Formale Mathematik

AG 1 des Natur- und Ingenieurwiss. Kolleg VI

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Mathematical formalism

(M. Magidor: How large is the first strongly compact ...)

F is well-defined in $V[G \restriction \beta]$ because the first two cases in the definition are exclusive. If both cases hold, we get $Q_1, Q_2 \in G \restriction \beta, B_{\gamma,1}, B_{\gamma,2}$ for $\gamma \in E - \beta$ such that if we define

$$\begin{split} S_1 &= Q_1 \cup \{ \langle p_{\beta} \cap \langle \delta_1, ..., \delta_n \rangle, B_{\beta,1} \rangle \} \cup \{ \langle p_{\gamma}, B_{\gamma,1} \rangle \}_{\gamma \in E^-(\beta+1)}, \\ S_2 &= Q_2 \cup \{ \langle p_{\beta} \cap \langle \delta_1, ..., \delta_n \rangle, B_{\beta,2} \rangle \} \cup \{ \langle p_{\gamma}, B_{\gamma,2} \rangle \}_{\gamma \in E^-(\beta+1)}, \end{split}$$

then $S_1 \models \Phi$ and $S_2 \models \neg \Phi$. Let Q be a common extension of Q_1 and Q_2 in \mathcal{P}_{β} which exists since Q_1 and Q_2 are members of the same \mathcal{P}_{β} generic filter. $B_{\gamma,1}$ and $B_{\gamma,2}$ for $\gamma \in E - \beta$ are terms appropriate for \mathcal{P}_{γ} , which are forced by every member of \mathcal{P}_{γ} to be in \widetilde{U}_{γ} , which is forced to be an ultrafilter on γ . Hence if D_{γ} is the term which canonically denotes the intersection of $B_{\gamma,1}$ and $B_{\gamma,2}$, then D_{γ} is forced by every condition to be in \widetilde{U}_{γ} . Define

$$S = Q \cup \{ \langle p_{\beta} \cap \langle \delta_{1}, ..., \delta_{n} \rangle, D_{\beta} \rangle \} \cup \{ \langle p_{\gamma}, D_{\gamma} \rangle \}_{\gamma \in E^{-}(\beta+1)}$$

S is clearly in \mathcal{P}_{α} and a common extension of S_1 and S_2 , hence $S \Vdash \Phi$ and $S \Vdash \neg \Phi$, we hence derive a contradiction and F is well-defined partition.

Can mathematics be fully formalized?

A.N.Whitehead, B.Russell, Principia Mathematica:

In virtue of this proposition, a class which is neither null nor a unit class nor a couple contains at least three distinct members. Hence it will follow that any cardinal number other than 0 or 1 or 2 is equal to or greater than 3. The above proposition is used in *104.43, which is an existence-theorem of considerable importance in cardinal arithmetic.

The Gödel completeness theorem

K. Gödel, Die Vollständigkeit der Axiome des logischen Funktionenkalküls

Formal axioms:

1. $X \lor X \to X$,	4. $(X \to Y) \to (Z \lor X \to Z \lor Y),$
2. $X \to X \lor Y$,	5. $(x)F(x) \rightarrow F(y),$
3. $X \lor Y \to Y \lor X$,	6. $(x)[X \lor F(x)] \to X \lor (x)F(x).$

Rules of inference:⁶

1. The inferential schema: From A and $A \rightarrow B$, B may be inferred;

2. The rule of substitution for propositional and functional variables;

3. From A(x), (x)A(x) may be inferred;

4. Individual variables (free or bound) may be replaced by any others, so long as this does not cause overlapping of the scopes of variables denoted by the same sign.

The Gödel completeness theorem

K. Gödel, Die Vollständigkeit der Axiome des logischen Funktionenkalküls:

Every valid formula of the restricted functional calculus is provable.

K. Gödel, Über formal unentscheidbare Sätze der Principia mathematica ...:

The development of mathematics towards greater precision has led, as is well known, to the formalization of large tracts of it, so that one can prove any theorem using nothing but a few mechanical rules. The most comprehensive formal systems that have been set up hitherto are the system of *Principia mathematica* (PM) on the one hand and the Zermelo-Fraenkel axiom system of set theory. These two systems are so comprehensive that in them all methods of proof today used in mathematics are formalized, that is, reduced to a few axioms and rules of inference.

On the complexity of formal proofs

N. Bourbaki: Theory of Sets

If formalized mathematics were as simple as the game of chess, then once our chosen formalized language had been described there would remain only the task of writing out our proofs in this language, [...] But the matter is far from being as simple as that, and no great experience is necessary to perceive that such a project is absolutely unrealizable: the tiniest proof at the beginnings of the Theory of Sets would already require several hundreds of signs for its complete formalization. [...] formalized mathematics cannot in practice be written down in full, [...] We shall therefore very quickly abandon formalized mathematics, [...]

On the complexity of formal proofs

K. Gödel, Über formal unentscheidbare Sätze der Principia mathematica ...:

22.
$$FR(x) \equiv (n) \{ 0 < n \leq l(x) \rightarrow Elf(n \ Gl(x)) \lor (Ep,q) [0 < p, q < n \& 0p(n \ Gl(x, p \ Gl(x, q \ Gl(x))]) \& l(x) > 0 \}$$

x ist eine Reihe von Formeln, deren jede entweder Elementarformel ist oder aus den vorhergehenden durch die Operationen der Negation, Disjunktion, Generalisation hervorgeht.

23. Form
$$(x) \equiv (En) \{n \leq (Pr [l (x)^2])^{x \cdot [l (x)]^2} \& FR (n) \& x = [l (n)] Gl n\}^{35} \}$$

x ist Formel (d. h. letztes Glied einer Formelreihe n).

45. $x B y \equiv B w (x) \& [l (x)] G l x = y$ x ist ein Beweis für die Formel y.

46. Bew $(x) \equiv (Ey) \ y \ B \ x$

x ist eine beweisbare Formel. [Bew (x) ist der einzige unter den Begriffen 1-46, von dem nicht behauptet werden kann, er sei rekursiv.]

Computer-supported formal mathematics

J. McCarthy: Computer Programs for Checking Mathematical Proofs

Checking mathematical proofs is potentially one of the most interesting and useful applications of automatic computers. ... Proofs to be checked by computer may be briefer and easier to write than the informal proofs acceptable to mathematicians. This is because the computer can be asked to do much more work to check each step than a human is willing to do, and this permits longer and fewer steps.

Computer-supported formal proofs

J. Harrison, Handbook of Practical Logic and Automated Reasoning

```
The inductive data type formula
```

Computer-supported formal proofs

Recursively defined substitution functions subst and substq

```
let rec subst subfn fm =
 match fm with
   False -> False
  | True -> True
  Atom(R(p,args)) -> Atom(R(p,map (tsubst subfn) args))
  Not(p) -> Not(subst subfn p)
  | And (p,q) -> And (subst subfn p, subst subfn q)
  | Or(p,q) -> Or(subst subfn p, subst subfn q)
  | Imp(p,q) -> Imp(subst subfn p, subst subfn q)
  | Iff(p,q) -> Iff(subst subfn p, subst subfn q)
  | Forall(x,p) -> substq subfn mk forall x p
  | Exists(x,p) -> substq subfn mk exists x p
and substq subfn quant x p =
 let x' = if exists (fun y -> mem x (fvt(tryapplyd subfn y (Var y))))
                     (subtract (fv p) [x])
           then variant x (fv(subst (undefine x subfn) p)) else x in
 quant x' (subst ((x |-> Var x') subfn) p);;
```

Computer-supported formal proofs ...

The Prolog-like prover meson

```
let puremeson fm =
  let cls = simpcnf(specialize(pnf fm)) in
  let rules = itlist ((@) ** contrapositives) cls [] in
  deepen (fun n ->
     mexpand rules [] False (fun x -> x) (undefined,n,0); n) 0;;
```

```
let meson fm =
    let fm1 = askolemize(Not(generalize fm)) in
    map (puremeson ** list_conj) (simpdnf fm1);;
```

... proof of the Kepler conjecture in HOL Light

==> the_kepler_conjecture

The Isabelle system

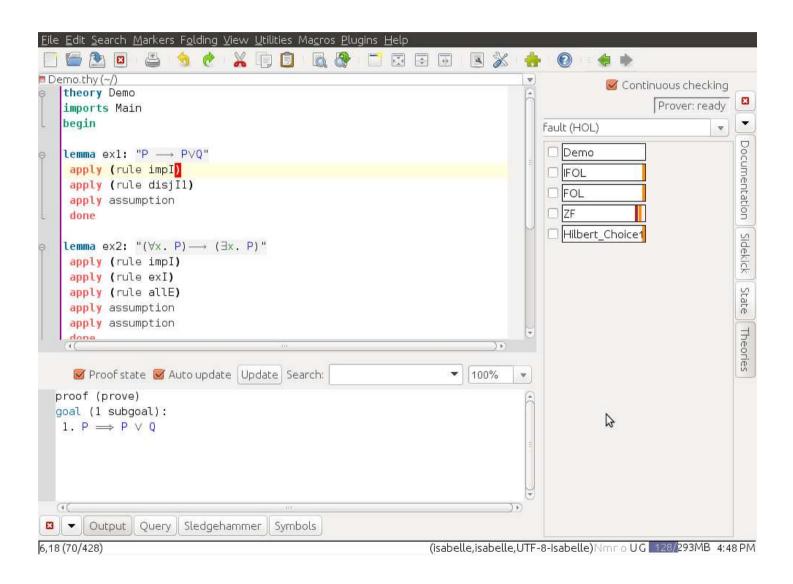
Developed by L. Paulson and others (since 1980s)

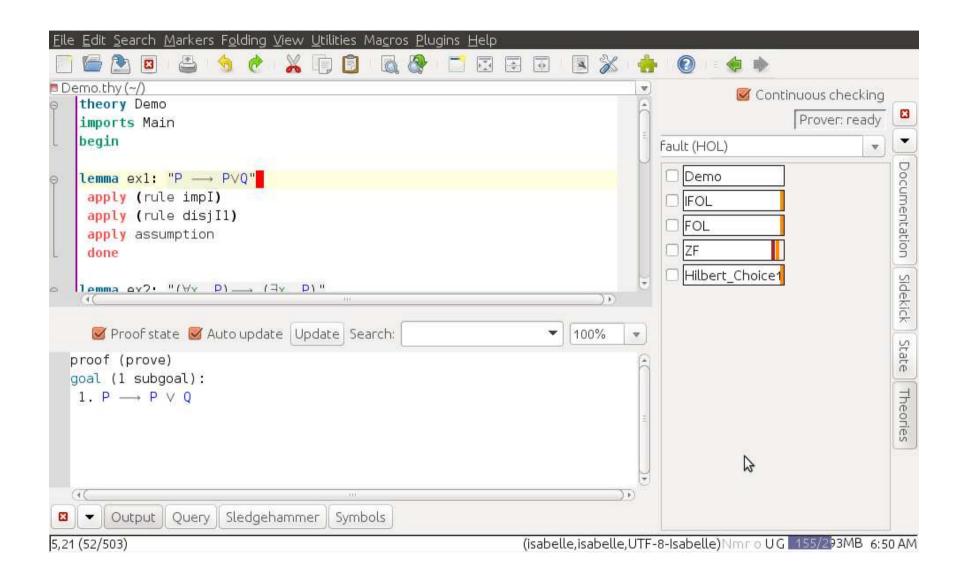
Interactive and programable system for the development of proofs

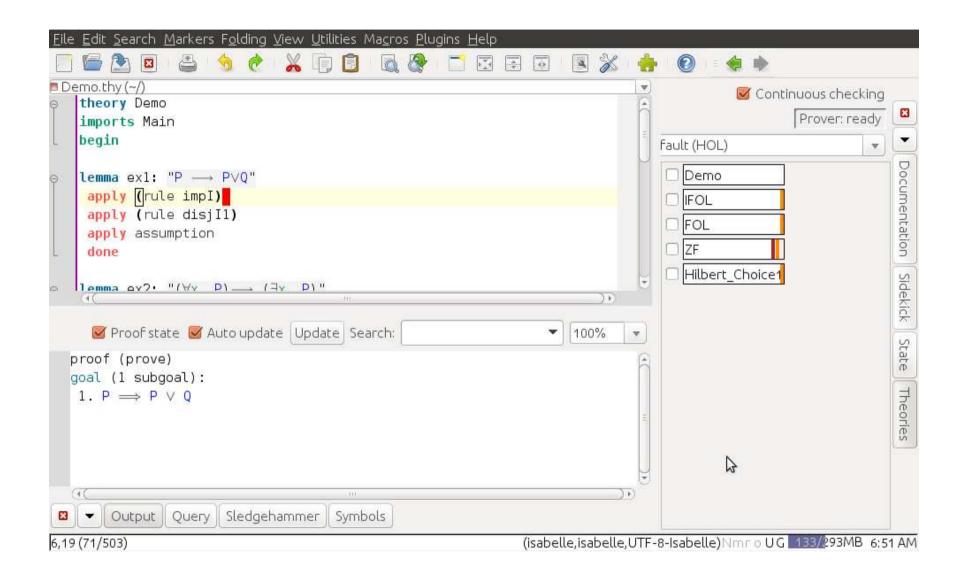
Generic system allowing various logics, e.g., first-order logic (FOL) and higher-order logic (HOL)

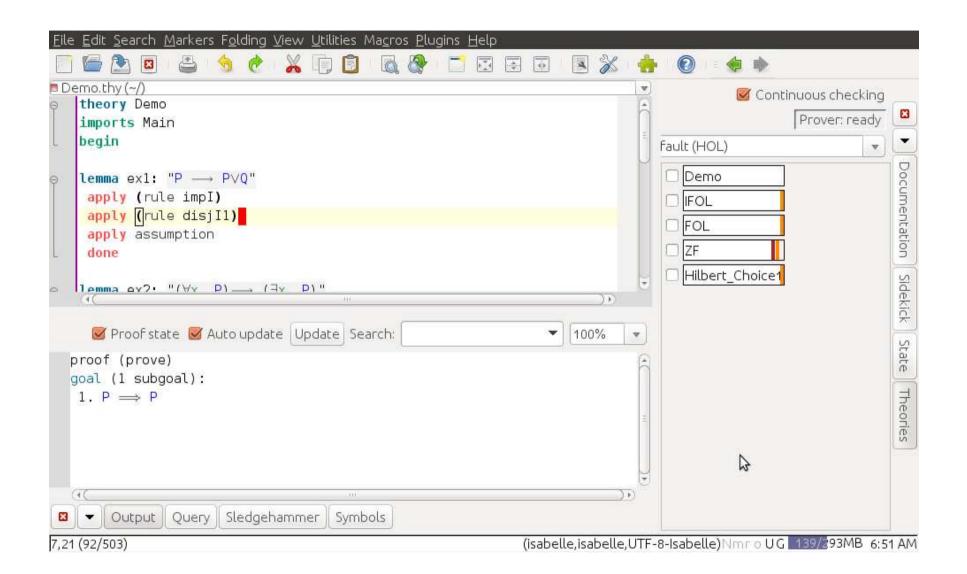
Large scale formalizations: part of Kepler conjecture project

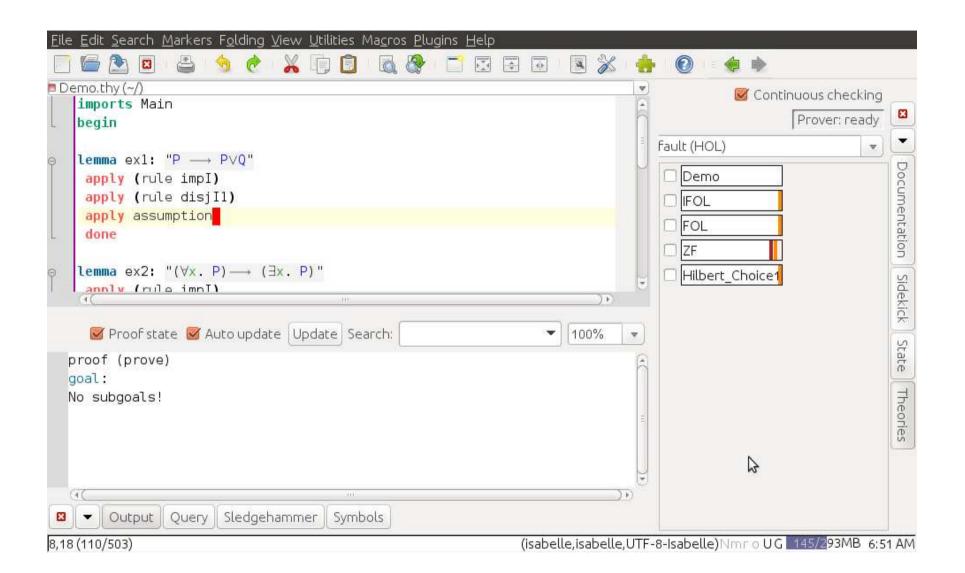
Peter Koepke: Formale Mathematik. Bad Honnef, 21.3.2016

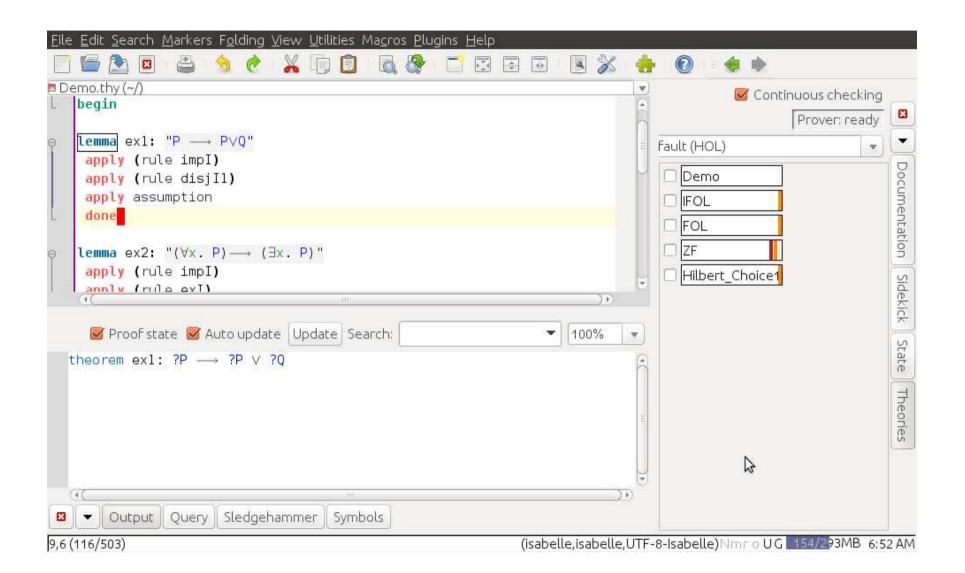


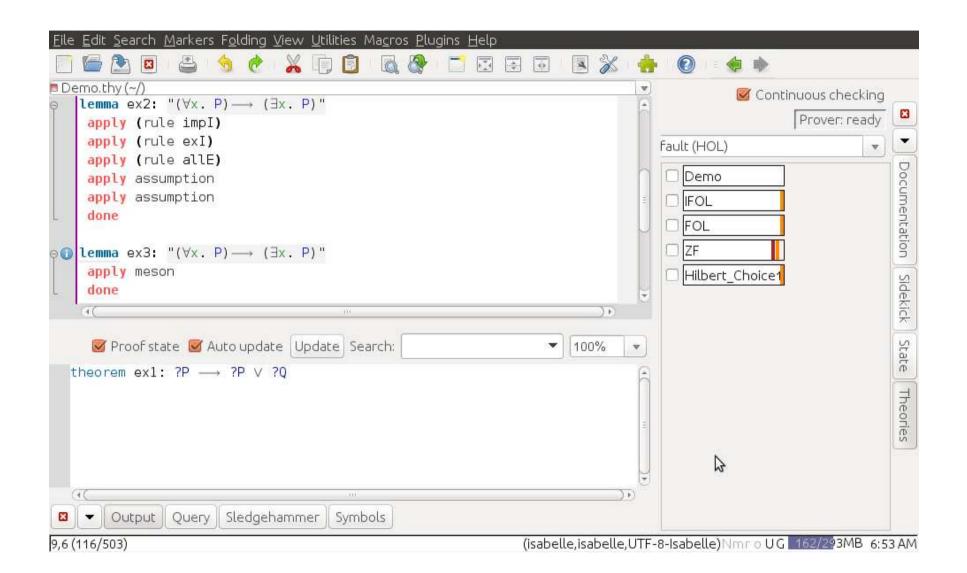


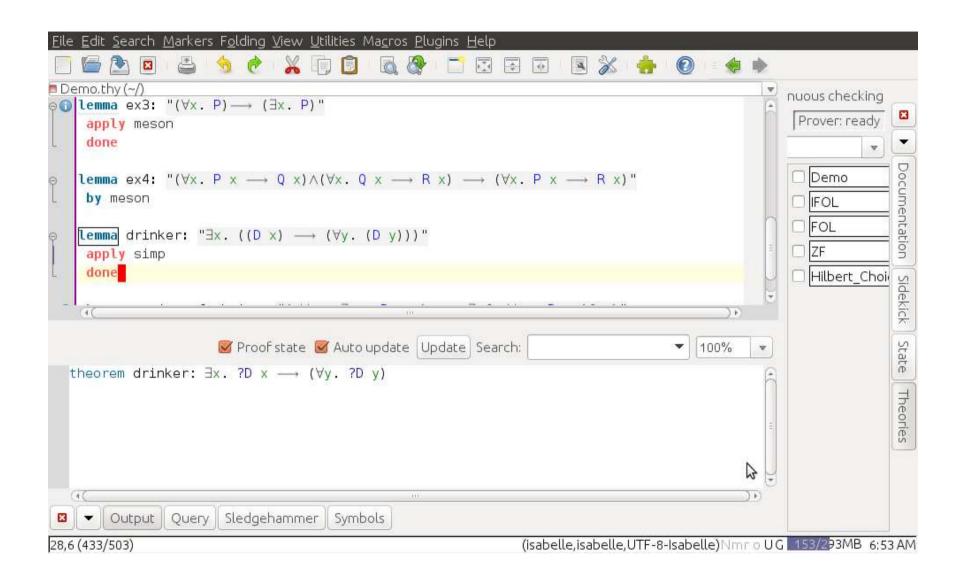


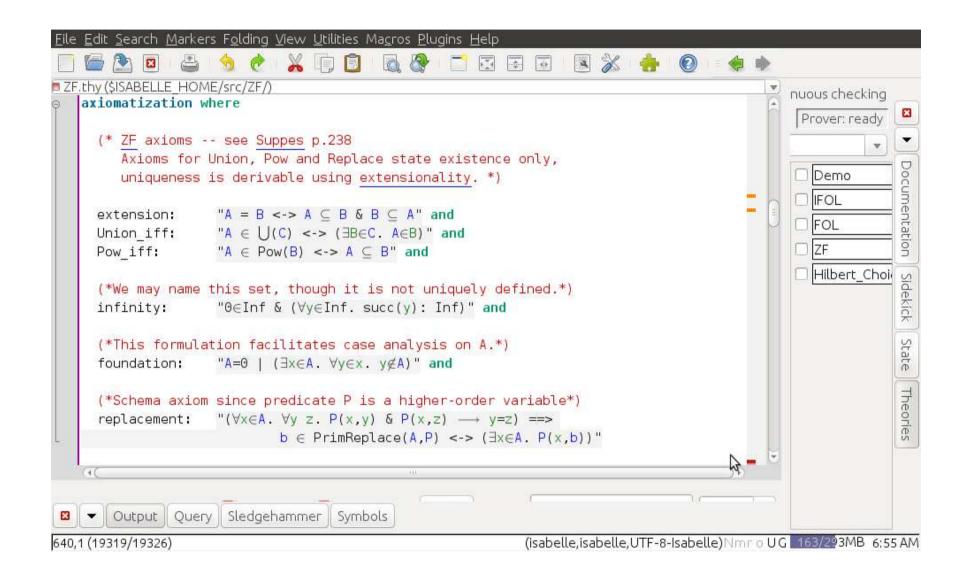


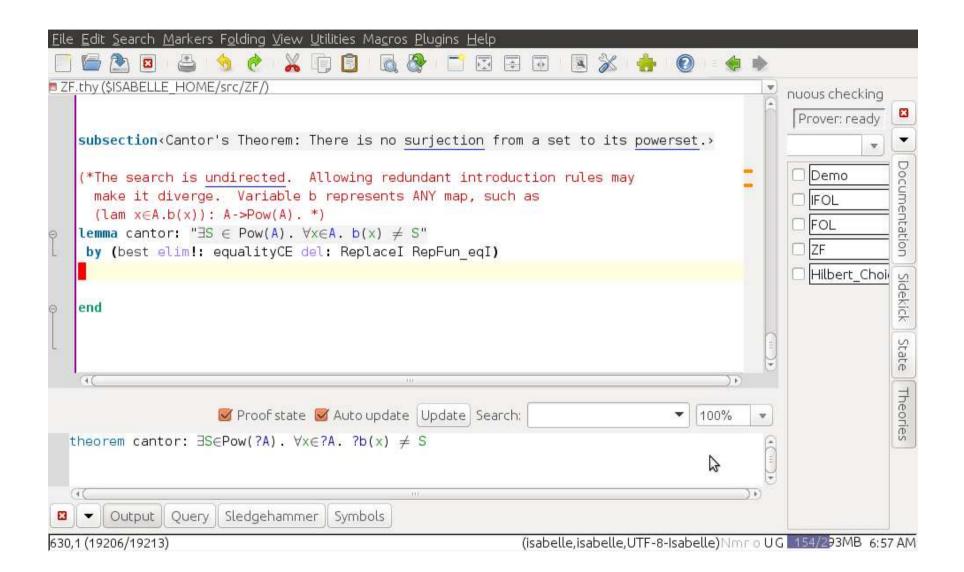


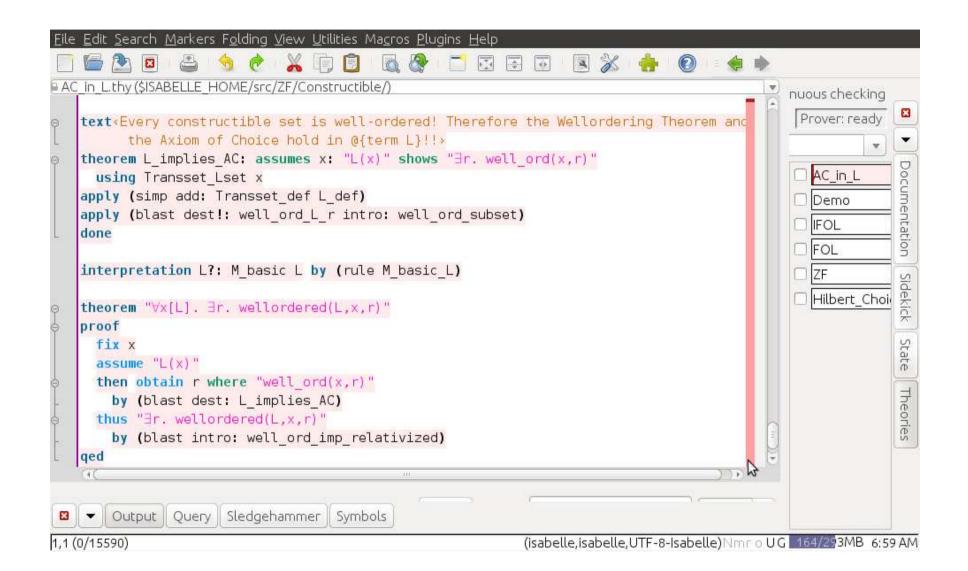


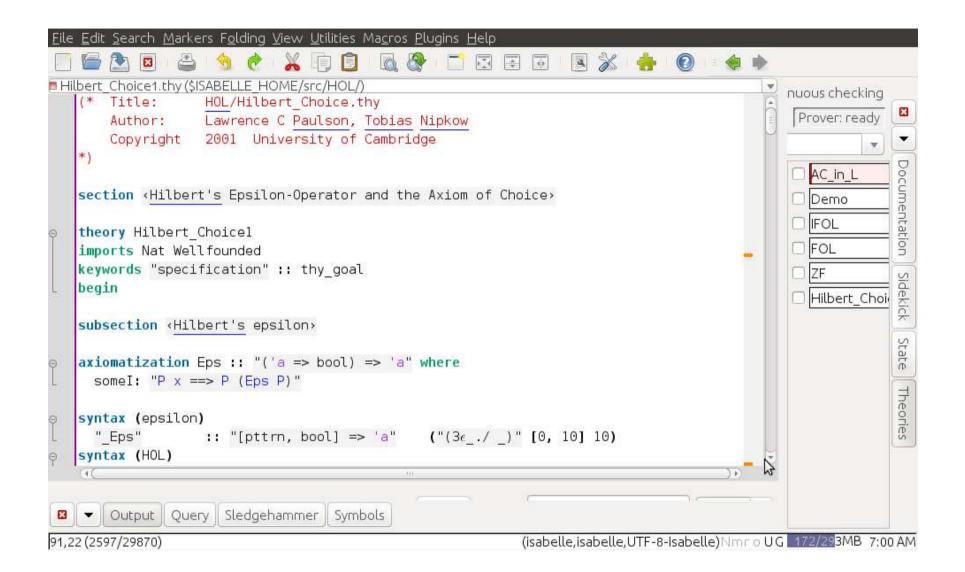


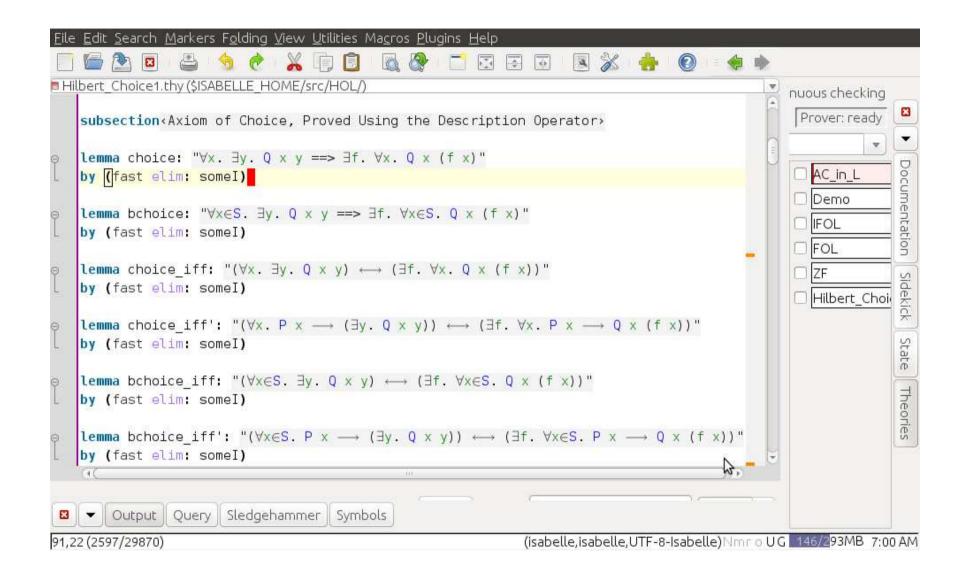












The Isabelle system

Backward proving through application of proof methods

Reducing goal to subgoals and eventually to empty list of subgoals

Limited insight in the "real proof"

Forward proving through **Isar** proof language

Isabelle and set theory

Advanced set theory has been formalized in Isabelle

Set theory can be formalized in several ways: ZF / NGB in FOL / HOL

Inference between Isabelle's logic / type theory with set theoretic axioms

Requires further analysis, especially for axiomatic investigations

(Un-)Naturality of formal mathematics

Freek Wiedijk, The QED manifesto revisited

The other reason that there has not been much progress on the vision [...] is that currently formalized mathematics does not resemble real mathematics at all. Formal proofs look like computer program source code. For people who do like reading program source code that is nice, but most mathematicians [...] do not fall in that class.

Apply-style Isabelle

```
lemma iterates_omega_fixedpoint:
    "[| Normal(F); Ord(a) |] ==> F(F^\<omega> (a)) = F^\<omega> (a)"
    apply (frule Normal_increasing, assumption)
    apply (erule leE)
    apply (simp_all add: iterates_omega_triv [OF sym]) (*for subgoal 2*)
    apply (simp add: iterates_omega_def Normal_Union)
    apply (rule equalityI, force simp add: nat_succI)
    apply clarify
    apply (rule UN_I, assumption)
    apply (rule UN_I, assumption)
    apply (frule iterates_Normal_increasing, assumption, assumption, simp)
    apply (blast intro: Ord_trans ltD Ord_iterates_Normal Normal_imp_Ord [of F])
    done
```

Forward proving in Isar

```
lemma UNIV_is_not_in_ZF: "UNIV \<noteq> explode R"
proof
  let ?Russell = "{ x. Not(Elem x x) }"
  have "?Russell = UNIV" by (simp add: irreflexiv_Elem)
  moreover assume "UNIV = explode R"
  ultimately have russell: "?Russell = explode R" by simp
  then show "False"
  proof(cases "Elem R R")
    case True
    then show ?thesis
      by (insert irreflexiv_Elem, auto)
  next
    case False
    then have "R \langle in \rangle ?Russell" by auto
    then have "Elem R R" by (simp add: russell explode_def)
    with False show ?thesis by auto
  qed
qed
```

The Naproche project: Natural language proof checking

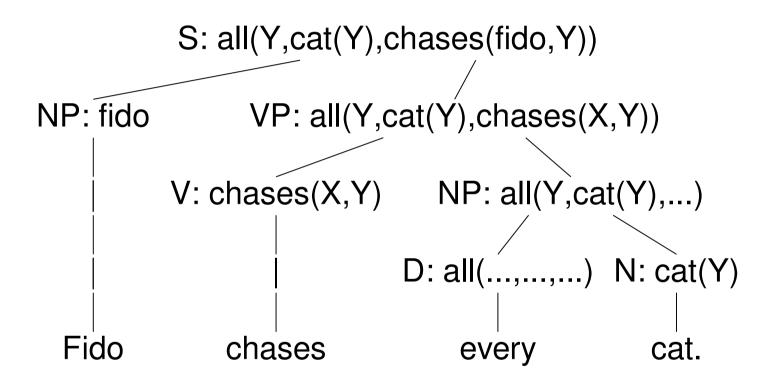
- combining formal mathematics with computer linguistics
- joint with M. Cramer and B. Schröder
- development of a mathematical authoring system with a L^AT_EX-quality graphical interface

Mathematical statements

"V contains every set." $\leftarrow \rightarrow$ "Fido chases every cat."

Linguistic analysis

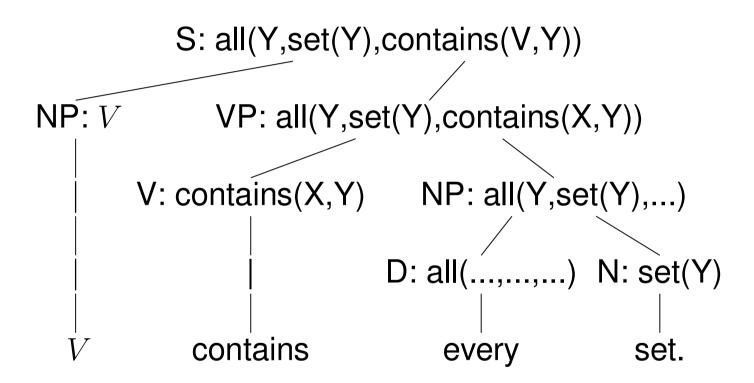
"Fido chases every cat."



 $\forall Y(\operatorname{cat}(Y) \to \operatorname{chases}(\operatorname{fido}, Y)).$

Linguistic analysis

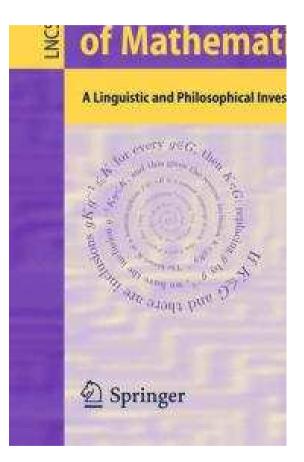
"V contains every set."



 $\forall Y(\operatorname{set}(Y) \to V \supseteq Y).$

The Language of Mathematics

- Mohan Ganesalingam: The Language of Mathematics,



Andrei Paskevich' System of Automatic Deduction (SAD)

- started by Victor Glushkov, continued with Alexander Lyaletski and Konstantin Verchinine

- simple phrase structure grammar
- http://nevidal.org/sad.en.html

Linguistically improved SAD example: Cantor's theorem

The power set of A is the set of subsets of A. Let $\mathcal{P}(A)$ denote the power set of A.

Theorem 1. *There is no surjection from A onto the power set of A*.

Proof. Assume F is a surjection from A onto $\mathcal{P}(A)$. Let

 $B = \{ x \in A \mid x \notin F(x) \}.$

 $B \in \mathcal{P}(A)$. Take $a \in A$ such that B = F(a).

 $a \in B$ iff $a \notin F(a)$ iff $a \notin B$.

Contradiction.

Outlook

- Combine techniques from various formal mathematics systems to obtain power and naturalness
- Will this lead to acceptance by mathematical practioneers?
- J. Avigad: On a personal note, I am entirely convinced that formal verification of mathematics will eventually become commonplace.
- D. Scott: Big Proofs will soon show that computers and logic have to be used TOGETHER to make progress in certain areas of mathematics. That is, we need to show convincingly how COMPUTER-ASSISTED PROOFS APPLY TO MATHEMATICS. We are almost there [...].

Auf eine erfolgreiche AG "Formale Mathematik!