following example shows.

$$\begin{aligned} d = & \begin{array}{c} \circlearrowleft \\ \bullet \end{array} = \begin{array}{c} \circlearrowleft \\ \bullet \\ \circlearrowleft \end{array} - \begin{array}{c} \circlearrowleft \\ \circlearrowleft \end{array} - \begin{array}{c} \circlearrowleft \\ \circlearrowleft \end{array} = \begin{array}{c} \circlearrowleft \end{array} \begin{array}{c} \bullet \end{array} - \begin{array}{c} \circlearrowleft \\ \circlearrowleft \end{array} - \begin{array}{c} \circlearrowleft \\ \circlearrowleft \end{array} \\ & = \begin{array}{c} \circlearrowleft \\ \bullet \end{array} - \begin{array}{c} \circlearrowleft \end{array} + \begin{array}{c} \circlearrowleft \end{array} = 0 + \begin{array}{c} \circlearrowleft \end{array} = 2 \end{aligned}$$

The indicated diagram d has a loop and one dot, and the above calculation shows that it can be rewritten (in $s\mathbb{W}$) as a diagram with no dots. This is a general phenomenon; resolving loops in a diagram with k dots will produce (in $s\mathbb{W}$) a linear combination of diagrams without loops which all have $< k$ dots (see the proof of Proposition 12).

2.2. Spanning set. We now prove the first part of Theorems 1 and 2 - namely, that the sets $S_{a,b}$ and $S_{a,b}^{\leq k}$ span $\text{Hom}_{s\mathcal{B}r}(a,b)$ and $\text{Hom}_{s\mathbb{W}}(a,b)^{\leq k}$, respectively.

Lemma 10. If d_1, d_2 are two normal (a,b) -diagrams with the same connector $P(d_1) = P(d_2)$, then $\llbracket d_1 \rrbracket = \llbracket d_2 \rrbracket \in \text{Hom}_{s\mathcal{B}r}(a,b)$.

Proof. As they are both normal, the diagrams d_1 and d_2 differ by at most the order of the crossings, so by braid relations (R1), $\llbracket d_1 \rrbracket = \llbracket d_2 \rrbracket$ in $s\mathcal{B}r$. \square

Proposition 11. For any diagram d its equivalence class $\llbracket d \rrbracket \in s\mathcal{B}r$ is either equal to zero (if it has loops) or (if it has no loops) equal to $\pm \llbracket d_c \rrbracket \in S_{a,b}$, where $c = P(d)$ is the connector corresponding to d . In particular, $S_{a,b}$ spans $\text{Hom}_{s\mathcal{B}r}(a,b)$.

Proof. If the diagram d has any loops, we can use relations (R1) – (R3) together with Lemma 4 to isolate the loops to one side, which shows $\llbracket d \rrbracket = 0$.

If the diagram has no loops, we can use relations (R1) – (R3) and Lemma 4 to eliminate any self intersections, double intersections (two strings intersecting twice), and change the height of cups and caps. The resulting normal diagram d' will have the same connector as d , i.e. $c := P(d) = P(d')$, and will differ in $s\mathcal{B}r$ from d by possibly a sign, i.e. $\llbracket d \rrbracket = \pm \llbracket d' \rrbracket$, and moreover will possibly differ from d_c (with $\llbracket d_c \rrbracket \in S_{a,b}$) by the order of the crossings, so by Lemma 10 it satisfies $\llbracket d' \rrbracket = \llbracket d_c \rrbracket$. Thus, $\llbracket d \rrbracket = \pm \llbracket d_c \rrbracket$. \square

The situation is only slightly more involved for $s\mathbb{W}$, as transforming a diagram to an element of $S_{a,b}^\bullet$ can produce additional terms with fewer dots, in effect replacing the diagram by a linear combination of elements of $S_{a,b}^\bullet$. More precisely we have:

Proposition 12. Given a dotted diagram d with $\llbracket d \rrbracket \in \text{Hom}_{s\mathbb{W}}(a,b)^{\leq k}$ then $\llbracket d \rrbracket$ equals a linear combination of elements in $S_{a,b}^{\leq k}$.

Proof. We argue by induction on k , with $k = 0$ given by Proposition 11. Assume $k \geq 1$, and let d be a diagram with k dots, so $\llbracket d \rrbracket \in \text{Hom}_{s\mathbb{W}}(a,b)^{\leq k}$ for some a,b . Consider now $\llbracket d \rrbracket$ and calculate in $s\mathbb{W}$. If d contains loops, work with one loop at a time to:

- (i) slide all the dots on the loop so they are all to the left;
- (ii) slide any dots on other strings away from the loop, so that no dots are in the interior of the loop.

This is accomplished using (R4) and Lemma 5. At each step, we get a linear combination of (the equivalence class of) one diagram with the same number of dots, which are now in a better position, i.e. further away from the interior of a loop or more to the left on a loop, and diagrams with fewer dots. Applying the induction assumption to diagrams with fewer dots, it is enough to prove the claim for the diagram with all the dots on loops moved all the way to the left, and no dots in the interior of loops. For such a diagram, any loop can be moved away from the other strings, so by Lemma 8 that diagram becomes equal to zero in $s\mathbb{W}$. This proves the claim for dotted diagrams with loops. In fact, it proves that for

any diagram d with loops and with k dots, $\llbracket d \rrbracket \in s\mathbb{W}$ can be rewritten as a linear combination of elements of $S_{a,b}^{\leq k-1}$.

Next, assume that d has no loops. We rewrite $\llbracket d \rrbracket \in s\mathbb{W}$ by working with one string in d at a time,

- (i) slide all the dots on through strings to the bottom.
- (ii) slide the dots on cups and caps all the way to the left.

Again, this is done using (R4) and Lemma 5. At the end of this process, we have replaced $\llbracket d \rrbracket$ by a linear combination of $\llbracket d' \rrbracket$ for a diagram d' with k dots (which are all the way on the bottom of through strings, and on the left of cups and caps), plus equivalence classes of diagrams with fewer dots. Apply the induction assumption to the diagrams with fewer dots; it remains to prove the claim for d' . The position of dots on d' means that $\llbracket d' \rrbracket$ is of the form $\prod_i y_i^{a_i} \llbracket d'' \rrbracket \prod_j y_j^{b_j}$ for some $a_i, b_j \in \mathbb{N}_0$ and some undotted diagram d'' . Applying Proposition 11 to d'' completes the proof for diagrams without loops. In fact, it proves that a diagram d without loops and with k dots can be rewritten in $s\mathbb{W}$ as a linear combination of elements of $S_{a,b}^{\leq k}$, so that the only term in this expansion with k dots is $\pm \llbracket d' \rrbracket$, where d' has the same underlying connector as d . \square

2.3. A flipping functor $\iota : s\mathbb{W} \rightarrow s\mathbb{W}^{\text{op}}$. We describe a functor between the supercategory $s\mathbb{W}$ and its opposite, which on the level of diagrams corresponds to an upside-down flip, with some additional signs.

Proposition 13. There is an isomorphism of supercategories $\iota : s\mathbb{W} \rightarrow s\mathbb{W}^{\text{op}}$, given on objects by the identity and on morphisms by:

$$\iota(s_i) = -s_i, \quad \iota(b_i) = b_i^*, \quad \iota(b_i^*) = -b_i, \quad \iota(y_i) = -y_i.$$

The inverse functor is given by ι^3 . It restricts to an anti-isomorphism on each $\text{End}_{s\mathbb{W}}(a)$, $a \in \mathbb{N}$ (sending s_i , $e_i = b_i^* b_i$, y_i to minus themselves in the notation from Section 5.1).

Proof. To see that ι respects the defining relations of $s\mathbb{W}$, we note that (R1) and the first part of (R4) are invariant under turning the diagrams upside-down, the flips of (R3) and the second part of (R4) are a consequence of Lemmas 4 and 5, and the first diagram of (R2) turns into the second after the flip, with the sign changes being consistent as well. \square

3. THE PERIPLECTIC LIE SUPERALGEBRA $\mathfrak{p}(n)$

We recall some facts from the representation theory of the Lie superalgebra $\mathfrak{p}(n)$. For more details on Lie superalgebras see for instance [32], [40], and for $\mathfrak{p}(n)$ see also [3].

3.1. Definition and bases. From now on, let $V = \mathbb{C}^{n|n}$ be the superspace of superdimension $n|n$, meaning $V = V_{\bar{0}} \oplus V_{\bar{1}}$ with $V_{\bar{0}} = \mathbb{C}^n$, $V_{\bar{1}} = \mathbb{C}^n$. Let v_1, \dots, v_n be the standard basis of $V_{\bar{0}}$ and $v_{1'}, \dots, v_{n'}$ be the standard basis of $V_{\bar{1}}$. We let $[n] := \{1, \dots, n\}$, $[n'] := \{1', \dots, n'\}$ denote the sets of indices.

The *general linear Lie superalgebra* $\mathfrak{gl}(n|n)$ is the Lie superalgebra of endomorphisms of V , with $\mathbb{Z}/2\mathbb{Z}$ grading induced by V , and the Lie superbracket given by the super commutator $[x, y] = xy - (-1)^{\overline{xy}}yx$. In terms of matrices,

$$\mathfrak{gl}(n|n) = \left\{ \begin{pmatrix} A & B \\ C & D \end{pmatrix} \mid A, B, C, D \in M_{n,n}(\mathbb{C}) \right\},$$

with

$$\mathfrak{gl}(n|n)_{\overline{0}} = \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} \right\} = \mathfrak{gl}(n) \oplus \mathfrak{gl}(n) \quad \text{and} \quad \mathfrak{gl}(n|n)_{\overline{1}} = \left\{ \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix} \right\}.$$

We call V the *vector representation* of $\mathfrak{gl}(n|n)$. A basis of $\mathfrak{gl}(n|n)$ is given by the matrix units E_{rs} for $r, s \in [n] \cup [n']$, which act on V as $E_{rs}v_t = \delta_{st}v_r$ for $t \in [n] \cup [n']$. Let $\beta : V \otimes V \rightarrow \mathbb{C}$ be the bilinear form given by

$$\beta|_{V_{\overline{0}} \otimes V_{\overline{0}}} = \beta|_{V_{\overline{1}} \otimes V_{\overline{1}}} = 0 \quad \text{and} \quad \beta(v_i, v_{j'}) = \beta(v_{j'}, v_i) = \delta_{i,j} \text{ for all } i, j \in [n].$$

It is symmetric, odd, and non-degenerate on V . André Weil named such forms *periplectic* by analogy with symplectic forms. The corresponding *periplectic Lie superalgebra* $\mathfrak{p}(n)$ is then defined as the Lie supersubalgebra of $\mathfrak{gl}(n|n)$ preserving β , i.e. it is spanned by all homogeneous elements x which satisfy $\beta(xu, v) + (-1)^{\overline{xu}}\beta(u, xv) = 0$ for all $u, v \in V$. In terms of matrices,

$$\mathfrak{p}(n) = \left\{ \begin{pmatrix} A & B \\ C & -A^t \end{pmatrix} \in \mathfrak{gl}(n|n) \mid B = B^t, C = -C^t \right\},$$

with

$$\mathfrak{p}(n)_{\overline{0}} = \left\{ \begin{pmatrix} A & 0 \\ 0 & -A^t \end{pmatrix} \right\}, \quad \mathfrak{p}(n)_{\overline{1}} = \left\{ \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix} \right\}.$$

Lemma 14. The set $\mathcal{X} = \{A_{ij}^- \mid i, j \in [n]\} \cup \{B_{ij}^+ \mid i \leq j \in [n]\} \cup \{C_{ij}^- \mid i < j \in [n]\}$ is a basis for $\mathfrak{p}(n)$, where $A_{ij}^\pm = E_{ij} \pm E_{j'i'}$, $B_{ij}^\pm = E_{ij'} \pm E_{j'i}$, $C_{ij}^\pm = E_{i'j} \pm E_{j'i}$, and $\overline{A_{ij}^\pm} = \overline{0}$, $\overline{B_{ij}^\pm} = \overline{C_{ij}^\pm} = \overline{1}$.

The universal enveloping superalgebra of a Lie superalgebra \mathfrak{g} is the quotient of the tensor algebra $T(\mathfrak{g})$ by the ideal generated by elements of the form $x \otimes y - (-1)^{\overline{xy}}y \otimes x - [x, y]$ for all homogeneous $x, y \in \mathfrak{g}$. Letting

$$\mathfrak{g} = \mathfrak{p}(n), \quad \mathfrak{g}_{-1} = \left\{ \begin{pmatrix} 0 & 0 \\ C & 0 \end{pmatrix} \in \mathfrak{p}(n) \right\}, \quad \mathfrak{g}_0 = \left\{ \begin{pmatrix} A & 0 \\ 0 & -A^t \end{pmatrix} \in \mathfrak{p}(n) \right\}, \quad \mathfrak{g}_1 = \left\{ \begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix} \in \mathfrak{p}(n) \right\},$$

the PBW theorem for $\mathfrak{p}(n)$ states that multiplication gives an isomorphism of vector superspaces

$$\Lambda(\mathfrak{g}_1) \otimes S(\mathfrak{g}_0) \otimes \Lambda(\mathfrak{g}_{-1}) \rightarrow \mathcal{U}(\mathfrak{p}(n)).$$

There is a *supertrace form* on $\mathfrak{gl}(n|n)$, given by

$$\langle x, y \rangle = \text{str}(xy), \quad \text{with} \quad \text{str} \left(\begin{pmatrix} A & B \\ C & D \end{pmatrix} \right) = \text{tr}(A) - \text{tr}(D). \quad (3.1)$$

It is bilinear, invariant in the sense $\langle [x, y], z \rangle = \langle x, [y, z] \rangle$ for all $x, y, z \in \mathfrak{gl}(n|n)$, and nondegenerate. The subalgebra $\mathfrak{p}(n)$ is isotropic with respect to this form; however, one can consider the dual space $\mathfrak{p}(n)^\perp$ of $\mathfrak{p}(n)$ in $\mathfrak{gl}(n|n)$ with respect to this form, which satisfies $\mathfrak{gl}(n|n) = \mathfrak{p}(n) \oplus \mathfrak{p}(n)^\perp$. The basis \mathcal{X} of $\mathfrak{p}(n)$ gives rise to a dual basis $\mathcal{X}^* = \{x^* \mid x \in \mathcal{X}\}$ for $\mathfrak{p}(n)^\perp$, in the sense that $\langle x^*, y \rangle = \delta_{xy}$ for all $y \in \mathcal{X}$. It is explicitly given as

$$(A_{ij}^-)^* = \frac{1}{2}A_{ji}^+, \quad (B_{ij}^+)^* = -\frac{1}{2}C_{ji}^+, \quad (B_{ii}^+)^* = -\frac{1}{4}C_{ii}^+, \quad \text{and} \quad (C_{ij}^-)^* = \frac{1}{2}B_{ji}^-.$$

3.2. The category $\mathfrak{p}(n) - \mathbf{mod}$. We consider the monoidal (with respect to the usual tensor product of representations) supercategory $\mathfrak{p}(n) - \mathbf{mod}$ of representations of $\mathfrak{p}(n)$, with the set $\text{Hom}_{\mathfrak{p}(n)}(M, N)$ of morphisms from M to N given by linear combinations of homogeneous \mathbb{C} -linear maps f from M to N such that $f(xm) = (-1)^{\bar{x}\bar{f}}xf(m)$ for homogeneous elements $m \in M$, $x \in \mathfrak{p}(n)$. We in particular allow morphisms to be odd (i.e. to change the parity of elements they are applied to).

This supercategory is symmetric, with the braiding given by the superswap

$$\sigma : M \otimes N \rightarrow N \otimes M, \quad \sigma(m \otimes n) = (-1)^{\bar{m}\bar{n}}n \otimes m.$$

We call V the *vector representation* of $\mathfrak{p}(n)$. The form β induces an (odd) identification of $V \rightarrow V^*$ as $\mathfrak{p}(n)$ -representations, given by $v \mapsto \beta(v, -)$. Similarly, the bilinear form $(\beta \otimes \beta) \circ (1 \otimes \sigma \otimes 1) : V^{\otimes 4} \rightarrow \mathbb{C}$ induces an identification $(V \otimes V)^* \rightarrow V \otimes V$. With that, the dual map to the form β can be thought of as $\beta^* : \mathbb{C} \rightarrow V \otimes V$; it is given by

$$\beta^*(1) = \sum_i (v_i \otimes v_{i'} - v_{i'} \otimes v_i).$$

Lemma 15. The following are maps of Lie superalgebra modules of degrees $\bar{1}$, $\bar{1}$, and $\bar{0}$ respectively:

$$\beta \in \text{Hom}_{\mathfrak{p}(n)}(V \otimes V, \mathbb{C}), \quad \beta^* \in \text{Hom}_{\mathfrak{p}(n)}(\mathbb{C}, V \otimes V), \quad \sigma \in \text{Hom}_{\mathfrak{p}(n)}(V \otimes V, V \otimes V).$$

3.3. A (fake) quadratic Casimir element. Because of the absence of the Killing form on $\mathfrak{p}(n)$, there is no Casimir element in $\mathcal{U}(\mathfrak{p}(n))$, nor a quadratic Casimir in $\mathfrak{p}(n) \otimes \mathfrak{p}(n)$. (In fact, the centre of $\mathcal{U}(\mathfrak{p}(n))$ acts trivially, see [21].) We can however use the supertrace form on $\mathfrak{gl}(n|n)$ to define a *fake Casimir* in $\mathfrak{p}(n) \otimes \mathfrak{gl}(n|n)$ as follows (see also [3]). Let

$$\Omega = 2 \sum_{x \in \mathcal{X}} x \otimes x^* \in \mathfrak{p}(n) \otimes \mathfrak{gl}(n|n);$$

explicitly,

$$\Omega = \sum_{i,j} A_{ij}^- \otimes A_{ji}^+ - \frac{1}{2} \sum_i B_{ii}^+ \otimes C_{ii}^+ - \sum_{i < j} B_{ij}^+ \otimes C_{ji}^+ + \sum_{i < j} C_{ij}^- \otimes B_{ji}^-. \quad (3.2)$$

This element does not act on an arbitrary tensor product $M \otimes N$ of $\mathfrak{p}(n)$ -representations, but acts on $M \otimes V$, for M any $\mathfrak{p}(n)$ -representation, and V the

above described vector representation. Its action gives a morphism in $\mathfrak{p}(n) - \text{mod}$ by the following proposition, first observed in [3, Lemma 4.1.4].

Proposition 16. The actions of Ω and $\mathfrak{p}(n)$ on $M \otimes V$ commute, i.e. we have that $\Omega \in \text{End}_{\mathfrak{p}(n)}(M \otimes V)$.

Proof. The Lie superalgebra $\mathfrak{p}(n)$ acts on $M \otimes V$ via the coproduct Δ of $\mathcal{U}(\mathfrak{p}(n))$, given by $\Delta(y) = y \otimes 1 + 1 \otimes y$. For any homogeneous element $y \in \mathfrak{p}(n) \subset \mathfrak{gl}(n|n)$, we have

$$[y \otimes 1 + 1 \otimes y, x_i \otimes x_i^*] = [y, x_i] \otimes x_i^* + (-1)^{\bar{y}\bar{x}_i} x_i \otimes [y, x_i^*].$$

Furthermore, by expanding in the basis $\{x_i\}_i \cup \{x_i^*\}_i$ of $\mathfrak{gl}(n|n)$, we can see that

$$[y, x_i] = \sum_j \langle x_j^*, [y, x_i] \rangle x_j, \quad \text{and} \quad [y, x_i^*] = \sum_j \langle [y, x_i^*], x_j \rangle x_j^*.$$

Therefore, using the invariance of the supertrace form (3.1),

$$\begin{aligned} [\Delta(y), \Omega] &= [y \otimes 1 + 1 \otimes y, \sum_i x_i \otimes x_i^*] = \sum_i [y, x_i] \otimes x_i^* + \sum_i (-1)^{\bar{y}\bar{x}_i} x_i \otimes [y, x_i^*] \\ &= \sum_{i,j} \langle x_j^*, [y, x_i] \rangle (x_j \otimes x_i^*) + \sum_{i,j} (-1)^{\bar{y}\bar{x}_i} \langle [y, x_i^*], x_j \rangle (x_i \otimes x_j^*) \\ &= \sum_{i,j} \langle x_j^*, [y, x_i] \rangle (x_j \otimes x_i^*) - \sum_{i,j} \langle [x_i^*, y], x_j \rangle (x_i \otimes x_j^*) \\ &= \sum_{i,j} \langle x_j^*, [y, x_i] \rangle (x_j \otimes x_i^*) - \sum_{i,j} \langle x_i^*, [y, x_j] \rangle (x_i \otimes x_j^*) = 0. \quad \square \end{aligned}$$

Remark 17. Note that Ω is even, $\bar{\Omega} = \bar{0}$, since from (3.2) we see that

$$\Omega \in (\mathfrak{gl}(n|n)_{\bar{1}} \otimes \mathfrak{gl}(n|n)_{\bar{1}}) \oplus (\mathfrak{gl}(n|n)_{\bar{0}} \otimes \mathfrak{gl}(n|n)_{\bar{0}}) \subset (\mathfrak{gl}(n|n) \otimes \mathfrak{gl}(n|n))_{\bar{0}}.$$

We consider the special case when $M = V$, and calculate the action of Ω in that case. (This might be compared with the classical case [19, Remark 2.6].)

Lemma 18. The action of Ω on $V \otimes V$ is explicitly given by $\sigma + \beta^* \beta$.

Proof. This is an explicit calculation in the basis $\{v_a \otimes v_b \mid a, b \in [n] \cup [n']\}$ of $V \otimes V$. We include the computation for the case $a, b \in [n]$. The remaining three cases follow similarly.

Let $a, b \in [n]$. Then

$$\begin{aligned} (A_{ij}^- \otimes A_{ji}^+)(v_a \otimes v_b) &= A_{ij}^- v_a \otimes A_{ji}^+ v_b = \delta_{aj} v_i \otimes \delta_{bi} v_j = \delta_{aj} \delta_{bi} (v_b \otimes v_a), \\ (B_{ij}^+ \otimes C_{ji}^+)(v_a \otimes v_b) &= B_{ij}^+ v_a \otimes C_{ji}^+ v_b = 0, \quad \text{and} \\ (C_{ij}^- \otimes B_{ji}^-)(v_a \otimes v_b) &= C_{ij}^- v_a \otimes B_{ji}^- v_b = 0, \end{aligned}$$

and therefore $\Omega(v_a \otimes v_b) = \sum_{i,j} \delta_{aj} \delta_{bi} v_b \otimes v_a + 0 + 0 + 0 = v_b \otimes v_a = (\sigma + \beta^* \beta)(v_a \otimes v_b)$. \square

3.4. Jucys-Murphy type elements. Once we have the above fake Casimir operator, we can define certain commuting elements of $\text{End}_{\mathfrak{p}(n)}(M \otimes V^{\otimes a})$. They are intended to mimic the action of the polynomial generators of the degenerate affine Hecke algebra in case of $\mathfrak{gl}(n)$.

Label the tensor factors of $M \otimes V^{\otimes a}$ by $0, 1, \dots, a$, and let Ω_{ij} denote the operator acting as Ω applied to the i -th and j -th factor and the identity everywhere else. For $1 \leq j \leq a$, let

$$Y_j = \sum_{i=0}^{j-1} \Omega_{ij} \in \text{End}_{\mathfrak{p}(n)}(M \otimes V^{\otimes a}),$$

(see [3, Section 4.1]) The following result is then standard.

Proposition 19. The operators Y_1, Y_2, \dots, Y_a pairwise commute.

Proof. Since Ω commutes with the coproduct $\Delta(y)$, $y \in \mathfrak{p}(n)$, then $\Omega \otimes 1 = Y_1$ commutes with

$$(\Delta \otimes 1)\Omega = \sum_{x \in \mathcal{X}} \Delta(x) \otimes x^* = \sum_{x \in \mathcal{X}} (x \otimes 1 \otimes x^* + 1 \otimes x \otimes x^*) = Y_2.$$

As operators on $M \otimes V \otimes V$, this says that Y_1 commutes with Y_2 . Using Δ^j to denote the iterated coproduct $\mathfrak{p}(n) \rightarrow \mathfrak{p}(n)^{\otimes j}$, by induction we get that

$$Y_j = (\Delta^j \otimes 1)\Omega \quad \text{commutes with} \quad Y_k = \sum_{i=0}^{k-1} \Omega_{i,k} \quad \text{for } k < j,$$

since $\Delta^j(x)$ for $x \in \mathcal{X}$ commutes with $\Omega_{i,k}$ for $i, k < j$. □

Remark 20. There is a quotient map $s\mathbb{W} \rightarrow s\mathcal{B}r$, determined by $y_1 \mapsto 0$, $b_i \mapsto b_i$, $b_i^* \mapsto b_i^*$, $s_i \mapsto s_i$. Under this quotient map,

$$y_j \mapsto \sum_{i=1}^{j-1} \left(\begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} + \begin{array}{c} \cup \\ \cup \end{array} \right)_{ij}.$$

The (pairwise commuting) images of the y_i in $s\mathcal{B}r_a$ are the analogues of Jucys-Murphy elements for the symmetric group or the Brauer algebra, see [10] and [33, Section 2]. As elements of the superalgebra $s\mathcal{B}r_a$, they were independently defined in [14, Section 6], and their eigenvalues are then used, following the approach of [34], to study the representation theory of $s\mathcal{B}r_a$ and consequently $\mathfrak{p}(n)$. In terms of the action on $M \otimes V^{\otimes a}$, taking the cyclotomic quotient determined by $y_1 \mapsto 0$ corresponds to taking M to be the trivial module (see Lemma 18). This recovers the action of $s\mathcal{B}r$ on $V^{\otimes a}$ from [31]. This might be compared with [33, Section 2], [19, Example 2.12].

Remark 21. We have the following relation in $\text{Hom}_{\mathfrak{p}(n)}(M \otimes V^{\otimes a})$, for any j such that $1 \leq j < a$, which can be checked directly:

$$\Omega_{i,j+1} = \sigma_j \Omega_{ij} \sigma_j \quad \text{for } i < j.$$

3.5. The functor Ψ_n^M . The diagrammatically described supercategory $s\mathbb{W}$ can be related to $\mathfrak{p}(n)\text{-mod}$ and used to study the representation theory of the periplectic Lie superalgebra.

Analogous to the notation Ω_{ji} , we will denote by σ_i, β_i and β_i^* the operators acting as σ, β and β^* in the i -th and $(i+1)$ -st positions of a tensor product $M \otimes V^{\otimes a}$, and identity elsewhere. Here, M is considered as the 0-th factor.

Proposition 22. For any $M \in \mathfrak{p}(n)\text{-mod}$, there is a superfunctor $\Psi_n^M : s\mathbb{W} \rightarrow \mathfrak{p}(n)\text{-mod}$ defined on objects by $a \mapsto M \otimes V^{\otimes a}$ and on morphisms by

$$s_i \mapsto \sigma_i, \quad b_i \mapsto \beta_i, \quad b_i^* \mapsto \beta_i^*, \quad y_i \mapsto Y_i = \sum_{0 \leq j < i} \Omega_{ji}.$$

Proof. From Lemma 15 and Proposition 16, we know that β, β^*, σ , and Ω are morphisms in $\mathfrak{p}(n)\text{-mod}$, hence so are the images of s_i, b_i, b_i^*, y_i under Ψ_n^M . Furthermore, Ψ_n^M preserves parity, since $\overline{s_i} = \overline{\sigma_i} = 0$, $\overline{b_i} = \overline{\beta_i} = \overline{b_i^*} = \overline{\beta_i^*} = 1$, and $\overline{y_i} = \overline{\sum_{0 \leq j < i} \Omega_{ji}} = 0$, see Remark 17. It remains to check that the images of the generating morphisms satisfy the defining relations of $s\mathbb{W}$. In the calculations we suppress the 0-th tensor factor M .

(R1) (a) $\sigma_i^2 = 1$. This follows from $\sigma^2(v \otimes w) = (-1)^{\overline{v}\overline{w}} \sigma(w \otimes v) = (-1)^{2\overline{v}\overline{w}} v \otimes w = v \otimes w$.

(b) $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$. It is enough to prove this for $i = 1$, $a = 3$:

$$\begin{aligned} (\sigma_1 \sigma_2 \sigma_1)(u \otimes v \otimes w) &= (-1)^{\overline{u}\overline{v}} (\sigma_1 \sigma_2)(v \otimes u \otimes w) = (-1)^{\overline{u}\overline{v} + \overline{u}\overline{w}} \sigma_1(v \otimes w \otimes u) \\ &= (-1)^{\overline{u}\overline{v} + \overline{u}\overline{w} + \overline{v}\overline{w}} w \otimes v \otimes u = (\sigma_2 \sigma_1 \sigma_2)(u \otimes v \otimes w). \end{aligned}$$

(R2) (a) $\beta_i \beta_{i+1}^* = -1$. It is enough to prove this for $i = 1$:

$$\begin{aligned} \beta_1 \beta_2^*(v) &= (-1)^{\overline{v}} \beta_1(v \otimes \beta^*(1)) = (-1)^{\overline{v}} \beta_1 \left(v \otimes \left(\sum_{i=1}^n v_i \otimes v_{i'} - v_{i'} \otimes v_i \right) \right) \\ &= (-1)^{\overline{v}} \sum_{i=1}^n (\beta(v, v_i) v_{i'} - \beta(v, v_{i'}) v_i) = -v. \end{aligned}$$

The last equality is easily checked on every $v = v_j$, $j \in [n] \cup [n']$.

(b) $\beta_{i+1} \beta_i^* = 1$. Similar.

(R3) (a) $\sigma_{i+1} \beta_i^* = \sigma_i \beta_{i+1}^*$. It is enough to prove this for $i = 1$:

$$\begin{aligned} \sigma_2 \beta_1^*(v) &= \sigma_2 \left(\sum_{i=1}^n (v_i \otimes v_{i'} - v_{i'} \otimes v_i) \otimes v \right) = \sum_{i=1}^n ((-1)^{\overline{v}} v_i \otimes v \otimes v_{i'} - v_{i'} \otimes v \otimes v_i), \\ \sigma_1 \beta_2^*(v) &= \sum_{i=1}^n ((-1)^{\overline{v}} v_i \otimes v \otimes v_{i'} - (-1)^{\overline{v} + \overline{v}} v_{i'} \otimes v \otimes v_i). \end{aligned}$$

(b) $\sigma_i \beta_i^* = -\beta_i^*$. This follows from the fact that $\beta^*(1)$ is skew super-symmetric. Note that this, together with the previous relations, also implies that $\beta_i \sigma_i = \beta_i$ and $\beta_i \beta_i^* = 0$, which will be used in proving (R4)(b).

(R4) (a) $Y_{i+1} = \sigma_i Y_i \sigma_i + \sigma_i + \beta_i^* \beta_i$. This formula follows via the following computation, using Remarks 20 and 21, and Lemma 18

$$\begin{aligned} Y_{i+1} &= \sum_{0 \leq k < i+1} \Omega_{k,i+1} = \sum_{0 \leq k < i} \Omega_{k,i+1} + \Omega_{i,i+1} = \sum_{0 \leq k < i} \sigma_i \Omega_{k,i} \sigma_i + \Omega_{i,i+1} \\ &= \sigma_i \left(\sum_{0 \leq k < i} \Omega_{k,i} \right) \sigma_i + \sigma_i + \beta_i^* \beta_i = \sigma_i Y_i \sigma_i + \sigma_i + \beta_i^* \beta_i \end{aligned}$$

(b) $\beta_1(Y_1 - Y_2) = -\beta_1$. We have $\beta \circ (x^* \otimes 1 - 1 \otimes x^*) = 0$ for any $x^* \in \mathfrak{p}(n)^\perp$, which can be checked directly on a basis of $V \otimes V$, and hence $\beta_1 \circ (\Omega_{01} - \Omega_{02}) = 0$. It follows that $\beta_1(\Omega_{01} - \Omega_{02} - \Omega_{12}) = -\beta_1 \Omega_{12} = -\beta_1(\sigma_1 + \beta_1^* \beta_1) = -\beta_1 \sigma_1 + 0 = -\beta_1$. \square

4. LINEAR INDEPENDENCE OF $S_{a,b}^\bullet$

The purpose of this section is to prove linear independence of the sets $S_{a,b}$ and $S_{a,b}^\bullet$, and thus prove Theorems 1 and 2. The idea is to exploit a close connection of $s\mathbb{W}$ and the representation theory of the periplectic Lie superalgebra $\mathfrak{p}(n)$. Namely, as explained in Proposition 22, for every n and every $\mathfrak{p}(n)$ -representation M , the functor $\Psi_n^M : s\mathbb{W} \rightarrow \mathfrak{p}(n)\text{-mod}$ gives a way of interpreting (equivalence classes of) diagrams $[[d]] \in \text{Hom}_{s\mathbb{W}}(a, b)$ as $\mathfrak{p}(n)$ -homomorphisms $\Psi_n^M([[d]]) : M \otimes V^{\otimes a} \rightarrow M \otimes V^{\otimes b}$. For given a, b , and k in \mathbb{N}_0 , we will pick n and an appropriate $M \in \mathfrak{p}(n)\text{-mod}$ so that the corresponding functor $\Psi_n = \Psi_n^M : s\mathbb{W} \rightarrow \mathfrak{p}(n)\text{-mod}$ maps $S_{a,b}^{\leq k}$ to a linearly independent set in $\text{Hom}_{\mathfrak{p}(n)}(M \otimes V^{\otimes a}, M \otimes V^{\otimes b})$.

The argument for linear independence is slightly easier in the associated graded setting. For that purpose, we define an auxiliary category $gs\mathbb{W}$ and auxiliary functors Φ_n , which will turn out to be the associated graded of $s\mathbb{W}$ and Ψ_n . This is analogous to the structure of the main proof in [5], where a close connection between the affine oriented Brauer category and \mathcal{W} -algebras is exploited to construct certain functors, which are then used to prove linear independence. We start with some preliminaries about filtrations and gradings.

4.1. Graded and filtered supercategories. An \mathbb{N}_0 -filtered superspace is a superspace U with a filtration by subspaces $\{0\} = U^{\leq -1} \subseteq U^{\leq 0} \subseteq U^{\leq 1} \subseteq \dots \subseteq U$, and $U = \bigcup_{k \geq 0} U^{\leq k}$. A supercategory \mathcal{C} such that for every $M, N \in \mathcal{C}$, $\text{Hom}_{\mathcal{C}}(M, N)$ has a fixed filtration compatible with composition of morphisms, $\text{Hom}_{\mathcal{C}}(M, N)^{\leq k} \times \text{Hom}_{\mathcal{C}}(N, P)^{\leq \ell} \rightarrow \text{Hom}_{\mathcal{C}}(M, P)^{\leq (k+\ell)}$ is a supercategory \mathcal{C} enriched in the category of filtered superspaces (that is, in the category whose objects are filtered superspaces and morphisms are homogeneous linear maps of degree zero). We call such a supercategory a *filtered supercategory*. A *graded supercategory* is a supercategory enriched in graded superspaces; this means its morphism spaces are graded superspaces, and composition is a homogeneous linear map of degree zero.

We say a functor $F : \mathcal{C} \rightarrow \mathcal{D}$ between two filtered (respectively, graded) supercategories \mathcal{C} and \mathcal{D} is *filtered* (respectively, *graded*) if it preserves the filtration (respectively, grading) on the morphism spaces.

Now assume we have a filtered supercategory \mathcal{C} . Its *associated graded supercategory* $gr\mathcal{C}$ is the graded supercategory with the same objects as \mathcal{C} , and morphism spaces the graded superspaces $\text{Hom}_{gr\mathcal{C}}(M, N) = gr(\text{Hom}_{\mathcal{C}}(M, N)) = \bigoplus_{k \geq 0} \text{Hom}_{gr\mathcal{C}}(M, N)^k$, where $\text{Hom}_{gr\mathcal{C}}(M, N)^k = \text{Hom}_{\mathcal{C}}(M, N)^{\leq k} / \text{Hom}_{\mathcal{C}}(M, N)^{\leq (k-1)}$.

A filtered functor $F : \mathcal{C} \rightarrow \mathcal{D}$ between two filtered supercategories induces a graded functor $gr(F) : gr\mathcal{C} \rightarrow gr\mathcal{D}$. The functor $gr(F)$ is equal to F on objects, and takes the associated graded map of F on the morphism superspaces.

4.2. The supercategories $\mathbb{C}\text{-fmod}$ and $\mathbb{C}\text{-gmod}$, and the functor G . Let $\mathbb{C}\text{-fmod}$ be the supercategory with objects \mathbb{N}_0 -filtered superspaces, and morphisms given by the filtered superspaces $\text{Hom}_{\mathbb{C}\text{-fmod}}(M, N) = \bigcup_{k \in \mathbb{N}_0} \text{Hom}_{\mathbb{C}\text{-fmod}}(M, N)^{\leq k}$, where $\text{Hom}_{\mathbb{C}\text{-fmod}}(M, N)^{\leq k} = \{f : M \rightarrow N \mid f \text{ linear, } f(M^{\leq i}) \subseteq N^{\leq (i+k)} \text{ for all } i\}$. This is an \mathbb{N}_0 -filtered supercategory as above.

Similarly, let $\mathbb{C}\text{-gmod}$ denote the supercategory with objects \mathbb{N}_0 -graded superspaces, and with morphisms superspaces of linear maps equipped with the grading coming from the objects, $\text{Hom}_{\mathbb{C}\text{-gmod}}(M, N) = \bigoplus_{k \in \mathbb{N}_0} \text{Hom}_{\mathbb{C}\text{-gmod}}(M, N)^k$, where $\text{Hom}_{\mathbb{C}\text{-gmod}}(M, N)^k = \{f : M \rightarrow N \mid f \text{ linear, } f(M^i) \subseteq N^{(i+k)} \text{ for all } i\}$. It is an \mathbb{N}_0 -graded supercategory in the above sense.

In particular, we can consider the associated graded category $gr(\mathbb{C}\text{-fmod})$ described above. (Note that $gr(\mathbb{C}\text{-fmod})$ and $\mathbb{C}\text{-gmod}$ are not the same categories; objects of $gr(\mathbb{C}\text{-fmod})$ are filtered while objects of $\mathbb{C}\text{-gmod}$ are graded vector superspaces.)

There is a functor $G : gr(\mathbb{C}\text{-fmod}) \rightarrow \mathbb{C}\text{-gmod}$ which associates to a filtered superspace $M = \bigcup_i M^{\leq i}$ its associated graded superspace $G(M) = gr(M) = \bigoplus_i M^{\leq i} / M^{\leq (i-1)}$. On morphisms, for every M, N filtered superspaces, the functor $G : \text{Hom}_{\mathbb{C}\text{-fmod}}(M, N)^{\leq k} / \text{Hom}_{\mathbb{C}\text{-fmod}}(M, N)^{\leq (k-1)} \rightarrow \text{Hom}_{\mathbb{C}\text{-gmod}}(gr(M), gr(N))^k$ is given on $f \in \text{Hom}_{\mathbb{C}\text{-fmod}}(M, N)^{\leq k}$ and $m \in M^{\leq i}$ by

$$G(f + \text{Hom}_{\mathbb{C}\text{-fmod}}(M, N)^{\leq (k-1)})(m + M^{\leq (i-1)}) = f(m) + N^{\leq (k+i-1)}.$$

4.3. $s\mathbb{W}$ as a filtered supercategory. The affine VW supercategory $s\mathbb{W}$ can be viewed as a filtered supercategory, with the filtration on the morphism spaces given by the number of dots. Let $gr(s\mathbb{W})$ be its associated graded supercategory, defined as above. In particular, the following relations hold in $gr(s\mathbb{W})$:

$$\begin{aligned} \left| \begin{array}{c} | \\ \bullet \\ | \end{array} \right| = \left| \begin{array}{c} \diagup \\ \bullet \\ \diagdown \end{array} \right| &\in \text{Hom}_{gr(s\mathbb{W})}(2, 2)^1 = \text{Hom}_{s\mathbb{W}}(2, 2)^{\leq 1} / \text{Hom}_{s\mathbb{W}}(2, 2)^{\leq 0}, \\ \left| \begin{array}{c} \cap \\ \bullet \\ | \end{array} \right| = \left| \begin{array}{c} \cup \\ \bullet \\ | \end{array} \right| &\in \text{Hom}_{gr(s\mathbb{W})}(2, 0)^1 = \text{Hom}_{s\mathbb{W}}(2, 0)^{\leq 1} / \text{Hom}_{s\mathbb{W}}(2, 0)^{\leq 0}. \end{aligned} \tag{grR-4}$$

It is however not a priori obvious that these, along with (R1)-(R3), are the only defining relations for $gr(s\mathbb{W})$. In general, given a filtered algebra or a category, describing its associated graded by generators and relations is a nontrivial problem, and the solution to this problem usually goes most of the way towards proving a

basis theorem for the filtered version (as basis theorems for graded versions are usually easier). With that in mind, we define another category $gs\mathbb{W}$ by generators and relations, and prove in Section 4.10 that $gr(s\mathbb{W})$ and $gs\mathbb{W}$ are indeed isomorphic as graded supercategories.

4.4. The category $gs\mathbb{W}$. Let $gs\mathbb{W}$ be the \mathbb{C} -linear strict monoidal supercategory generated as a monoidal supercategory by a single object \star , morphisms $s = \times$:

$$\star \otimes \star \longrightarrow \star \otimes \star, \flat = \cap : \star \otimes \star \longrightarrow \mathbb{1}, \flat^* = \cup : \mathbb{1} \longrightarrow \star \otimes \star \text{ and } y = \uparrow : \star \longrightarrow \star,$$

subject to relations (R1)–(R3) and (grR-4). The $\mathbb{Z}/2\mathbb{Z}$ parity is given by $\bar{s} = \bar{y} = 0$, $\bar{\flat} = \bar{\flat}^* = 1$. The \mathbb{N}_0 -grading is given by $\deg s = \deg \flat = \deg \flat^* = 0$, $\deg y = 1$. Note that the imposed relations are \mathbb{N}_0 -homogeneous and so the category is well-defined. In other words, the objects of $gs\mathbb{W}$ are nonnegative integers, the morphisms are (equivalence classes of) linear combinations of dotted diagrams, and the \mathbb{N}_0 -grading is given by the number of dots on the diagram.

The following is analogous to Proposition 12, and proved in the same way.

Lemma 23. For any $a, b, k \in \mathbb{N}_0$, the set $S_{a,b}^k$ is a spanning set for $\text{Hom}_{gs\mathbb{W}}(a, b)^k$.

4.5. The functor $\Theta : gs\mathbb{W} \rightarrow gr(s\mathbb{W})$. The tautological assignments $\Theta(\star) = \star$, $\Theta(s) = s$, $\Theta(\flat) = \flat$, $\Theta(\flat^*) = \flat^*$, $\Theta(y) = y$ define a graded monoidal superfunctor $\Theta : gs\mathbb{W} \rightarrow gr(s\mathbb{W})$. It is bijective on objects and full, i.e. surjective on morphisms.

4.6. The Verma module $M(0)$ and the functor Ψ_n . For $n \in \mathbb{N}$, let \mathfrak{n}_+ denote the Lie subalgebra of strictly upper triangular matrices, and \mathfrak{b} the Lie subalgebra of lower triangular matrices in $\mathfrak{gl}(n)$. They can be considered as subalgebras of $\mathfrak{gl}(n) \cong \mathfrak{g}_0 \subseteq \mathfrak{p}(n)$ via the inclusion $E_{ij} \mapsto A_{ij}^-$. Consider \mathbb{C} as the trivial representation of $\mathfrak{b} \oplus \mathfrak{g}_{-1} \subseteq \mathfrak{p}(n)$ by letting A_{ij}^- with $i \geq j$ and C_{ij}^- with $i < j$ act on it by 0. Consider the $\mathfrak{p}(n)$ -module $M(0) = \text{Ind}_{\mathfrak{b} \oplus \mathfrak{g}_{-1}}^{\mathfrak{p}(n)} \mathbb{C}$, the Verma module of highest weight 0. Using the PBW theorem we can see that, as a vector superspace, this is $\mathcal{U}(\mathfrak{p}(n)) \otimes_{\mathcal{U}(\mathfrak{b} \oplus \mathfrak{g}_{-1})} \mathbb{C} \cong \Lambda(\mathfrak{g}_1) \otimes S(\mathfrak{n}_+)$.

Consider the filtration on $M(0)$ coming from the PBW theorem, i.e. given by $\deg(B_{ij}^+) = 1$ for $i \leq j$ and $\deg(A_{ij}^-) = 1$ for $i < j$. In particular, $M(0) \otimes V^{\otimes a}$ inherits a filtration (by putting V in degree 0). In this way, $M(0) \otimes V^{\otimes a}$ can be considered, for any $a \in \mathbb{N}_0$, as an object in $\mathbb{C}\text{-fmod}$.

Lemma 24. The superfunctor $\Psi_n^{M(0)} : s\mathbb{W} \rightarrow \mathfrak{p}(n)\text{-mod}$ induces (by forgetting the action of $\mathfrak{p}(n)$ on the image of $\Psi_n^{M(0)}$) a filtered superfunctor $\Psi_n : s\mathbb{W} \rightarrow \mathbb{C}\text{-fmod}$.

Proof. The generators s_i, \flat_i, \flat_i^* of $s\mathbb{W}$ have filtered degree 0, and map under the functor Ψ_n to $\sigma_i, \beta_i, \beta_i^*$ which only act on the i -th and $(i+1)$ -st tensor factors of $M(0) \otimes V^{\otimes a}$, $1 \leq i \leq a-1$, thus do not change the filtered degree defined on the 0-th tensor factor $M(0)$.

The generator y_k has filtered degree 1 in $s\mathbb{W}$, and its image under Ψ_n is the operator

$$\Psi_n(y_k) = \sum_{i=0}^{k-1} \Omega_{ik}.$$

For $i = 1, \dots, k-1$ the operator Ω_{ik} does not change the filtered degree. For $i = 0$, the operator Ω_{0k} acts on $M(0) \otimes V^{\otimes a}$ as

$$\Omega_{0k} = \left(\sum_{i,j} A_{ij}^- \otimes A_{ji}^+ - \frac{1}{2} \sum_i B_{ii}^+ \otimes C_{ii}^+ - \sum_{i < j} B_{ij}^+ \otimes C_{ji}^+ + \sum_{i < j} C_{ij}^- \otimes B_{ji}^- \right)_{0k}.$$

The summands with C_{ij}^- , $i < j$ and A_{ij}^- , $i \geq j$ in the 0-th tensor factor preserve the filtered degree. The summands with B_{ij}^+ , $i \leq j$, and A_{ij}^- , $i < j$ in the 0-th tensor factor increase the filtered degree by 1. Thus, $\Psi_n(y_k)$ acts by increasing the filtered degree by 1. \square

4.7. The functor Φ_n . Next, we define a certain graded superfunctor, which will eventually turn out to be $\text{gr}(\Psi_n)$.

Consider again the vector space $\Lambda(\mathfrak{g}_1) \otimes S(\mathfrak{n}_+)$, now as a graded superspace with the grading given by $\deg(B_{ij}^+) = \deg(A_{ij}^-) = 1$. This gives a grading on $(\Lambda(\mathfrak{g}_1) \otimes S(\mathfrak{n}_+)) \otimes V^{\otimes a}$.

Define a functor $\Phi_n : gs\mathbb{W} \rightarrow \mathbb{C}\text{-gmod}$ on objects by $\Phi_n(a) = (\Lambda(\mathfrak{g}_1) \otimes S(\mathfrak{n}_+)) \otimes V^{\otimes a}$. In the image, we again label $\Lambda(\mathfrak{g}_1) \otimes S(\mathfrak{n}_+)$ as the 0-th tensor factor, and $V \otimes \dots \otimes V$ as factors $1, 2, \dots, a$. With this convention, set $\Phi_n(s_i) = \sigma_i$, $\Phi_n(b_i) = \beta_i$, $\Phi_n(b_i^*) = \beta_i^*$, and let

$$\Phi_n(y_k) = \left(\sum_{i < j} A_{ij}^- \otimes A_{ji}^+ - \frac{1}{2} \sum_i B_{ii}^+ \otimes C_{ii}^+ - \sum_{i < j} B_{ij}^+ \otimes C_{ji}^+ \right)_{0k},$$

with the action of $A_{ij}^- \in \mathfrak{n}_+$ and of $B_{ij}^+ \in \mathfrak{g}_1$ on $\Lambda(\mathfrak{g}_1) \otimes S(\mathfrak{n}_+)$ given by multiplication.

Lemma 25. $\Phi_n : gs\mathbb{W} \rightarrow \mathbb{C}\text{-gmod}$ is a well-defined graded superfunctor.

Proof. This is a direct calculation analogous to Proposition 22 and Lemma 24. \square

Lemma 26. With our fixed $n \in \mathbb{N}$, the following square strictly commutes:

$$\begin{array}{ccc} gs\mathbb{W} & \xrightarrow{\Phi_n} & \mathbb{C}\text{-gmod} \\ \Theta \downarrow & = & \uparrow G \\ gr(s\mathbb{W}) & \xrightarrow{gr\Psi_n} & gr(\mathbb{C}\text{-fmod}) \end{array}$$

That is, $G \circ gr\Psi_n \circ \Theta = \Phi_n$ on all objects and morphisms.

Proof. It clearly strictly commutes on objects, and on the generating morphisms s_i, b_i, b_i^* of degree 0, so it only remains to check it on y_k of filtered degree 1. This follows from the proof of Lemma 24 and from the definition of Φ_n . \square

Define a total ordering \rightarrow on the set $[n] \cup [n']$ by saying that $i \rightarrow j$ if there is an oriented path (of length at least one) from i to j in the graph

$$1 \rightarrow 2 \rightarrow \dots \rightarrow n \rightarrow n' \rightarrow (n-1)' \rightarrow \dots \rightarrow 2' \rightarrow 1'. \quad (4.1)$$

With this we have the following technical tool:

Lemma 27. Let $0 \neq m \in M(0)$, $i_1, \dots, i_a \in [n] \cup [n']$, and $1 \leq k \leq a$ be arbitrary. Then

$$\Phi_n(y_k)(m \otimes v_{i_1} \otimes v_{i_2} \otimes \dots \otimes v_{i_a}) = \sum_{i_k \rightarrow j} m_j \otimes v_{i_1} \otimes \dots \otimes v_{i_{k-1}} \otimes v_j \otimes v_{i_{k+1}} \otimes \dots \otimes v_{i_a}$$

for some $m_j \in M(0)$. Additionally, if $i_k \in [n-1]$, then $m_{i_{k+1}} = A_{i_k, i_{k+1}}^- m \neq 0$.

Proof. First note that by definition, $\Phi_n(y_k)(m \otimes v_{i_1} \otimes v_{i_2} \otimes \dots \otimes v_{i_a})$ equals

$$\begin{aligned} & \left(\sum_{i < j} A_{ij}^- \otimes A_{ji}^+ - \frac{1}{2} \sum_i B_{ii}^+ \otimes C_{ii}^+ - \sum_{i < j} B_{ij}^+ \otimes C_{ji}^+ \right)_{0k} (m \otimes v_{i_1} \otimes v_{i_2} \otimes \dots \otimes v_{i_a}) = \\ & = \sum_{i < j} A_{ij}^- m \otimes v_{i_1} \otimes \dots \otimes A_{ji}^+ v_{i_k} \otimes \dots \otimes v_{i_a} - \frac{1}{2} \sum_i B_{ii}^+ m \otimes v_{i_1} \otimes \dots \otimes C_{ii}^+ v_{i_k} \otimes \dots \otimes v_{i_a} - \\ & \quad - \sum_{i < j} B_{ij}^+ m \otimes v_{i_1} \otimes \dots \otimes C_{ji}^+ v_{i_k} \otimes \dots \otimes v_{i_a}. \end{aligned}$$

Thus, all summands are of the form $m_j \otimes v_{i_1} \otimes \dots \otimes v_j \otimes \dots \otimes v_{i_a}$ for $m_j \in M(0)$.

To determine the occurring v_j , recall that $A_{ji}^+ = E_{ji} + E_{i'j'}$ and $C_{ji}^+ = E_{j'i} + E_{i'j}$, and therefore we have

$$A_{ji}^+ v_l = \delta_{il} v_j, \quad A_{ji}^+ v_{l'} = \delta_{j'l} v_{i'}, \quad \text{for } i < j \quad \text{and} \quad C_{ji}^+ v_l = \delta_{il} v_{j'} + \delta_{j'l} v_{i'}, \quad C_{ij}^+ v_{l'} = 0. \quad (4.2)$$

In either case, v_j is (possibly a constant multiple of) another standard basis vector, whose index appears strictly to the right of i_k in (4.1), thus proving the first claim. For the second, it follows from (4.2) that the only summand transforming v_{i_k} to $v_{i_{k+1}}$ acts by A_{i_{k+1}, i_k}^+ on the k -th tensor factor, and thus acts by $A_{i_k, i_{k+1}}^-$ in the 0-th tensor factor, replacing m by $A_{i_k, i_{k+1}}^- m$. \square

4.8. The key construction. The following construction, associating two vectors v_d and w_d to a dotted diagram d with $\llbracket d \rrbracket \in \text{Hom}_{\mathfrak{S}\mathbb{W}}(a, b)$, is key to the proof of Theorem 2 in Section 4.10. In the special case when d has no dots and has the same number of cups and caps (i.e. $\llbracket d \rrbracket \in \text{Hom}_{\mathfrak{S}\mathbb{W}}(a, a)^{\leq 0}$), it specialises to a certain construction from [31, Section 4]; see Section 4.11 for details.

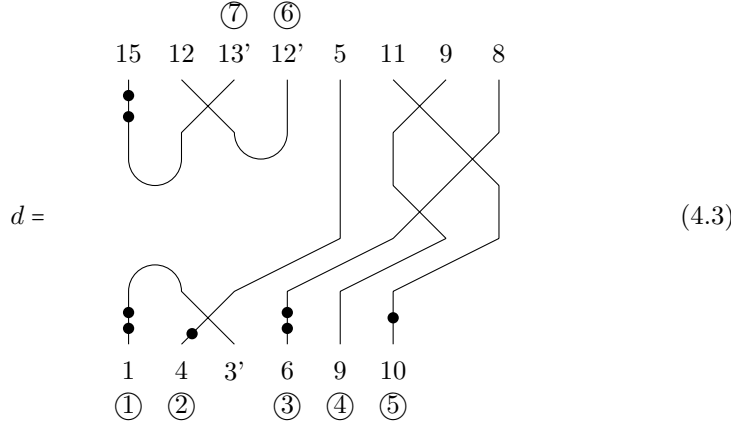
Given a dotted diagram d with $\llbracket d \rrbracket \in \text{Hom}_{\mathfrak{S}\mathbb{W}}(a, b)^{\leq k}$ and $n \geq \frac{a+b}{2} + k$, define $v_d \in V^{\otimes a}$ and $w_d \in V^{\otimes b}$ by the following algorithm.

STEP 0. Put an ordering on the strings in d so that caps come first, ordered left to right with respect to their left end; then through strings, ordered left to right with respect to their bottom end; then cups, ordered right to left with respect to their right end. (See for instance (4.3), where the strings are ordered using the set $\{\textcircled{1}, \textcircled{2}, \textcircled{3}, \textcircled{4}, \textcircled{5}, \textcircled{6}, \textcircled{7}\}$ with the usual ordering.)

- STEP 1.** Starting with the smallest cap label, and repeating along the order, label its left end by the minimal $i \in [n]$ which is bigger than all the labels already assigned. If the cap has ℓ dots, label its right end by $i + \ell$.
- STEP 2.** Continue with the through strings in the assigned order, and for each, label its bottom end by the minimal $i \in [n]$ which is bigger than all the labels already assigned. If the through string has ℓ dots, label its top end by $i + \ell$.
- STEP 3.** For each cup in order, label its right end by the minimal element i of the set $[n]$ which is bigger than all the labels already assigned. If the cup has ℓ dots, label its left end by $i + \ell$.
- STEP 4.** For each cup and cap, change the right end label from i to i' .
- STEP 5.** Now we have assigned to the bottom of the diagram labels i_1, i_2, \dots, i_a and to the top j_1, j_2, \dots, j_b for some $i_1, \dots, i_a, j_1, \dots, j_b \in [n] \cup [n']$. Set

$$v_d = v_{i_1} \otimes v_{i_2} \otimes \dots \otimes v_{i_a} \in V^{\otimes a}, \quad \text{and} \quad w_d = v_{j_1} \otimes v_{j_2} \otimes \dots \otimes v_{j_b} \in V^{\otimes b}.$$

Example 28. For instance, for $d = y_1^2 s_2 s_6 b_3^* b_1^* s_3 s_2 b_1 s_2 y_1^2 y_2 y_4^2 y_6 \in \text{Hom}_{s\mathbb{W}}(6, 8)^{\leq 8}$,



we get $v = v_1 \otimes v_4 \otimes v_{3'} \otimes v_6 \otimes v_9 \otimes v_{10} \in V^{\otimes 6}$, and $w_d = v_{15} \otimes v_{12} \otimes v_{13'} \otimes v_{12'} \otimes v_5 \otimes v_{11} \otimes v_9 \otimes v_8 \in V^{\otimes 8}$.

Remark 29. The largest label is always $\frac{a+b}{2} + k$. We require $n \geq \frac{a+b}{2} + k$ in order to be able to realize $v_{\frac{a+b}{2}+k} \in V = \mathbb{C}^{[n]}$ in STEP 5.

The ordering in STEP 0 could be changed, as long as all caps come first, then all through strings, then all cups. This changes the vectors v_d and w_d , but preserves the important features of the construction.

Observe also that if $i', j' \in [n']$ are labels with i' at the bottom, j' at the top, then $i < j$.

4.9. The key lemma. The proof of linear independence relies on the observation that the vectors v_d and w_d can be used to distinguish elements in $S_{a,b}^k$.

Namely, the standard basis $\{v_i, v_{i'}\}_{i \in [n]}$ of V induces a standard basis B_a of $V^{\otimes a}$. For any vector $z \in M(0) \otimes V^{\otimes a}$ and any standard basis vector $w \in B_a$ we denote by $\langle w \mid z \rangle \in M(0)$ the coefficient of z in this standard basis. In other words, $z = \sum_{w \in B_a} \langle w \mid z \rangle \otimes w$.

Lemma 30. Let $a, b, k \in \mathbb{N}_0$. For any $\llbracket d \rrbracket, \llbracket d' \rrbracket \in S_{a,b}^k$ we have $\langle w_d \mid \Phi_n(\llbracket d' \rrbracket) v_d \rangle \neq 0$ if and only if $d = d'$.

Proof. For readability we will often not distinguish in this proof between a diagram d and its equivalence class $\llbracket d \rrbracket$.

\Leftarrow We repeatedly use the second part of Lemma 27.

Consider a cap with ℓ dots on it, and ends labelled i and $(i+\ell)'$. By Lemma 27, applying the ℓ dots replaces v_d by a linear combination of vectors which have the tensor factor v_i of v_d replaced by some v_j 's with $i \rightarrow j$, such that the path in (4.1) from i to j has length at least ℓ . Exactly one such summand will give a non-zero contribution when such a v_j is paired with $v_{(i+\ell)'}$ via β ; namely, the one with $j = i + \ell$. Applying this dotted cap transforms the 0-th tensor factor, say m , into the factor $A_{i,i+1}^- A_{i+1,i+2}^- \cdots A_{i+\ell-1,i+\ell}^- m$.

Next, consider a through string with ℓ dots and labels i and $i+\ell$. It prescribes the order of some superswaps of tensor factors of v_d . After applying the ℓ dots, v_d is replaced by a linear combination of vectors which have the tensor factor v_i of v_d replaced by some v_j with $i \rightarrow j$, for which the path in (4.1) from i to j has length at least ℓ . Reading off the coefficient of w_d manifests itself in the tensor factor corresponding to this string to reading off the coefficient of $v_{i+\ell}$. The only summand with a non-zero contribution is the one with $j = i + \ell$; in effect the 0-th tensor factor got acted on by $A_{i,i+1}^- A_{i+1,i+2}^- \cdots A_{i+\ell-1,i+\ell}^-$.

Finally, consider a cup with ℓ dots and labels $i+\ell$ and i' . The β^* corresponding to this cup produced $\sum_j (v_j \otimes v_{j'} - v_{j'} \otimes v_j)$; applying the ℓ dots on the left end of it and reading off the coefficient of $v_{i+\ell} \otimes v_{i'}$ (as prescribed by $\langle w_d \mid \cdot \rangle$) gives exactly one summand with a non-zero contribution. The effect on the 0-th tensor factor is action by $A_{i+\ell,i+\ell+1}^- A_{i+\ell+1,i+\ell+2}^- \cdots A_{i+\ell-1,i+\ell}^-$. Thus, $\langle w_d \mid \Phi_n(\llbracket d' \rrbracket) v_d \rangle$ is, up to a possible sign, equal to

$$\prod_{\begin{array}{c} \bullet \\ \curvearrowright \\ i \quad (i+\ell)' \end{array}} A_{i,i+1}^- \cdots A_{i+\ell-1,i+\ell}^- \cdot \prod_{\begin{array}{c} \bullet \\ \downarrow \\ i+\ell \\ \bullet \\ i \end{array}} A_{i,i+1}^- \cdots A_{i+\ell-1,i+\ell}^- \cdot \prod_{\begin{array}{c} \bullet \\ \curvearrowleft \\ i+\ell \quad i' \end{array}} A_{i+\ell,i+\ell+1}^- \cdots A_{i+\ell-1,i+\ell}^- \neq 0,$$

where the factors are given by the shape and the assigned labels of d .

\Rightarrow Let $\llbracket d' \rrbracket \in S_{a,b}^k$ with d' being any diagram for which $\langle w_d \mid \Phi_n(\llbracket d' \rrbracket) v_d \rangle \neq 0$. We first recover the underlying connector $P(d')$ from the labelling of d .

Consider any cap in d' . By Lemma 27 and the ordering \rightarrow , the dots increase indices $i \in [n]$ or replace them by $j' \in [n']$, and they decrease $j' \in [n']$. From that, and the facts $\langle w_d \mid \Phi_n(\llbracket d' \rrbracket) v_d \rangle \neq 0$ and $\beta(v_i, v_j) = \beta(v_{i'}, v_{j'}) = 0$, $\beta(v_i, v_{j'}) = \delta_{ij}$, it follows that a cap in d' can connect two points which are labelled in d by an (unordered) pair of the form $\{i, j\}$ or $\{i, j'\}$ with $i \leq j$.

Next, consider any cup in d' . Note that $\beta^*(1) = \sum_i (v_i \otimes v_{i'} - v_{i'} \otimes v_i)$, and that subsequent application of dots increases $i \in [n]$ or replaces it by $j' \in [n']$, and decreases $i' \in [n']$. Hence $\langle w_d | \Phi_n([d'])v_d \rangle \neq 0$ implies that a cup in d' can only connect those pairs of points in d labelled by $\{i', j'\}$, or by $\{i, j'\}$ with $i \geq j$.

Finally, consider any through string in d' . The possibilities for its labels (bottom and top) are then, by Lemma 27 and $\langle w_d | \Phi_n([d'])v_d \rangle \neq 0$, given by ordered pairs of the form (i, j') , or of the form (i, j) with $i \leq j$, or of the form (i', j') with $i \geq j$. However, the last of these is not possible by Remark 29, so the remaining possibilities for the bottom and top labels of a through string are (i, j') , and (i, j) with $i \leq j$.

For any diagram d'' , let $\cap(d'')$ denote the number of caps of d'' ; $\cup(d'')$ the number of cups, and $t(d'')$ the number of through strings. By the above analysis, all labels $i' \in [n']$ on the bottom are on caps in d' , so

$$\cap(d') \geq \# \text{ labels } j' \in [n'] \text{ at the bottom} = \cap(d). \quad (4.4)$$

As every cup in d' has at least one label of type $j' \in [n']$, we also see that

$$\cup(d') \leq \# \text{ labels } j' \in [n'] \text{ at the top} = \cup(d). \quad (4.5)$$

We get a sequence of inequalities

$$t(d') = a - 2\cap(d') \stackrel{(4.4)}{\leq} a - 2\cap(d) = t(d) = b - 2\cup(d) \stackrel{(4.5)}{\leq} b - 2\cup(d') = t(d').$$

This implies that (4.4) and (4.5) are equalities, and moreover

$$\cap(d') = \cap(d), \quad \cup(d') = \cup(d), \quad t(d') = t(d). \quad (4.6)$$

So, d and d' have the same number of cups, of caps and of through strings.

Next, we reconstruct the caps of d' . We saw in (4.4), (4.6) that any label $j' \in [n']$ on the bottom of the diagram d' needs to be on a cap, and all caps have exactly one label of type $j' \in [n']$. The other end of that cap is labelled by some $i \in [n]$ with $i \leq j$. Starting from the smallest bottom label of type $j' \in [n']$, there is exactly one label at the bottom of type $i \in [n]$ with $i \leq j$, so these two labels must be joined by a cap in d' . To get the non-vanishing of the action of the dots composed with β prescribed by this cap, this cap needs by Lemma 27 to have at most $j - i$ dots in d' . (It has exactly $j - i$ dots in d). Proceed with the next smallest label of type $j' \in [n']$, noticing that there is exactly one unpaired label i with $i \leq j$, and pair them. After doing this for all $j' \in [n']$ on the bottom, we see that the connectors $P(d')$ and $P(d)$ have the same pairing of the points given by caps, and every cap in d' has at most as many dots as the corresponding cap in d .

Next, we recover the cups. By (4.5) and (4.6), every label of type $j' \in [n']$ needs to be on an end of a cup, whereas the other end is labelled by some $i \in [n]$ with $j \leq i$, and which has at most $i - j$ dots. By STEP 0 the cups come last, so there is exactly one such pairing of points on the top. So, $P(d')$ and $P(d)$ also have the same pairing of the points given by cups, and every cup in d' has at most as many dots as the corresponding cup in d . Finally, all remaining unassigned labels are of type $i \in [n]$, and there is exactly one pairing such that the bottom label

is smaller than the top label. So, the connectors $P(d')$ and $P(d)$ have the same pairing of the points given by through strings, and every through string in d' has at most as many dots as the corresponding through string in d .

Therefore, $P(d) = P(d')$. As the (equivalence classes of the) underlying undotted diagrams of d and d' are both in $S_{a,b}$, they are the same. Finally, as d' has at most as many dots as d on every string, and they have the same total number of dots, we conclude that $d' = d$. \square

Example 31. For the diagram d from Example 28,

$$\langle w_d \mid \Phi_n(\llbracket d \rrbracket) v_d \rangle = A_{1,2}^- A_{2,3}^- A_{4,5}^- A_{6,7}^- A_{7,8}^- A_{10,11}^- A_{13,14}^- A_{14,15}^- \in \mathcal{U}(\mathfrak{n}_-) = M(0).$$

4.10. Proof of Theorem 2. We will finally prove the linear independence of $S_{a,b}^\bullet$, and thus establish Theorem 2. We start by proving it in the graded setting.

Lemma 32. Given $a, b, k \in \mathbb{N}_0$, and $n \geq \frac{a+b}{2} + k$, the map

$$\Phi_n : \text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)^k \longrightarrow \text{Hom}_{\mathbb{C}\text{-gmod}}(M(0) \otimes V^{\otimes a}, M(0) \otimes V^{\otimes b})^k$$

maps the set $S_{a,b}^k$ to a linearly independent set. Thus, $S_{a,b}^k$ is linearly independent in $\text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)^k$, and Φ_n is injective on $\text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)^k$.

Proof. Assume there are some $\alpha_{d'} \in \mathbb{C}$ such that $\sum_{\llbracket d' \rrbracket \in S_{a,b}^k} \alpha_{d'} \Phi_n(\llbracket d' \rrbracket) = 0$. For any $\llbracket d \rrbracket \in S_{a,b}^k$, applying both sides of the above equation to the vector v_d , reading off the coefficient of w_d , and applying Lemma 30, we get $\alpha_d = 0$. So, the set $\{\Phi_n(\llbracket d \rrbracket) \mid \llbracket d \rrbracket \in S_{a,b}^k\}$ is linearly independent. From that it follows that $S_{a,b}^k$ is linearly independent in $\text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)^k$. It is also a spanning set for $\text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)^k$ by Lemma 25, so Φ_n is injective on $\text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)^k$. \square

Corollary 33. For all $a, b \in \mathbb{N}_0$, the set $S_{a,b}^\bullet$ is a basis of $\text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)$.

Lemma 34. For all $a, b \in \mathbb{N}_0$, the set $S_{a,b}^\bullet$ is linearly independent in $\text{Hom}_{s\mathbb{W}}(a, b)$.

Proof. Assume there is a nontrivial relation among elements of $S_{a,b}^\bullet$ in $\text{Hom}_{s\mathbb{W}}(a, b)$. As this is a filtered category, the highest order terms (of degree k) in this relation give a nontrivial relation among the elements of $S_{a,b}^k$ in $\text{Hom}_{gr(s\mathbb{W})}(a, b)$. Thus, it is enough to prove that the set $S_{a,b}^k$ is linearly independent in $\text{Hom}_{gr(s\mathbb{W})}(a, b)$ for each k .

Set $n = \frac{a+b}{2} + k$ and consider the square

$$\begin{array}{ccc} \text{Hom}_{g\mathbb{S}\mathbb{W}}(a, b)^k & \xrightarrow{\Phi_n} & \text{Hom}_{\mathbb{C}\text{-gmod}}(M(0) \otimes V^{\otimes a}, M(0) \otimes V^{\otimes b})^k \\ \Theta \downarrow & & \uparrow G \\ \text{Hom}_{gr(s\mathbb{W})}(a, b)^k & \xrightarrow{gr\Psi_n} & \text{Hom}_{gr(\mathbb{C}\text{-fmod})}(M(0) \otimes V^{\otimes a}, M(0) \otimes V^{\otimes b})^k \end{array}$$

The map Φ_n is injective by Lemma 32, and the diagram strictly commutes by Lemma 26. Thus, Θ is injective. It is surjective by Section 4.5, so it is an isomorphism of superspaces.

In particular, Θ maps the basis $S_{a,b}^k$ of $\text{Hom}_{gs\mathbb{W}}(a,b)^k$ to a basis in the space $\text{Hom}_{gr(s\mathbb{W})}(a,b)^k$ which by construction is $S_{a,b}^k$. \square

Corollary 35. The map $\Theta : gs\mathbb{W} \rightarrow gr(s\mathbb{W})$ is a graded isomorphism.

Corollary 36. For any a, b, k , and $n \geq \frac{a+b}{2} + k$, the map Ψ_n is injective on $\text{Hom}_{s\mathbb{W}}(a,b)^{\leq k}$.

Theorem 2 now follows directly from Proposition 12 and Lemma 34.

4.11. A basis theorem for $s\mathcal{B}r$ as a special case. Theorem 1 then follows immediately by realizing the supercategory $s\mathcal{B}r$ as the 0-th filtration piece of the supercategory $s\mathbb{W}$.

Proof of Theorem 1. Consider the functor $I : s\mathcal{B}r \rightarrow s\mathbb{W}$ which is the identity on objects and interprets (equivalence classes of) undotted diagrams as dotted diagrams with zero dots. For every a and b , $I : \text{Hom}_{s\mathcal{B}r}(a,b) \rightarrow \text{Hom}_{s\mathbb{W}}(a,b)$ maps the spanning set $S_{a,b}$ to the set $S_{a,b}^0$, which by Theorem 2 is a basis of $\text{Hom}_{s\mathbb{W}}(a,b)^0$. Thus, the set $S_{a,b}$ is a basis of $\text{Hom}_{s\mathcal{B}r}(a,b)$. \square

Remark 37. The functor $\Psi_n \circ I : s\mathcal{B}r \rightarrow \mathbb{C}\text{-fmod}$ can be decomposed as $\Psi_n \circ I = J_n \circ \Psi_n^{\mathbb{C}}$ where $\Psi_n^{\mathbb{C}} : s\mathcal{B}r \rightarrow \mathcal{S}Vect$ (the supercategory of vector superspaces and linear maps) is given on objects by $\Psi_n^{\mathbb{C}}(a) = V^{\otimes a}$ and the expected map on morphisms, and $J_n : \mathcal{S}Vect \rightarrow \mathbb{C}\text{-fmod}$, is given by $J_n(W) = M(0) \otimes W$. The superfunctor $\Psi_n^{\mathbb{C}}$ appears in [31]. It is shown there that when $n \geq a$, $\Psi_n^{\mathbb{C}} : \text{Hom}_{s\mathcal{B}r}(a,a) \rightarrow \text{Hom}_{\mathfrak{p}(n)}(V^{\otimes a}, V^{\otimes a})$ maps $S_{a,a}$ to a linearly independent set, thus proving that $S_{a,a}$ is a basis, and that $\Psi_n^{\mathbb{C}}$ is injective on $\text{Hom}_{s\mathcal{B}r}(a,a)$. It is also proved that $\Psi_n^{\mathbb{C}}$ is surjective, so $\text{End}_{s\mathcal{B}r}(a) \cong \text{End}_{\mathfrak{p}(n)\text{-mod}}(V^{\otimes a})$ for $a \leq n$ (see [31, Theorem 4.5]).

Remark 38. $\Psi_n^{\mathbb{C}}$ might not be injective when $n < a$; for example, when $2n < a$ it is not even injective when restricted to the symmetric group S_a . The question of surjectivity of the functors Ψ_n^M for different modules M is interesting and so far not understood. One would need to better understand the combinatorics of decomposition numbers in $\mathfrak{p}(n)\text{-mod}$ or category $\mathcal{O}(\mathfrak{p}(n))$. To our knowledge, only the decomposition numbers of the finite dimensional (thick and thin) Kac modules are known, see [3]. Even in these cases, a precise surjectivity statement is so far not available. Based on explicitly calculated examples, we expect a more involved behaviour than in the $\mathfrak{gl}(n|n)$ case, see [9].

5. THE AFFINE VW SUPERALGEBRA $s\mathbb{W}_a$ AND ITS CENTRE

We fix $a \geq 2$ in \mathbb{N} for the whole section, and study the affine VW superalgebra $s\mathbb{W}_a = \text{End}_{s\mathbb{W}}(a)$. The results from the previous section show that the algebra $s\mathbb{W}_a$ is a PBW deformation of the algebra $gs\mathbb{W}_a$, in the sense that $s\mathbb{W}_a$ is a filtered algebra, and $\text{gr}(s\mathbb{W}_a) = gs\mathbb{W}_a$. For \hbar a parameter, the Rees construction gives an algebra A_{\hbar} over $\mathbb{C}[\hbar]$ whose specialisations at $\hbar = 0$ and $\hbar = 1$ are precisely $A_1 = s\mathbb{W}_a$ and $A_0 = gs\mathbb{W}_a$. We will use Theorem 2 to describe the centre of the

$\mathbb{C}[\hbar]$ -algebra A_\hbar , and all its specialisations A_t for any $t \in \mathbb{C}$; in particular we find the centre of $s\mathbb{W}_a$ and $gs\mathbb{W}_a$. We refer e.g. to [4], [22], [38], [42] for the general theory.

5.1. The algebras A_\hbar . We first define a $\mathbb{C}[\hbar]$ -algebra A_\hbar and its specialisations A_t at $t \in \mathbb{C}$ directly using generators and relations.

Definition 39. Let A_\hbar be the superalgebra over $\mathbb{C}[\hbar]$ with generators

$$s_i, e_i, y_j \quad 1 \leq i \leq a-1, 1 \leq j \leq a$$

where $\overline{s_i} = \overline{e_i} = \overline{y_j} = 0$, subject to the relations:

- | | |
|---|--|
| (VW1) Involutions: $s_i^2 = 1$ for $1 \leq i < a$. | (ii) $s_i e_{i+1} e_i = s_{i+1} e_i$, |
| (VW2) Commutation relations: | (iii) $s_{i+1} e_i e_{i+1} = -s_i e_{i+1}$, |
| (i) $s_i e_j = e_j s_i$ if $ i-j > 1$, | (iv) $e_{i+1} e_i s_{i+1} = e_{i+1} s_i$, |
| (ii) $e_i e_j = e_j e_i$ if $ i-j > 1$, | (v) $e_i e_{i+1} s_i = -e_i s_{i+1}$ |
| (iii) $e_i y_j = y_j e_i$ if $j \neq i, i+1$, | for $1 \leq i \leq a-2$. |
| (iv) $y_i y_j = y_j y_i$ for $1 \leq i, j \leq a$. | (VW6) Idempotent relations: |
| (VW3) Affine braid relations: | $e_i^2 = 0$ for $1 \leq i \leq a-1$. |
| (i) $s_i s_j = s_j s_i$ if $ i-j > 1$, | (VW7) Skein relations: |
| (ii) $s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1}$ | (i) $s_i y_i - y_{i+1} s_i = -\hbar e_i - \hbar$, |
| for $1 \leq i \leq a-2$, | (ii) $y_i s_i - s_i y_{i+1} = \hbar e_i - \hbar$ |
| (iii) $s_i y_j = y_j s_i$ if $j \neq i, i+1$. | for $1 \leq i \leq a-1$. |
| (VW4) Snake relations: | (VW8) Unwrapping relations: |
| (i) $e_{i+1} e_i e_{i+1} = -e_{i+1}$, | $e_1 y_1^k e_1 = 0$ for $k \in \mathbb{N}$. |
| (ii) $e_i e_{i+1} e_i = -e_i$ | (VW9) (Anti)-Symmetry relations: |
| for $1 \leq i \leq a-2$. | (i) $e_i (y_{i+1} - y_i) = \hbar e_i$, |
| (VW5) Tangle and untwisting relations: | (ii) $(y_{i+1} - y_i) e_i = -\hbar e_i$ |
| (i) $e_i s_i = e_i$ and $s_i e_i = -e_i$ | for $1 \leq i \leq a-1$. |
| for $1 \leq i \leq a-1$, | |

For any $t \in \mathbb{C}$, let A_t be the quotient of A_\hbar by the ideal generated by $\hbar - t$.

Remark 40. The above set of relations is not minimal. For instance, relations (VW6) and (VW8) can be deduced from (VW5)(i) and (VW9).

As a $\mathbb{C}[\hbar]$ -algebra, A_\hbar is filtered by $\deg(y_i) = 1$, $\deg(s_i) = \deg(e_i) = 0$. Considered as a \mathbb{C} -algebra, A_\hbar can be given a grading by setting $\deg(y_i) = \deg(\hbar) = 1$, $\deg(s_i) = \deg(e_i) = 0$. Interpreting $s_i, e_i = b_i^* b_i, y_i$ as diagrams as in Section 1, the elements of A_\hbar and A_t can be written as (equivalence classes of) linear combinations of dotted diagrams with a bottom points and a top points.

Lemma 41. The set $S_{a,a}^\bullet$ is a spanning set for A_\hbar and A_t for any $t \in \mathbb{C}$.

Proof. Using the braid, snake and untwisting relations (analogous to (R1)-(R4)) in A_\hbar or A_t we see that every element of $S_{a,a}^\bullet$ gives rise to a well-defined element of A_\hbar , respectively A_t . Then we can repeat the proof in Proposition 12 that $S_{a,a}^\bullet$ spans $s\mathbb{W}_a$ for these algebras. \square

- Proposition 42.** (a) The assignments $\varphi_1(y_i) = y_i$, $\varphi_1(s_i) = s_i$ and $\varphi_1(e_i) = b_i^* b_i$ define an isomorphism of algebras $\varphi_1 : A_1 \rightarrow s\mathbb{W}_a$.
- (b) The assignments $\varphi_0(y_i) = y_i$, $\varphi_0(s_i) = s_i$ and $\varphi_0(e_i) = b_i^* b_i$ define an isomorphism of algebras $\varphi_0 : A_0 \rightarrow gs\mathbb{W}_a$.
- (c) For any $t \neq 0$, the assignments $\psi_t(y_i) = ty_i$, $\psi_t(s_i) = s_i$ and $\psi_t(e_i) = e_i$ define an isomorphism of algebras $\psi_t : A_t \rightarrow A_1$.
- (d) The set $S_{a,a}^\bullet$ is a \mathbb{C} -basis of A_t for any t , and a $\mathbb{C}[\hbar]$ -basis of A_\hbar .

Proof. (a) One checks directly that φ_1 can be extended to an algebra homomorphism by checking that all relations from Definition 39 hold in $s\mathbb{W}_a$. To see surjectivity, consider an arbitrary element c of $s\mathbb{W}_a$, and let us construct its preimage. Assume without loss of generality that $c = p(y_1, \dots, y_a) \llbracket d \rrbracket q(y_1, \dots, y_a)$ for some monomials p, q , and some undotted diagram d . If d has k cups, then it also has k caps, and can be written in the form $d = \pm\sigma_1(b_1^* b_1)(b_2^* b_2) \dots (b_k^* b_k)\sigma_2$ for some permutations σ_1, σ_2 . Thus, $c = \pm p\sigma_1(b_1^* b_1)(b_2^* b_2) \dots (b_k^* b_k)\sigma_2 q = \varphi_1(\pm p\sigma_1 e_1 e_2 \dots e_k \sigma_2 q)$. So, using Lemma 41 and Theorem 2, φ_1 is a surjective homomorphism mapping a spanning set to a basis, so it is an isomorphism.

- (b) Analogous to (a).
- (c) A direct check of the relations shows that the assignment ψ_t extends to an algebra homomorphism for any $t \in \mathbb{C}$. For $t \neq 0$, the inverse is given by $\psi_t^{-1}(y_i) = \frac{1}{t}y_i$, $\psi_t^{-1}(s_i) = s_i$ and $\psi_t^{-1}(e_i) = e_i$.
- (d) For any $t \neq 0$, $S_{a,a}^\bullet$ is a basis of $s\mathbb{W}_a$ by Theorem 2, so by (a) and (c) above it is also a basis of $A_t \cong A_1 \cong s\mathbb{W}_a$. For $t = 0$, $S_{a,a}^\bullet$ is a basis of $gs\mathbb{W}_a \cong A_0$ by Corollary 33. Assume there is a relation among the elements of $S_{a,a}^\bullet$ in A_\hbar , with coefficients in $\mathbb{C}[\hbar]$. Evaluating at some $t \in \mathbb{C}$ for which not all coefficients vanish, we get a relation in A_t , which is impossible. So, $S_{a,a}^\bullet$ is also a basis of A_\hbar . \square

5.2. The Rees construction. Let $B = \bigcup_{k \geq 0} B^{\leq k}$ be a filtered \mathbb{C} -algebra. The *Rees algebra* of B is the $\mathbb{C}[\hbar]$ -algebra $\text{Rees}(B)$, given as a \mathbb{C} -vector space by $\text{Rees}(B) = \bigoplus_{k \geq 0} B^{\leq k} \hbar^k$, with multiplication and the \hbar -action both given by $(a\hbar^i)(b\hbar^j) = (ab)\hbar^{i+j}$ for $a \in B^{\leq i}$, $b \in B^{\leq j}$, and $ab \in B^{\leq i+j}$ the product in B . It is graded as a \mathbb{C} -algebra by the powers of \hbar .

Lemma 43. Let $\bigcup_{i \geq 0} B_i$ be a basis of B compatible with the filtration, in the sense that the B_i 's are pairwise disjoint, and $\bigcup_{i=0}^k B_i$ is a basis of $B^{\leq k}$. Then $\bigcup_{i \geq 0} B_i \hbar^i$ is a $\mathbb{C}[\hbar]$ -basis of $\text{Rees}(B)$.

Proof. The set $\bigcup_{i=0}^k B_i$ is a \mathbb{C} -basis of $B^{\leq k}$, so $\bigcup_{i=0}^k B_i \hbar^k$ is a \mathbb{C} -basis of $B^{\leq k} \hbar^k$, and then $\bigcup_{k \geq 0} \bigcup_{i=0}^k B_i \hbar^k$ is a \mathbb{C} -basis of $\text{Rees}(B)$. On the other hand, $\bigcup_{k \geq 0} \bigcup_{i=0}^k B_i \hbar^k = \bigcup_{i \geq 0} \bigcup_{k \geq i} B_i \hbar^k = \bigcup_{i \geq 0} \bigcup_{j \geq 0} B_i \hbar^{i+j} = \bigcup_{j \geq 0} \hbar^j (\bigcup_{i \geq 0} B_i \hbar^i)$. Thus, the set $\bigcup_{i \geq 0} B_i \hbar^i$ is a $\mathbb{C}[\hbar]$ -basis of $\text{Rees}(B)$. \square

For any algebra B , let $Z(B)$ denote the centre of B .

Lemma 44. $Z(\text{Rees}(B)) = \text{Rees}(Z(B))$.

Proof. The centre of B inherits the filtration of B , and $\text{Rees}(Z(B))$ embeds naturally into $\text{Rees}(B)$, with the image contained in $Z(\text{Rees}(B))$. To see the other inclusion, assume c is central in $\text{Rees}(B)$. Without loss of generality c is of homogeneous graded degree i , so $c = b\hbar^i$ for some $b \in B^{\leq i}$. This shows b is a central element in B , proving the claim. \square

Lemma 45. There is an isomorphism of $\mathbb{C}[\hbar]$ -algebras $\text{Rees}(A_1) \cong A_\hbar$.

Proof. The map $A_\hbar \rightarrow \text{Rees}(A_1)$ given on generators by $y_i \mapsto \hbar y_i$, $s_i \mapsto s_i$, $e_i \mapsto e_i$ is a morphism of algebras, which can be verified by directly comparing relations. It is an isomorphism as it maps the basis $S_{a,a}^\bullet$ to the basis $S_{a,a}^\bullet$ (up to scaling). \square

5.3. The centre is a subalgebra of the symmetric polynomials. We now start computing the centre of A_\hbar , and show that $Z(A_\hbar) \subseteq \mathbb{C}[\hbar][y_1, \dots, y_a]^{S_a}$.

Lemma 46. For $f \in A_\hbar$, the following are equivalent:

- (a) $fy_i = y_if$ for all $i \in [a]$;
- (b) $f \in \mathbb{C}[\hbar][y_1, \dots, y_a]$.

Proof. Because of relation (VW2) (iv), only (a) \Rightarrow (b) requires proof.

Assume that $f \notin \mathbb{C}[\hbar][y_1, \dots, y_a]$. That means that the expansion of f in the basis $S_{a,a}^\bullet$ contains at least one basis vector whose underlying undotted diagram is not the identity 1_a .

Assume that this expansion of f in the basis $S_{a,a}^\bullet$ contains at least one basis vector whose underlying dotted diagram contains a cup. Label the top and bottom endpoints of strings $1, \dots, a$ from left to right. Among all diagrams with a cup, choose d with a maximal number of dots on a cup; say that this cup is connecting i and j , and has k dots on it. Then y_if , written in the basis $S_{a,a}^\bullet$, contains at least one basis vector whose underlying diagram has a cup and $k+1$ dots on it (namely, y_id). On the other hand, fy_i contains no basis vectors corresponding to diagrams with $k+1$ dots on a cup, so $y_if \neq fy_i$.

Now assume that the expansion of f in the basis $S_{a,a}^\bullet$ contains no basis vector corresponding to diagrams with cups, and consequently no diagrams with caps. Then it contains at least one basis vector corresponding to a dotted diagram with a through strand connecting differently labelled points at the top and the bottom. Among all such diagrams and all such strings, choose d with a maximal number of dots on such a string; say the string is connecting i at the top of the diagram and j at the bottom, $i \neq j$, and it has k dots on it. Then y_if , written in the basis $S_{a,a}^\bullet$, contains at least one basis vector corresponding to a diagram with a string connecting i and j and with $k+1$ dots on it, while fy_i contains no such diagrams as $i \neq j$. So, $y_if \neq fy_i$. \square

In particular, $Z(A_\hbar) \subseteq \mathbb{C}[\hbar][y_1, \dots, y_a]$. The following lemma shows that $Z(A_\hbar)$ is in fact a subalgebra of the symmetric polynomials $\mathbb{C}[\hbar][y_1, \dots, y_a]^{S_a}$.

Lemma 47. Let $f \in \mathbb{C}[\hbar][y_1, \dots, y_a] \subseteq A_\hbar$ and $1 \leq i \leq a-1$.

- (a) If $fs_i = s_if$, then $f(y_1, \dots, y_i, y_{i+1}, \dots, y_a) = f(y_1, \dots, y_{i+1}, y_i, \dots, y_a)$.

- (b) For the value $\hbar = 0$, the converse also holds: if $f(y_1, \dots, y_i, y_{i+1}, \dots, y_a) = f(y_1, \dots, y_{i+1}, y_i, \dots, y_a)$, then $f s_i = s_i f$ in A_0 .

Proof. It is enough to prove this for $a = 2$.

- (a) By Lemma 7, the expansion of $f s_1$ in the basis $S_{a,a}^\bullet$ is

$$f(y_1, y_2) s_1 = s_1 f(y_2, y_1) + \hbar \sum_{i,j} (\alpha_{ij} y_1^i y_2^j + \beta_{ij} y_1^i e_1 y_1^j) \quad (5.1)$$

for some $\alpha_{ij}, \beta_{ij} \in \mathbb{C}$. On the other hand, $s_1 f$ is already a linear combination of basis vectors. If $f s_1 = s_1 f$, then using that $S_{a,a}^\bullet$ is a basis and reading off the terms corresponding to basis vectors whose underlying undotted diagram is s_1 , we get $s_1 f(y_2, y_1) = s_1 f(y_1, y_2)$, and so $f(y_2, y_1) = f(y_1, y_2)$.

- (b) For $\hbar = 0$ and f symmetric in y_1, y_2 , equation (5.1) turns into the equalities $f(y_1, y_2) s_1 = s_1 f(y_2, y_1) = s_1 f(y_1, y_2)$, thus $f s_1 = s_1 f$. \square

5.4. Some central elements. Consider the following elements in $\mathbb{C}[\hbar][y_1, \dots, y_a]$:

$$z_{ij} = (y_i - y_j)^2, \text{ for } 1 \leq i \neq j \leq a \quad \text{and} \quad D_\hbar = \prod_{1 \leq i < j \leq a} (z_{ij} - \hbar^2). \quad (5.2)$$

Notice that the deformed squared Vandermonde determinant D_\hbar is symmetric, $D_\hbar \in \mathbb{C}[\hbar][y_1, \dots, y_a]^{S_a}$. We will use these to produce central elements in A_\hbar .

Lemma 48. For any $1 \leq i \leq a - 1$, we have in A_\hbar the equality

$$e_i \cdot (z_{i,i+1} - \hbar^2) = (z_{i,i+1} - \hbar^2) \cdot e_i = 0,$$

and consequently $D_\hbar e_i = e_i D_\hbar = 0$.

Proof. Using (VW9) (i), we get $e_i \cdot (z_{i,i+1} - \hbar^2) = e_i (y_{i+1} - y_i)^2 - \hbar^2 e_i = \hbar e_i (y_{i+1} - y_i) - \hbar^2 e_i = \hbar^2 e_i - \hbar^2 e_i = 0$, which implies $e_i D_\hbar = 0$. The claim $D_\hbar e_i = 0$ is proved analogously. \square

Lemma 49. For any $1 \leq k \leq a - 1$, we have $D_\hbar s_k = s_k D_\hbar$.

Proof. We analyse the commutation of s_k with different factors $(z_{ij} - \hbar^2)$ of D_\hbar separately.

Assume $i, j \notin \{k, k+1\}$. Then (VW3)(iii) says that y_i and y_j commute with s_k . Therefore,

$$(z_{ij} - \hbar^2) s_k = s_k (z_{ij} - \hbar^2). \quad (5.3)$$

Now assume $i = k, j = k+1$. We claim that

$$(z_{k,k+1} - \hbar^2) s_k = s_k (z_{k,k+1} - \hbar^2). \quad (5.4)$$

To prove it, use (VW7) to calculate $(y_k - y_{k+1}) s_k = s_k (y_{k+1} - y_k) - 2\hbar$, and then

$$\begin{aligned} (y_k - y_{k+1})^2 s_k &= (y_k - y_{k+1}) s_k (y_{k+1} - y_k) - 2\hbar (y_k - y_{k+1}) \\ &= (s_k (y_{k+1} - y_k) - 2\hbar) (y_{k+1} - y_k) - 2\hbar (y_k - y_{k+1}) = s_k (y_k - y_{k+1})^2. \end{aligned}$$

The remaining factors of D_{\hbar} contain z_{ij} with exactly one of i, j in $\{k, k+1\}$. Since $z_{ij} = z_{ji}$, it suffices to consider $j \neq k, k+1$. We claim that

$$\begin{aligned} & (z_{k,k+1} - \hbar^2) \left((z_{k,j} - \hbar^2)(z_{k+1,j} - \hbar^2) s_k \right) \\ &= (z_{k,k+1} - \hbar^2) \left(s_k (z_{k,j} - \hbar^2)(z_{k+1,j} - \hbar^2) \right). \end{aligned} \quad (5.5)$$

To prove (5.5), let us first calculate

$$\begin{aligned} z_{k,j} s_k &= (y_k - y_j)^2 s_k = (y_k - y_j) s_k (y_{k+1} - y_j) + \hbar (y_k - y_j) (e_k - 1) \\ &= s_k z_{k+1,j} + \hbar (e_k - 1) (y_{k+1} - y_j) + \hbar (y_k - y_j) (e_k - 1). \end{aligned}$$

From this and Lemma 48, we get the following two equalities

$$\begin{aligned} (z_{k,k+1} - \hbar^2)(z_{k,j} - \hbar^2) s_k &= (z_{k,k+1} - \hbar^2) \left(s_k (z_{k+1,j} - \hbar^2) - \hbar (y_k + y_{k+1} - 2y_j) \right). \\ (z_{k,k+1} - \hbar^2)(z_{k+1,j} - \hbar^2) s_k &= (z_{k,k+1} - \hbar^2) \left(s_k (z_{k,j} - \hbar^2) + \hbar (y_k + y_{k+1} - 2y_j) \right). \end{aligned}$$

From these we obtain (5.5), since $(z_{k,k+1} - \hbar^2) \left((z_{k,j} - \hbar^2)(z_{k+1,j} - \hbar^2) s_k \right)$ equals $(z_{k,k+1} - \hbar^2)$ multiplied by

$$\left[s_k (z_{k,j} - \hbar^2)(z_{k+1,j} - \hbar^2) + (\hbar (y_k + y_{k+1} - 2y_j) - \hbar (y_k + y_{k+1} - 2y_j))(z_{k,j} - \hbar^2) \right]$$

which is however the same as $(z_{k,k+1} - \hbar^2) \left(s_k (z_{k,j} - \hbar^2)(z_{k+1,j} - \hbar^2) \right)$. Thus (5.5) holds. Finally, (5.3), (5.4) and (5.5) imply $D_{\hbar} s_k = s_k D_{\hbar}$. \square

Lemma 50. Let $1 \leq i \leq a-1$, and let $\tilde{f} \in \mathbb{C}[\hbar][y_1, \dots, y_a]$ be symmetric in y_i, y_{i+1} . Then there exist polynomials $p_j = p_j(y_1, \dots, y_a) \in \mathbb{C}[\hbar][y_1, \dots, y_a]$ such that

$$\tilde{f} s_i = s_i \tilde{f} + \sum_{j=0}^{\deg \tilde{f} - 1} y_i^j \cdot e_i \cdot p_j.$$

Proof. Analogues of the formulas in Lemma 6 and 7 imply that for any k ,

$$\begin{aligned} (y_i^k + y_{i+1}^k) s_i &= s_i (y_i^k + y_{i+1}^k) + \hbar \sum_{j=0}^{k-1} \left(y_i^{k-1-j} e_i y_{i+1}^j + y_{i+1}^j e_i y_i^{k-1-j} \right) \\ &= s_i (y_i^k + y_{i+1}^k) + \hbar \sum_{j=0}^{k-1} y_i^{k-1-j} e_i y_{i+1}^j + \sum_{j=0}^{k-1} \sum_{\ell=0}^j \binom{j}{\ell} \hbar^{1+j-\ell} (-1)^{j+\ell} y_i^{\ell} e_i y_i^{k-1-j}. \end{aligned}$$

Thus, the claim holds for $\tilde{f} = y_i^k + y_{i+1}^k$. It also trivially holds for $\tilde{f} = y_j$ if $j \neq i, i+1$, as such y_j commute with s_i . Finally, note that if the claim holds for \tilde{f}_1 and \tilde{f}_2 , it also holds for $\tilde{f}_1 \tilde{f}_2$ and $\tilde{f}_1 + \tilde{f}_2$. On the other hand, the algebra of polynomials symmetric in y_i, y_{i+1} is generated by the $y_i^k + y_{i+1}^k$, $k \geq 1$, and y_j 's with $j \neq i, i+1$, and the claim follows. \square

Lemma 51. Let $\tilde{f} \in \mathbb{C}[\hbar][y_1, \dots, y_a]^{S_a}$ be an arbitrary symmetric polynomial, and c a constant. Then $f = D_{\hbar} \tilde{f} + c$ lies in the centre of A_{\hbar} .

Proof. The element f is in $\mathbb{C}[\hbar][y_1, \dots, y_a]$ so it commutes with y_i for all i . By Lemma 48,

$$f e_i = \tilde{f} D_{\hbar} e_i + c e_i = c e_i = e_i D_{\hbar} \tilde{f} + c e_i = e_i f.$$

Using Lemma 50, and then Lemmas 49 and 48 we get

$$f s_i = D_{\hbar} \tilde{f} s_i + c s_i = D_{\hbar} \left(s_i \tilde{f} + \sum_j y_i^j \cdot e_j \cdot p_j \right) + c s_i = s_i D_{\hbar} \tilde{f} + s_i c = s_i f. \quad \square$$

5.5. The centre of $s\mathbb{W}_a$ and of A_{\hbar} .

Proposition 52. The centre $Z(A_0)$ of the graded VW superalgebra $gs\mathbb{W}_a$ consists of all $f \in \mathbb{C}[y_1, \dots, y_a]$ of the form $f = D_0 \tilde{f} + c$, for $\tilde{f} \in \mathbb{C}[y_1, \dots, y_a]^{S_a}$, $c \in \mathbb{C}$ and D_0 as in (5.2).

Proof. We showed in Lemmas 46 and 47 that $Z(A_0) \subseteq \mathbb{C}[y_1, \dots, y_a]^{S_a}$, and that any symmetric polynomial commutes with s_i for $1 \leq i \leq a-1$ and y_j for $1 \leq j \leq a$. It remains to check which symmetric polynomials commute with e_i for all $1 \leq i \leq a-1$. To this end, fix $f \in Z(A_0)$. We will compute a condition on commutation with e_1 ; then the symmetry of f will complete the proof.

Expanding $f e_1$ in the normal dotted diagram basis, the terms appearing with nonzero coefficient all have underlying (undotted) diagrams equal to e_1 ; i.e. $f e_1$ is a linear combination of terms of the form $y_1^k e_1 p_k$ with $p_k \in \mathbb{C}[y_3, \dots, y_a]$. Similarly, $e_1 f$ is a linear combination of terms of the form $e_1 y_1^k p_k$. Comparing, we get $p_k = 0$ for $k > 0$, and that $f e_1 = p_0(y_3, \dots, y_a) e_1$. Using the presentation of A_0 given in Definition 39, we have that a polynomial in the y_i 's is annihilated by e_1 if and only if it is a multiple of $(y_1 - y_2)$ (see (VW9), specialising to $\hbar = 0$). Thus

$$f = (y_1 - y_2)g + p_0, \quad \text{with } g \in \mathbb{C}[y_1, \dots, y_a] \text{ and } p_0 \in \mathbb{C}[y_3, \dots, y_a].$$

We claim that $p_0 \in \mathbb{C}$, which will follow from the symmetry of f . For this let $by_3^{\lambda_3} \dots y_a^{\lambda_a}$ be a non-zero summand of p_0 , and write $y^{\lambda} = y_4^{\lambda_4} \dots y_a^{\lambda_a}$ for short. If $\lambda_3 \geq 1$, then symmetry implies $by_1^{\lambda_3} y^{\lambda}$ is a term in f , so that $by_1^{\lambda_3-1} y^{\lambda}$ is a term in g . So $-by_1^{\lambda_3-1} y_2 y^{\lambda}$, and therefore $-by_2 y_3^{\lambda_3-1} y^{\lambda}$, are summands in f . Going back to g , we get that $by_3^{\lambda_3-1} y^{\lambda}$ is a summand there, so that $by_1 y_3^{\lambda_3-1} y^{\lambda}$ is a summand of f . But comparing the coefficient to that of $y_2 y_3^{\lambda_3-1} y^{\lambda}$, we see that this contradicts the symmetry of f . Therefore $\lambda_3 = 0$ for all non-zero summands of p_0 , and thus by symmetry, $p_0 \in \mathbb{C}$ as claimed.

Next, since f is symmetric (specifically in y_1 and y_2), we have that g is antisymmetric in y_1 and y_2 . Thus g itself is a multiple of $(y_1 - y_2)$, i.e. $f - p_0$ is a multiple of $(y_1 - y_2)^2$. But now, since $f - p_0$ is symmetric, it must also be a multiple of $D_0 = \prod_{1 \leq i < j \leq a} (y_i - y_j)^2$. So finally, f is of the form

$$f = \prod_{1 \leq i < j \leq a} (y_i - y_j)^2 \cdot \tilde{f} + c = D_0 \tilde{f} + c,$$

for some symmetric polynomial $\tilde{f} \in \mathbb{C}[y_1, \dots, y_a]^{S_a}$ and constant $c \in \mathbb{C}$. \square

5.6. The centre of $s\mathbb{W}_a$. The main result of this section, Theorem 53, describes the centre of $s\mathbb{W}_a$. To do that, we use the fact that the algebra $s\mathbb{W}_a$ is a PBW deformation of the algebra $gs\mathbb{W}_a$, determine the centre of $gs\mathbb{W}_a$ and find a lift of the appropriate basis elements to $s\mathbb{W}_a$. This approach differs from the common arguments for diagram algebras, where often the centre is realized as a subring of invariant polynomials satisfying certain cancellation properties, [17]. In our situation the cancellation properties did not appear very manageable, and we therefore omitted them. It would however be nice to know if an explicit result as Theorem 53 could be achieved for instance for affine VW algebras as in [33], [20], BMW-algebras, see e.g. [17], or walled Brauer algebras, see e.g. [23], [37]. Compare also with [14], where the centre of the Brauer superalgebra $s\mathcal{B}r_a$ is described in a similar way.

Theorem 53. The centre $Z(s\mathbb{W}_a)$ of the VW superalgebra $s\mathbb{W}_a = A_1$ consists of all $f \in \mathbb{C}[y_1, \dots, y_n]$ of the form $f = D_1\tilde{f} + c$, for $\tilde{f} \in \mathbb{C}[y_1, \dots, y_a]^{S_a}$ an arbitrary symmetric polynomial and $c \in \mathbb{C}$.

Proof. For any filtered algebra B there exists a canonical injective algebra homomorphism $\varphi : \text{gr } Z(B) \hookrightarrow Z(\text{gr}(B))$, given for $f \in Z(B)^{\leq k}$ by $\varphi(f + Z(B)^{\leq (k-1)}) = f + B^{\leq (k-1)}$, see [30, 6.13, 6.14]. For $B = s\mathbb{W}_a$ and $\text{gr}(B) = gs\mathbb{W}_a = A_0$, by Proposition 52 the centre of A_0 consists of elements of the form $f = D_0\tilde{f} + c$ for \tilde{f} a symmetric polynomial and c a constant. By Lemma 51, $D_1\tilde{f} + c$ lies in the centre of $s\mathbb{W}_a$. We have $\varphi(c) = c$, and for \tilde{f} symmetric and homogeneous of degree k , $\varphi(D_1\tilde{f} + Z(s\mathbb{W}_a)^{\leq a(a-1)+k-1}) = D_0\tilde{f} + s\mathbb{W}_a^{\leq a(a-1)+k-1}$. Using Proposition 52, we see that every $f \in Z(gs\mathbb{W}_a)$ is in the image of φ , so φ is an isomorphism. This shows that $\text{gr}(Z(s\mathbb{W}_a))$ is spanned by constants and elements of the form $D_1\tilde{f} + s\mathbb{W}_a^{\leq a(a-1)+k-1}$ for \tilde{f} symmetric polynomials of degree k . Consequently, $Z(s\mathbb{W}_a)$ is spanned by constants and elements of the form $D_1\tilde{f}$, and the claim follows. \square

Remark 54. It is interesting to compare the description of the centre of $s\mathbb{W}_a$ with [39, Theorem 4.8]. It is shown there that the centre of $\mathcal{U}(\mathfrak{p}(n))/I$, where I is the Jacobson radical of $\mathcal{U}(\mathfrak{p}(n))$, is isomorphic to the subring in the polynomial ring $\mathbb{C}[z_1, \dots, z_n]$ of the form $\mathbb{C} \oplus \mathbb{C}[z_1, \dots, z_n]^{S_n} \Theta$, where $\Theta = \prod_{i < j} (z_i - z_j)^2$. In other words, this centre is isomorphic to $Z(s\mathbb{W}_a)$ when $a = n$.

Theorem 55. The centre $Z(A_{\hbar})$ of the superalgebra A_{\hbar} consists of polynomials $f \in \mathbb{C}[\hbar][y_1, \dots, y_n]$, of the form $f = D_{\hbar}\tilde{f} + c$, for $\tilde{f} \in \mathbb{C}[\hbar][y_1, \dots, y_a]^{S_a}$ an arbitrary symmetric polynomial and $c \in \mathbb{C}[\hbar]$.

Proof. The centre $Z(A_{\hbar})$ is by Lemma 45 isomorphic to $Z(\text{Rees}(A_1))$, which is by Lemma 44 isomorphic to $\text{Rees}(Z(A_1))$. The centre $Z(A_1)$ consists by Theorem 53 of elements of the form $f = D_1\tilde{f} + c$, with $\tilde{f} \in \mathbb{C}[y_1, \dots, y_a]^{S_a}$ and $c \in \mathbb{C}$. Assume \tilde{f} is homogeneous of degree k . Then $D_1\tilde{f} \in A_1^{\leq a(a-1)+k}$, which gives an element $D_1\tilde{f}\hbar^{a(a-1)+k}$ of $\text{Rees}(Z(A_1)) \cong Z(\text{Rees}(A_1))$. Using Lemma 45, we see

that $Z(A_{\hbar})$ is spanned by constants and the preimages under the isomorphism $A_{\hbar} \cong \text{Rees}(A_1)$ of elements $D_1 \tilde{f} \hbar^{a(a-1)+k}$, which are equal to $D_{\hbar} \tilde{f}$. \square

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