

On the Continuum Function in Zermelo-Fraenkel Set Theory

Anne Fernengel

14.01.2012

The Continuum Function in ZFC

A) Regular Cardinals

A) Regular Cardinals

Theorem (*Easton, 1970*)

A) Regular Cardinals

Theorem (*Easton, 1970*)

Let V be a ground model of $ZFC + GCH$ with a function F whose domain consists of regular cardinals and whose range consists of cardinals, such that for all $\kappa, \lambda \in \text{dom } F$:

- $F(\kappa) > \kappa$
- $\kappa \leq \lambda \rightarrow F(\kappa) \leq F(\lambda)$
- $\text{cf } F(\kappa) > \kappa$.

Then there is a cardinal-preserving model $V[G] \supseteq V$ of the theory

$$ZFC + \forall \kappa \in \text{dom } F \ 2^\kappa = F(\kappa).$$

B) Singular Cardinals

B) Singular Cardinals

Singular Cardinals Hypothesis *SCH* (Mitchell, 1992)

B) Singular Cardinals

Singular Cardinals Hypothesis *SCH* (Mitchell, 1992)

Let κ denote a singular cardinal with $2^{\text{cf } \kappa} < \kappa$. Then $\kappa^{\text{cf } \kappa} = \kappa^+$.

B) Singular Cardinals

Singular Cardinals Hypothesis *SCH* (Mitchell, 1992)

Let κ denote a singular cardinal with $2^{\text{cf } \kappa} < \kappa$. Then $\kappa^{\text{cf } \kappa} = \kappa^+$.

In particular, if κ is a singular strong limit cardinal, then $2^\kappa = \kappa^+$.

B) Singular Cardinals

Singular Cardinals Hypothesis *SCH* (Mitchell, 1992)

Let κ denote a singular cardinal with $2^{\text{cf } \kappa} < \kappa$. Then $\kappa^{\text{cf } \kappa} = \kappa^+$.

In particular, if κ is a singular strong limit cardinal, then $2^\kappa = \kappa^+$.

⋮

B) Singular Cardinals

Singular Cardinals Hypothesis *SCH* (*Mitchell, 1992*)

Let κ denote a singular cardinal with $2^{\text{cf } \kappa} < \kappa$. Then $\kappa^{\text{cf } \kappa} = \kappa^+$.

In particular, if κ is a singular strong limit cardinal, then $2^\kappa = \kappa^+$.

⋮

Theorem (*Shelah, 1982*)

B) Singular Cardinals

Singular Cardinals Hypothesis *SCH* (Mitchell, 1992)

Let κ denote a singular cardinal with $2^{\text{cf } \kappa} < \kappa$. Then $\kappa^{\text{cf } \kappa} = \kappa^+$.

In particular, if κ is a singular strong limit cardinal, then $2^\kappa = \kappa^+$.

⋮

Theorem (Shelah, 1982)

If \aleph_ω is a strong limit cardinal, then $2^{\aleph_\omega} < \aleph_{\omega_4}$.

B) Singular Cardinals

Singular Cardinals Hypothesis *SCH* (Mitchell, 1992)

Let κ denote a singular cardinal with $2^{\text{cf } \kappa} < \kappa$. Then $\kappa^{\text{cf } \kappa} = \kappa^+$.

In particular, if κ is a singular strong limit cardinal, then $2^\kappa = \kappa^+$.

⋮

Theorem (Shelah, 1982)

If \aleph_ω is a strong limit cardinal, then $2^{\aleph_\omega} < \aleph_{\omega_4}$.

⋮

The Continuum Function without AC: Introduction

Definition

Definition

For a cardinal κ define:

- $\iota(\kappa) := \sup\{\alpha \mid \exists f : \alpha \rightarrow \wp(\kappa) \text{ injective function}\}$

Definition

For a cardinal κ define:

- $\iota(\kappa) := \sup\{\alpha \mid \exists f : \alpha \rightarrow \wp(\kappa) \text{ injective function }\}$
- $\theta(\kappa) := \sup\{\alpha \mid \exists f : \wp(\kappa) \rightarrow \alpha \text{ surjective function }\}.$

Definition

For a cardinal κ define:

- $\iota(\kappa) := \sup\{\alpha \mid \exists f : \alpha \rightarrow \wp(\kappa) \text{ injective function }\}$
- $\theta(\kappa) := \sup\{\alpha \mid \exists f : \wp(\kappa) \rightarrow \alpha \text{ surjective function }\}.$

Theorem (*Gitik-Koepke, 2012*)

Definition

For a cardinal κ define:

- $\iota(\kappa) := \sup\{\alpha \mid \exists f : \alpha \rightarrow \wp(\kappa) \text{ injective function }\}$
- $\theta(\kappa) := \sup\{\alpha \mid \exists f : \wp(\kappa) \rightarrow \alpha \text{ surjective function }\}.$

Theorem (*Gitik-Koepke, 2012*)

Let V be a ground model of $ZFC + GCH$ and λ some cardinal in V .

Definition

For a cardinal κ define:

- $\iota(\kappa) := \sup\{\alpha \mid \exists f : \alpha \rightarrow \wp(\kappa) \text{ injective function}\}$
- $\theta(\kappa) := \sup\{\alpha \mid \exists f : \wp(\kappa) \rightarrow \alpha \text{ surjective function}\}.$

Theorem (*Gitik-Koepke, 2012*)

Let V be a ground model of $ZFC + GCH$ and λ some cardinal in V . There is a cardinal-preserving model $N \supseteq V$ of the theory

$$ZF + \forall n < \omega \ \theta(\aleph_n) = \aleph_n^{++} + \theta(\aleph_\omega) \geq \lambda^+.$$

Rough Idea of the Construction

Rough Idea of the Construction

- Add sufficiently many \aleph_ω -subsets ($G_i \mid i < \lambda$), which are linked in a certain fashion.

Rough Idea of the Construction

- Add sufficiently many \aleph_ω -subsets ($G_i \mid i < \lambda$), which are linked in a certain fashion.
- Any G_i is generic for

$$\prod_{\substack{n < \omega \\ \text{fin. supp.}}} \text{Cohen}([\aleph_n, \aleph_{n+1}]).$$

Rough Idea of the Construction

- Add sufficiently many \aleph_ω -subsets ($G_i \mid i < \lambda$), which are linked in a certain fashion.
- Any G_i is generic for

$$\prod_{\substack{n < \omega \\ \text{fin. supp.}}} \text{Cohen}([\aleph_n, \aleph_{n+1}]).$$

- Define in the generic extension an appropriate equivalence relation “ \simeq ” on $\wp(\aleph_\omega)$ such that $\forall i \neq i' \quad \widetilde{G}_i \cap \widetilde{G}_{i'} = \emptyset$.

Rough Idea of the Construction

- Add sufficiently many \aleph_ω -subsets $(G_i \mid i < \lambda)$, which are linked in a certain fashion.
- Any G_i is generic for

$$\prod_{\substack{n < \omega \\ \text{fin. supp.}}} \text{Cohen} \left([\aleph_n, \aleph_{n+1}] \right).$$

- Define in the generic extension an appropriate equivalence relation “ \simeq ” on $\wp(\aleph_\omega)$ such that $\forall i \neq i' \quad \widetilde{G}_i \cap \widetilde{G}_{i'} = \emptyset$.
- Let

$$N := HOD^{V[G]} \left(V \cup \{ \widetilde{G}_i \mid i < \lambda \} \right).$$

Rough Idea of the Construction

- Add sufficiently many \aleph_ω -subsets $(G_i \mid i < \lambda)$, which are linked in a certain fashion.
- Any G_i is generic for

$$\prod_{\substack{n < \omega \\ \text{fin. supp.}}} \text{Cohen} \left([\aleph_n, \aleph_{n+1}] \right).$$

- Define in the generic extension an appropriate equivalence relation “ \simeq ” on $\wp(\aleph_\omega)$ such that $\forall i \neq i' \quad \widetilde{G}_i \cap \widetilde{G}_{i'} = \emptyset$.
- Let

$$N := HOD^{V[G]} \left(V \cup \text{TC}(\{\widetilde{G}_i \mid i < \lambda\}) \right).$$

Rough Idea of the Construction

- Add sufficiently many \aleph_ω -subsets ($G_i \mid i < \lambda$), which are linked in a certain fashion.
- Any G_i is generic for

$$\prod_{\substack{n < \omega \\ \text{fin. supp.}}} \text{Cohen} \left([\aleph_n, \aleph_{n+1}] \right).$$

- Define in the generic extension an appropriate equivalence relation “ \simeq ” on $\wp(\aleph_\omega)$ such that $\forall i \neq i' \quad \widetilde{G}_i \cap \widetilde{G}_{i'} = \emptyset$.
- Let

$$N := HOD^{V[G]} \left(V \cup \text{TC}(\{\widetilde{G}_i \mid i < \lambda\}) \right).$$

- In N , there exists a surjection $f : \wp(\aleph_\omega) \rightarrow \lambda$:

Rough Idea of the Construction

- Add sufficiently many \aleph_ω -subsets ($G_i \mid i < \lambda$), which are linked in a certain fashion.
- Any G_i is generic for

$$\prod_{\substack{n < \omega \\ \text{fin. supp.}}} \text{Cohen} \left([\aleph_n, \aleph_{n+1}] \right).$$

- Define in the generic extension an appropriate equivalence relation “ \simeq ” on $\wp(\aleph_\omega)$ such that $\forall i \neq i' \quad \widetilde{G}_i \cap \widetilde{G}_{i'} = \emptyset$.
- Let

$$N := HOD^{V[G]} \left(V \cup \text{TC}(\{\widetilde{G}_i \mid i < \lambda\}) \right).$$

- In N , there exists a surjection $f : \wp(\aleph_\omega) \rightarrow \lambda$: Set $f(X) := i$ whenever $X \in \widetilde{G}_i$.

Conjecture

Conjecture

Let V denote a ground model of $ZFC + GCH$ with a function E whose domain and range consist of ordinals such that for all $\alpha, \beta \in \text{dom } E$

Conjecture

Let V denote a ground model of $ZFC + GCH$ with a function E whose domain and range consist of ordinals such that for all α , $\beta \in \text{dom } E$

- $\alpha \leq \beta \rightarrow E(\alpha) \leq E(\beta)$

Conjecture

Let V denote a ground model of $ZFC + GCH$ with a function E whose domain and range consist of ordinals such that for all α , $\beta \in \text{dom } E$

- $\alpha \leq \beta \rightarrow E(\alpha) \leq E(\beta)$
- $E(\alpha) \geq \alpha + 2$.

Conjecture

Let V denote a ground model of $ZFC + GCH$ with a function E whose domain and range consist of ordinals such that for all $\alpha, \beta \in \text{dom } E$

- $\alpha \leq \beta \rightarrow E(\alpha) \leq E(\beta)$
- $E(\alpha) \geq \alpha + 2.$

Then there is a cardinal-preserving model $N \supseteq V$ of the theory

Conjecture

Let V denote a ground model of $ZFC + GCH$ with a function E whose domain and range consist of ordinals such that for all α , $\beta \in \text{dom } E$

- $\alpha \leq \beta \rightarrow E(\alpha) \leq E(\beta)$
- $E(\alpha) \geq \alpha + 2$.

Then there is a cardinal-preserving model $N \supseteq V$ of the theory

$$ZF + \forall \alpha \in \text{dom } E \ \theta(\aleph_\alpha) = \aleph_{E(\alpha)}.$$

Theorem A

Theorem A

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

Theorem A

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed

Theorem A

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing

Theorem A

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing
- $\forall \eta < \gamma \ \alpha_\eta \geq \kappa_\eta^+$.

Theorem A

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing
- $\forall \eta < \gamma \ \alpha_\eta \geq \kappa_\eta^+$.

There is a cardinal-preserving extension $N \supseteq V$ with $N \models ZF$ such that

Theorem A

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing
- $\forall \eta < \gamma \ \alpha_\eta \geq \kappa_\eta^+$.

There is a cardinal-preserving extension $N \supseteq V$ with $N \models ZF$ such that $\forall \eta < \gamma \ \theta^N(\kappa_\eta) = \alpha_\eta^+$,

Theorem A

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$, $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing
- $\forall \eta < \gamma \ \alpha_\eta \geq \kappa_\eta^+$.

There is a cardinal-preserving extension $N \supseteq V$ with $N \models ZF$ such that $\forall \eta < \gamma \ \theta^N(\kappa_\eta) = \alpha_\eta^+$, i.e. for all $\eta < \gamma$, there exists in N a surjective function $f : \wp(\kappa_\eta) \rightarrow \alpha_\eta$, but no surjective function $f : \wp(\kappa_\eta) \rightarrow \alpha_\eta^+$.

The Forcing

The Forcing

Assume w.l.o.g. that all κ_η are limit cardinals.

The Forcing

Assume w.l.o.g. that all κ_η are limit cardinals.

For all $\eta < \gamma$, let $(\kappa_{\eta,j} \mid j < \text{cf } \kappa_{\eta+1})$ denote a normal cofinal sequence in $\kappa_{\eta+1}$, with $\kappa_{\eta,0} := \kappa_\eta$.

The Forcing

Assume w.l.o.g. that all κ_η are limit cardinals.

For all $\eta < \gamma$, let $(\kappa_{\eta,j} \mid j < \text{cf } \kappa_{\eta+1})$ denote a normal cofinal sequence in $\kappa_{\eta+1}$, with $\kappa_{\eta,0} := \kappa_\eta$.

For $\eta < \gamma$, define

The Forcing

Assume w.l.o.g. that all κ_η are limit cardinals.

For all $\eta < \gamma$, let $(\kappa_{\eta,j} \mid j < \text{cf } \kappa_{\eta+1})$ denote a normal cofinal sequence in $\kappa_{\eta+1}$, with $\kappa_{\eta,0} := \kappa_\eta$.

For $\eta < \gamma$, define

$$P^\eta := \prod_{\substack{\kappa_{\nu,j} < \kappa_\eta \\ \text{Easton supp.}}} \text{Cohen} \left([\kappa_{\nu,j}, \kappa_{\nu,j+1}) \right),$$

The Forcing

Assume w.l.o.g. that all κ_η are limit cardinals.

For all $\eta < \gamma$, let $(\kappa_{\eta,j} \mid j < \text{cf } \kappa_{\eta+1})$ denote a normal cofinal sequence in $\kappa_{\eta+1}$, with $\kappa_{\eta,0} := \kappa_\eta$.

For $\eta < \gamma$, define

$$P^\eta := \prod_{\substack{\kappa_{\nu,j} < \kappa_\eta \\ \text{Easton supp.}}} \text{Cohen}([\kappa_{\nu,j}, \kappa_{\nu,j+1}]),$$

$$P_*^\eta := \prod_{\substack{\kappa_{\nu,j} < \kappa_\eta \\ \text{Easton supp.}}} \text{Cohen}([\kappa_{\nu,j}, \kappa_{\nu,j+1})^2).$$

The Forcing

Assume w.l.o.g. that all κ_η are limit cardinals.

For all $\eta < \gamma$, let $(\kappa_{\eta,j} \mid j < \text{cf } \kappa_{\eta+1})$ denote a normal cofinal sequence in $\kappa_{\eta+1}$, with $\kappa_{\eta,0} := \kappa_\eta$.

For $\eta < \gamma$, define

$$P^\eta := \prod_{\substack{\kappa_{\nu,j} < \kappa_\eta \\ \text{Easton supp.}}} \text{Cohen}([\kappa_{\nu,j}, \kappa_{\nu,j+1})),$$

$$P_*^\eta := \prod_{\substack{\kappa_{\nu,j} < \kappa_\eta \\ \text{Easton supp.}}} \text{Cohen}([\kappa_{\nu,j}, \kappa_{\nu,j+1})^2).$$

Lemma 1

The Forcing

Assume w.l.o.g. that all κ_η are limit cardinals.

For all $\eta < \gamma$, let $(\kappa_{\eta,j} \mid j < \text{cf } \kappa_{\eta+1})$ denote a normal cofinal sequence in $\kappa_{\eta+1}$, with $\kappa_{\eta,0} := \kappa_\eta$.

For $\eta < \gamma$, define

$$P^\eta := \prod_{\substack{\kappa_{\nu,j} < \kappa_\eta \\ \text{Easton supp.}}} \text{Cohen}([\kappa_{\nu,j}, \kappa_{\nu,j+1})),$$

$$P_*^\eta := \prod_{\substack{\kappa_{\nu,j} < \kappa_\eta \\ \text{Easton supp.}}} \text{Cohen}([\kappa_{\nu,j}, \kappa_{\nu,j+1})^2).$$

Lemma 1

The forcings P^η and P_*^η preserve cardinals and the GCH.

The Forcing

Definition

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

- $\text{supp } p = \{(\eta, i) \mid p_i^\eta \neq \emptyset\}$ ist finite

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

- $\text{supp } p = \{(\eta, i) \mid p_i^\eta \neq \emptyset\}$ ist finite
- $p_* \in P_*^\gamma$

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

- $\text{supp } p = \{(\eta, i) \mid p_i^\eta \neq \emptyset\}$ ist finite
- $p_* \in P_*^\gamma$
- $\forall (\eta, i) \in \text{supp } p:$

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

- $\text{supp } p = \{(\eta, i) \mid p_i^\eta \neq \emptyset\}$ ist finite
- $p_* \in P_*^\gamma$
- $\forall (\eta, i) \in \text{supp } p:$
 - $p_i^\eta \in P^\eta$

The Forcing

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

- $\text{supp } p = \{(\eta, i) \mid p_i^\eta \neq \emptyset\}$ ist finite
- $p_* \in P_*^\gamma$
- $\forall (\eta, i) \in \text{supp } p:$
 - $p_i^\eta \in P^\eta$
 - $a_i^\eta \subseteq \kappa_\eta$ is a bounded subset with

The Forcing

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

- $\text{supp } p = \{(\eta, i) \mid p_i^\eta \neq \emptyset\}$ ist finite
- $p_* \in P_*^\gamma$
- $\forall (\eta, i) \in \text{supp } p:$
 - $p_i^\eta \in P^\eta$
 - $a_i^\eta \subseteq \kappa_\eta$ is a bounded subset with
$$\forall \kappa_{\nu,j} < \kappa_\eta \quad |a_i^\eta \cap [\kappa_{\nu,j}, \kappa_{\nu,j+1})| \leq 1$$

The Forcing

Definition

The forcing \mathbb{P} consists of all $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta < \gamma, i < \alpha_\eta})$ such that:

- $\text{supp } p = \{(\eta, i) \mid p_i^\eta \neq \emptyset\}$ ist finite
- $p_* \in P_*^\gamma$
- $\forall (\eta, i) \in \text{supp } p:$
 - $p_i^\eta \in P^\eta$
 - $a_i^\eta \subseteq \kappa_\eta$ is a bounded subset with
$$\forall \kappa_{\nu,j} < \kappa_\eta \quad |a_i^\eta \cap [\kappa_{\nu,j}, \kappa_{\nu,j+1})| \leq 1$$
- $\forall (\eta, i) \neq (\eta', i') \quad a_i^\eta \cap a_{i'}^{\eta'} = \emptyset$ (**Independence Property**).

The Forcing

Notation

Notation

Let G be a V -generic filter on \mathbb{P} .

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$,

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}$$

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

The Forcing

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

Definition

The Forcing

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

Definition

For $q = (q_*, (q_i^\eta, b_i^\eta)_{\eta, i})$, $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta, i}) \in \mathbb{P}$, let $q \leq p$ if :

The Forcing

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

Definition

For $q = (q_*, (q_i^\eta, b_i^\eta)_{\eta, i})$, $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta, i}) \in \mathbb{P}$, let $q \leq p$ if :

- $q_* \supseteq p_*$

The Forcing

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

Definition

For $q = (q_*, (q_i^\eta, b_i^\eta)_{\eta, i})$, $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta, i}) \in \mathbb{P}$, let $q \leq p$ if :

- $q_* \supseteq p_*$
- $\forall (\eta, i) \quad q_i^\eta \supseteq p_i^\eta, \quad b_i^\eta \supseteq a_i^\eta$

The Forcing

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

Definition

For $q = (q_*, (q_i^\eta, b_i^\eta)_{\eta, i})$, $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta, i}) \in \mathbb{P}$, let $q \leq p$ if :

- $q_* \supseteq p_*$
- $\forall (\eta, i) \quad q_i^\eta \supseteq p_i^\eta, \quad b_i^\eta \supseteq a_i^\eta$
- **Linking Property:**

The Forcing

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

Definition

For $q = (q_*, (q_i^\eta, b_i^\eta)_{\eta, i})$, $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta, i}) \in \mathbb{P}$, let $q \leq p$ if :

- $q_* \supseteq p_*$
- $\forall (\eta, i) \quad q_i^\eta \supseteq p_i^\eta, \quad b_i^\eta \supseteq a_i^\eta$
- **Linking Property:** Let $\zeta \in \text{dom}(q_i^\eta \setminus p_i^\eta)$, $\zeta \in [\kappa_{\nu, j}, \kappa_{\nu, j+1})$ with $a_i^\eta \cap [\kappa_{\nu, j}, \kappa_{\nu, j+1}) = \{\xi\}$.

The Forcing

Notation

Let G be a V -generic filter on \mathbb{P} . It induces $G_* := \bigcup\{p_* \mid p \in G\}$, and for all $\eta < \gamma$, $i < \alpha_\eta$:

$$G_i^\eta := \bigcup\{p_i^\eta \mid p \in G\}, \quad g_i^\eta := \bigcup\{a_i^\eta \mid p \in G\}.$$

Definition

For $q = (q_*, (q_i^\eta, b_i^\eta)_{\eta, i})$, $p = (p_*, (p_i^\eta, a_i^\eta)_{\eta, i}) \in \mathbb{P}$, let $q \leq p$ if :

- $q_* \supseteq p_*$
- $\forall (\eta, i) \quad q_i^\eta \supseteq p_i^\eta, \quad b_i^\eta \supseteq a_i^\eta$
- **Linking Property:** Let $\zeta \in \text{dom}(q_i^\eta \setminus p_i^\eta)$, $\zeta \in [\kappa_{\nu, j}, \kappa_{\nu, j+1})$ with $a_i^\eta \cap [\kappa_{\nu, j}, \kappa_{\nu, j+1}) = \{\xi\}$.
Then $q_i^\eta(\zeta) = q_*(\xi, \zeta)$.

The Symmetric Submodel

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) ,

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

$$N := HOD^{V[G]}(V \cup F_G),$$

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

$$N := HOD^{V[G]}(V \cup \text{TC}(\{F_G\})),$$

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

$$N := HOD^{V[G]}(V \cup \text{TC}(\{F_G\})),$$

where $X \simeq_\eta Y$ if and only if $X, Y \subseteq \kappa_\eta$ and there is $\kappa_{\nu,j} < \kappa_\eta$ such that

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

$$N := HOD^{V[G]}(V \cup \text{TC}(\{F_G\})),$$

where $X \simeq_\eta Y$ if and only if $X, Y \subseteq \kappa_\eta$ and there is $\kappa_{\nu,j} < \kappa_\eta$ such that

- $(X \oplus Y) \upharpoonright [\kappa_{\nu,j}, \kappa_\eta] \in V$,

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

$$N := HOD^{V[G]}(V \cup \text{TC}(\{F_G\})),$$

where $X \simeq_\eta Y$ if and only if $X, Y \subseteq \kappa_\eta$ and there is $\kappa_{\nu,j} < \kappa_\eta$ such that

- $(X \oplus Y) \upharpoonright [\kappa_{\nu,j}, \kappa_\eta) \in V$,
- $\exists G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}} \quad (X \oplus Y) \upharpoonright \kappa_{\nu,j} \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

$$N := HOD^{V[G]}(V \cup \text{TC}(\{F_G\})),$$

where $X \simeq_\eta Y$ if and only if $X, Y \subseteq \kappa_\eta$ and there is $\kappa_{\nu,j} < \kappa_\eta$ such that

- $(X \oplus Y) \upharpoonright [\kappa_{\nu,j}, \kappa_\eta) \in V$,
- $\exists G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}} \quad (X \oplus Y) \upharpoonright \kappa_{\nu,j} \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Lemma 2

The Symmetric Submodel

Work in a \mathbb{P} -generic extension $V[G]$.

For all (η, i) , let $F_G(\eta, i) := \widetilde{G}_i^\eta := \{X \subseteq \kappa_\eta \mid X \simeq_\eta G_i^\eta\}$, and

$$N := HOD^{V[G]}(V \cup \text{TC}(\{F_G\})),$$

where $X \simeq_\eta Y$ if and only if $X, Y \subseteq \kappa_\eta$ and there is $\kappa_{\nu,j} < \kappa_\eta$ such that

- $(X \oplus Y) \upharpoonright [\kappa_{\nu,j}, \kappa_\eta) \in V$,
- $\exists G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}} \quad (X \oplus Y) \upharpoonright \kappa_{\nu,j} \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Lemma 2

$$i \neq i' \rightarrow G_i^\eta \not\simeq_\eta G_{i'}^\eta$$

Proposition 3

Proposition 3

$N \models (ZF + \forall \eta < \gamma \ \theta(\kappa_\eta) \geq \alpha_\eta^+)$

The Symmetric Submodel

Proposition 3

$$N \models (ZF + \forall \eta < \gamma \theta(\kappa_\eta) \geq \alpha_\eta^+)$$

Proof.

The Symmetric Submodel

Proposition 3

$$N \models (ZF + \forall \eta < \gamma \ \theta(\kappa_\eta) \geq \alpha_\eta^+)$$

Proof.

Let $\eta < \gamma$.

The Symmetric Submodel

Proposition 3

$$N \models (ZF + \forall \eta < \gamma \theta(\kappa_\eta) \geq \alpha_\eta^+)$$

Proof.

Let $\eta < \gamma$. We define in N a surjective function $f : \wp(\kappa_\eta) \rightarrow \alpha_\eta^+$ as follows:

Proposition 3

$$N \models (ZF + \forall \eta < \gamma \theta(\kappa_\eta) \geq \alpha_\eta^+)$$

Proof.

Let $\eta < \gamma$. We define in N a surjective function $f : \wp(\kappa_\eta) \rightarrow \alpha_\eta^+$ as follows: For $X \in N$, $X \subseteq \kappa_\eta$, set $f(X) := i$ in the case that $X \in \widetilde{G}_i^\eta$.

Proposition 3

$$N \models (ZF + \forall \eta < \gamma \theta(\kappa_\eta) \geq \alpha_\eta^+)$$

Proof.

Let $\eta < \gamma$. We define in N a surjective function $f : \wp(\kappa_\eta) \rightarrow \alpha_\eta^+$ as follows: For $X \in N$, $X \subseteq \kappa_\eta$, set $f(X) := i$ in the case that $X \in \widetilde{G}_i^\eta$. Then f is well-defined

Proposition 3

$$N \models (ZF + \forall \eta < \gamma \theta(\kappa_\eta) \geq \alpha_\eta^+)$$

Proof.

Let $\eta < \gamma$. We define in N a surjective function $f : \wp(\kappa_\eta) \rightarrow \alpha_\eta^+$ as follows: For $X \in N$, $X \subseteq \kappa_\eta$, set $f(X) := i$ in the case that $X \in \widetilde{G}_i^\eta$. Then f is well-defined and surjective.

Proposition 3

$$N \models (ZF + \forall \eta < \gamma \theta(\kappa_\eta) \geq \alpha_\eta^+)$$

Proof.

Let $\eta < \gamma$. We define in N a surjective function $f : \wp(\kappa_\eta) \rightarrow \alpha_\eta^+$ as follows: For $X \in N$, $X \subseteq \kappa_\eta$, set $f(X) := i$ in the case that $X \in \widetilde{G}_i^\eta$. Then f is well-defined and surjective. \square

The Symmetric Submodel

Lemma 4

Lemma 4

For $X \in N$, there is a formula φ , a parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ such that

Lemma 4

For $X \in N$, there is a formula φ , a parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ such that

$$X = \{y \in V[G] \mid V[G] \models \varphi(y, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

Lemma 4

For $X \in N$, there is a formula φ , a parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ such that

$$X = \{y \in V[G] \mid V[G] \models \varphi(y, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

Lemma 5 (*Approximation Lemma*)

The Symmetric Submodel

Lemma 4

For $X \in N$, there is a formula φ , a parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ such that

$$X = \{y \in V[G] \mid V[G] \models \varphi(y, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

Lemma 5 (Approximation Lemma)

For $X \in N$, $X \subseteq \text{Ord}$, there are finitely many pairs $(\eta_0, i_0), \dots, (\eta_{l-1}, i_{l-1})$ with

The Symmetric Submodel

Lemma 4

For $X \in N$, there is a formula φ , a parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ such that

$$X = \{y \in V[G] \mid V[G] \models \varphi(y, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

Lemma 5 (Approximation Lemma)

For $X \in N$, $X \subseteq \text{Ord}$, there are finitely many pairs $(\eta_0, i_0), \dots, (\eta_{l-1}, i_{l-1})$ with

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}].$$

Proof of Lemma 5.

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$.

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

We will show that $X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

The Symmetric Submodel

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

We will show that $X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Let

$$\begin{aligned} X' := \{\alpha \in \text{Ord} \mid \exists p : p \Vdash \varphi(\alpha, F_G, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}}), \\ p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}\}. \end{aligned}$$

The Symmetric Submodel

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

We will show that $X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Let

$$\begin{aligned} X' := \{\alpha \in \text{Ord} \mid \exists p : p \Vdash \varphi(\alpha, F_G, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}}), \\ p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}\}. \end{aligned}$$

Claim: $X = X'$.

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

We will show that $X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Let

$$\begin{aligned} X' := \{\alpha \in \text{Ord} \mid \exists p : p \Vdash \varphi(\alpha, F_G, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}}), \\ p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}\}. \end{aligned}$$

Claim: $X = X'$.

- $X \subseteq X'$:

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

We will show that $X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Let

$$\begin{aligned} X' := \{\alpha \in \text{Ord} \mid \exists p : p \Vdash \varphi(\alpha, F_G, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}}), \\ p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}\}. \end{aligned}$$

Claim: $X = X'$.

- $X \subseteq X'$: Forcing Theorem.

The Symmetric Submodel

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

We will show that $X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Let

$$\begin{aligned} X' := \{\alpha \in \text{Ord} \mid \exists p : p \Vdash \varphi(\alpha, F_G, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}}), \\ p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}\}. \end{aligned}$$

Claim: $X = X'$.

- $X \subseteq X'$: Forcing Theorem.
- $X' \subseteq X$:

The Symmetric Submodel

Proof of Lemma 5.

Let $X \in N$, $X \subseteq \text{Ord}$. By Lemma 4, there is a formula φ , some parameter $v \in V$, and finitely many $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$X = \{\alpha \in \text{Ord} \mid V[G] \models \varphi(\alpha, F_G, v, G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}})\}.$$

We will show that $X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Let

$$\begin{aligned} X' := \{\alpha \in \text{Ord} \mid \exists p : p \Vdash \varphi(\alpha, F_G, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}}), \\ p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}\}. \end{aligned}$$

Claim: $X = X'$.

- $X \subseteq X'$: Forcing Theorem.
- $X' \subseteq X$: Assume towards a contradiction, there was $\alpha \in X' \setminus X$.

Proof of Lemma 5. (*continued*)

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

W.l.o.g. we can assume that p and p' have “the same shape”:

$\text{supp } p = \text{supp } p'$, $\text{dom } p_* = \text{dom } p'_*$, $\bigcup a_i^\eta = \bigcup (a')_i^\eta, \dots$

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

W.l.o.g. we can assume that p and p' have “the same shape”:

$\text{supp } p = \text{supp } p'$, $\text{dom } p_* = \text{dom } p'_*$, $\bigcup a_i^\eta = \bigcup (a')_i^\eta, \dots$

There is an isomorphism $\pi : \mathbb{P} \upharpoonright p \rightarrow \mathbb{P} \upharpoonright p'$

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

W.l.o.g. we can assume that p and p' have “the same shape”:

$\text{supp } p = \text{supp } p'$, $\text{dom } p_* = \text{dom } p'_*$, $\bigcup a_i^\eta = \bigcup (a')_i^\eta, \dots$

There is an isomorphism $\pi : \mathbb{P} \upharpoonright p \rightarrow \mathbb{P} \upharpoonright p'$ with $\pi \dot{\tilde{G}}_i^\eta = \dot{\tilde{G}}_i^\eta$ for all (η, i)

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

W.l.o.g. we can assume that p and p' have “the same shape”:

$\text{supp } p = \text{supp } p'$, $\text{dom } p_* = \text{dom } p'_*$, $\bigcup a_i^\eta = \bigcup (a')_i^\eta, \dots$

There is an isomorphism $\pi : \mathbb{P} \upharpoonright p \rightarrow \mathbb{P} \upharpoonright p'$ with $\pi \dot{\tilde{G}}_i^\eta = \dot{\tilde{G}}_i^\eta$ for all (η, i) – in particular, $\pi F_{\dot{G}} = F_{\dot{G}}$.

The Symmetric Submodel

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

W.l.o.g. we can assume that p and p' have “the same shape”:

$\text{supp } p = \text{supp } p'$, $\text{dom } p_* = \text{dom } p'_*$, $\bigcup a_i^\eta = \bigcup (a')_i^\eta, \dots$

There is an isomorphism $\pi : \mathbb{P} \upharpoonright p \rightarrow \mathbb{P} \upharpoonright p'$ with $\pi \dot{\tilde{G}}_i^\eta = \dot{\tilde{G}}_i^\eta$ for all (η, i) – in particular, $\pi F_{\dot{G}} = F_{\dot{G}}$.

Moreover, $\pi \dot{G}_{i_0}^{\eta_0} = \dot{G}_{i_0}^{\eta_0}, \dots, \pi \dot{G}_{i_{l-1}}^{\eta_{l-1}} = \dot{G}_{i_{l-1}}^{\eta_{l-1}}$.

The Symmetric Submodel

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

W.l.o.g. we can assume that p and p' have “the same shape”:

$\text{supp } p = \text{supp } p'$, $\text{dom } p_* = \text{dom } p'_*$, $\bigcup a_i^\eta = \bigcup (a')_i^\eta, \dots$

There is an isomorphism $\pi : \mathbb{P} \upharpoonright p \rightarrow \mathbb{P} \upharpoonright p'$ with $\pi \dot{\tilde{G}}_i^\eta = \dot{\tilde{G}}_i^\eta$ for all (η, i) – in particular, $\pi F_{\dot{G}} = F_{\dot{G}}$.

Moreover, $\pi \dot{G}_{i_0}^{\eta_0} = \dot{G}_{i_0}^{\eta_0}, \dots, \pi \dot{G}_{i_{l-1}}^{\eta_{l-1}} = \dot{G}_{i_{l-1}}^{\eta_{l-1}}$.

Contradiction.

The Symmetric Submodel

Proof of Lemma 5. (*continued*)

Using $\alpha \in X'$, we can take p as above with

$p \Vdash \varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$ and $p_{i_0}^{\eta_0} \subseteq G_{i_0}^{\eta_0}, \dots, p_{i_{l-1}}^{\eta_{l-1}} \subseteq G_{i_{l-1}}^{\eta_{l-1}}$.

Also, since $\alpha \notin X$, there must be $p' \in G$ with

$p' \Vdash \neg\varphi(\alpha, F_{\dot{G}}, \check{v}, \dot{G}_{i_0}^{\eta_0}, \dots, \dot{G}_{i_{l-1}}^{\eta_{l-1}})$.

W.l.o.g. we can assume that p and p' have “the same shape”:

$\text{supp } p = \text{supp } p'$, $\text{dom } p_* = \text{dom } p'_*$, $\bigcup a_i^\eta = \bigcup (a')_i^\eta, \dots$

There is an isomorphism $\pi : \mathbb{P} \upharpoonright p \rightarrow \mathbb{P} \upharpoonright p'$ with $\pi \dot{\tilde{G}}_i^\eta = \dot{\tilde{G}}_i^\eta$ for all (η, i) – in particular, $\pi F_{\dot{G}} = F_{\dot{G}}$.

Moreover, $\pi \dot{G}_{i_0}^{\eta_0} = \dot{G}_{i_0}^{\eta_0}, \dots, \pi \dot{G}_{i_{l-1}}^{\eta_{l-1}} = \dot{G}_{i_{l-1}}^{\eta_{l-1}}$.

Contradiction.



Corollary 6

Corollary 6

Cardinals are N - V -absolute.

Corollary 6

Cardinals are N - V -absolute.

Proof.

Corollary 6

Cardinals are N - V -absolute.

Proof.

If not, there is a V -cardinal κ and an ordinal $\alpha < \kappa$ with a surjective function $f : \alpha \rightarrow \kappa$ in N .

Corollary 6

Cardinals are N - V -absolute.

Proof.

If not, there is a V -cardinal κ and an ordinal $\alpha < \kappa$ with a surjective function $f : \alpha \rightarrow \kappa$ in N .

By Lemma 5,

Corollary 6

Cardinals are N - V -absolute.

Proof.

If not, there is a V -cardinal κ and an ordinal $\alpha < \kappa$ with a surjective function $f : \alpha \rightarrow \kappa$ in N .

By Lemma 5, there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with $f \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$, so κ is not a cardinal in $V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Corollary 6

Cardinals are N - V -absolute.

Proof.

If not, there is a V -cardinal κ and an ordinal $\alpha < \kappa$ with a surjective function $f : \alpha \rightarrow \kappa$ in N .

By Lemma 5, there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with $f \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$, so κ is not a cardinal in $V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Contradiction.

Corollary 6

Cardinals are N - V -absolute.

Proof.

If not, there is a V -cardinal κ and an ordinal $\alpha < \kappa$ with a surjective function $f : \alpha \rightarrow \kappa$ in N .

By Lemma 5, there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with $f \in V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$, so κ is not a cardinal in $V[G_{i_0}^{\eta_0} \times \dots \times G_{i_{l-1}}^{\eta_{l-1}}]$.

Contradiction. □

Proposition 7

Proposition 7

Let $\sigma < \gamma$.

Proposition 7

Let $\sigma < \gamma$. Then $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$,

Proposition 7

Let $\sigma < \gamma$. Then $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proposition 7

Let $\sigma < \gamma$. Then $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (*outline*)

Proposition 7

Let $\sigma < \gamma$. The $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (*outline*)

- A) Find in $V[G \upharpoonright (\sigma + 1)]$ a set $\tilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$ with an injection $\iota : \tilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma$.

Proposition 7

Let $\sigma < \gamma$. The $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (*outline*)

- A) Find in $V[G \upharpoonright (\sigma + 1)]$ a set $\widetilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$ with an injection $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma$.
- B) Assume towards a contradiction, there exists a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proposition 7

Let $\sigma < \gamma$. The $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (*outline*)

- A) Find in $V[G \upharpoonright (\sigma + 1)]$ a set $\widetilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$ with an injection $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma$.
- B) Assume towards a contradiction, there exists a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Show that there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

Proposition 7

Let $\sigma < \gamma$. The $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (*outline*)

- A) Find in $V[G \upharpoonright (\sigma + 1)]$ a set $\widetilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$ with an injection $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma^+$.
- B) Assume towards a contradiction, there exists a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Show that there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$f \in V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})).$$

Proposition 7

Let $\sigma < \gamma$. The $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (*outline*)

- A) Find in $V[G \upharpoonright (\sigma + 1)]$ a set $\widetilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$ with an injection $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma^+$.
- B) Assume towards a contradiction, there exists a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Show that there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$f \in V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

- C) This gives a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$ in

$$V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

Proposition 7

Let $\sigma < \gamma$. The $N \vDash \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (*outline*)

- A) Find in $V[G \upharpoonright (\sigma + 1)]$ a set $\widetilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$ with an injection $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma^+$.
- B) Assume towards a contradiction, there exists a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Show that there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$f \in V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

- C) This gives a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$ in

$$V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

Contradiction.

Proposition 7

Let $\sigma < \gamma$. The $N \models \theta(\kappa_\sigma) \leq \alpha_\sigma^+$, i.e. there is no surjective function $f : \wp(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Proof. (outline)

- A) Find in $V[G \upharpoonright (\sigma + 1)]$ a set $\widetilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$ with an injection $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma^+$.
- B) Assume towards a contradiction, there exists a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Show that there are $G_{i_0}^{\eta_0}, \dots, G_{i_{l-1}}^{\eta_{l-1}}$ with

$$f \in V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

- C) This gives a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$ in

$$V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

Contradiction. □

A) Capturing $\beta^N(\kappa_\sigma)$.

A) Capturing $\beta^N(\kappa_\sigma)$.

Let $X \in N$, $X \subseteq \kappa_\sigma$.

A) Capturing $\wp^N(\kappa_\sigma)$.

Let $X \in N$, $X \subseteq \kappa_\sigma$.

By Lemma 5, we have

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \times \dots]$$

A) Capturing $\wp^N(\kappa_\sigma)$.

Let $X \in N$, $X \subseteq \kappa_\sigma$.

By Lemma 5, we have

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \times \dots]$$

for some $\eta_0, \dots \leq \sigma$, $\lambda_0, \dots > \sigma$.

A) Capturing $\beta^N(\kappa_\sigma)$.

Let $X \in N$, $X \subseteq \kappa_\sigma$.

By Lemma 5, we have

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \times \dots]$$

for some $\eta_0, \dots \leq \sigma$, $\lambda_0, \dots > \sigma$.

Then

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \upharpoonright \kappa_\sigma \times \dots].$$

A) Capturing $\wp^N(\kappa_\sigma)$.

Let $X \in N$, $X \subseteq \kappa_\sigma$.

By Lemma 5, we have

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \times \dots]$$

for some $\eta_0, \dots \leq \sigma$, $\lambda_0, \dots > \sigma$.

Then

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \upharpoonright \kappa_\sigma \times \dots].$$

Setting $a_0 := g_{k_0}^{\lambda_0} \upharpoonright \kappa_\sigma, \dots,$

A) Capturing $\beta^N(\kappa_\sigma)$.

Let $X \in N$, $X \subseteq \kappa_\sigma$.

By Lemma 5, we have

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \times \dots]$$

for some $\eta_0, \dots \leq \sigma$, $\lambda_0, \dots > \sigma$.

Then

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \upharpoonright \kappa_\sigma \times \dots].$$

Setting $a_0 := g_{k_0}^{\lambda_0} \upharpoonright \kappa_\sigma, \dots$, we get

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_*(a_0) \times \dots],$$

A) Capturing $\beta^N(\kappa_\sigma)$.

Let $X \in N$, $X \subseteq \kappa_\sigma$.

By Lemma 5, we have

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \times \dots]$$

for some $\eta_0, \dots \leq \sigma$, $\lambda_0, \dots > \sigma$.

Then

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_{k_0}^{\lambda_0} \upharpoonright \kappa_\sigma \times \dots].$$

Setting $a_0 := g_{k_0}^{\lambda_0} \upharpoonright \kappa_\sigma, \dots$, we get

$$X \in V[G_{i_0}^{\eta_0} \times \dots \times G_*(a_0) \times \dots],$$

where for all $\zeta \in [\kappa_{\nu,j}, \kappa_{\nu,j+1})$ with $a_0 \cap [\kappa_{\nu,j}, \kappa_{\nu,j+1}) =: \{\xi\}$, we define $G_*(a_0)(\zeta) := G_*(\xi, \zeta)$.

A) Capturing $\beta^N(\kappa_\sigma)$.

A) Capturing $\beta^N(\kappa_\sigma)$.

Define in $V[G \upharpoonright (\sigma + 1)]$:

A) Capturing $\beta^N(\kappa_\sigma)$.

Define in $V[G \upharpoonright (\sigma + 1)]$:

$$\widetilde{\beta}(\kappa_\sigma) := \bigcup_{(\eta_0, i_0), \dots, a_0, \dots} \left\{ \beta(\kappa_\sigma) \cap V[G_{i_0}^{\eta_0} \times \dots \times G_*(a_0) \times \dots] \right\}.$$

A) Capturing $\wp^N(\kappa_\sigma)$.

Define in $V[G \upharpoonright (\sigma + 1)]$:

$$\widetilde{\wp}(\kappa_\sigma) := \bigcup_{(\eta_0, i_0), \dots, a_0, \dots} \left\{ \wp(\kappa_\sigma) \cap V[G_{i_0}^{\eta_0} \times \dots \times G_*(a_0) \times \dots] \right\}.$$

Then $\widetilde{\wp}(\kappa_\sigma) \supseteq \wp^N(\kappa_\sigma)$.

A) Capturing $\beta^N(\kappa_\sigma)$.

Define in $V[G \upharpoonright (\sigma + 1)]$:

$$\widetilde{\beta}(\kappa_\sigma) := \bigcup_{(\eta_0, i_0), \dots, a_0, \dots} \left\{ \beta(\kappa_\sigma) \cap V[G_{i_0}^{\eta_0} \times \dots \times G_*(a_0) \times \dots] \right\}.$$

Then $\widetilde{\beta}(\kappa_\sigma) \supseteq \beta^N(\kappa_\sigma)$.

Moreover, there is an injection $\iota : \widetilde{\beta}(\kappa_\sigma) \rightarrow \alpha_\sigma$ in $V[G \upharpoonright (\sigma + 1)]$.

A) Capturing $\beta^N(\kappa_\sigma)$.

Define in $V[G \upharpoonright (\sigma + 1)]$:

$$\widetilde{\beta}(\kappa_\sigma) := \bigcup_{(\eta_0, i_0), \dots, a_0, \dots} \left\{ \beta(\kappa_\sigma) \cap V[G_{i_0}^{\eta_0} \times \dots \times G_*(a_0) \times \dots] \right\}.$$

Then $\widetilde{\beta}(\kappa_\sigma) \supseteq \beta^N(\kappa_\sigma)$.

Moreover, there is an injection $\iota : \widetilde{\beta}(\kappa_\sigma) \rightarrow \alpha_\sigma$ in $V[G \upharpoonright (\sigma + 1)]$.

□

B) Capturing Surjective Functions.

B) Capturing Surjective Functions.

Assume towards a contradiction, there was a surjective function
 $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

B) Capturing Surjective Functions.

Assume towards a contradiction, there was a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Now, one can use A) and show by similar isomorphism arguments as in the *Approximation Lemma*, that there exist $(\eta_0, i_0), \dots, (\eta_{l-1}, i_{l-1})$ with

$$f \in V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

B) Capturing Surjective Functions.

Assume towards a contradiction, there was a surjective function $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ in N .

Now, one can use A) and show by similar isomorphism arguments as in the *Approximation Lemma*, that there exist $(\eta_0, i_0), \dots, (\eta_{l-1}, i_{l-1})$ with

$$f \in V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \dots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

□

C) Contradiction.

C) Contradiction.

Combining the surjection $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$

C) Contradiction.

Combining the surjection $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ and the injective map
 $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma$,

C) Contradiction.

Combining the surjection $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ and the injective map $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma$, one obtains a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$

C) Contradiction.

Combining the surjection $f : \wp^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ and the injective map $\iota : \widetilde{\wp}(\kappa_\sigma) \rightarrow \alpha_\sigma$, one obtains a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$ in

$$V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \cdots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

C) Contradiction.

Combining the surjection $f : \beta^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ and the injective map $\iota : \widetilde{\beta}(\kappa_\sigma) \rightarrow \alpha_\sigma$, one obtains a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$ in

$$V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \cdots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

But this is not possible, since the forcing

$$\mathbb{P} \upharpoonright (\sigma + 1) \times P^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \cdots \times P^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})$$

preserves cardinals $> \alpha_\sigma$.

C) Contradiction.

Combining the surjection $f : \beta^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ and the injective map $\iota : \widetilde{\beta}(\kappa_\sigma) \rightarrow \alpha_\sigma$, one obtains a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$ in

$$V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \cdots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

But this is not possible, since the forcing

$$\mathbb{P} \upharpoonright (\sigma + 1) \times P^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \cdots \times P^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})$$

preserves cardinals $> \alpha_\sigma$.

Thus, $\theta^N(\kappa_\sigma) \leq \alpha_\sigma^+$.

C) Contradiction.

Combining the surjection $f : \beta^N(\kappa_\sigma) \rightarrow \alpha_\sigma^+$ and the injective map $\iota : \widetilde{\beta}(\kappa_\sigma) \rightarrow \alpha_\sigma$, one obtains a surjection $\alpha_\sigma \rightarrow \alpha_\sigma^+$ in

$$V[G \upharpoonright (\sigma + 1) \times G_{i_0}^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \cdots \times G_{i_{l-1}}^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})].$$

But this is not possible, since the forcing

$$\mathbb{P} \upharpoonright (\sigma + 1) \times P^{\eta_0} \upharpoonright [\kappa_\sigma, \kappa_{\eta_0}) \times \cdots \times P^{\eta_{l-1}} \upharpoonright [\kappa_\sigma, \kappa_{\eta_{l-1}})$$

preserves cardinals $> \alpha_\sigma$.

Thus, $\theta^N(\kappa_\sigma) \leq \alpha_\sigma^+$. □

Theorem B

Theorem B

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

Theorem B

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed

Theorem B

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$,
 $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing

Theorem B

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$, $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing
- $\forall \eta < \gamma \ \alpha_\eta \geq \kappa_\eta^{++}$.

Theorem B

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$, $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing
- $\forall \eta < \gamma \ \alpha_\eta \geq \kappa_\eta^{++}$.

There is a cardinal-preserving extension $N \supseteq V$ with $N \models ZF$ such that

Theorem B

Let V be a ground model of $ZFC + GCH$ and $(\kappa_\eta \mid \eta < \gamma)$, $(\alpha_\eta \mid \eta < \gamma)$ sequences of cardinals such that

- $(\kappa_\eta \mid \eta < \gamma)$ is strictly increasing and closed
- $(\alpha_\eta \mid \eta < \gamma)$ is increasing
- $\forall \eta < \gamma \ \alpha_\eta \geq \kappa_\eta^{++}$.

There is a cardinal-preserving extension $N \supseteq V$ with $N \models ZF$ such that $\forall \eta < \gamma \ \theta^N(\kappa_\eta) = \alpha_\eta$.

Sequences of Class Length

Sequences of Class Length

Remark

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Sequences of Class Length

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Theorem C

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Theorem C

Let V be a ground model of $ZFC + GCH$ with sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$,

Sequences of Class Length

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Theorem C

Let V be a ground model of $ZFC + GCH$ with sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$, where $(\kappa_\eta \mid \eta \in \text{Ord})$ is strictly increasing and closed,

Sequences of Class Length

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Theorem C

Let V be a ground model of $ZFC + GCH$ with sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$, where $(\kappa_\eta \mid \eta \in \text{Ord})$ is strictly increasing and closed, and $(\alpha_\eta \mid \eta \in \text{Ord})$ is increasing

Sequences of Class Length

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Theorem C

Let V be a ground model of $ZFC + GCH$ with sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$, where $(\kappa_\eta \mid \eta \in \text{Ord})$ is strictly increasing and closed, and $(\alpha_\eta \mid \eta \in \text{Ord})$ is increasing with $\alpha_\eta \geq \kappa_{\eta+1}^{++}$ for all $\eta \in \text{Ord}$.

Sequences of Class Length

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Theorem C

Let V be a ground model of $ZFC + GCH$ with sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$, where $(\kappa_\eta \mid \eta \in \text{Ord})$ is strictly increasing and closed, and $(\alpha_\eta \mid \eta \in \text{Ord})$ is increasing with $\alpha_\eta \geq \kappa_{\eta+1}^{++}$ for all $\eta \in \text{Ord}$.

There is a cardinal-preserving extension $N \supseteq V$ with $N \models ZF$

Sequences of Class Length

Remark

A straightforward generalization of our construction to sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$ of class length does not give a ZF -model N .

Theorem C

Let V be a ground model of $ZFC + GCH$ with sequences $(\kappa_\eta \mid \eta \in \text{Ord})$ and $(\alpha_\eta \mid \eta \in \text{Ord})$, where $(\kappa_\eta \mid \eta \in \text{Ord})$ is strictly increasing and closed, and $(\alpha_\eta \mid \eta \in \text{Ord})$ is increasing with $\alpha_\eta \geq \kappa_{\eta+1}^{++}$ for all $\eta \in \text{Ord}$.

There is a cardinal-preserving extension $N \supseteq V$ with $N \models ZF$ such that $\theta^N(\kappa_{\eta+1}) = \alpha_\eta$ for all $\eta \in \text{Ord}$.

Thank you!